



AMERICAN JUDICATURE SOCIETY
Advocating for a fair system of justice

AMERICAN JUDICATURE SOCIETY (AJS)

**Application for
Grant-in-Aid
January 20, 2023**

<https://americanjudicaturesociety.org/>

Application Submittal Checklist

The following items are required for submittal of the grant application. Please verify and check off that the items have been included in the application packet.

- 1) Certificate of Good Standing
- 2) Declaration Statement
- 3) Verify that grant shall be used for a public purpose
- 4) Background and Summary
- 5) Service Summary and Outcomes
- 6) Budget
 - a) Budget request by source of funds – Appendix A
 - b) Personnel salaries and wages – Appendix B
 - c) Equipment and motor vehicles – *not applicable*
 - d) Capital project details – *not applicable*
 - e) Government contracts, grants, and grants in aid – *not applicable*
- 7) Experience and Capability
- 8) Personnel: Project Organization and Staffing


AUTHORIZED SIGNATURE

SYLVIA YUEN, EXECUTIVE DIRECTOR

JANUARY 19, 2023

PRINT NAME AND TITLE

DATE

**THE THIRTIETH LEGISLATURE
APPLICATION FOR GRANTS
CHAPTER 42F, HAWAII REVISED STATUTES**

Type of Grant Request:

Operating Capital

Legal Name of Requesting Organization or Individual: DbA:

American Judicature Society

Amount of State Funds Requested: \$ 112,900

Brief Description of Request (Please attach word document to back of page if extra space is needed):

See attachment.

Amount of Other Funds Available:

State: \$ 112,900

Federal: \$ 0

County: \$ 0

Private/Other: \$ 0

Total amount of State Grants Received in the Past 5 Fiscal Years:

\$ 0

Unrestricted Assets:

\$ 0

New Service (Presently Does Not Exist): Existing Service (Presently in Operation):

Type of Business Entity:

- 501(C)(3) Non Profit Corporation
 Other Non Profit
 Other

Mailing Address:

1164 Bishop Street, #618

City: Honolulu

State: HI

Zip: 96813

Contact Person for Matters Involving this Application

Name:
Sylvia Yuen

Title:
Executive Director

Email:
syuen@hawaii.edu

Phone:
808-988-6157

Federal Tax ID#:

State Tax ID#

Pending


Authorized Signature

Sylvia Yuen, Executive Director

Name and Title

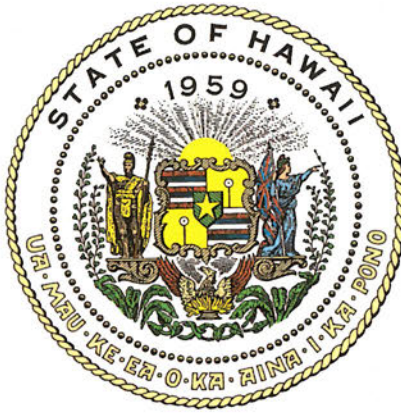
Date Signed

Attachment to Application for Grants, Chapter 42F, HRS

American Judicature Society

BRIEF DESCRIPTION OF REQUEST

The mission of the American Judicature Society (AJS) is to secure and promote an independent and qualified judiciary and a fair system of justice. AJS is the only non-profit in Hawai'i with this singular mission and it is not affiliated with any government entity or professional organization. It approaches issues in an objective, fact-based, and non-partisan manner which is important to stem the loss of faith and basic knowledge of the judicial branch of government, a foundational pillar of our democracy. AJS will increase public understanding of the judiciary in a democratic society, create interest among students and young professionals in careers as judges, and develop a stronger operational framework for AJS. It will do this by conducting five events to raise interest and awareness of the judiciary among community members and students, develop and widely disseminate an article on Hawai'i's judicial system, establish an electronic repository of educational resources which lay and professionals educators can use, update and secure its website, and implement a fundraising strategy to enable AJS to sustain, enhance, and expand its important work. Experts in the field will assist in the development and review, and users will provide confidential evaluations of the project work products. A total of \$112,900 is requested to support the AJS program of work.



Department of Commerce and Consumer Affairs

CERTIFICATE OF GOOD STANDING

I, the undersigned Director of Commerce and Consumer Affairs of the State of Hawaii, do hereby certify that

AMERICAN JUDICATURE SOCIETY

was incorporated under the laws of Hawaii on 11/26/2014 ; that it is an existing nonprofit corporation; and that, as far as the records of this Department reveal, has complied with all of the provisions of the Hawaii Nonprofit Corporations Act, regulating domestic nonprofit corporations.



IN WITNESS WHEREOF, I have hereunto set my hand and affixed the seal of the Department of Commerce and Consumer Affairs, at Honolulu, Hawaii.

Dated: January 10, 2023

Director of Commerce and Consumer Affairs

**DECLARATION STATEMENT OF
APPLICANTS FOR GRANTS PURSUANT TO
CHAPTER 42F, HAWAII REVISIED STATUTES**

The undersigned authorized representative of the applicant certifies the following:

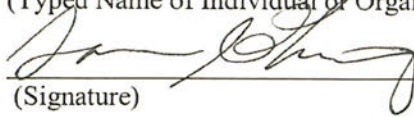
- 1) The applicant meets and will comply with all of the following standards for the award of grants pursuant to Section 42F-103, Hawaii Revised Statutes:
 - a) Is licensed or accredited, in accordance with federal, state, or county statutes, rules, or ordinances, to conduct the activities or provide the services for which a grant is awarded;
 - b) Complies with all applicable federal and state laws prohibiting discrimination against any person on the basis of race, color, national origin, religion, creed, sex, age, sexual orientation, or disability;
 - c) Agrees not to use state funds for entertainment or lobbying activities; and
 - d) Allows the state agency to which funds for the grant were appropriated for expenditure, legislative committees and their staff, and the auditor full access to their records, reports, files, and other related documents and information for purposes of monitoring, measuring the effectiveness, and ensuring the proper expenditure of the grant.
- 2) If the applicant is an organization, the applicant meets the following requirements pursuant to Section 42F-103, Hawaii Revised Statutes:
 - a) Is incorporated under the laws of the State; and
 - b) Has bylaws or policies that describe the manner in which the activities or services for which a grant is awarded shall be conducted or provided; and
- 3) If the applicant is a non-profit organization, it meets the following requirements pursuant to Section 42F-103, Hawaii Revised Statutes:
 - a) Is determined and designated to be a non-profit organization by the Internal Revenue Service; and
 - b) Has a governing board whose members have no material conflict of interest and serve without compensation.

NOTE: The only paid member on the AJS Board is Sylvia Yuen who serves as the AJS Executive Director.

- 4) The use of grant-in-aid funding complies with all provisions of the Constitution of the State of Hawaii (for example, pursuant to Article X, section 1, of the Constitution, the State cannot provide "... public funds ... for the support or benefit of any sectarian or nonsectarian private educational institution...").

Pursuant to Section 42F-103, Hawaii Revised Statutes, for grants used for the acquisition of land, when the organization discontinues the activities or services on the land acquired for which the grant was awarded and disposes of the land in fee simple or by lease, the organization shall negotiate with the expending agency for a lump sum or installment repayment to the State of the amount of the grant used for the acquisition of the land.

Further, the undersigned authorized representative certifies that this statement is true and correct to the best of the applicant's knowledge.

<u>American Judicature Society</u> (Typed Name of Individual or Organization)	
 (Signature)	1-19-2023 (Date)
<u>Lawrence S. Okinaga</u> (Typed Name)	<u>Chair</u> (Title)



AMERICAN JUDICATURE SOCIETY
Advocating for a fair system of justice

Finance Factors Center
Suite 618, 1164 Bishop Street
Honolulu, Hawaii 96813

Web: www.americanjudicaturesociety.org
Email: susanajs200@gmail.com

January 19, 2023

House of Representatives Committee on Finance
State Capitol
415 S. Beretania Street, Rm. 306
Honolulu, HI 96813
Attn: GIA

Senate Committee on Ways and Means
State Capitol,
415 S. Beretania Street, Rm. 208
Honolulu, HI 96813
Attn: GIA

Re: Application for Grant-in-Aid--Public Purpose

The applicant shall specify whether the grant will be used for a public purpose pursuant to Section 42F-102, Hawaii Revised Statutes.

To the Members of the House Committee on Finance and Senate Committee on Ways and Means:

The American Judicature Society confirms that this grant will be used for a public purpose pursuant to Section 42F-102, Hawaii Revised Statutes.

Sincerely,

Lawrence S. Okinaga, Chair
AJS Board of Directors

Application for Grants

If any item is not applicable to the request, the applicant should enter "not applicable".

I. Certification – Please attach immediately after cover page

1. Certificate of Good Standing (If the Applicant is an Organization)

See page 5.

2. Declaration Statement

The applicant shall submit a declaration statement affirming its compliance with [Section 42F-103, Hawaii Revised Statutes](#).

See page 6.

3. Public Purpose

The applicant shall specify whether the grant will be used for a public purpose pursuant to [Section 42F-102, Hawaii Revised Statutes](#).

See page 7 and item II.3. of the following section on Background and Summary.

II. Background and Summary

This section shall clearly and concisely summarize and highlight the contents of the request in such a way as to provide the State Legislature with a broad understanding of the request. Please include the following:

1. A brief description of the applicant's background

Founded in 1913, the American Judicature Society (AJS) has been a strong advocate for the integrity of the American justice system. It has been a key voice nationally for the merit-based selection of judges and judicial reform. Its work has led to modernized administrative structures, stronger judicial ethics codes, greater transparency in judicial proceedings, and access to justice for all. At its peak, AJS had a membership of over 50,000 lawyers, judges, and community members throughout the U.S. and internationally. When AJS was dissolved in 2014, the organization and name was transferred to the AJS Hawai'i Chapter as Hawai'i was the most active and viable chapter in the nation. The Hawai'i chapter of AJS was founded in 1988 by Lawrence Okinaga and the late James S. Burns, Chief Judge of the Hawai'i Intermediate Court of Appeals for 25 years (Mr. Okinaga also served as president of the national AJS from 1997 to 1999). AJS is now a Hawai'i non-profit corporation. At a critical time when the integrity of America's judges and courts is being challenged, AJS, now based in Hawai'i, is positioned to be a leading voice

both here locally and elsewhere on the importance of an independent judiciary and justice for all.

AJS is comprised of federal and state court judges, community leaders, and members of the community, mostly from Hawai'i, who actively volunteer their expertise and time to analyze important issues, publish reports, host prominent speakers, and conduct activities which advance AJS's mission. These members actively work on committees dedicated to judicial selection, accountability, and reform. The AJS officers and Board of Directors are presented below.

Officers:

- Lawrence S. Okinaga, Chair; Partner, Carlsmith Ball LLP
- Ivan M. Lui-Kwan, Vice-chair; Director, Starn O'Toole Marcus & Fisher
- Sylvia H. L. Yuen, Secretary; Executive Director (ret'd), The Research Corporation of the University of Hawai'i
- Daniel J. Padilla, Assistant Secretary; Attorney, Carlsmith Ball LLP
- Russell J. Lau, Treasurer; President/CEO, Finance Enterprises, Ltd; Vice Chairman/CEO Finance Factors Ltd.
- Colleen K. Hirai, Assistant Treasurer; Judge (ret'd), Circuit Court of the First Circuit State of Hawai'i

Board of Directors:

- William E. Atwater, EVP & General Counsel (ret'd), First Hawaiian Bank
- Lisa A. Bail, Partner, Goodsill Anderson Quinn & Stifel LLP
- Mark J. Bennett, Judge, U.S. Court of Appeals for the Ninth Circuit
- Jan Boivin, Sr. VP and General Counsel, Hawai'i Pacific University
- Momi Cazimero, Owner/President, Graphic House
- Douglas S. Chin, Director, Starn O'Toole Marcus & Fisher
- Calvert G. Chipchase, Partner, Cades Schutte LLP
- Richard R. Clifton, Judge, U.S. Court of Appeals for the Ninth Circuit
- Virginia L. Crandall, Judge (ret'd), Circuit Court of the First Circuit, State of Hawai'i
- William M. Harstad, Partner, Carlsmith Ball LLP
- Nathan Hokama, Principal, Strategic Communication Solutions LLC
- Timothy E. Johns, President/CEO, Heritage Insurance Holdings Inc./Zephyr Insurance Co., Inc.; Board Chair, Hawaiian Electric Industries
- Brian A. Kang, Partner, Watanabe Ing LLP
- BJ Kobayashi, Chairman, CEO, and Founder, BlackSand Capital LLC
- Walter S. Kirimitsu, Judge (ret'd), Intermediate Court of Appeals, State of Hawai'i
- Albert "Arkie" Koehl, Director, Mothers Against Drunk Driving (MADD)
- David M. Louie, Partner, Kobayashi Sugita & Goda LLP
- Kenneth J. Mansfield, Magistrate Judge, U.S. District Court for the District of Hawai'i
- Victoria S. Marks, Judge (ret'd), Circuit Court of the First Circuit, State of Hawai'i
- Colin O. Miwa, Partner, Cades Schutte LLP
- Carol K. Muranaka, former President, Hawai'i State Bar Association

- Paula A. Nakayama, Associate Justice, Supreme Court, State of Hawai'i
- Camille A. Nelson, Dean & Professor of Law, UH-Mānoa William S. Richardson School of Law
- Randy P. Perreira, Executive Director, Hawai'i Government Employees Association
- J. Michael Seabright, Chief Judge, US District Court for the District of Hawai'i
- Aviam Soifer, former Dean, UH-Mānoa William S. Richardson School of Law
- Barbara Tanabe, Owner/Partner, Ho'ākea Communications LLC
- John "Jack" M. Tonaki, Judge, Circuit Court of the First Circuit, State of Hawai'i
- Jodi L. K. Yi, Dept. of the Attorney General, State of Hawai'i; former President, Hawai'i State Bar Association

See below for two examples of the activities sponsored by AJS and how they were assessed by attendees.

Forum on the Three Branches of Government. In response to House of Representatives Resolution 21, H.D. 1, the AJS hosted a four-hour forum, *Hawai'i's Three Branches of Government: A Frank and Open Discussion*, on September 28, 2019. More than 100 attendees from the three branches of state government, the federal judiciary, and community leaders engaged in discussions regarding the respective roles and responsibilities of the co-equal branches of government, the separation of powers doctrine, the importance of an independent judiciary, and the judiciary's constitutional role in protecting individual rights and freedoms. After the event, many participants stated there should be more opportunities like the forum to foster dialogue among the leaders and members of the different branches as they would help resolve differences and promote a deeper understanding of the role of the judiciary within the constitutional framework of government in the State of Hawai'i. Based on a rating scale with 5 choices (poor, fair, good, very good, and excellent) 76 of the attendees who completed confidential evaluations gave positive ratings (good, very good, excellent) to the following:

- The forum increased my understanding of the three branches of government: 84%
- My ability to get to know and interact with the other branches of government: 98%
- I would recommend the forum to a colleague: 96%

National Conference. AJS conducted a national conference, *Judicial Independence in an Age of Political Polarization*, which was held on November 21-24, 2021. The program featured five sessions focused on the following topics:

- Assault on the Courts—the 2020 Election and Beyond
- Politics, Judicial Selection and the Administration of Justice
- Confidence in the Courts, Judicial Ethics and Accountability
- Understanding the Courts, Civics, and Judicial Independence
- Judicial Diversity in the Courts

Nationally renowned individuals, including Supreme Court Associate Justice Sonia Sotomayor, presented or served as panelists at the conference. Of the 199 people who registered for the event, 38 submitted confidential evaluations based on a 5-point rating scale (1 = poor, 2 = fair, 3 = good, 4 = very good, and 5 = excellent). The following presents key evaluation results and three sample comments:

- a majority (55% to 92%) of the respondents evaluated 14 of 15 aspects of the conference as excellent.
- The overall conference was rated *very good* or *excellent* by all of the respondents, indicating a high level of satisfaction among the conference attendees.
- *I was very impressed with the conference. I learned something from every presentation. Justice Sotomayor was definitely a highlight.*
- *The conference presenters were excellent, the local speakers made me proud.*
- *A fantastic conference made even more impressive given the pandemic conditions. My time was well spent at the conference and I am thankful for the education provided.*

2. The goals and objectives related to the request

The American Judicature Society (“AJS”) is an independent, non-partisan organization working to protect the integrity of the American justice system. Its mission is to secure and promote an independent and qualified judiciary and a fair system of justice. The goals and objectives related to this request are:

Goal 1. To increase public understanding of the judiciary in a democratic society.

Objectives:

- a. Conduct a webinar on a relevant judicial topic with high-interest to individuals residing in and outside of Hawai'i.
- b. Conduct a presentation on a judicial topic that is relevant to Hawai'i.
- c. Develop an educational resource that can be used for presentations on the judicial system.
- d. Develop an article on Hawai'i's judicial system that is widely disseminated to the public.

Goal 2. To create interest among students and young professionals in careers as judges.

Objectives:

- a. Sponsor a forum where students/young professionals can informally learn and socialize with judges.
- b. Conduct two presentations on the judicial system for students.

Goal 3. To develop a stronger operational framework for AJS.

Objectives:

- a. Create an electronic repository of educational materials on the judiciary.
- b. Update the AJS website and strengthen its security.
- c. Partner with other organizations to leverage resources and expand outreach.

d. Develop and implement a fundraising strategy to sustain AJS.

3. The public purpose and need to be served

While Americans generally agree on the values and ideals that are important for their country, the low number expressing trust in government is of great concern and extends to all three branches. In its 2022 survey, Gallup found that a majority of Americans reported they had *not very much* or *none at all* faith and confidence versus a *great deal* or *fair amount* of faith and confidence in the executive branch headed by the president (57% vs. 43%), judiciary branch headed by the Supreme Court (54% vs. 47%), and the legislative branch consisting of the Senate and House of Representatives (62% vs. 33%). What is also concerning is a 2022 report by the Annenberg Public Policy Center which indicated that less than half (47%) of U.S. adults could name all three branches of government, a decline from 56% in 2021. One in 4 people (25%) could not name any of the branches. It is not surprising that fewer young people aspire to become justices and to be a part of the judicial system.

Why should we be concerned that faith and confidence, as well as civics knowledge, has declined in the U.S.? The perceptions and knowledge that people have shape their decisions and actions relating to the functioning of our democracy. Decisions are made by judges and courts that have consequential impacts on peoples' daily lives—cases involving families and children, traffic violations, criminal offenses, probate, the environment, and other issues. When faith in the fairness and legitimacy of the justice system is eroded, an environment is created that breeds chaos and polarization in society. The election of state court judges—a practice found in a majority of the nation's 50 states—increases the perception that favorable judicial decisions are aligned with the interests of well-financed individuals and groups. In a 2019 address, Judge Paul Friedman noted that as of January 2017, one-third of judges sitting on the U.S. states' highest courts have run in \$1 million dollar-plus elections, and there has been an increase in the funds spent on the election of judges.

Fortunately, Hawai'i has adopted a merit-based process for the selection and retention of state judges which removes the special interest funding and partisan pressures that threaten the fairness and integrity of the courts. However, Hawai'i is also affected by the lack of faith and knowledge of the judicial system. To prevent erosion of the integrity and effective functioning of this fundamental pillar of government, AJS is dedicated to advocating for an independent and qualified judiciary and a fair system of justice. It is the only non-profit in the state with this singular purpose. Moreover, it is not affiliated with any government entity or professional organization. It is, thus, not tied to any interest group but, rather, approaches situations and issues in an objective, fact-based, and non-partisan manner—a valuable perspective for policymakers and the general public. Like efforts to mitigate current and looming threats, AJS is working to strengthen our democracy and prevent the societal problems that occur without a fair, impartial, and independent judiciary.

4. Describe the target population to be served.

AJS will provide outreach to the residents of Hawai'i to increase their awareness of the judiciary, with an emphasis on high school and college students majoring in law and related fields to create interest in careers as judges.

5. Describe the geographic coverage.

AJS program and activities will be available to individuals throughout Hawai'i.

III. Service Summary and Outcomes

The Service Summary shall include a detailed discussion of the applicant's approach to the request. The applicant shall clearly and concisely specify the results, outcomes, and measures of effectiveness from this request. The applicant shall:

1. Describe the scope of work, tasks and responsibilities

To advance its mission *to secure and promote an independent and qualified judiciary and a fair system of justice*, AJS will increase public understanding of the importance of the judiciary in a democratic society, create interest among students and young professionals in careers as judges, and develop a stronger operational framework for AJS. It will do this by conducting five events which introduce community members and students to experts in the field who will raise interest and awareness on relevant judicial topics. AJS will also develop and widely disseminate an article to increase understanding of Hawai'i's judicial system and establish a repository of educational resources to assist lay and professional educators in preparing instructional presentations on the judiciary. Updating and securing its website to reach a wider audience and implementing a fundraising strategy will enable AJS to sustain, enhance, and expand its important work.

The decline in faith in the judicial branch of government in which a majority of people have little or no confidence erodes the effectiveness of a significant pillar of our democracy. AJS advocates for an independent and fair system of justice for all and is not affiliated with any government entity or professional organization. It is not bound to any interest group and its positions are objectively based and non-partisan.

2. Provide a projected annual timeline for accomplishing the results or outcomes of the service;

The entries in the timeline correspond to the objectives which delineate the actions which will be taken to achieve the AJS project goals.

Month 1	Month 2	Month 3	Month 4	Month 5	Month 6	Month 7	Month 8	Month 9	Month 10	Month 11	Month 12
Develop plans for the webinar (e.g., arrange for speaker/s, prepare announcement and publicize event, prepare for registration of attendees and evaluation of event, etc.)					Conduct webinar and evaluation	Develop plans for the in-person presentation (e.g., arrange for speaker/s, prepare announcement and publicize event, prepare for registration of attendees and evaluation of event, etc.)					Conduct in-person presentation and evaluation
Develop an educational resource on the judicial system						Send tool to reviewers to edit & comment			Finalize tool based on reviewers' feedback		Post resource on AJS website
Identify topic and authors for article to be disseminated to public			Conduct research, gather information, and develop article			Disseminate article	Respond to inquiries and comments re: article				
Develop plans for the in-person forum: arrange for speaker/s, prepare announcement and publicize event, prepare for registration of attendees and evaluation of event, and other arrangements						Sponsor forum for students/ young professionals and evaluate					
Work with educators on the development of the presentations, presenters, logistical and other arrangements, and other tasks to implement the presentations.									Conduct presentations for students		
Identify focus of educational materials for repository, conduct search for relevant materials, secure permission from developers to use and post materials on the AJS website, publicize materials in repository and how they can be used, encourage Hawai'i educators to develop and post materials, and continue to build repository.											
Develop roles and responsibilities for collaborators, seek potential partners to co-sponsor AJS activities, and implement and assess activities with co-sponsors											
Identify Board members to work with staff and IT Specialist to upgrade and secure AJS website; implement IT plan of work											
Develop fundraising plan			Implement fundraising plan								

3. Describe its quality assurance and evaluation plans for the request. Specify how the applicant plans to monitor, evaluate, and improve their results; and

See item 4. below, AJS Plan for Quality Assurance, Evaluation, and Effective Assessment of Application Objectives.

4. List the measure(s) of effectiveness that will be reported to the State agency through which grant funds are appropriated (the expending agency). The measure(s) will provide a standard and objective way for the State to assess the program's achievement or accomplishment. Please note that if the level of appropriation differs from the amount included in this application that the measure(s) of effectiveness will need to be updated and transmitted to the expending agency.

**AJS PLAN FOR QUALITY ASSURANCE, EVALUATION, AND EFFECTIVENESS
ASSESSMENT OF APPLICATION OBJECTIVES**

OBJECTIVES	QUALITY ASSURANCE	EVALUATION	EFFECTIVENESS ASSESSMENT
Conduct webinar	Webinar topic and presenter/s vetted by program committee	Confidential evaluation of the webinar completed by participants	<ul style="list-style-type: none"> Webinar was conducted Positive ratings on the evaluation by attendees.
Conduct in-person presentation	Presentation topic and presenter/s vetted by program committee	Confidential evaluation of the presentation completed by participants	<ul style="list-style-type: none"> Presentation was conducted Positive ratings on the evaluation by attendees.
Develop an educational resource	Specialists develop the resource which is reviewed by experts in the judiciary and education before finalization	Confidential evaluations by users of the educational resource and their audience	<ul style="list-style-type: none"> Educational resource is developed and posted on the AJS website Number of resource users
Develop and disseminate article on the judiciary	Article vetted by knowledgeable AJS Board of Directors	Article accepted for publication by print media and/or other source	<ul style="list-style-type: none"> Article written and disseminated
Sponsor forum for students/young professionals	Forum program and presenter/s vetted by forum committee	Confidential evaluations by forum attendees	<ul style="list-style-type: none"> Forum conducted Positive ratings on the evaluation by attendees.
Conduct two presentations for students	Presentation and presenters vetted by planning committee	Confidential evaluations of the presentations by students	<ul style="list-style-type: none"> Two presentations conducted Positive ratings on the evaluation by students

OBJECTIVES	QUALITY ASSURANCE	EVALUATION	EFFECTIVENESS ASSESSMENT
Create an electronic repository of educational materials	Materials for repository approved by reviewers	Confidential evaluations by users of educational materials	<ul style="list-style-type: none"> Number of materials and permissions secured to post them on AJS website Positive ratings on evaluations by users of materials
Seek potential partners for AJS activities	Potential partners and their roles/responsibilities vetted and approved by the AJS Board of Directors	Confidential evaluations of the partnership and the events/activities co-sponsored with partners	<ul style="list-style-type: none"> Number of partnerships formed Positive ratings on evaluations by partners Positive ratings on evaluations of co-sponsored events/activities
Develop fundraising plan	Fundraising plan vetted and approved by the AJS Board	Fundraising plan implemented	<ul style="list-style-type: none"> Goal/s of fundraising funds met
Upgrade website and security	AJS upgrades and security meet website standards	Website upgraded and secured	<ul style="list-style-type: none"> Number of website visitors Positive assessments from focus group of website users

IV. Financial

Budget

1. The applicant shall submit a budget utilizing the enclosed budget forms as applicable, to detail the cost of the request.
 - a. Budget request by source of funds – See Appendix A
 - b. Personnel salaries and wages – See Appendix B
 - c. Equipment and motor vehicles – not applicable
 - d. Capital project details – not applicable
 - e. Government contracts, grants, and grants in aid - not applicable

2. The applicant shall provide its anticipated quarterly funding requests for the fiscal year 2024.

Quarter 1	Quarter 2	Quarter 3	Quarter 4	Total Grant
\$28,000	\$31,500	\$29,300	24,100	\$112,900

3. The applicant shall provide a listing of all other sources of funding that they are seeking for fiscal year 2024.

This application is the only fund request submitted by AJS to a government or philanthropic agency.

- 4. The applicant shall provide a listing of all state and federal tax credits it has been granted within the prior three years. Additionally, the applicant shall provide a listing of all state and federal tax credits they have applied for or anticipate applying for pertaining to any capital project, if applicable.**

None, not applicable.

- 5. The applicant shall provide a listing of all federal, state, and county government contracts, grants, and grants in aid it has been granted within the prior three years and will be receiving for fiscal year 2024 for program funding.**

None received. This is the only application AJS has submitted for funding.

- 6. The applicant shall provide the balance of its unrestricted current assets as of December 31, 2022.**

As of December 31, 2022, AJS had a balance of \$84,910 in unrestricted current assets.

V. Experience and Capability

1. Necessary Skills and Experience

The applicant shall demonstrate that it has the necessary skills, abilities, knowledge of, and experience relating to the request. State your experience and appropriateness for providing the service proposed in this application. The applicant shall also provide a listing of verifiable experience of related projects or contracts for the most recent three years that are pertinent to the request.

Mr. Lawrence Okinaga, Partner of Carlsmith Ball LLP and Chairman of the AJS Board of Directors, has led AJS since its inception and will provide direction and guidance to the proposed plan of work. He has received many honors for his professional work, including being listed by *Chambers USA: America's Leading Lawyers for Business 2022 for Corporate/Commercial: Finance*; named *Best Lawyers 2019 "Lawyer of the Year"—Litigation—Banking and Finance*; and listed *Best Lawyers 2018 "Lawyer of the Year"—Banking and Finance Law*. He has served on the boards of numerous community organizations. Mr. Okinaga is a former member and chairman of the Judicial Selection Commission, Commission on Judicial Conduct, Hawai'i Federal Judicial Selection Commission, and Federal Judicial Selection Commission Working Group. He is extremely dedicated to AJS and has generously given of his time, knowledge, and funds to support the organization.

The AJS Board of Directors (see list of members on pages 9 and 10 above) is comprised of community leaders from various sectors: business, union, federal and state judiciaries,

academia, and government. All serve pro-bono (except Sylvia Yuen who serves as the AJS Executive Director) and participate on committees that develop reports, plan and implement events, prepare and disseminate AJS News, recruit members, and perform other services for the organization. Board members will assist with project tasks and serve as reviewers to ensure the quality of work produced with the grant-in-aid funds.

In the past, AJS operated exclusively through the services of volunteers. However, the reliance on volunteers left the organization unable to fully achieve its mission. Dr. Sylvia Yuen was hired as the first AJS Executive Director in 2021. She formerly served as the Director of the Center on the Family and as the Dean of the College of Tropical Agriculture and Human Resources--the first woman in that position--at the University of Hawai'i-Mānoa. She also served as the Executive Director of the Research Corporation of the University of Hawai'i before assuming her position with AJS. Dr. Yuen has secured over \$22 million in grants and contracts which has involved developing materials for professional and community audiences, training individuals, collecting and reporting data, and preparing reports to funders. As an administrator, she has directed professional and non-professional staff with a range of skills, established new and innovative programs, and enhanced organizational services and communication. She will serve as the direct report of staff hired with the grant-in-aid funds.

Ms. Susan Asato, who has over 37 years of secretarial experience, serves as the AJS administrative assistant. She competently manages a wide range of responsibilities, including maintaining the AJS files; preparing and disseminating announcements, correspondence, and reports; scheduling and arranging meetings and webinars; ordering supplies; and other tasks. She will allocate 75 percent of her time to project tasks.

Despite its modest staff, AJS is able to achieve significant and impactful outcomes as demonstrated by the examples presented earlier (see the [AJS website](#) for more information on the organization and its accomplishments). This is because the AJS staff and Board of Directors believe in the importance of and need for the organization and contribute their knowledge, time, and resources to preserve the integrity and effectiveness of our judicial system. As Margaret Mead, the renowned anthropologist, once noted: *Never doubt that a small group of thoughtful, committed citizens can change the world. Indeed, it is the only thing that ever has.* The grant-in-aid funds will enable AJS to raise awareness and enlarge the circle of support for a fair system of justice for all of Hawai'i's people.

AJS has not sought or received any contracts or grants in the previous three years. Its ability to produce quality products is demonstrated by the success of its events and publications, a sample of which is described below (see also the descriptions of the Forum on the Three Branches of Government and the AJS National Conference presented on page 10):

Annual Program. The 2022 program began with a keynote address presented by Chief Justice of the Hawaii Supreme Court Mark Recktenwald who reported on the "state of the judiciary" and the continuing effects of COVID on court operations. The *2022 Elections: Impact on the Judiciary and the Administration of Justice* was the topic of the presentations by Andy Winer, co-leader of S360, a

national consulting firm, and Blake Oshiro, principal of Capitol Consultants. They discussed the federal and state elections and the resulting effects on the judiciary both nationally and locally. Nearly 80 people registered for the event.

Webinar. Tom Goldstein, co-founder and publisher of SCOTUSblog and named one of the nation's 40 most influential lawyers of the decade by the National Law Journal, was the featured speaker at the 2022 AJS webinar. His presentation focused on *The Supreme Court 2021-2022 Term in Review*. A total of 363 people from Hawai'i, the continental U.S., and Guam attended the webinar. The 92 individuals who responded to an online evaluation rated the event very highly with 97% indicating the webinar was *very good* or *excellent*.

AJS Bulletin. The AJS Bulletin included scholarly articles, general interest reports, announcements of upcoming events, and calls to join AJS. Five issues were published from October 2020 to September 2021. It was electronically disseminated to nearly 800 AJS members and those who expressed interest in the organization. The AJS Bulletin was replaced with AJS News, an electronic newsletter which is issued more frequently. It provides timely notices regarding forthcoming judicial events and activities, news about AJS members, and updates on the work of various AJS committees.

2. Facilities

The applicant shall provide a description of its facilities and demonstrate its adequacy in relation to the request. If facilities are not presently available, describe plans to secure facilities.

The AJS office is located at 1164 Bishop Street, Suite 618, Honolulu, Hawai'i. The facilities are generously provided pro-bono by Mr. Russell Lau, AJS Treasurer, and the Finance Factors Foundation. It is equipped with the requisite office furniture and equipment. The office is adequate for current needs as the AJS staff are also able to use their home offices to remotely perform tasks and fulfill their responsibilities.

VI. Personnel: Project Organization and Staffing

1. Proposed Staffing, Staff Qualifications, Supervision and Training

The applicant shall describe the proposed staffing pattern and proposed service capacity appropriate for the viability of the request. The applicant shall provide the qualifications and experience of personnel for the request and shall describe its ability to supervise, train and provide administrative direction relative to the request.

The grant-in-aid funds will support the following personnel:

- *Dr. Sylvia Yuen:* .50 FTE. Dr. Yuen is the AJS Executive Director who previously served as the Dean of the UH-Mānoa College of Tropical Agriculture and Human

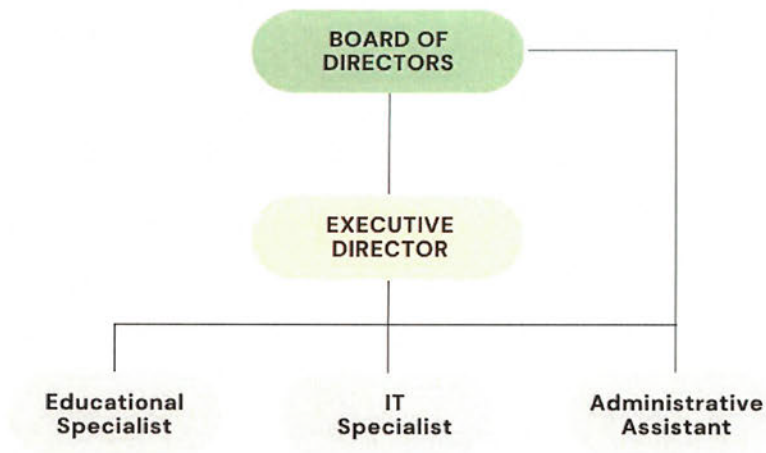
Resources and the Executive Director of the Research Corporation of the University of Hawai'i. Both entities have budgets in the millions of dollars and large numbers of employees throughout Hawai'i. Dr. Yuen is experienced in managing both federal and state grants and contracts. She is the recipient of more than \$22 million of the aforementioned funds, all of which have met their project goals and have been successfully executed. She will provide direction, training, and supervision to the staff hired for the current project.

- *Ms. Susan Asato:* .75 FTE. Ms. Asato currently serves as the AJS Administrative Assistant who ably performs the tasks that enable the organization to function efficiently and effectively: maintaining files; arranging for meetings, forums, and other events; preparing and disseminating correspondence and announcements; ordering supplies; and other tasks. As a secretary for more than 37 years at one of Hawai'i's largest law firms, she is well versed in matters relating to the law and the judiciary and is capable of performing well in a fast-paced environment.
- *Education Specialist:* 100% FTE. Because of the brief funding period, the Education Specialist will be hired on a contractual basis. S/he will have a baccalaureate degree or higher; experience in education and the judiciary; skill in developing educational resources; ability to manage tasks and achieve objectives; competence in creating electronic files and repositories; and good verbal and writing skills. The Education Specialist will be supervised by Dr. Sylvia Yuen. S/he will perform his/her work with the pro bono assistance of members of the Board of Directors.
- *IT Specialist:* An IT Specialist with a bachelor's degree in Information and Computer Science and experience as a web developer with a focus on WordPress and PHP websites will be contracted to upgrade and secure the AJS website. Because the website is our most powerful tool in reaching people wherever they are located, it must be kept relevant and appealing. AJS staff and a committee of the Board of Directors will work with the IT Specialist on the upgrade and security.
- *Consultant/Presenters:* AJS forums, webinars, and other events will feature highly respected individuals with deep knowledge and experience on selected judicial subjects. They will all be interesting and appealing speakers who will be vetted by the event planners composed largely of AJS board members and national and/or local representatives. Some of the consultants may participate in the development and review of educational resource materials. The honoraria proposed is very modest and considerably less than the Consultants/Presenters' fees. However, we believe the AJS Board of Directors will be able to use their networks to identify notable people willing to use their expertise to advance the AJS mission. As is customary in Hawai'i, the honoraria will serve as a token of our appreciation.

2. Organization Chart

The applicant shall illustrate the position of each staff and line of responsibility/supervision. If the request is part of a large, multi-purpose organization, include an organization chart that illustrates the placement of this request.

AJS ORGANIZATION CHART



3. Compensation

The applicant shall provide an annual salary range paid by the applicant to the three highest paid officers, directors, or employees of the organization by position title, not employee name.

- Executive Director: \$20,000 - \$30,000
- Administrative Assistant: \$20,000 - \$30,000

VII. Other

1. Litigation

The applicant shall disclose any pending litigation to which they are a party, including the disclosure of any outstanding judgement. If applicable, please explain.

AJS has no pending litigation.

2. Licensure or Accreditation

The applicant shall specify any special qualifications, including but not limited to licensure or accreditation that the applicant possesses relevant to this request.

The AJS Board of Directors includes jurists on federal and state courts, deans and administrators in universities, attorneys in governmental agencies and private law firms, CEOs of businesses, and members of the community. Bound by a common purpose, they bring their varied knowledge and perspectives to inform decision making.

3. Private Educational Institutions

The applicant shall specify whether the grant will be used to support or benefit a sectarian or non-sectarian private educational institution. Please see [Article X, Section 1, of the State Constitution](#) for the relevance of this question.

The grant-in-aid will not support a private education institution (sectarian or non-sectarian).

4. Future Sustainability Plan

The applicant shall provide a plan for sustaining after fiscal year 2023-24 the activity funded by the grant if the grant of this application is:

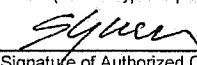
- (a) Received by the applicant for fiscal year 2023-24, but**
- (b) Not received by the applicant thereafter.**

AJS is fortunate to have people who believe in its mission. They have and will continue to dedicate effort, time, and funds to support the organization. The grant-in-aid will enable AJS to develop a fundraising plan which will be implemented to increase private and grant funds to sustain AJS and increase its visibility and impact in the future.

BUDGET REQUEST BY SOURCE OF FUNDS

Period: July 1, 2023 to June 30, 2024

Applicant: American Judicature Society

BUDGET CATEGORIES	Total State Funds Requested (a)	Total Federal Funds Requested (b)	Total County Funds Requested (c)	Total Private/Other Funds Requested (d)
A. PERSONNEL COST				
1. Salaries	\$ 30,000.00	-	-	\$ 30,000.00
2. Payroll Taxes & Assessments	-	-	-	-
3. Fringe Benefits	-	-	-	-
TOTAL PERSONNEL COST	\$ 30,000.00	-	-	\$ 30,000.00
B. OTHER CURRENT EXPENSES				
1. Airfare, Inter-Island - 3 trips for presenters to Hawai'i, Maui & Kauai @ \$300 (airfare, car rental, per diem)	\$ 900.00	-	-	\$ 900.00
2. Insurance	-	-	-	-
3. Lease/Rental of Equipment	-	-	-	-
4. Lease/Rental of Space	-	-	-	-
5. Staff Training	-	-	-	-
6. Supplies	\$ 5,000.00	-	-	\$ 5,000.00
7. Telecommunication	-	-	-	-
8. Utilities	-	-	-	-
9. Consultants/contractors Education specialist (\$60,000) IT Specialist (\$7,000) Presenters/Consultants-8 experts @ \$250 (\$2,000)	\$ 69,000.00	-	-	\$ 69,000.00
12. Postage & printing	\$ 8,000.00	-	-	\$ 8,000.00
13				
14				
15				
16				
17				
18				
19				
20				
TOTAL OTHER CURRENT EXPENSES	\$ 82,900.00			\$ 82,900.00
C. EQUIPMENT PURCHASES	\$ -			
D. MOTOR VEHICLE PURCHASES	\$ -			
E. CAPITAL	\$ -			
TOTAL (A+B+C+D+E)	\$112,900.00			\$ 112,900.00
SOURCES OF FUNDING		Budget Prepared By:		
(a) Total State Funds Requested	\$112,900.00	Sylvia Yuen	808-988-6157	
(b) Total Federal Funds Requested	\$ -	Name (Please type or print)	Phone	
(c) Total County Funds Requested	\$ -		January 19, 2023	
(d) Total Private/Other Funds Requested	\$ -	Signature of Authorized Official	Date	
TOTAL BUDGET	\$112,900.00	Sylvia Yuen, Executive Director Name and Title (Please type or print)		

PERSONNEL SALARIES AND WAGES

Period: July 1, 2023 - June 30, 2024

Applicant: American Judicature Society

POSITION TITLE	FULL TIME EQUIVALENT	ANNUAL SALARY A	% OF TIME ALLOCATED TO GRANT REQUEST B	TOTAL STATE FUNDS REQUESTED (A x B)
Executive Director Provide leadership and management of grant; oversee and direct staff; serve as representative to Board of Directors; track data on goal and outcome achievements	1 FTE	\$ 24,000.00	50.00%	\$ 12,000.00
Administrative Assistant Assist with logistical arrangements for webinar, presentations, meetings, and other events; serve as interface with IT and educational specialist on website updates, posting, and repository	1 FTE	\$ 24,000.00	75.00%	\$ 18,000.00
Education Specialist Establish the repository of educational materials on the judiciary and post on the AJS website; assist with the development of the educational resources for presentations; work in partnership with schools, educators, and and others to conduct presentations to students	1 FTE	\$ 60,000.00	100.00%	\$ 60,000.00
IT Specialist Upgrade AJS website to include updated and new features and to strengthen security	n/a	\$ 7,000.00	7.00%	\$ 7,000.00
Consultants/Presenters: 8 @ \$250 Experts to assist in the development and review of educational resources and/or conduct presentations at webinars, forums, and events	n/a	\$ 2,000.00	Varies	\$ 2,000.00
TOTAL:				\$ 99,000.00
JUSTIFICATION/COMMENTS:				