Office of the Ombudsman State of Hawaii Fiscal Year 2020-2021 Report Number 52





As a service to the public provided by the legislature, the Office of the Ombudsman receives and investigates complaints from the public about injustice or maladministration by executive agencies of the State and county governments.

The Ombudsman is a nonpartisan officer of the The Ombudsman is empowered to legislature. obtain necessary information for investigations, to recommend corrective action to agencies, and to criticize agency actions; but the Ombudsman may not compel or reverse administrative decisions.

The Ombudsman is charged with: (1) accepting and investigating complaints made by the public about any action or inaction by any officer or employee of an executive agency of the State and county governments; and (2) improving administrative processes and procedures by recommending appropriate solutions for valid individual complaints and by suggesting appropriate amendments to rules, regulations, or statutes.

By law, the Ombudsman cannot investigate actions of the governor, the lieutenant governor and their personal staffs; the legislature, its committees and its staff; the judiciary and its staff; the mayors and councils of the various counties; an entity of the federal government; a multistate governmental entity; and public employee grievances, if a collective bargaining agreement provides an exclusive method for resolving such grievances.

Kekuanaoa Building, 4th Floor 465 South King Street

Honolulu, HI 96813

Phone: 808-587-0770 Fax: TTY:

808-587-0773 808-587-0774 Neighbor island residents may call our toll-free numbers.

Hawaii 974-4000 Maui 984-2400 Kauai 274-3141

Molokai, Lanai 1-800-468-4644

Telephone extension is 7-0770 Fax extension is 7-0773 TTY extension is 7-0774

email:

complaints@ombudsman.hawaii.gov

website: ombudsman.hawaii.gov



# State of Hawaii Report of the Ombudsman

For the Period July 1, 2020 - June 30, 2021 Report No. 52

> Presented to the Legislature pursuant to Section 96-16 of the Hawaii Revised Statutes

> > April 2022

| , |  |  |  |  |
|---|--|--|--|--|
|   |  |  |  |  |
|   |  |  |  |  |
|   |  |  |  |  |
|   |  |  |  |  |
|   |  |  |  |  |
|   |  |  |  |  |
|   |  |  |  |  |
|   |  |  |  |  |

Mr. President, Mr. Speaker, and Members of the Hawaii State Legislature of 2022:

In accordance with Section 96-16, Hawaii Revised Statutes, I am pleased to submit the report of the Office of the Ombudsman for fiscal year 2020-2021. This is the fifty-second annual report since the establishment of the office in 1969.

I apologize for the tardiness of this year's report, which, like last year, is due to my decision to focus my office's staff resources during the past 12 months toward dealing with the complaints we received from the public during the continuing COVID-19 pandemic. For the same reason, this year's report does not contain any summaries of cases that were investigated during fiscal year 2020-2021. It is my hope that next year's annual report will include summaries of cases we investigated during the past two fiscal years.

On behalf of the members of the office, I would like to thank the Governor, the Mayors of the various counties, and the State and County department heads and employees for their continuing cooperation and assistance.

I would also like to thank the professional and support staff of the Office of the Ombudsman for their perseverance during an exceptionally challenging year. I am extremely fortunate to be surrounded by dedicated, committed staff, who interfaced daily with a frustrated and hostile public, but continued to perform their duties professionally and respectfully to improve the level of public administration in Hawaii.

Respectfully submitted,

ROBIN K. MATSUNAGA

Ombudsman

April 2022

| , |  |  |  |  |
|---|--|--|--|--|
|   |  |  |  |  |
|   |  |  |  |  |
|   |  |  |  |  |
|   |  |  |  |  |
|   |  |  |  |  |
|   |  |  |  |  |
|   |  |  |  |  |
|   |  |  |  |  |

## **TABLE OF CONTENTS**

| LET  | TER OF    | TRANSMITTAL   | Page |
|------|-----------|---|------|
| l.   | THE ON    | IGOING CORONAVIRUS PANDEMIC   | 1    |
| II.  | THE YE    | AR IN BRIEF   | 3    |
|      | Total Inc | juiries Received  | 3    |
|      |           | o-Year Comparison   |      |
|      |           | tes   |      |
|      |           | ivities   |      |
| III. |           | TICAL TABLES  Numbers and Types of Inquiries  |      |
|      |           | Means by Which Inquiries Are Received   |      |
|      |           | Distribution of Population and Inquirers by Residence   |      |
|      |           |   | 1 1  |
|      | rable 4   | Distribution of Types of Inquiries by   | 12   |
|      | Table F   | Residence of Inquirers  |      |
|      |           | Means of Receipt of Inquiries by Residence  | 13   |
|      | i abie 6  | Distribution and Disposition of Jurisdictional Complaints by Agency                                   | 17   |
|      | Table 7   |   |      |
|      | Table 8   | Distribution of Information Requests  |      |
|      |           | Distribution of Non-Jurisdictional Complaints   |      |
|      |           | Inquiries Carried Over to Fiscal Year 2020-2021 and Their Dispositions, and Inquiries Carried Over to |      |
|      |           | Fiscal Year 2021-2022   | 25   |
| IV/  | CASES     | IMMADIES  | 27   |

| , |  |  |  |  |
|---|--|--|--|--|
|   |  |  |  |  |
|   |  |  |  |  |
|   |  |  |  |  |
|   |  |  |  |  |
|   |  |  |  |  |
|   |  |  |  |  |
|   |  |  |  |  |
|   |  |  |  |  |

### Chapter I

### THE ONGOING CORONAVIRUS PANDEMIC

The COVID-19 pandemic that began in early 2020 continued through the past fiscal year, affecting more and more of Hawaii's citizens and the government agencies that provide needed services to the public. Social distancing and other measures to help prevent the spread of COVID-19 kept many agencies from returning to pre-pandemic staffing levels and consequently, many of the services these agencies provided to the public continued to be adversely impacted.

We consider the services that we provide to the public to be essential, but we also needed to take steps to prevent the spread of COVID-19 within the office. We were confident that the protocols we established would help reduce the potential of COVID-19 being transmitted between our employees, but given the high infection rate in the community, it was necessary to also restrict the number of employees who could work within the office at any given time. The number of employees working in-office varied during the fiscal year, based on the number of new cases on Oahu, but generally did not exceed 7 of our 14 employees. Although limiting the number of employees who could work in-office impacted our efficiency, we believed it was important to balance the level of service we provide the public with the health and safety of our employees.

In terms of the public's accessibility to our services, we kept in place for the entire fiscal year the restriction on accepting walk-in complainants in order to further minimize the potential introduction of COVID-19 into our office. We continued to accept complaints via telephone, postal mail, email, and fax, and we continued to investigate complaints that we determined were appropriate for investigation as timely and thoroughly as possible, without jeopardizing the health of our employees.

As we expected, as state and county government agencies continued measures to prevent the spread of COVID-19 among their employees, their ability to timely provide services to the public continued to be adversely impacted. In addition, due to pandemic-related downsizing and shutdowns in the private sector, requests for government services and assistance increased significantly. As a result, we received 20.3 percent more jurisdictional complaints in fiscal year 2020-2021 than we did during the previous fiscal year.

As we experienced in the second half of the previous fiscal year, during fiscal year 2020-2021, complainants followed up more frequently on their complaints, effectively increasing our workload by 40 percent during this period. We also continued to experience a significantly higher number of incidences where complainants directed toward our staff profanity and abusive and derogatory statements, as well as threats of harm. Although we understand the frustration a complainant may feel when we are unable to provide the complainant the remedy the complainant was seeking, this type of conduct does create a hostile work environment for staff. Fortunately, the staff of our office, understanding the important role our office serves, were exceptionally tolerant of the threats and abusive language directed toward them and continued to treat each complainant respectfully and professionally throughout the fiscal year. We remain committed to providing the highest level of service possible, while protecting the health of our staff.

### Chapter II

### THE YEAR IN BRIEF

### **Total Inquiries Received**

During fiscal year 2020-2021, the office received a total of 5,111 inquiries, a 15.9 percent increase over the prior fiscal year. Of these inquiries, 4,253, or 83.2 percent, may be classified as complaints within the jurisdiction of the office. The remaining inquiries consisted of 443 requests for information and 415 non-jurisdictional complaints.

The number of non-jurisdictional complaints was essentially the same as for last fiscal year. There was a considerable increase this year in the number of jurisdictional complaints. Complaints involving the State's adult corrections programs increased by 15.4 percent, while the number of other complaints increased by 28.1 percent.

A comparison of inquiries received in fiscal year 2019-2020 and fiscal year 2020-2021 is presented in the following table.

#### TWO-YEAR COMPARISON

|                      |                    |                         |                                      | Jurisdictional Complaints |                      |                       |  |
|----------------------|--------------------|-------------------------|--------------------------------------|---------------------------|----------------------|-----------------------|--|
| Years                | Total<br>Inquiries | Information<br>Requests | Non-<br>Jurisdictional<br>Complaints | Total<br>Jurisdictional   | Prison<br>Complaints | General<br>Complaints |  |
| 2020-2021            | 5,111              | 443                     | 415                                  | 4,253                     | 2,511                | 1,742                 |  |
| 2019-2020            | 4,409              | 458                     | 416                                  | 3,535                     | 2,175                | 1,360                 |  |
| Numerical<br>Change  | 702                | -15                     | -1                                   | 718                       | 336                  | 382                   |  |
| Percentage<br>Change | 15.9%              | -3.3%                   | -0.2%                                | 20.3%                     | 15.4%                | 28.1%                 |  |

#### **Staff Notes**

In August 2020, Megan Ito-Shigetomi joined our office as an Analyst. Prior to joining our team, Ms. Ito-Shigetomi was employed as an associate attorney at a Hawaii law firm.

In August 2020, Clinton Piper also joined our office as an Analyst. Mr. Piper was an employee of the Hawaii State Judiciary prior to joining our team.

In October 2020, Administrative Assistant Debbie Goya celebrated 30 years of service with the State of Hawaii. Ms. Goya has been a member of our team since 1990. Congratulations and thank you, Ms. Goya, for your dedication, hard work, and outstanding service to the public.

In December 2020, Administrative Assistant Sheila Alderman celebrated 20 years of service with the State of Hawaii, all of which has been as a member of our team. Congratulations and thank you, Ms. Alderman, for your commitment and contribution to helping ensure fairness in government.

In December 2020, Analyst Herbert Almeida retired after 34 years of State service, all of which were provided as a member of our office. In addition to his skill as an Analyst, Mr. Almeida's witty spirit helped ease the daily stress of handling complaints. We thank Mr. Almeida for his years of excellent service to our office and the public and wish him well in his retirement.

In December 2020, Matthew Kajiura joined our office as an Analyst. Prior to joining our team, Mr. Kajiura practiced criminal law.

In May 2021, Analyst Yvonne Jinbo celebrated 20 years of service with the State of Hawaii. Ms. Jinbo has been a member of our team since 2002. Congratulations and thank you, Ms. Jinbo, for your dedication and effort to serve the public and ensure that government in Hawaii acts lawfully, fairly, and reasonably.

In June 2021, Senior Analyst Rene Dela Cruz resigned from the office. Mr. Dela Cruz had been a member of our team since February 2009, when he was hired as an Analyst. Mr. Dela Cruz was promoted to Senior Analyst in July 2013 and in addition to continuing to receive and investigate complaints, helped train and mentor newer Analysts. We wish him the best in his new endeavor.

At the end of fiscal year 2021, our office staff consisted of Ombudsman Robin Matsunaga; First Assistant Melissa Chee; Analysts Megan Ito-Shigetomi, Yvonne Jinbo, Matthew Kajiura, Gansin Li, Marcie McWayne, Clinton Piper, Ryan Yeh; Administrative Services Officer Cindy Yee; and Administrative Service Assistants Sheila Alderman, Carliza Elido, and Debbie Goya.

#### **Staff Activities**

Ombudsman Robin Matsunaga continued to serve as President of the United States Ombudsman Association (USOA) Board of Directors. In November and December 2020, Mr. Matsunaga also served as an instructor of the USOA's New Ombudsman Training course. Due to the COVID-19 pandemic, the training, previously provided as a two full-day, in-person event, was conducted virtually in 4-hour sessions over a period of 4 days, with almost 40 attendees in November and over 50 attendees in December.

In June 2021, Mr. Matsunaga was elected to an eighth consecutive two-year term as a Director of the USOA Board. The 2021-2023 Directors begin their term at the Annual Meeting of the USOA Membership, which will be held in September.

## **Chapter III**

### **STATISTICAL TABLES**

For all tables, the percentages may not add up to a total of 100% due to rounding.

### TABLE 1 NUMBERS AND TYPES OF INQUIRIES Fiscal Year 2020-2021

| Month                   | Total Inquiries | Jurisdictional<br>Complaints | Non-<br>Jurisdictional<br>Complaints | Information<br>Requests |
|-------------------------|-----------------|------------------------------|--------------------------------------|-------------------------|
| July                    | 398             | 334                          | 24                                   | 40                      |
| August                  | 508             | 433                          | 32                                   | 43                      |
| September               | 460             | 386                          | 33                                   | 41                      |
| October                 | 471             | 390                          | 41                                   | 40                      |
| November                | 353             | 295                          | 29                                   | 29                      |
| December                | 421             | 348                          | 32                                   | 41                      |
| January                 | 379             | 329                          | 25                                   | 25                      |
| February                | 410             | 352                          | 31                                   | 27                      |
| March                   | 491             | 395                          | 49                                   | 47                      |
| April                   | 404             | 329                          | 45                                   | 30                      |
| May                     | 339             | 264                          | 33                                   | 42                      |
| June                    | 477             | 398                          | 41                                   | 38                      |
| TOTAL                   | 5,111           | 4,253                        | 415                                  | 443                     |
| % of Total<br>Inquiries |                 | 83.2%                        | 8.1%                                 | 8.7%                    |

## TABLE 2 MEANS BY WHICH INQUIRIES ARE RECEIVED Fiscal Year 2020-2021

| Month                           | Telephone | Mail | Email | Fax  | Visit | Own<br>Motion |
|---------------------------------|-----------|------|-------|------|-------|---------------|
| July                            | 357       | 8    | 32    | 0    | 1     | 0             |
| August                          | 450       | 11   | 47    | 0    | 0     | 0             |
| September                       | 405       | 15   | 37    | 0    | 3     | 0             |
| October                         | 429       | 10   | 31    | 0    | 0     | 1             |
| November                        | 311       | 12   | 30    | 0    | 0     | 0             |
| December                        | 382       | 16   | 23    | 0    | 0     | 0             |
| January                         | 342       | 4    | 31    | 0    | 1     | 1             |
| February                        | 331       | 14   | 64    | 1    | 0     | 0             |
| March                           | 430       | 11   | 48    | 0    | 1     | 1             |
| April                           | 342       | 31   | 29    | 0    | 1     | 1             |
| May                             | 306       | 3    | 29    | 1    | 0     | 0             |
| June                            | 427       | 15   | 34    | 0    | 0     | 1             |
| TOTAL                           | 4,512     | 150  | 435   | 2    | 7     | 5             |
| % of Total<br>Inquiries (5,111) | 88.3%     | 2.9% | 8.5%  | 0.0% | 0.1%  | 0.1%          |

## TABLE 3 DISTRIBUTION OF POPULATION AND INQUIRERS BY RESIDENCE Fiscal Year 2020-2021

| Residence                 | Population* | Percent of<br>Total<br>Population | Total<br>Inquiries | Percent of<br>Total<br>Inquiries |
|---------------------------|-------------|-----------------------------------|--------------------|----------------------------------|
| City & County of Honolulu | 963,826     | 68.5%                             | 3,853              | 75.4%                            |
| County of Hawaii          | 203,340     | 14.5%                             | 491                | 9.6%                             |
| County of Maui            | 167,989     | 11.9%                             | 461                | 9.0%                             |
| County of Kauai           | 71,851      | 5.1%                              | 68                 | 1.3%                             |
| Out-of-State              |             |                                   | 238                | 4.7%                             |
| TOTAL                     | 1,407,006   |                                   | 5,111              |                                  |

\*Source: The State of Hawaii Data Book 2020, A Statistical
Abstract. Hawaii State Department of Business,
Economic Development, and Tourism, Table 1.06,
"Resident Population, by County: 2000 to 2020."

# TABLE 4 DISTRIBUTION OF TYPES OF INQUIRIES BY RESIDENCE OF INQUIRERS Fiscal Year 2020-2021

|                     |               | TYPES OF INQUIRIES |        |                       |                      |                     |  |  |
|---------------------|---------------|--------------------|--------|-----------------------|----------------------|---------------------|--|--|
|                     | Jurisdictiona | al Complaints      | _      | sdictional<br>plaints | Information Requests |                     |  |  |
| Residence           | Number        | Percent of Total   | Number | Percent of Total      | Number               | Percent<br>of Total |  |  |
| C&C of<br>Honolulu  | 3,237         | 76.1%              | 250    | 60.2%                 | 366                  | 82.6%               |  |  |
| County of<br>Hawaii | 418           | 9.8%               | 53     | 12.8%                 | 20                   | 4.5%                |  |  |
| County of<br>Maui   | 380           | 8.9%               | 45     | 10.8%                 | 36                   | 8.1%                |  |  |
| County of<br>Kauai  | 59            | 1.4%               | 7      | 1.7%                  | 2                    | 0.5%                |  |  |
| Out-of-<br>State    | 159           | 3.7%               | 60     | 14.5%                 | 19                   | 4.3%                |  |  |
| TOTAL               | 4,253         |                    | 415    |                       | 443                  |                     |  |  |

# TABLE 5 MEANS OF RECEIPT OF INQUIRIES BY RESIDENCE Fiscal Year 2020-2021

|                         |                    | Means of Receipt |       |       |      |       |               |
|-------------------------|--------------------|------------------|-------|-------|------|-------|---------------|
| Residence               | Total<br>Inquiries | Telephone        | Mail  | Email | Fax  | Visit | Own<br>Motion |
| C&C of<br>Honolulu      | 3,853              | 3,450            | 107   | 283   | 1    | 7     | 5             |
| % of C&C of<br>Honolulu |                    | 89.5%            | 2.8%  | 7.3%  | 0.0% | 0.2%  | 0.1%          |
| County of<br>Hawaii     | 491                | 437              | 9     | 44    | 1    | 0     | 0             |
| % of County of Hawaii   |                    | 89.0%            | 1.8%  | 9.0%  | 0.2% | 0.0%  | 0.0%          |
| County of<br>Maui       | 461                | 426              | 2     | 33    | 0    | 0     | 0             |
| % of County of Maui     |                    | 92.4%            | 0.4%  | 7.2%  | 0.0% | 0.0%  | 0.0%          |
| County of<br>Kauai      | 68                 | 61               | 1     | 6     | 0    | 0     | 0             |
| % of County of Kauai    |                    | 89.7%            | 1.5%  | 8.8%  | 0.0% | 0.0%  | 0.0%          |
| Out-of-<br>State        | 238                | 138              | 31    | 69    | 0    | 0     | 0             |
| % of Out-<br>of-State   |                    | 58.0%            | 13.0% | 29.0% | 0.0% | 0.0%  | 0.0%          |
| TOTAL                   | 5,111              | 4,512            | 150   | 435   | 2    | 7     | 5             |
| % of Total              |                    | 88.3%            | 2.9%  | 8.5%  | 0.0% | 0.1%  | 0.1%          |

# TABLE 6 DISTRIBUTION AND DISPOSITION OF JURISDICTIONAL COMPLAINTS BY AGENCY Fiscal Year 2020-2021

|   |                                   |                     | Completed Investigations |                           |                   |          |          |
|---|-----------------------------------|---------------------|--------------------------|---------------------------|-------------------|----------|----------|
| Agency                                  | Juris-<br>dictional<br>Complaints | Percent<br>of Total | Substan-<br>tiated       | Not<br>Substan-<br>tiated | Discon-<br>tinued | Declined | Assisted |
| State Departments                       |                                   |                     |                          |                           |                   |          |          |
| Accounting & General Services           | 21                                | 0.5%                | 0                        | 6                         | 2                 | 11       | 2        |
| Agriculture                             | 4                                 | 0.1%                | 0                        | 0                         | 0                 | 4        | 0        |
| Attorney General                        | 29                                | 0.7%                | 0                        | 3                         | 10                | 15       | 1        |
| Budget & Finance                        | 43                                | 1.0%                | 0                        | 1                         | 7                 | 29       | 6        |
| Business, Economic<br>Devel. & Tourism  | 21                                | 0.5%                | 0                        | 3                         | 5                 | 12       | 0        |
| Commerce & Consumer Affairs             | 61                                | 1.4%                | 2                        | 5                         | 6                 | 42       | 4        |
| Defense                                 | 5                                 | 0.1%                | 0                        | 0                         | 1                 | 4        | 0        |
| Education                               | 84                                | 2.0%                | 0                        | 22                        | 15                | 45       | 1        |
| Hawaiian Home Lands                     | 17                                | 0.4%                | 1                        | 2                         | 0                 | 11       | 0        |
| Health                                  | 123                               | 2.9%                | 13                       | 1                         | 13                | 67       | 26       |
| Human Resources<br>Development          | 4                                 | 0.1%                | 0                        | 1                         | 1                 | 2        | 0        |
| Human Services                          | 272                               | 6.4%                | 2                        | 22                        | 37                | 173      | 30       |
| Labor & Industrial<br>Relations         | 604                               | 14.2%               | 0                        | 11                        | 26                | 539      | 22       |
| Land & Natural<br>Resources             | 28                                | 0.7%                | 1                        | 1                         | 3                 | 16       | 4        |
| Office of<br>Hawaiian Affairs           | 1                                 | 0.0%                | 0                        | 0                         | 0                 | 1        | 0        |
| Public Safety                           | 2,529                             | 59.5%               | 29                       | 397                       | 258               | 1,535    | 236      |
| Taxation                                | 22                                | 0.5%                | 0                        | 0                         | 1                 | 12       | 8        |
| Transportation                          | 25                                | 0.6%                | 0                        | 1                         | 8                 | 15       | 1        |
| University of Hawaii                    | 14                                | 0.3%                | 1                        | 0                         | 6                 | 4        | 1        |
| Other Executive<br>Agencies             | 27                                | 0.6%                | 0                        | 1                         | 16                | 7        | 2        |
| Counties City & County of Honolulu      | 216                               | 5.1%                | 3                        | 15                        | 37                | 133      | 21       |
| County of Hawaii                        | 69                                | 1.6%                | 1                        | 5                         | 3                 | 52       | 4        |
| County of Maui                          | 31                                | 0.7%                | 0                        | 1                         | 8                 | 19       | 1        |
| County of Kauai                         | 3                                 | 0.1%                | 0                        | 0                         | 1                 | 1        | 1        |
| TOTAL                                   | 4,253                             |                     | 53                       | 498                       | 464               | 2,749    | 371      |
| % of Total Jurisdictional<br>Complaints |                                   |                     | 1.2%                     | 11.7%                     | 10.9%             | 64.6%    | 8.7%     |

# TABLE 7 DISTRIBUTION AND DISPOSITION OF SUBSTANTIATED JURISDICTIONAL COMPLAINTS BY AGENCY Fiscal Year 2020-2021

| Agency  | Substantiated<br>Complaints | Complaints<br>Rectified | Not Rectified/<br>No Action Necessary |
|---|-----------------------------|-------------------------|---------------------------------------|
| State Departments Accounting & General Services       | 0                           | 0                       | 0                                     |
| Agriculture   | 0                           | 0                       | 0                                     |
| Attorney General                                      | 0                           | 0                       | 0                                     |
| Budget & Finance                                      | 0                           | 0                       | 0                                     |
| Business, Economic<br>Devel. & Tourism                | 0                           | 0                       | 0                                     |
| Commerce &<br>Consumer Affairs                        | 2                           | 2                       | 0                                     |
| Defense   | 0                           | 0                       | 0                                     |
| Education   | 0                           | 0                       | 0                                     |
| Hawaiian Home Lands                                   | 1                           | 1                       | 0                                     |
| Health  | 1                           | 1                       | 0                                     |
| Human Resources<br>Development                        | 0                           | 0                       | 0                                     |
| Human Services  | 2                           | 1                       | 1                                     |
| Labor & Industrial Relations                          | 0                           | 0                       | 0                                     |
| Land & Natural Resources                              | 1                           | 1                       | 0                                     |
| Office of Hawaiian Affairs                            | 0                           | 0                       | 0                                     |
| Public Safety   | 29                          | 27                      | 2                                     |
| Taxation  | 0                           | 0                       | 0                                     |
| Transportation  | 0                           | 0                       | 0                                     |
| University of Hawaii                                  | 1                           | 1                       | 0                                     |
| Other Executive Agencies                              | 0                           | 0                       | 0                                     |
| <u>Counties</u><br>City & County of Honolulu          | 3                           | 2                       | 1                                     |
| County of Hawaii                                      | 1                           | 1                       | 0                                     |
| County of Maui  | 0                           | 0                       | 0                                     |
| County of Kauai                                       | 0                           | 0                       | 0                                     |
| TOTAL   | 41                          | 37                      | 4                                     |
| % of Total Substantiated<br>Jurisdictional Complaints |                             | 90.2%                   | 9.8%                                  |
| % of Total Completed Investigations (551)             | 7.4%                        | 6.7%                    | 0.7%                                  |

## TABLE 8 DISTRIBUTION OF INFORMATION REQUESTS Fiscal Year 2020-2021

|                                     | Information Requests | Percent of Total |  |  |
|-------------------------------------|----------------------|------------------|--|--|
| State Departments                   |                      |                  |  |  |
| Accounting & General Services       | 8                    | 1.8%             |  |  |
| Agriculture                         | 2                    | 0.5%             |  |  |
| Attorney General                    | 11                   | 2.5%             |  |  |
| Budget & Finance                    | 10                   | 2.3%             |  |  |
| Business, Economic Devel. & Tourism | 3                    | 0.7%             |  |  |
| Commerce & Consumer Affairs         | 17                   | 3.8%             |  |  |
| Defense                             | 0                    | 0.0%             |  |  |
| Education                           | 5                    | 1.1%             |  |  |
| Hawaiian Home Lands                 | 1                    | 0.2%             |  |  |
| Health                              | 28                   | 6.3%             |  |  |
| Human Resources Development         | 1                    | 0.2%             |  |  |
| Human Services                      | 17                   | 3.8%             |  |  |
| Labor & Industrial Relations        | 21                   | 4.7%             |  |  |
| Land & Natural Resources            | 7                    | 1.6%             |  |  |
| Office of Hawaiian Affairs          | 0                    | 0.0%             |  |  |
| Public Safety                       | 71                   | 16.0%            |  |  |
| Taxation                            | 3                    | 0.7%             |  |  |
| Transportation                      | 6                    | 1.4%             |  |  |
| University of Hawaii                | 2                    | 0.5%             |  |  |
| Other Executive Agencies            | 1                    | 0.2%             |  |  |
| Counties                            |                      |                  |  |  |
| City & County of Honolulu           | 54                   | 12.2%            |  |  |
| County of Hawaii                    | 2                    | 0.5%             |  |  |
| County of Maui                      | 1                    | 0.2%             |  |  |
| County of Kauai                     | 1                    | 0.2%             |  |  |
| Miscellaneous                       | 171                  | 38.6%            |  |  |
|                                     | 443                  |                  |  |  |

## TABLE 9 DISTRIBUTION OF NON-JURISDICTIONAL COMPLAINTS Fiscal Year 2020-2021

| Jurisdictional Exclusions       | Number of Complaints | Percent of Total |  |  |
|---------------------------------|----------------------|------------------|--|--|
| Collective Bargaining           | 17                   | 4.1%             |  |  |
| County Councils                 | 2                    | 0.5%             |  |  |
| Federal Government              | 21                   | 5.1%             |  |  |
| Governor                        | 19                   | 4.6%             |  |  |
| Judiciary                       | 52                   | 12.5%            |  |  |
| Legislature                     | 2                    | 0.5%             |  |  |
| Lieutenant Governor             | 3                    | 0.7%             |  |  |
| Mayors                          | 7                    | 1.7%             |  |  |
| Multi-State Governmental Entity | 7                    | 1.7%             |  |  |
| Private Transactions            | 220                  | 53.0%            |  |  |
| Miscellaneous                   | 65                   | 15.7%            |  |  |
| TOTAL                           | 415                  |                  |  |  |

# TABLE 10 INQUIRIES CARRIED OVER TO FISCAL YEAR 2020-2021 AND THEIR DISPOSITIONS, AND INQUIRIES CARRIED OVER TO FISCAL YEAR 2021-2022

| Types of Inquiries               | Inquiries<br>Carried Over<br>to<br>FY 20-21 | Inquiries Carried Over to<br>FY 20-21 and Closed<br>During<br>FY 20-21                  | Balance of<br>Inquiries<br>Carried Over<br>to<br>FY 21-22 | Inquiries<br>Received in<br>FY 20-21<br>and Pending | to  |
|----------------------------------|---|---|---|---|-----|
| Non-Jurisdictional<br>Complaints | 0   | 0   | 0   | 1   | 1   |
| Information<br>Requests          | 4   | 4   | 0   | 0   | 0   |
| Jurisdictional<br>Complaints     | 111   | 109   | 2   | 118   | 120 |
|                                  |   | Disposition of Closed Complaints:  Substantiated 18 Not Substan. 75 Discontinued 16 109 |   |   |     |
| TOTAL                            | 115   | 113   | 2   | 119   | 121 |

### **Chapter IV**

### **CASE SUMMARIES**

There are no case summaries in this year's report. We plan to resume providing summaries of selected cases investigated by the office in the next Annual Report.

To view a cumulative index of all selected case summaries that appeared in our Annual Report Nos. 1 through 50, please visit our website at ombudsman.hawaii.gov and select the "Cumulative Index" link from the homepage.

If you do not have access to our cumulative index via the Internet, you may contact our office to request a copy.

| , |  |  |  |  |
|---|--|--|--|--|
|   |  |  |  |  |
|   |  |  |  |  |
|   |  |  |  |  |
|   |  |  |  |  |
|   |  |  |  |  |
|   |  |  |  |  |
|   |  |  |  |  |
|   |  |  |  |  |

| , |  |  |  |  |
|---|--|--|--|--|
|   |  |  |  |  |
|   |  |  |  |  |
|   |  |  |  |  |
|   |  |  |  |  |
|   |  |  |  |  |
|   |  |  |  |  |
|   |  |  |  |  |
|   |  |  |  |  |

