# **Application Submittal Checklist**

The following items are required for submittal of the grant application. Please verify and check off that the items have been included in the application packet.

- 1) Hawaii Compliance Express Certificate (If the Applicant is an Organization)
- 2) Declaration Statement
- 3) Verify that grant shall be used for a public purpose
- 4) Background and Summary
- 5) Service Summary and Outcomes
- 6) Budget
  - a) Budget request by source of funds (Link)
  - b) Personnel salaries and wages (Link)
  - c) Equipment and motor vehicles (Link)
  - d) Capital project details (Link)
  - e) Government contracts, grants, and grants in aid (Link)
- 7) Experience and Capability
- 8) Personnel: Project Organization and Staffing

RICHARD T. FAULKNER, CO-EXECUTIVE DIRECTOR JANUARY 14, 2024

PRINT NAME AND TITLE

DATE

Rev 9/6/2023

## THE THIRTIETH LEGISLATURE **APPLICATION FOR GRANTS CHAPTER 42F. HAWAII REVISED STATUTES**

Type of Grant Request:					
	Operating	Capita	I		
Legal Name of Requesting Organiza	ation or Individual:	Dba:			
Amount of St	ate Funds Reque	sted: \$ <sup>99,438</sup>			
Brief Description of Request (Please att The Lauren Bruner Foundation, founded grant-in-aid of \$99,438 to support its on For over three years, the foundation has each of the ship's 1,512 crew members member and a detailed biography will be grant will also allow research to be gath	d by the second-to-la going project that wil s recovered photogra . Through this grant-i e written, sharing the	ist man to escape US I benefit students an aphs, historical mater n-aid, these material e story of each man's	SS Arizona, is respect d families throughou rial, geneaogical data ls will be compiled for childhood, family, an	cfully requesting a t O'ahu and beyond. a, and records for r each crew nd aspirations. This	
Amount of Other Funds Available: State: \$ Federal: \$		Total amount of S Fiscal Years: \$\$	State Grants Recei		
County: \$ Private/Other: \$		Unrestricted Asse \$	ets:		
New Service (Presently Do	es Not Exist):	Existing Serv	ice (Presently in (	Operation): 🔲	
Type of Business Er 501(C)(3) Non Profit Corp	-				
Other Non Profit		City:	State:	Zip:	
Other		Honolulu	HI	96814	
Contact Person for Matters Involv	ving this Applicati	on			
Name: Richard T. Faulkner		Title: Co-Executive Di	rector		
Email: rtf@battleshiparizona.com		Phone: 808-202-2037			
x ID#:		ID#			
fend	Richard T. Fa	ulkner, Co-Execu	itive Dire	uary 14, 2023	
Authorized Signature Name and Title			Date Signed		

Date Signed



# **Department of Commerce and Consumer Affairs**

# CERTIFICATE OF GOOD STANDING

I, the undersigned Director of Commerce and Consumer Affairs of the State of Hawaii, do hereby certify that

LAUREN F. BRUNER USS ARIZONA MEMORIAL FOUNDATION

was incorporated under the laws of Hawaii on 03/17/2015 ; that it is an existing nonprofit corporation; and that, as far as the records of this Department reveal, has complied with all of the provisions of the Hawaii Nonprofit Corporations Act, regulating domestic nonprofit corporations.



IN WITNESS WHEREOF, I have hereunto set my hand and affixed the seal of the Department of Commerce and Consumer Affairs, at Honolulu, Hawaii.

Dated: January 16, 2024

Nadinil/ando

Director of Commerce and Consumer Affairs

# **Application for Grants**

If any item is not applicable to the request, the applicant should enter "not applicable".

## I. Certification – Please attach immediately after cover page

## 1. Hawaii Compliance Express Certificate (If the Applicant is an Organization)

A Hawaii Compliance Express Certificate from the Comptroller of the Department of Accounting and General Services is attached.

## 2. Declaration Statement

The declaration statement is attached.

#### 3. Public Purpose

The Lauren F. Bruner USS *Arizona* Memorial Foundation will use the grant for a public purpose pursuant to <u>Section 42F-102</u>, <u>Hawaii Revised Statutes</u>.

## II. Background and Summary

## 1. **Foundation Background**

The Lauren F. Bruner USS *Arizona* Memorial Foundation is a 501(c)(3) nonprofit established in 2015 by USS *Arizona* Survivor Lauren Fay Bruner. Lauren Bruner was the Second to the Last Crew Member to escape the ship on December 7, 1941, after it was sunk by a Japanese bomb as 1,177 of the 1,512 crew members were killed when the ship exploded. During the attack, Bruner was wounded twice by machine gun rounds and burned over 73% of his body. USS *Arizona* now rests at Pearl Harbor beneath the USS *Arizona* Memorial, where Lauren Bruner was Interred on December 7, 2019 as the Last Crew Member who will ever return to his ship.

The mission of the Lauren Bruner Foundation is to honor and preserve history (including that of Pearl Harbor) through education and art. The foundation also focuses on aiding local communities, military families, and homeless veterans in Hawai'i and throughout America.

## 2. Goals and Objectives

The goal of this project is to enhance students' understanding of this pivotal piece of American and Hawai'i history, while also giving youth a sense of connection to their community, to their history, and to those who came before

them, by sharing detailed stories and narratives of each man. This project is intended to engage and excite by combining history, art, and storytelling, resulting in an immersive and interactive experience.

By the end of the 12-month window of this grant request, the foundation intends:

- To reach over 50,000 families on 'Oahu through an interactive history app that can be used by individuals, families, students, and teachers.
- To reach over 250,000 visitors to Hawai'i and Pearl Harbor through portions of the project shared in museums and as interactive exhibits.
- To reach over 50,000,000 individuals throughout the US and the world through resources made publicly available from this project.

#### 3. **Public Purpose**

The Attack on Pearl Harbor and sinking of USS *Arizona* on 7 December, 1941 remains one of the major cornerstones of America's history and that of Hawai'i. While the USS *Arizona* Memorial receives approximately 1.7 million visitors each year, its Shrine Wall only shares the first and middle initial, last name, and rank of each man who survived the bombing of USS *Arizona* or was Killed In Action on that fateful day. Although the Memorial is a beautiful tribute to these men, it often fails to give visitors a personal connection that they can take away from their visit. Each of these men has an untold story that we risk losing as each day passes.

The Lauren Bruner Foundation hopes to solve this problem by sharing detailed personal life stories and hand-drawn portraits of each of USS *Arizona*'s 1,512 crew members as they looked in uniform on the morning of the attack. The final result will be an interactive history app and educational program that will share these portraits, stories, and rich history with students throughout schools in Hawai'i and the US.

Currently, as visitors come to honor these men by visiting the USS *Arizona* Memorial, few come away feeling a personal connection to the names listed on the Shrine Wall. The research that this program continues to uncover goes beyond simple biographical data—You will learn of each man's heritage, religion, experiences, childhood aspirations, and more.

In addition to serving as an educational program for both visitors to Hawai'i and students in Hawai'i, this project also serves as a historical and cultural preservation project, where photographs, mementos, documents, biographies, and other historical artifacts are collected and preserved.

#### 4. Target Population

The project will offer the most impact to Grade 10-12 students, as the educational material offered will effectively supplement American History classes. The project will be designed to offer an engaging experience for students and families of all ages and will have broad application. This project will also result in public educational resources that will be made accessible to any online user.

#### 5. **Geographic Coverage**

The initial focus of the project will be to reach students, museum guests, and Pearl Harbor visitors throughout the island of O'ahu. The project can then be expanded to neighbor islands. The nature of the project will allow eventual national and worldwide reach.

## III. Service Summary and Outcomes

#### 1. Scope of work, tasks, and responsibilities

Through the help of the Atherton Family Foundation and others, the foundation has already completed the first phase of the project, which primarily involved the recovery of photographs, biographies, and historical material.

The second phase, which this grant-in-aid will allow us to complete, will involve the following:

- A final research process involving collecting Official Military Personnel Files (OMPF), photographs, and other written and printed material through the National Archives in St. Louis, Missouri.
- The cataloguing, indexing, and consolidation of collected materials through all pervious research processes.
- The organization and formatting of individual profiles, including photographs and historical data.
- The creation of a detailed biography and narrative for each profile.
- The formatting and completion of each profile, which can then be published in an educational app, online resource, and interactive exhibit.

## 2. **Projected annual timeline**

Through consultation with the research and collections management firm D2 research, it has been calculated that the research process will take from 10 to 14. months.

Data management, including cataloguing and indexing, will take an estimated 7 months to complete. (Data management and research can be performed concurrently).

Formatting and software/web development will take an estimated four months to complete, which will begin on Month 7 of the 1-year project timeline.

#### 3. **Quality assurance and evaluation plans**

As research is gathered for each profile, data points are catalogued, indexed, and recorded into a database. Each data point is checked against secondary sources to ensure accuracy of each point. As the data in this project is gathered from a diverse set of sources, including genealogical research, National Archives research, and research collected from research databases, newspaper archives, and library archives, the data collected can often be easily verified across multiple sources. Any data points not verified in accordance with the project's established standards are flagged, and further research is conducted until the data point can be verified.

#### 4. **Measures of effectiveness**

The Lauren Bruner Foundation intends to monitor and report effectiveness through data analytics and the collection of feedback from its users. The app / online resource will be able to utilize web stats and analytics to measure the user engagement of each page or content piece. The web-app will also request feedback from users in a non-intrusive manner. All data will be collected in accordance with all state and federal privacy laws.

## IV. Financial

## Budget

- 1. The applicant shall submit a budget utilizing the enclosed budget forms as applicable, to detail the cost of the request.
  - a. Budget request by source of funds Attached
  - b. Personnel salaries and wages N/A
  - c. Equipment and motor vehicles N/A
  - d. Capital project details N/A
  - e. Government contracts, grants, and grants in aid N/A

#### 2. <u>Anticipated Quarterly Funding</u>

Quarter 1	Quarter 2	Quarter 3	Quarter 4	Total Grant
\$24,859.50	\$24,859.50	\$24,859.50	\$24,859.50	\$99,438.00

- 3. Other Sources of Funding
  - The Lauren Bruner Foundation is anticipating a contribution of \$94,000 from the Edward Rho family.
  - The Lauren Bruner Foundation has requested a grant of \$36,362 from the Atherton Family Foundation.
- State and Federal Tax Credits (Prior 3 Years) N/A – No state and federal tax credits have been granted within the prior three years.
- 5. <u>Federal, State, and County Government Contracts, Grants, and Grants In Aid</u> N/A – No federal, state, or county government contracts, grants, or grants in aid have been requested or secured.
- 6. <u>Balance of Unrestricted Assets (December 31, 2023)</u> \$29,612.64

# V. Experience and Capability

## 1. Necessary Skills and Experience

The foundation has effectively managed this project, meeting or exceeding goals yearly. The Atherton Family Foundation has supported this project for the past three years, and we have received other contributions toward this project, including the Bill & Melinda Gates Foundation and the family of Frederick W. Smith (Founder and Chairman of FedEx).

The foundation has completed a diverse range of projects, all with focus on education and historic preservation, including:

- The foundation completed a project in partnership with Hexagon Manufacturing Intelligence, an industry leader in metrology and 3D scanning technology. The project resulted in the preservation historic artifacts throughout America using the latest 3D scanning technology, including a 107-year-old brass medallion and a 54-foot gun barrel salvaged from USS *Arizona*.
- During the COVID-19 pandemic, the foundation partnered with U.S.VETS to provide essential items, such as clothing, personal care products, and meal cards to over 500 Homeless Veterans living in Hawaii.
- The foundation successfully published a 500-page memoir, "Second to the Last to Leave," which continues to be a best-seller at Pearl Harbor.

#### 2. Facilities

N/A – Facilities are not relevant to this request.

## VI. Personnel: Project Organization and Staffing

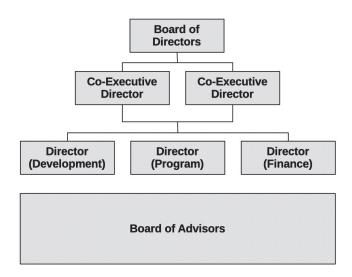
#### 1. Proposed Staffing, Staff Qualifications, Supervision and Training

Co-Executive Director Rich Faulkner will be responsible to oversee the project and each process within. Rich will dedicate a portion of his office hours daily to provide executive management to this project. Rich has vast experience in research, project management, and information technology and has an intimate understanding of the project's vision and processes.

The board members of the foundation have all agreed to contribute to this project, and each member will be delegated to specific roles and processes in order to maximize progress.

For more specialized roles, contractors and consultants will be utilized. For instance, research through the National Archives will result in a wealth of resources that will greatly enhance the outcome of the project. However, this research must be performed by trained researchers with access to the National Archives at St. Louis, Missouri.

## 2. Organization Chart



#### 3. Compensation

N/A – The directors of the Lauren F. Bruner USS *Arizona* Memorial Foundation are not currently paid a salary.

## VII. Other

#### 1. Litigation

N/A – There is no pending or current litigation.

#### 2. Licensure or Accreditation

The applicant shall specify any special qualifications, including but not limited to licensure or accreditation that the applicant possesses relevant to this request.

#### 3. Private Educational Institutions

No – The grant will not support a sectarian or non-sectarian private educational institution.

#### 4. Future Sustainability Plan

The foundation will utilize donations and private grants to continue the funding of this project. The foundation will also utilize fundraising and special programs to further the project. For later phases of the project, the foundation is able to adapt its goals and objectives in order to accommodate limitations in funds or time.

#### DECLARATION STATEMENT OF APPLICANTS FOR GRANTS PURSUANT TO CHAPTER 42F, HAWAI'I REVISED STATUTES

The undersigned authorized representative of the applicant certifies the following:

- 1) The applicant meets and will comply with all of the following standards for the award of grants pursuant to Section 42F-103, Hawai'i Revised Statutes:
  - a) Is licensed or accredited, in accordance with federal, state, or county statutes, rules, or ordinances, to conduct the activities or provide the services for which a grant is awarded;
  - b) Complies with all applicable federal and state laws prohibiting discrimination against any person on the basis of race, color, national origin, religion, creed, sex, age, sexual orientation, or disability;
  - c) Agrees not to use state funds for entertainment or lobbying activities; and
  - d) Allows the state agency to which funds for the grant were appropriated for expenditure, legislative committees and their staff, and the auditor full access to their records, reports, files, and other related documents and information for purposes of monitoring, measuring the effectiveness, and ensuring the proper expenditure of the grant.
- 2) If the applicant is an organization, the applicant meets the following requirements pursuant to Section 42F-103, Hawai'i Revised Statutes:
  - a) Is incorporated under the laws of the State; and
  - b) Has bylaws or policies that describe the manner in which the activities or services for which a grant is awarded shall be conducted or provided; and
- 3) If the applicant is a non-profit organization, it meets the following requirements pursuant to Section 42F-103, Hawai'i Revised Statutes:
  - a) Is determined and designated to be a non-profit organization by the Internal Revenue Service; and
  - b) Has a governing board whose members have no material conflict of interest and serve without compensation.
- 4) The use of grant-in-aid funding complies with all provisions of the Constitution of the State of Hawaii (for example, pursuant to Article X, section 1, of the Constitution, the State cannot provide "... public funds ... for the support or benefit of any sectarian or nonsectarian private educational institution...").

Pursuant to Section 42F-103, Hawai'i Revised Statutes, for grants used for the acquisition of land, when the organization discontinues the activities or services on the land acquired for which the grant was awarded and disposes of the land in fee simple or by lease, the organization shall negotiate with the expending agency for a lump sum or installment repayment to the State of the amount of the grant used for the acquisition of the land.

Further, the undersigned authorized representative certifies that this statement is true and correct to the best of the applicant's knowledge.

Lauren F. Bruner USS Arizona Memorial Foundation	on		
(Typed Name of Individual or Organization)			
- Hant The			
7 V		January 14, 2024	
(Signature)		(Date)	
Richard T. Faulkner		Co-Executive Director	
(Typed Name)		(Title)	
Rev 8/30/23	5		Application for Grants

# **BUDGET REQUEST BY SOURCE OF FUNDS**

Period: July 1, 2024 to June 30, 2025

#### Applicant: Lauren F. Bruner USS Arizona Memorial Foundation

	UDGET ATEGORIES	Total State	Total Federal Funds Requested	Total County	Total Private/Other Funds Requested
ľ		(a)	(b)	(C)	(d)
А.	PERSONNEL COST				
	1. Salaries	0			0
	2. Payroll Taxes & Assessments	0			0
	3. Fringe Benefits	0			0
	TOTAL PERSONNEL COST				
В.	OTHER CURRENT EXPENSES				
	1. Airfare and Travel	0			9,125
	2. Insurance	0			
	3. Lease/Rental of Equipment	0			
	4. Lease/Rental of Space	0			
	5. Staff Training	0			
	6. Supplies	1,468			14,340
	7. Telecommunication	0			
	8. Utilities	0			
	9. Research (National Archives + Contracto				
	10. Software and Subscriptions	1,439			
	11. Web Hosting (Virtual Private Server)	1,080			
	12. Mailing and Shipping	1,336			16,947
	13. Software and Web Development	8,400			
	14. Legal and Professional Services	4,500			
	15. Contractors and Consultants	6,750			89,946
	16				
	17				
	<u>18</u> 19				
	20				
	TOTAL OTHER CURRENT EXPENSES	99,438			130,358
C.	EQUIPMENT PURCHASES	0			0
D.	MOTOR VEHICLE PURCHASES	0			0
E.	CAPITAL	0			0
тс	TAL (A+B+C+D+E)	99,438	0	0	130,358
			Budget Prepared	Bv:	-
sc	OURCES OF FUNDING			_ ,.	
-	(a) Total State Funds Requested	99,438	Dishard T. C. U.S.		000 000 0007
		· · · · ·	Richard T. Faulkner Name (Please type or print)		808-202-2037 Phone
(b) Total Federal Funds Requested (c) Total County Funds Requested				print)	i none
					January 14, 2024
	(d) Total Private/Other Funds Requested	130,358	58 Signature of Authorized Official		Date
			Richard T. Faulkner, Co-Executive Director		
ТС	TAL BUDGET	229,796	Name and Title (Please type or print)		