THE SENATE THIRTY-SECOND LEGISLATURE, 2023 STATE OF HAWAII

S.B. NO. ³²² S.D. 1

A BILL FOR AN ACT

RELATING TO THE INTERSTATE LICENSED PROFESSIONAL COUNSELORS COMPACT.

BE IT ENACTED BY THE LEGISLATURE OF THE STATE OF HAWAII:

1	SECTION 1. The Hawaii Revised Statutes is amended by
2	adding a new chapter to be appropriately designated and to read
3	as follows:
4	"CHAPTER
5	INTERSTATE LICENSED PROFESSIONAL COUNSELORS COMPACT
6	§ -1 Short title. This chapter may be cited as the
7	Interstate Licensed Professional Counselors Compact.
8	§ -2 Terms and provisions of compact. The legislature
9	hereby authorizes the governor to enter into a compact on behalf
10	of the State of Hawaii with any other jurisdictions legally
11	joining therein, in the form substantially as follows:
12	Section 1.
13	Purpose
14	The purpose of this Compact is to facilitate interstate
15	practice of Licensed Professional Counselors with the goal of
16	improving public access to Professional Counseling services.

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where the client is located at the time of the counseling 2 3 services. The Compact preserves the regulatory authority of 4 States to protect public health and safety through the current 5 system of State licensure. 6 This Compact is designed to achieve the following 7 objectives: 8 A. Increase public access to Professional Counseling 9 services by providing for the mutual recognition of other Member 10 State licenses; 11 B. Enhance the States' ability to protect the public's 12 health and safety; 13 C. Encourage the cooperation of Member States in 14 regulating multistate practice for Licensed Professional 15 Counselors; 16 D. Support spouses of relocating Active Duty Military 17 personnel; 18 Enhance the exchange of licensure, investigative, and Ε. 19 disciplinary information among Member States; 20 F. Allow for the use of Telehealth technology to 21 facilitate increased access to Professional Counseling services;

The practice of Professional Counseling occurs in the State

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1	G. Support the uniformity of Professional Counseling
2	licensure requirements throughout the States to promote public
3	safety and public health benefits;
4	H. Invest all Member States with the authority to hold a
5	Licensed Professional Counselor accountable for meeting all
6	State practice laws in the State in which the client is located
7	at the time care is rendered through the mutual recognition of
8	Member State licenses;
9	I. Eliminate the necessity for licenses in multiple
10	states; and
11	J. Provide opportunities for interstate practice by
12	Licensed Professional Counselors who meet uniform licensure
13	requirements.
14	Section 2.
15	Definitions
16	As used in this Compact, and except as otherwise provided,
17	the following definitions shall apply:
18	A. "Active Duty Military" means full-time duty status in
19	the active uniformed service of the United States, including
20	members of the National Guard and Reserve on active duty orders
21	pursuant to 10 U.S.C. Chapters 1209 and 1211.

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"Adverse Action" means any administrative, civil, 1 Β. 2 equitable, or criminal action permitted by a State's laws which 3 is imposed by a licensing board or other authority against a 4 Licensed Professional Counselor, including actions against an 5 individual's license or Privilege to Practice such as 6 revocation, suspension, probation, monitoring of the Licensee, limitation on the Licensee's practice, or any other Encumbrance 7 8 on licensure affecting a Licensed Professional Counselor's 9 authorization to practice, including issuance of a cease and 10 desist action.

C. "Alternative Program" means a non-disciplinary
monitoring or practice remediation process approved by a
Professional Counseling Licensing Board to address Impaired
Practitioners.

D. "Continuing Competence/Education" means a requirement, as a condition of license renewal, to provide evidence of participation in, or completion of, educational and professional activities relevant to practice or area of work.

E. "Counseling Compact Commission" or "Commission" means
the national administrative body whose membership consists of
all States that have enacted the Compact.

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1 F. "Current Significant Investigative Information" means: 2 Investigative Information that a Licensing Board, after 1. 3 a preliminary inquiry that includes notification and an 4 opportunity for the Licensed Professional Counselor to respond, 5 if required by State law, has reason to believe is not 6 groundless and, if proved true, would indicate more than a minor 7 infraction; or 8 2. Investigative Information that indicates that the 9 Licensed Professional Counselor represents an immediate threat 10 to public health and safety regardless of whether the Licensed 11 Professional Counselor has been notified and had an opportunity 12 to respond. 13 "Data System" means a repository of information about G. 14 Licensees, including, but not limited to, continuing education, 15 examination, licensure, investigative, Privilege to Practice, 16 and Adverse Action information. 17 "Encumbered License" means a license in which an Η. 18 Adverse Action restricts the practice of licensed professional 19 counseling by the Licensee and said Adverse Action has been

20 reported to the National Practitioners Data Bank (NPDB).

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1 "Encumbrance" means a revocation or suspension of, or I. any limitation on, the full and unrestricted practice of 2 3 Licensed Professional Counseling by a Licensing Board. 4 J. "Executive Committee" means a group of directors 5 elected or appointed to act on behalf of, and within the powers granted to them by, the Commission. 6 7 Κ. "Home State" means the Member State that is the 8 Licensee's primary state of residence. 9 L. "Impaired Practitioner" means an individual who has a 10 condition that may impair their ability to practice as a Licensed Professional Counselor without some type of 11 12 intervention and may include, but is not limited to, alcohol and 13 drug dependence, mental health impairment, and neurological or physical impairments. 14 M. "Investigative Information" means information, records, 15 16 and documents received or generated by a professional Counseling 17 Licensing Board pursuant to an investigation. N. "Jurisprudence Requirement", if required by a Member 18 19 State, means the assessment of an individual's knowledge of the 20 laws and Rules governing the practice of Professional Counseling 21 in a State.

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1 0. "Licensed Professional Counselor" means a counselor licensed by a Member State, regardless of the title used by that 2 3 State, to independently assess, diagnose, and treat behavioral 4 health conditions. 5 P. "Licensee" means an individual who currently holds an 6 authorization from the State to practice as a Licensed 7 Professional Counselor. 8 Q. "Licensing Board" means the agency of a State, or 9 equivalent, that is responsible for the licensing and regulation 10 of Licensed Professional Counselors. 11 R. "Member State" means a State that has enacted the 12 Compact. S. "Privilege to Practice" means a legal authorization, 13 which is equivalent to a license, permitting the practice of 14 Professional Counseling in a Remote State. 15 16 T. "Professional Counseling" means the assessment, diagnosis, and treatment of behavioral health conditions by a 17 Licensed Professional Counselor. 18 U. "Remote State" means a Member State other than the Home 19 State, where a Licensee is exercising or seeking to exercise the 20 Privilege to Practice. 21

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1 "Rule" means a regulation promulgated by the Commission v. 2 that has the force of law. 3 "Single State License" means a Licensed Professional W. 4 Counselor license issued by a Member State that authorizes 5 practice only within the issuing State and does not include a 6 Privilege to Practice in any other Member State. 7 "State" means any state, commonwealth, district, or Χ. 8 territory of the United States of America that regulates the 9 practice of Professional Counseling. 10 Y. "Telehealth" means the application of telecommunication 11 technology to deliver Professional Counseling services remotely 12 to assess, diagnose, and treat behavioral health conditions. 13 Ζ. "Unencumbered License" means a license that authorizes 14 a Licensed Professional Counselor to engage in the full and 15 unrestricted practice of Professional Counseling. 16 Section 3. 17 State Participation in the Compact 18 To Participate in the Compact, a State must currently: Α. 19 1. License and regulate Licensed Professional Counselors; 20 2. Require Licensees to pass a nationally recognized exam 21 approved by the Commission;

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1	3.	Require Licensees to have a 60 semester-hour (or 90	
2	quarter-	hour) master's degree in counseling or 60 semester-hours	
3	(or 90 q	uarter-hours) of graduate course work, including the	
4	followin	g topic areas:	
5	a.	Professional Counseling Orientation and Ethical	
6	Practice;		
7	b.	Social and Cultural Diversity;	
8	c.	Human Growth and Development;	
9	d.	Career Development;	
10	e.	Counseling and Helping Relationships;	
11	f.	Group Counseling and Group Work;	
12	g.	Diagnosis and Treatment; Assessment and Testing;	
13	h.	Research and Program Evaluation; and	
14	i.	Other areas as determined by the Commission.	
15	4.	Require Licensees to complete a supervised postgraduate	
16	professi	onal experience as defined by the Commission;	
17	5.	Have a mechanism in place for receiving and	
18	investig	ating complaints about Licensees.	
19	в.	A Member State shall:	

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Participate fully in the Commission's Data System,
 including using the Commission's unique identifier as defined in
 Rules;

4 2. Notify the Commission, in compliance with the terms of
5 the Compact and Rules, of any Adverse Action or the availability
6 of Investigative Information regarding a Licensee;

7 3. Implement or utilize procedures for considering the 8 criminal history records of applicants for an initial Privilege 9 to Practice. These procedures shall include the submission of 10 fingerprints or other biometric-based information by applicants 11 for the purpose of obtaining an applicant's criminal history 12 record information from the Federal Bureau of Investigation and 13 the agency responsible for retaining that State's criminal records; 14

a. A Member State must fully implement a criminal
background check requirement, within a time frame established by
Rule, by receiving the results of the Federal Bureau of
Investigation record search and shall use the results in making
licensure decisions.

20 b. Communication between a Member State, the Commission,21 and among Member States regarding the verification of

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1 eligibility for licensure through the Compact shall not include 2 any information received from the Federal Bureau of 3 Investigation relating to a federal criminal records check 4 performed by a Member State under Public Law 92-544. 5 4. Comply with the Rules of the Commission; 6 5. Require an applicant to obtain or retain a license in 7 the Home State and meet the Home State's qualifications for 8 licensure or renewal of licensure, as well as all other 9 applicable State laws; 10 6. Grant the Privilege to Practice to a Licensee holding a valid Unencumbered License in another Member State in accordance 11 12 with the terms of the Compact and Rules; and 13 7. Provide for the attendance of the State's Commissioner 14 to the Counseling Compact Commission meetings. 15 C. Member States may charge a fee for granting the 16 Privilege to Practice. 17 D. Individuals not residing in a Member State shall 18 continue to be able to apply for a Member State's Single State 19 License as provided under the laws of each Member State. 20 However, the Single State License granted to these individuals

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1	shall not be recognized as granting a Privilege to Practice
2	Professional Counseling in any other Member State.
3	E. Nothing in this Compact shall affect the requirements
4	established by a Member State for the issuance of a Single State
5	License.
6	F. A license issued to a Licensed Professional Counselor
7	by a Home State to a resident in that State shall be recognized
8	by each Member State as authorizing a Licensed Professional
9	Counselor to practice Professional Counseling, under a Privilege
10	to Practice in each Member State.
11	Section 4.
11 12	Privilege to Practice
12 13	Privilege to Practice
12 13 14	Privilege to Practice A. To exercise the Privilege to Practice under the terms
12 13 14 15	Privilege to Practice A. To exercise the Privilege to Practice under the terms and provisions of the Compact, the Licensee shall:
12	Privilege to Practice A. To exercise the Privilege to Practice under the terms and provisions of the Compact, the Licensee shall: 1. Hold a license in the Home State;
12 13 14 15 16	Privilege to Practice A. To exercise the Privilege to Practice under the terms and provisions of the Compact, the Licensee shall: 1. Hold a license in the Home State; 2. Have a valid United States Social Security Number or
12 13 14 15 16 17	 Privilege to Practice A. To exercise the Privilege to Practice under the terms and provisions of the Compact, the Licensee shall: Hold a license in the Home State; Have a valid United States Social Security Number or National Practitioner Identifier;

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1 4. Have not had any Encumbrance or restriction against any license or Privilege to Practice within the previous two (2) 2 3 years; 4 5. Notify the Commission that the Licensee is seeking the 5 Privilege to Practice within a Remote State(s); 6 6. Pay any applicable fees, including any State fee, for 7 the Privilege to Practice; 8 7. Meet any Continuing Competence/Education requirements established by the Home State; 9 10 8. Meet any Jurisprudence Requirements established by the 11 Remote State(s) in which the Licensee is seeking a Privilege to 12 Practice; and 13 9. Report to the Commission any Adverse Action, 14 Encumbrance, or restriction on license taken by any non-Member 15 State within 30 days from the date the action is taken. 16 B. The Privilege to Practice is valid until the expiration 17 date of the Home State license. The Licensee must comply with 18 the requirements of Section 4(A) to maintain the Privilege to 19 Practice in the Remote State.

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C. A Licensee providing Professional Counseling in a
 Remote State under the Privilege to Practice shall adhere to the
 laws and regulations of the Remote State.

4 A Licensee providing Professional Counseling services D. 5 in a Remote State is subject to that State's regulatory 6 authority. A Remote State may, in accordance with due process 7 and that State's laws, remove a Licensee's Privilege to Practice in the Remote State for a specific period of time, impose fines, 8 or take any other necessary actions to protect the health and 9 10 safety of its citizens. The Licensee may be ineligible for a 11 Privilege to Practice in any Member State until the specific 12 time for removal has passed and all fines are paid.

E. If a Home State license is encumbered, the Licensee
shall lose the Privilege to Practice in any Remote State until
the following occur:

1. The Home State license is no longer encumbered; and
 2. The licensee has not had any Encumbrance or restriction
 against any license or Privilege to Practice within the previous
 two (2) years.

F. Once an Encumbered License in the Home State isrestored to good standing, the Licensee must meet the

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1 requirements of Section 4(A) to obtain a Privilege to Practice 2 in any Remote State. 3 G. If a Licensee's Privilege to Practice in any Remote 4 State is removed, the individual may lose the Privilege to 5 Practice in all other Remote States until the following occur: 6 1. The specific period of time for which the Privilege to 7 Practice was removed has ended; 8 2. All fines have been paid; and 9 3. The Licensee has not had any encumbrance or restriction 10 against any license or Privilege to Practice within the previous 11 two (2) years. 12 H. Once the requirements of Section 4(G) have been met, 13 the Licensee must meet the requirements in Section 4(A) to 14 obtain a Privilege to Practice in a Remote State. 15 Section 5: 16 Obtaining a New Home State License Based on a Privilege to 17 Practice 18 A. A Licensed Professional Counselor may hold a Home State 19 License, which allows for a Privilege to Practice in other 20 Member States, in only one Member State at a time.

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1 If a Licensed Professional Counselor changes primary Β. 2 state of residence by moving between two Member States: 3 The Licensed Professional Counselor shall file an 1. 4 application for obtaining a new Home State license based on a 5 Privilege to Practice, pay all applicable fees, and notify the 6 current and new Home State in accordance with applicable Rules adopted by the Commission. 7 8 2. Upon receipt of an application for obtaining a new Home 9 State license by virtue of a Privilege to Practice, the new Home 10 State shall verify that the Licensed Professional Counselor 11 meets the pertinent criteria outlined in Section 4 via the Data System, without need for primary source verification except for: 12 a. A Federal Bureau of Investigation fingerprint based 13 14 criminal background check if not previously performed or updated pursuant to applicable rules adopted by the Commission in 15 accordance with Public Law 92-544; 16 b. Other criminal background check as required by the new 17 Home State; and 18 c. Completion of any requisite Jurisprudence Requirements 19

20 of the new Home State.

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3. The former Home State shall convert the former Home 1 2 State license into a Privilege to Practice once the new Home 3 State has activated the new Home State license in accordance 4 with applicable Rules adopted by the Commission. 5 4. Notwithstanding any other provision of this Compact, if 6 the Licensed Professional Counselor cannot meet the criteria in 7 Section 4, the new Home State may apply its requirements for 8 issuing a new Single State License. 9 5. The Licensed Professional Counselor shall pay all 10 applicable fees to the new Home State in order to be issued a 11 new Home State license. 12 C. If a Licensed Professional Counselor changes Primary 13 State of Residence by moving from a Member State to a non-Member 14 State, or from a non-Member State to a Member State, the State 15 criteria shall apply for issuance of a Single State License in the new State. 16 17 D. Nothing in this Compact shall interfere with a 18 Licensee's ability to hold a Single State License in multiple 19 States, however for the purposes of this Compact, a Licensee

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shall have only one Home State license.

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1 Ε. Nothing in this Compact shall affect the requirements 2 established by a Member State for the issuance of a Single State 3 License. 4 Section 6. 5 Active Duty Military Personnel Or Their Spouses 6 Active Duty Military personnel, or their spouse, shall 7 designate a Home State where the individual has a current 8 license in good standing. The individual may retain the Home 9 State designation during the period the service member is on 10 active duty. Subsequent to designating a Home State, the 11 individual shall only change their Home State through 12 application for licensure in the new State, or through the process outlined in Section 5. 13 Section 7. 14 Compact Privilege to Practice Telehealth 15 A. Member States shall recognize the right of a Licensed 16 17 Professional Counselor, licensed by a Home State in accordance 18 with Section 3 and under Rules promulgated by the Commission, to practice Professional Counseling in any Member State via 19 Telehealth under a Privilege to Practice as provided in the 20 21 Compact and Rules promulgated by the Commission.

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A Licensee providing Professional Counseling services 1 в. 2 in a Remote State under the Privilege to Practice shall adhere 3 to the laws and regulations of the Remote State. 4 Section 8. 5 Adverse Actions 6 A. In addition to the other powers conferred by State law, 7 a Remote State shall have the authority, in accordance with 8 existing State due process law, to: 9 1. Take Adverse Action against a Licensed Professional 10 Counselor's Privilege to Practice within that Member State, and 11 Issue subpoenas for both hearings and investigations 2. 12 that require the attendance and testimony of witnesses as well 13 as the production of evidence. Subpoenas issued by a Licensing 14 Board in a Member State for the attendance and testimony of 15 witnesses or the production of evidence from another Member 16 State shall be enforced in the latter State by any court of 17 competent jurisdiction, according to the practice and procedure 18 of that court applicable to subpoenas issued in proceedings 19 pending before it. The issuing authority shall pay any witness 20 fees, travel expenses, mileage, and other fees required by the

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service statutes of the State in which the witnesses or evidence
 is located.

3. Only the Home State shall have the power to take
4 Adverse Action against a Licensed Professional Counselor's
5 license issued by the Home State.

6 B. For purposes of taking Adverse Action, the Home State 7 shall give the same priority and effect to reported conduct 8 received from a Member State as it would if the conduct had 9 occurred within the Home State. In so doing, the Home State 10 shall apply its own State laws to determine appropriate action. C. The Home State shall complete any pending 11 12 investigations of a Licensed Professional Counselor who changes 13 primary State of residence during the course of the 14 investigations. The Home State shall also have the authority to 15 take appropriate action(s) and shall promptly report the 16 conclusions of the investigations to the administrator of the 17 Data System. The administrator of the coordinated licensure 18 information system shall promptly notify the new Home State of 19 any Adverse Actions.

20 D. A Member State, if otherwise permitted by State law,
21 may recover from the affected Licensed Professional Counselor

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the costs of investigations and dispositions of cases resulting
 from any Adverse Action taken against that Licensed Professional
 Counselor.

E. A Member State may take Adverse Action based on the
factual findings of the Remote State, provided that the Member
State follows its own procedures for taking the Adverse Action.

7

F. Joint investigations:

8 1. In addition to the authority granted to a Member State 9 by its respective Professional Counseling practice act or other 10 applicable State law, any Member State may participate with

11 other Member States in joint investigations of Licensees.

12 2. Member States shall share any investigative,

13 litigation, or compliance materials in furtherance of any joint14 or individual investigation initiated under the Compact.

G. If Adverse Action is taken by the Home State against the license of a Licensed Professional Counselor, the Licensed Professional Counselor's Privilege to Practice in all other Member States shall be deactivated until all Encumbrances have been removed from the State license. All Home State disciplinary orders that impose Adverse Action against the license of a Licensed Professional Counselor shall include a



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1 Statement that the Licensed Professional Counselor's Privilege to Practice is deactivated in all Member States during the 2 pendency of the order. 3 H. If a Member State takes Adverse Action, it shall 4 5 promptly notify the administrator of the Data System. The 6 administrator of the Data System shall promptly notify the Home State of any Adverse Actions by Remote States. 7 I. Nothing in this Compact shall override a Member State's 8 decision that participation in an Alternative Program may be 9 10 used in lieu of Adverse Action. : ÷ Section 9. 11 12 Establishment of Counseling Compact Commission 13 Α. The Compact Member States hereby create and establish a 14 joint public agency known as the Counseling Compact Commission: 1. The Commission is an instrumentality of the Compact 15 States. 16 17 2. Venue is proper and judicial proceedings by or against the Commission shall be brought solely and exclusively in a 18 court of competent jurisdiction where the principal office of 19 the Commission is located. The Commission may waive venue and 20

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1 jurisdictional defenses to the extent it adopts or consents to 2 participate in alternative dispute resolution proceedings. 3 3. Nothing in this Compact shall be construed to be a 4 waiver of sovereign immunity. 5 Β. Membership, Voting, and Meetings 6 1. Each Member State shall have and be limited to one (1) 7 delegate selected by that Member State's Licensing Board. 8 2. The delegate shall be either: 9 a. A current member of the Licensing Board at the time of 10 appointment, who is a Licensed Professional Counselor or public 11 member; or b. An administrator of the Licensing Board. 12 13 3. Any delegate may be removed or suspended from office as 14 provided by the law of the State from which the delegate is 15 appointed. 4 16 The Member State Licensing Board shall fill any vacancy 4. 17 occurring on the Commission within 60 days. 18 5. Each delegate shall be entitled to one (1) vote with 19 regard to the promulgation of Rules and creation of bylaws and 20 shall otherwise have an opportunity to participate in the 21 business and affairs of the Commission.

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1 6. A delegate shall vote in person or by such other means as provided in the bylaws. The bylaws may provide for 2 3 delegates' participation in meetings by telephone or other means 4 of communication. 5 7. The Commission shall meet at least once during each 6 calendar year. Additional meetings shall be held as set forth 7 in the bylaws. 8. The Commission shall by Rule establish a term of office 8 9 for delegates and may by Rule establish term limits. 10 C. The Commission shall have the following powers and 11 duties: 12 1. Establish the fiscal year of the Commission; 13 2. Establish bylaws; 14 3. Maintain its financial records in accordance with the 15 bylaws; 16 4. Meet and take such actions as are consistent with the 17 provisions of this Compact and the bylaws; 18 5. Promulgate Rules which shall be binding to the extent and in the manner provided for in the Compact; 19 20 6. Bring and prosecute legal proceedings or actions in the 21 name of the Commission, provided that the standing of any State

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Licensing Board to sue or be sued under applicable law shall not
 be affected;

3 7. Purchase and maintain insurance and bonds;

8. Borrow, accept, or contract for services of personnel,
including, but not limited to, employees of a Member State;

9. Hire employees, elect or appoint officers, fix
7 compensation, define duties, grant such individuals appropriate
8 authority to carry out the purposes of the Compact, and
9 establish the Commission's personnel policies and programs
10 relating to conflicts of interest, qualifications of personnel,
11 and other related personnel matters;

12 10. Accept any and all appropriate donations and grants of 13 money, equipment, supplies, materials, and services, and to 14 receive, utilize, and dispose of the same; provided that at all 15 times the Commission shall avoid any appearance of impropriety 16 or conflict of interest;

17 11. Lease, purchase, accept appropriate gifts or donations
18 of, or otherwise to own, hold, improve or use, any property,
19 real, personal or mixed; provided that at all times the
20 Commission shall avoid any appearance of impropriety;

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Sell, convey, mortgage, pledge, lease, exchange,
 abandon, or otherwise dispose of any property real, personal, or
 mixed;

4 13. Establish a budget and make expenditures;
5 14. Borrow money;

6 15. Appoint committees, including standing committees
7 composed of members, State regulators, State legislators or
8 their representatives, and consumer representatives, and such
9 other interested persons as may be designated in this Compact
10 and the bylaws;

11 16. Provide and receive information from, and cooperate 12 with, law enforcement agencies;

13 17. Establish and elect an Executive Committee; and 14 18. Perform such other functions as may be necessary or 15 appropriate to achieve the purposes of this Compact consistent 16 with the State regulation of Professional Counseling licensure 17 and practice.

18

D. The Executive Committee

The Executive Committee shall have the power to act on
 behalf of the Commission according to the terms of this Compact.

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1 2. The Executive Committee shall be composed of up to 2 eleven (11) members: 3 Seven voting members who are elected by the Commission a. 4 from the current membership of the Commission; and 5 b. Up to four (4) ex-officio, nonvoting members from four 6 (4) recognized national professional counselor organizations, 7 selected by their respective organizations. 8 3. The Commission may remove any member of the Executive 9 Committee as provided in bylaws. 10 4. The Executive Committee shall meet at least annually. 5. The Executive Committee shall have the following duties 11 and responsibilities: 12 • 13 a. Recommend to the entire Commission changes to the Rules 14 or bylaws, changes to this Compact legislation, fees paid by 15 Compact Member States such as annual dues, and any Commission 16 Compact fee charged to Licensees for the Privilege to Practice; 17 b. Ensure Compact administration services are appropriately provided, contractual or otherwise; 18 19 c. Prepare and recommend the budget; d. Maintain financial records on behalf of the Commission; 20

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1	e. Monitor Compact compliance of Member States and provide
2	compliance reports to the Commission;
3	f. Establish additional committees as necessary; and
4	g. Other duties as provided in Rules or bylaws.
5	E. Meetings of the Commission
6	1. All meetings shall be open to the public, and public
7	notice of meetings shall be given in the same manner as required
8	under the Rulemaking provisions in Section 11.
9	2. The Commission or the Executive Committee or other
10	committees of the Commission may convene in a closed, non-public
11	meeting if the Commission or Executive Committee or other
12	committees of the Commission must discuss:
13	a. Non-compliance of a Member State with its obligations
14	under the Compact;
15	b. The employment, compensation, discipline, or other
16	matters, practices, or procedures related to specific employees
17	or other matters related to the Commission's internal personnel
18	practices and procedures;
19	c. Current, threatened, or reasonably anticipated
20	litigation;

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d. Negotiation of contracts for the purchase, lease, or
 sale of goods, services, or real estate;

3 e. Accusing any person of a crime or formally censuring4 any person;

f. Disclosure of trade secrets or commercial or financial6 information that is privileged or confidential;

7 g. Disclosure of information of a personal nature where 8 disclosure would constitute a clearly unwarranted invasion of 9 personal privacy;

10 h. Disclosure of investigative records compiled for law11 enforcement purposes;

i. Disclosure of information related to any investigative
reports prepared by or on behalf of or for use of the Commission
or other committee charged with responsibility of investigation
or determination of compliance issues pursuant to the Compact;
or

17 j. Matters specifically exempted from disclosure by18 federal or Member State statute.

19 3. If a meeting, or portion of a meeting, is closed20 pursuant to this provision, the Commission's legal counsel or

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designee shall certify that the meeting may be closed and shall
 reference each relevant exempting provision.

3 4. The Commission shall keep minutes that fully and 4 clearly describe all matters discussed in a meeting and shall 5 provide a full and accurate summary of actions taken, and the 6 reasons therefore, including a description of the views 7 expressed. All documents considered in connection with an action shall be identified in such minutes. All minutes and 8 9 documents of a closed meeting shall remain under seal, subject 10 to release by a majority vote of the Commission or order of a 11 court of competent jurisdiction.

12 F. Financing of the Commission

13 1. The Commission shall pay, or provide for the payment
 14 of, the reasonable expenses of its establishment, organization,
 15 and ongoing activities.

16 2. The Commission may accept any and all appropriate
17 revenue sources, donations, and grants of money, equipment,
18 supplies, materials, and services.

19 3. The Commission may levy on and collect an annual
20 assessment from each Member State or impose fees on other
21 parties to cover the cost of the operations and activities of

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1 the Commission and its staff, which must be in a total amount
2 sufficient to cover its annual budget as approved each year for
3 which revenue is not provided by other sources. The aggregate
4 annual assessment amount shall be allocated based upon a formula
5 to be determined by the Commission, which shall promulgate a
6 Rule binding upon all Member States.

7 4. The Commission shall not incur obligations of any kind
8 prior to securing the funds adequate to meet the same; nor shall
9 the Commission pledge the credit of any of the Member States,
10 except by and with the authority of the Member State.

The Commission shall keep accurate accounts of all 11 5. 12 receipts and disbursements. The receipts and disbursements of 13 the Commission shall be subject to the audit and accounting 14 procedures established under its bylaws. However, all receipts and disbursements of funds handled by the Commission shall be 15 16 audited yearly by a certified or licensed public accountant, and 17 the report of the audit shall be included in and become part of 18 the annual report of the Commission.

19 G. Qualified Immunity, Defense, and Indemnification
20 1. The members, officers, executive director, employees,
21 and representatives of the Commission shall be immune from suit

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1 and liability, either personally or in their official capacity, 2 for any claim for damage to or loss of property or personal 3 injury or other civil liability caused by or arising out of any 4 actual or alleged act, error, or omission that occurred, or that 5 the person against whom the claim is made had a reasonable basis 6 for believing occurred within the scope of Commission 7 employment, duties, or responsibilities; provided that nothing 8 in this paragraph shall be construed to protect any such person 9 from suit or liability for any damage, loss, injury, or 10 liability caused by the intentional or willful or wanton misconduct of that person. 11

12 2. The Commission shall defend any member, officer, 13 executive director, employee, or representative of the 14 Commission in any civil action seeking to impose liability 15 arising out of any actual or alleged act, error, or omission 16 that occurred within the scope of Commission employment, duties, 17 or responsibilities, or that the person against whom the claim 18 is made had a reasonable basis for believing occurred within the 19 scope of Commission employment, duties, or responsibilities; 20 provided that nothing herein shall be construed to prohibit that 21 person from retaining his or her own counsel; and provided

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1 further, that the actual or alleged act, error, or omission did 2 not result from that person's intentional or willful or wanton 3 misconduct.

4 The Commission shall indemnify and hold harmless any 3. 5 member, officer, executive director, employee, or representative 6 of the Commission for the amount of any settlement or judgment 7 obtained against that person arising out of any actual or 8 alleged act, error, or omission that occurred within the scope 9 of Commission employment, duties, or responsibilities, or that 10 such person had a reasonable basis for believing occurred within 11 the scope of Commission employment, duties, or responsibilities, 12 provided that the actual or alleged act, error, or omission did 13 not result from the intentional or willful or wanton misconduct 14 of that person.

15 Section 10.

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Data System

A. The Commission shall provide for the development,
maintenance, operation, and utilization of a coordinated
database and reporting system containing licensure, Adverse
Action, and Investigative Information on all licensed
individuals in Member States.

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1	B. Notwithstanding any other provision of State law to the
2	contrary, a Member State shall submit a uniform data set to the
3	Data System on all individuals to whom this Compact is
4	applicable as required by the Rules of the Commission,
5	including:
6	1. Identifying information;
7	2. Licensure data;
8	3. Adverse Actions against a license or Privilege to
9	Practice;
10	4. Non-confidential information related to Alternative
11	Program participation;
12	5. Any denial of application for licensure, and the
13	reason(s) for such denial;
14	6. Current Significant Investigative Information; and
15	7. Other information that may facilitate the
16	administration of this Compact, as determined by the Rules of
17	the Commission.
18	C. Investigative Information pertaining to a Licensee in
19	any Member State will only be available to other Member States.
20	D. The Commission shall promptly notify all Member States
21	of any Adverse Action taken against a Licensee or an individual



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applying for a license. Adverse Action information pertaining
 to a Licensee in any Member State will be available to any other
 Member State.

E. Member States contributing information to the Data
System may designate information that may not be shared with the
public without the express permission of the contributing State.
F. Any information submitted to the Data System that is
subsequently required to be expunded by the laws of the Member
State contributing the information shall be removed from the

10 Data System.

11 Section 11.

12

Rulemaking

A. The Commission shall promulgate reasonable Rules in order to effectively and efficiently achieve the purpose of the Compact. Notwithstanding the foregoing, in the event the Commission exercises its Rulemaking authority in a manner that is beyond the scope of the purposes of the Compact, or the powers granted hereunder, then such an action by the Commission shall be invalid and have no force or effect.

B. The Commission shall exercise its Rule-making powerspursuant to the criteria set forth in this Section and the Rules



adopted thereunder. Rules and amendments shall become binding
 as of the date specified in each Rule or amendment.

3 C. If a majority of the legislatures of the Member States 4 rejects a Rule, by enactment of a statute or resolution in the 5 same manner used to adopt the Compact within four (4) years of 6 the date of adoption of the Rule, then such Rule shall have no 7 further force and effect in any Member State.

8 D. Rules or amendments to the Rules shall be adopted at a9 regular or special meeting of the Commission.

E. Prior to promulgation and adoption of a final Rule or
Rules by the Commission, and at least thirty (30) days in
advance of the meeting at which the Rule will be considered and
voted upon, the Commission shall file a notice of proposed Rulemaking:

15 1. On the website of the Commission or other publicly16 accessible platform; and

17 2. On the website of each Member State Professional
18 Counseling Licensing Board or other publicly accessible platform
19 or the publication in which each State would otherwise publish
20 proposed Rules.

21

F. The Notice of Proposed Rule-making shall include:



1. The proposed time, date, and location of the meeting in 1 2 which the Rule will be considered and voted upon; 3 2. The text of the proposed Rule or amendment and the reason for the proposed Rule; 4 3. A request for comments on the proposed Rule from any 5 6 interested person; and 7 4. The manner in which interested persons may submit 8 notice to the Commission of their intention to attend the public hearing and any written comments. 9 10 G.: Prior to adoption of a proposed Rule, the Commission 11 shall allow persons to submit written data, facts, opinions, and arguments, which shall be made available to the public. 12 13 The Commission shall grant an opportunity for a public н. hearing before it adopts a Rule or amendment if a hearing is 14 requested by: 15 1. At least twenty-five (25) persons; 16 17 2. A State or federal governmental subdivision or agency; 18 or 3. An association having at least twenty-five (25) 19 20 members.

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1 I. If a hearing is held on the proposed Rule or amendment, 2 the Commission shall publish the place, time, and date of the 3 scheduled public hearing. If the hearing is held via electronic 4 means, the Commission shall publish the mechanism for access to 5 the electronic hearing. ÷. 6 1. All persons wishing to be heard at the hearing shall 7 notify the executive director of the Commission or other 8 designated member in writing of their desire to appear and 9 testify at the hearing not less than five (5) business days 10 before the scheduled date of the hearing.

11 2. Hearings shall be conducted in a manner providing each
12 person who wishes to comment a fair and reasonable opportunity
13 to comment orally or in writing.

14 3. All hearings will be recorded. A copy of the recording15 will be made available on request.

4. Nothing in this section shall be construed as requiring
a separate hearing on each Rule. Rules may be grouped for the
convenience of the Commission at hearings required by this
section.

J. Following the scheduled hearing date, or by the closeof business on the scheduled hearing date if the hearing was not

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held, the Commission shall consider all written and oral
 comments received.

K. If no written notice of intent to attend the public
hearing by interested parties is received, the Commission may
proceed with promulgation of the proposed Rule without a public
hearing.

L. The Commission shall, by majority vote of all members,
take final action on the proposed Rule and shall determine the
effective date of the Rule, if any, based on the Rule making
record and the full text of the Rule.

11 Μ. Upon determination that an emergency exists, the 12 Commission may consider and adopt an emergency Rule without 13 prior notice, opportunity for comment, or hearing, provided that 14 the usual Rule-making procedures provided in the Compact and in 15 this section shall be retroactively applied to the Rule as soon 16 as reasonably possible, in no event later than ninety (90) days 17 after the effective date of the Rule. For the purposes of this 18 provision, an emergency Rule is one that must be adopted 19 immediately in order to:

20 1. Meet an imminent threat to public health, safety, or
21 welfare;

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1 Prevent a loss of Commission or Member State funds; 2. 2 3. Meet a deadline for the promulgation of an administrative Rule that is established by federal law or Rule; 3 4 or 5 Protect public health and safety. 4. 6 Ν. The Commission or an authorized committee of the 7 Commission may direct revisions to a previously adopted Rule or amendment for purposes of correcting typographical errors, 8 errors in format, errors in consistency, or grammatical errors. 9 10 Public notice of any revisions shall be posted on the website of 11 the Commission. The revision shall be subject to challenge by 12 any person for a period of thirty (30) days after posting. The revision may be challenged only on grounds that the revision 13 14 results in a material change to a Rule. A challenge shall be 15 made in writing and delivered to the executive director of the Commission prior to the end of the notice period. 16 If no 17 challenge is made, the revision will take effect without further 18 action. If the revision is challenged, the revision may not take effect without the approval of the Commission. 19 Section 12. 20 Oversight, Dispute Resolution, and Enforcement 21



1 A. Oversight

The executive, legislative, and judicial branches of
 State government in each Member State shall enforce this Compact
 and take all actions necessary and appropriate to effectuate the
 Compact's purposes and intent. The provisions of this Compact
 and the Rules promulgated hereunder shall have standing as
 statutory law.

8 2. All courts shall take judicial notice of the Compact
9 and the Rules in any judicial or administrative proceeding in a
10 Member State pertaining to the subject matter of this Compact
11 which may affect the powers, responsibilities, or actions of the
12 Commission.

3. The Commission shall be entitled to receive service of
process in any such proceeding and shall have standing to
intervene in such a proceeding for all purposes. Failure to
provide service of process to the Commission shall render a
judgment or order void as to the Commission, this Compact, or
promulgated Rules.

B. Default, Technical Assistance, and Termination
I. If the Commission determines that a Member State has
defaulted in the performance of its obligations or

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1 responsibilities under this Compact or the promulgated Rules,
2 the Commission shall:

a. Provide written notice to the defaulting State and
other Member States of the nature of the default, the proposed
means of curing the default, and any other action to be taken by
the Commission; and

7 b. Provide remedial training and specific technical8 assistance regarding the default.

9 C. If a State in default fails to cure the default, the 10 defaulting State may be terminated from the Compact upon an 11 affirmative vote of a majority of the Member States, and all 12 rights, privileges and benefits; conferred by this Compact may be 13 terminated on the effective date of termination. A cure of the 14 default does not relieve the offending State of obligations or 15 liabilities incurred during the period of default.

D. Termination of membership in the Compact shall be imposed only after all other means of securing compliance have been exhausted. Notice of intent to suspend or terminate shall be given by the Commission to the governor, the majority and minority leaders of the defaulting State's legislature, and each of the Member States.

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E. A State that has been terminated is responsible for all
 assessments, obligations, and liabilities incurred through the
 effective date of termination, including obligations that extend
 beyond the effective date of termination.

5 F. The Commission shall not bear any costs related to a 6 State that is found to be in default or that has been terminated 7 from the Compact, unless agreed upon in writing between the 8 Commission and the defaulting State.

9 G. The defaulting State may appeal the action of the 10 Commission by petitioning the U.S. District Court for the 11 District of Columbia or the federal district where the 12 Commission has its principal offices. The prevailing member 13 shall be awarded all costs of such litigation, including 14 reasonable attorney's fees.

15 H. Dispute Resolution

Upon request by a Member State, the Commission shall
 attempt to resolve disputes related to the Compact that arise
 among Member States and between member and non-Member States.
 2. The Commission shall promulgate a Rule providing for
 both mediation and binding dispute resolution for disputes as
 appropriate.

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1 I. Enforcement

The Commission, in the reasonable exercise of its
 discretion, shall enforce the provisions and Rules of this
 Compact.

5 2. By majority vote, the Commission may initiate legal 6 action in the United States District Court for the District of 7 Columbia or the federal district where the Commission has its 8 principal offices against a Member State in default to enforce 9 compliance with the provisions of the Compact and its 10 promulgated Rules and bylaws. The relief sought may include 11 both injunctive relief and damages. In the event judicial 12 enforcement is necessary, the prevailing member shall be awarded 13 all costs of such litigation, including reasonable attorney's 14 fees. 4.3

15 3. The remedies herein shall not be the exclusive remedies
16 of the Commission. The Commission may pursue any other remedies
17 available under federal or State law.

18 Section 13.

19Date of Implementation of the Counseling Compact Commission and20Associated Rules, Withdrawal, and Amendment

4. A set of the set



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1 The Compact shall come into effect on the date on which Α. 2 the Compact statute is enacted into law in the tenth Member 3 State. The provisions, which become effective at that time, 4 shall be limited to the powers granted to the Commission 5 relating to assembly and the promulgation of Rules. Thereafter, 6 the Commission shall meet and exercise Rulemaking powers 7 necessary to the implementation and administration of the 8 Compact. 9 B. Any State that joins the Compact subsequent to the 10 Commission's initial adoption of the Rules shall be subject to 11 the Rules as they exist on the date on which the Compact becomes 12 law in that State. Any Rule that has been previously adopted by 13 the Commission shall have the full force and effect of law on 14 the day the Compact becomes law in that State. 15 C. Any Member State may withdraw from this Compact by 16 enacting a statute repealing the same.

17 1. A Member State's withdrawal shall not take effect until18 six (6) months after enactment of the repealing statute.

Withdrawal shall not affect the continuing requirement
 of the withdrawing State's Professional Counseling Licensing
 Board to comply with the investigative and Adverse Action

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reporting requirements of this Compact prior to the effective
 date of withdrawal.

D. Nothing contained in this Compact shall be construed to
invalidate or prevent any Professional Counseling licensure
agreement or other cooperative arrangement between a Member
State and a non-Member State that does not conflict with the
provisions of this Compact.

8 E. This Compact may be amended by the Member States. No 9 amendment to this Compact shall become effective and binding 10 upon any Member State until it is enacted into the laws of all 11 Member States.

12

Section 14.

13

Construction and Severability

14 This Compact shall be liberally construed so as to 15 effectuate the purposes thereof. The provisions of this Compact 16 shall be severable and if any phrase, clause, sentence, or 17 provision of this Compact is declared to be contrary to the 18 constitution of any Member State or of the United States or the 19 applicability thereof to any government, agency, person, or 20 circumstance is held invalid, the validity of the remainder of 21 this Compact and the applicability thereof to any government,



1 agency, person, or circumstance shall not be affected thereby. 2 If this Compact shall be held contrary to the constitution of 3 any Member State, the Compact shall remain in full force and 4 effect as to the remaining Member States and in full force and 5 effect as to the Member State affected as to all severable 6 matters. 7 Section 15. 8 Binding Effect of Compact and Other Laws 9 A. A Licensee providing Professional Counseling services 10 in a Remote State under the Privilege to Practice shall adhere 11 to the laws and regulations, including scope of practice, of the 12 Remote State. 13 B. Nothing herein prevents the enforcement of any other law of a Member State that is not inconsistent with the Compact. 14 15 C. Any laws in a Member State in conflict with the Compact 16 are superseded to the extent of the conflict. 17 D. Any lawful actions of the Commission, including all 18 Rules and bylaws properly promulgated by the Commission, are 19 binding upon the Member States. 1 1 20 E. All permissible agreements between the Commission and 21 the Member States are binding in accordance with their terms.

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F. In the event any provision of the Compact exceeds the
 constitutional limits imposed on the legislature of any Member
 State, the provision shall be ineffective to the extent of the
 conflict with the constitutional provision in question in that
 Member State.

6 § -3 Rules. The department of commerce and consumer
7 affairs shall adopt rules pursuant to chapter 91 for the
8 purposes of implementing and administering this chapter."
9 SECTION 2. This Act shall take effect on December 31,
10 2050.



Report Title:

Interstate Licensed Professional Counselors Compact; Department of Commerce and Consumer Affairs; Rules

Description:

Adopts the Interstate Licensed Professional Counselors Compact to allow a person who is a licensed professional counselor in the person's state of residence to practice professional counseling in a compact state in which the person is not licensed pursuant to a privilege to practice. Requires the Department of Commerce and Consumer Affairs to adopt rules to implement and administer the Compact. Effective 12/31/2050. (SD1)

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The summary description of legislation appearing on this page is for informational purposes only and is not legislation or evidence of legislative intent.

