

Application Submittal Checklist

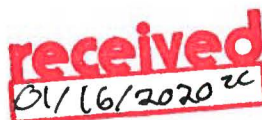
The following items are required for submittal of the grant application. Please verify and check off that the items have been included in the application packet.

- 1) Certificate of Good Standing (If the Applicant is an Organization)
- 2) Declaration Statement
- 3) Verify that grant shall be used for a public purpose
- 4) Background and Summary
- 5) Service Summary and Outcomes
- 6) Budget
 - a) Budget request by source of funds ([Link](#))
 - b) Personnel salaries and wages ([Link](#))
 - c) Equipment and motor vehicles ([Link](#))
 - d) Capital project details ([Link](#))
 - e) Government contracts, grants, and grants in aid ([Link](#))
- 7) Experience and Capability
- 8) Personnel: Project Organization and Staffing


AUTHORIZED SIGNATURE

LUCY AHN, EXECUTIVE DIRECTOR
PRINT NAME AND TITLE

Jan 15, 2020
DATE



**THE THIRTIETH LEGISLATURE
APPLICATION FOR GRANTS
CHAPTER 42F, HAWAII REVISED STATUTES**

Type of Grant Request:

Operating

Capital

Legal Name of Requesting Organization or Individual: Dba:

Oahu Society for the Prevention of Cruelty to Animals

Oahu SPCA

Amount of State Funds Requested: \$ 160,478

Brief Description of Request (Please attach word document to back of page if extra space is needed):

The Oahu SPCA's "No Fee" program will help mitigate the impacts of financial instability for island residents by addressing the high costs of pet care. The "Feral/Stray Sterilization" program will address the free roaming animal overpopulation problem in Hawaii.



Amount of Other Funds Available:

State: \$ _____

Federal: \$ _____

County: \$ _____

Private/Other: \$ 24,683

Total amount of State Grants Received in the Past 5 Fiscal Years:

\$ 166,000

Unrestricted Assets:

\$ 622,727

New Service (Presently Does Not Exist): Existing Service (Presently in Operation):

Type of Business Entity:

501(C)(3) Non Profit Corporation

Other Non Profit

Other

Mailing Address:

P. O. Box 861673

City:

Wahiawa

State:

HI

Zip:

96786

Contact Person for Matters Involving this Application

Name:
Lucy Ahn

Title:
Executive Director

Email:
lucy@oahuspca.org


Phone:
(808) 800-6200

Federal Tax ID#:

██████████

State Tax ID#

██████████



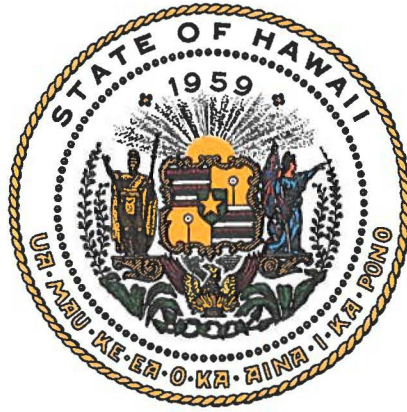
Authorized Signature

Lucy Ahn, Executive Director

Name and Title

Jan 15, 2020

Date Signed



Department of Commerce and Consumer Affairs

CERTIFICATE OF GOOD STANDING

I, the undersigned Director of Commerce and Consumer Affairs of the State of Hawaii, do hereby certify that

OAHU SOCIETY FOR THE PREVENTION OF CRUELTY TO ANIMALS

was incorporated under the laws of Hawaii on 09/12/2008 ; that it is an existing nonprofit corporation; and that, as far as the records of this Department reveal, has complied with all of the provisions of the Hawaii Nonprofit Corporations Act, regulating domestic nonprofit corporations.



IN WITNESS WHEREOF, I have hereunto set my hand and affixed the seal of the Department of Commerce and Consumer Affairs, at Honolulu, Hawaii.

Dated: January 12, 2020

Director of Commerce and Consumer Affairs

**DECLARATION STATEMENT OF
APPLICANTS FOR GRANTS PURSUANT TO
CHAPTER 42F, HAWAII REVISIED STATUTES**

The undersigned authorized representative of the applicant certifies the following:

- 1) The applicant meets and will comply with all of the following standards for the award of grants pursuant to Section 42F-103, Hawaii Revised Statutes:
 - a) Is licensed or accredited, in accordance with federal, state, or county statutes, rules, or ordinances, to conduct the activities or provide the services for which a grant is awarded;
 - b) Complies with all applicable federal and state laws prohibiting discrimination against any person on the basis of race, color, national origin, religion, creed, sex, age, sexual orientation, or disability;
 - c) Agrees not to use state funds for entertainment or lobbying activities; and
 - d) Allows the state agency to which funds for the grant were appropriated for expenditure, legislative committees and their staff, and the auditor full access to their records, reports, files, and other related documents and information for purposes of monitoring, measuring the effectiveness, and ensuring the proper expenditure of the grant.

- 2) If the applicant is an organization, the applicant meets the following requirements pursuant to Section 42F-103, Hawaii Revised Statutes:
 - a) Is incorporated under the laws of the State; and
 - b) Has bylaws or policies that describe the manner in which the activities or services for which a grant is awarded shall be conducted or provided.

- 3) If the applicant is a non-profit organization, it meets the following requirements pursuant to Section 42F-103, Hawaii Revised Statutes:
 - a) Is determined and designated to be a non-profit organization by the Internal Revenue Service; and
 - b) Has a governing board whose members have no material conflict of interest and serve without compensation.

Pursuant to Section 42F-103, Hawaii Revised Statutes, for grants used for the acquisition of land, when the organization discontinues the activities or services on the land acquired for which the grant was awarded and disposes of the land in fee simple or by lease, the organization shall negotiate with the expending agency for a lump sum or installment repayment to the State of the amount of the grant used for the acquisition of the land.

Further, the undersigned authorized representative certifies that this statement is true and correct to the best of the applicant's knowledge.

Oahu Society for the Prevention of Cruelty to Animals

(Typed Name of Individual or Organization)


(Signature)
Lucy Ahn

Jan 15, 2020

(Date)

Executive Director

(Typed Name)

(Title)

Application for Grants

If any item is not applicable to the request, the applicant should enter "not applicable".

I. Certification – Please attach immediately after cover page

1. Certificate of Good Standing (If the Applicant is an Organization)

If the applicant is an organization, the applicant shall submit one (1) copy of a certificate of good standing from the Director of Commerce and Consumer Affairs that is dated no earlier than December 1, 2019.

Attached

2. Declaration Statement

The applicant shall submit a declaration statement affirming its compliance with Section 42F-103, Hawaii Revised Statutes. ([Link](#))

Attached

3. Public Purpose

The applicant shall specify whether the grant will be used for a public purpose pursuant to Section 42F-102, Hawaii Revised Statutes. ([Link](#))

This grant will be used for a public purpose pursuant to Section 42F-102, Hawaii Revised Statutes.

II. Background and Summary

This section shall clearly and concisely summarize and highlight the contents of the request in such a way as to provide the State Legislature with a broad understanding of the request. Please include the following:

1. A brief description of the applicant's background;

Oahu SPCA was founded in 2009, based on a no-kill philosophy and a mission that "every healthy, treatable animal will find a forever home." We provide low-cost veterinary care including reduced-fee spay and neuter services, shelter and rehabilitation programs for surrendered and abandoned animals, foster home care, and adoption services to give animals a second chance at a good life.

2. The goals and objectives related to the request;

The Oahu SPCA's "No Fee" program will help mitigate the impacts of financial instability for island residents by addressing the high costs of pet care. It will initially target the Wahiawa community that according to the 2010 U.S. Census has median household and per capita incomes that are 23% and 17%, respectively, below the State median numbers as a whole. The program goal is to provide quality *no-fee* veterinary services to those who can least afford them, effect qualitative and quantitative relief to at-risk participants, and reduce the number of animals abandoned due to the high costs of veterinary care.

The "Feral/Stray Sterilization" program includes mass trapping of feral animals and free sterilization. Its goal is to help address the impacts of free roaming packs of feral and stray animals in the community. The program will employ the use of mass trappings, and provide free sterilization and core inoculations and preventions to assuage the risks of disease and unsafe behaviors for humans. The overarching goal of the program is to reduce the numbers of feral and stray animals on Oahu.

3. The public purpose and need to be served;

NO-FEE PROGRAM

Low wages and high-priced housing have given Hawaii the third-largest homeless population per capita in the country. Many of Oahu's homeless population have and rely on their companion animals; and the Oahu SPCA serves as the primary animal welfare organization that fosters or rescues the companion animals of the homeless. The need to provide refuge and care only grows with Oahu's burgeoning homeless population. Our work in fostering and rescuing the companion animals of Hawaii's homeless has enabled many to enter transitional housing or mental health care facilities that do not accommodate pets, and to face displacement when pet abandonment is the primary option.

An estimated 24,000 pets on Oahu will become homeless this year. Though reasons for abandonment or surrender of an animal vary, the dominant and recurring cause is the escalating costs of care, in general, and veterinary services, in particular.

The larger problem of pet affordability extends to all at-risk households. The Aloha United Way's ALICE (Asset Limited, Income Constrained, Employed) Project identified that approximately 48% of Hawaii households have incomes below the ALICE survival budget, making Hawaii one of three states with the highest rates of struggling families. According to the U.S. Bureau of Labor Statistics, average household expenditures on pets increased 22.5% from 2007 to 2015 with veterinary costs comprising about 25% of that amount. Moreover, the American Pet Products Association (APPA) National Pet Owners Survey indicates that 67% of U.S. households currently own one or more pets and that pet ownership has continued to rise by double digits since 1988.

The Oahu SPCA's "No Fee" program will help mollify the impacts of financial instability by addressing the high costs of pet care for those most at-risk.

FERAL/STRAY STERILIZATION PROGRAM

Hawaii is home to hundreds of thousands of cats and dogs in loving homes. Using the American Veterinary Medical Association statistics method, there are an estimated 299,072 pet-owning households in Hawaii for an approximate total of 311,890 dogs and 340,890 cats. An estimated 30% of animals that are members of families in our community are not sterilized.

This estimation does not even touch on the hundreds of thousands of homeless cats and dogs in Hawaii that find their way to living on our streets, public parks and undeveloped areas. The islands' temperate climate is a relative year round "breeding ground" for animals in the wild. Sadly, some animals become homeless as their families can no longer take care of their daily needs and release them into the wild to fend for themselves or the families themselves are homeless and choose to keep their companion animals. The vast majority of homeless animals is free roaming, not sterilized and can increase the risk for spread of disease. Without proactive intervention, these animals can overwhelm local government and animal welfare agencies at costs of millions of dollars annually.

Research cited in the American Institute for Biological Sciences publication, *BioScience*, found that "dogs can significantly disrupt or modify intact ecosystems well beyond the areas occupied by people...Because they carry transmissible pathogens for diseases such as rabies, parvovirus, and canine distemper virus (CDV), dogs can cause significant population declines of native, often endangered, wildlife (Woodroffe 1999)." Moreover, "[d]ogs come in close contact with both humans and wildlife, resulting in the potential transmission of zoonotic diseases that otherwise might not surface in humans (Salb et al. 2008). Not only are dogs an important source of pathogens of emerging diseases but they also act as a link for parasite exchange among humans, livestock, and wildlife (MacPherson 2005). In fact, dogs and cats share at least 60 parasite species with humans (MacPherson 2005)." (*BioScience*, Volume 61, Issue 2, February 2011, Pages 125-132, <https://doi.org/10.1525/bio.2011.61.2.7>)

Feline effects are just as far-reaching; the impact of feral cats and strays on island ecosystems was found to be more consequential than scientists previously thought. According to a 2013 article in Smithsonian Magazine, "[Cats] have been named among the top 100 worst invasive species in the world...All said, cats claim 14 percent of modern bird, amphibian and mammal island extinctions." (<https://www.smithsonianmag.com/science-nature/feral-cats-kill-billions-of-small-critters-each-year-7814590/#cxrsc50cu1Rf9p3.99>)

The Oahu SPCA has made a significant impact in eliminating free roaming packs of feral and aggressive dogs and reducing the population of feral cats. It rescues, rehabilitates (including all necessary surgeries, medications and preventions) and re-homes an estimated 2,000 dogs and cats annually. Since the organization's founding ten years ago, the number of homeless animals on Oahu has been reduced by more than 50%.

A danger to the community and themselves, unwanted free-roaming dogs and cats can spread disease to humans and pets, destroy property, endanger children and pets, and upset the natural balance of ecosystems. The free sterilization program will serve as a humane, nonlethal alternative to the old trap-and-kill method and help control feral/stray populations.

4. Describe the target population to be served; and

The “No Fee” program is designed to assist Hawaii’s financially at-risk and homeless populations.

The “Feral/Stray Sterilization” program will serve the general population of Oahu.

5. Describe the geographic coverage.

The “No Fee” program will target families and individuals in the throes of financial crises. It will initially target the Wahiawa community that according to the 2010 U.S. Census has median household and per capita incomes that are 23% and 17%, respectively, below the State median numbers as a whole.

The “Feral/Stray Sterilization” program is available to the general community of Oahu but, in this first year, Oahu SPCA will focus its awareness and marketing efforts in four target areas with acute feral animal overpopulations – Ewa Beach, Pearl City, Waikele and Wahiawa.

III. Service Summary and Outcomes

The Service Summary shall include a detailed discussion of the applicant’s approach to the request. The applicant shall clearly and concisely specify the results, outcomes, and measures of effectiveness from this request. The applicant shall:

1. Describe the scope of work, tasks and responsibilities;

NO-FEE PROGRAM

The Oahu SPCA “No Fee” program will provide assistance to a population in the throes of a crisis. Its purpose is to help reduce the impacts of financial instability for Hawaii families by addressing the high costs of pet care. According to the U.S. Bureau of Labor Statistics, average household spending on family pets increased 22.5% from 2007 to 2015. Over that same nine-year period, veterinary services similarly increased by 18%. This latter statistic excludes pet medicines and preventions with veterinary costs alone comprising about 25% of average household expenditures on pets.

Approximately 365,000 dogs and cats are part of families on Oahu. It is estimated that 30%, or about 120,000, are not sterilized. The problem of animal overpopulation is compounded each year by both our tropical climate, which promotes year-round breeding, and the growing transient human population. Two unaltered dogs and their offspring produce 67,000 puppies in six years. In seven years, two unaltered cats and their offspring can produce 160,000 kittens. The ramification of these numbers translates to tens of thousands of animals that become homeless per year.

Oahu SPCA serves as a shelter that provides foster-care and adoption services to the community. It rescues, rehabilitates (including all necessary surgeries and preventions) and re-homes an estimated 2,000 dogs and cats annually. The ethos of Oahu SPCA is that the key to humanely reduce pet overpopulation and euthanasia is sterilization and the provision of quality affordable veterinarian care.

We know that approximately 24,000 animals will become homeless this year, and that the most prevalent reason is the high cost of care. The "No Fee" program will attempt to tackle the causal relationship between pet affordability and animal homelessness by providing free medical and educational services to those who need them most. It will initially target the Wahiawa community that according to the 2010 U.S. Census has median household and per capita incomes that are 23% and 17%, respectively, below the State median numbers as a whole.

The 2010 Wahiawa census-designated place (CDP) is comprised of 5,600 households. The U.S. Pet Ownership and Demographics Sourcebook estimates that of those households, 3,136 own pets and have possession of a combined total of 6,843 dogs and cats. Of the estimated 3,136 pet-owning households in the CDP, conservatively 50%, or approximately 1,568 households, are economically at-risk.

Through the "No Fee" program, Oahu SPCA will proactively provide needed veterinary services to and help educate financially at-risk individuals and families on the proper care of animals as well as the responsibilities of pet ownership including the reproduction of unwanted animals. It will vet those most in need of services through organizations such as Catholic Charities Hawaii, Honolulu Community Action Program – Central District, Liliuokalani Trust Kipuka Haleiwa, ALEA Bridge Wahiawa, and others, and will ask agencies to make Wahiawa families aware of "No Fee."

It will create collateral and help educate staff at social service agencies serving Wahiawa's at-risk and homeless populations about the "No Fee" program. It will provide veterinary services to - including necessary wellness exams, spay/neuter surgeries, medications and vaccines, and preventions - and help educate participants on the proper care of animals. All services will be provided free of charge to a population that could not access the help otherwise.

Program oversight will be jointly managed by the Oahu SPCA director of veterinarian services and executive director, and will be supported by two full-time veterinary technicians and the director of development and communications.

FERAL/STRAY STERILIZATION PROGRAM

Oahu SPCA will also help address the overpopulation problem of feral and stray animals through mass trapping and free sterilization services. Open to the public and provided monthly, these services will utilize the Oahu SPCA's network of animal welfare organizations and impassioned individuals to offer use of traps and mass sterilizations at no cost to the public.

The Oahu SPCA has made a significant impact in eliminating free roaming packs of feral and aggressive dogs and reducing the population of feral cats. A danger to the community and themselves, unwanted dogs and cats can spread disease to humans and pets, destroy property, endanger children and pets, and upset the natural balance of ecosystems.

The free sterilization program will serve as a humane, nonlethal alternative to the old trap-and-kill method of controlling feral/stray populations. Available to the general public, Oahu SPCA will place particular focus on neighboring areas with acute feral animal overpopulations – Ewa Beach, Pearl City, Waikēle and Wahiawa. Community cats and dogs, whether they are considered stray, feral or just free-roaming, will be caught in humane traps, medically evaluated, spayed or neutered by a licensed veterinarian, vaccinated against canine distemper and feline viral rhinotracheitis, given flea and heartworm prevention, and then returned. By focusing on targeted areas and offering free services once a month, Oahu SPCA believes it will help to effectively manage the feral/stray population and reduce animal euthanasia rates, especially in target areas. This supposition is borne out by a 2014 University of Florida College of Veterinary Medicine study in which, after a geographically targeted “trap-neuter-return” program, intake rates fell 70 percent for the control area versus 13 percent in the county as a whole, and euthanasia rates declined 95 percent versus 30 percent for the non-target area.

The implementation and success of the free sterilization program will, again, be the responsibility of the Oahu SPCA executive director and director of veterinarian services. A staff of veterinary technicians and the Oahu SPCA director of development and communications will assist them.

2. Provide a projected annual timeline for accomplishing the results or outcomes of the service;

Months One through 12. Oahu SPCA will create collateral and help educate staff at social service agencies serving Wahiawa at-risk and homeless populations about the “No Fee” program. Providing assistance to populations in the throes of a crisis, Oahu SPCA will vet those most in need of services through organizations such as Catholic Charities Hawaii, Honolulu Community Action Program – Central District, Liliuokalani Trust Kipuka Haleiwa,

ALEA Bridge Wahiawa, and more, and will ask agencies to make Wahiawa families aware of “No Fee.”

Through the “No Fee” program, Oahu SPCA will provide needed veterinarian services to and help educate at-risk individuals and families on the proper care of animals as well as the responsibilities of pet ownership including the reproduction of unwanted animals. Families referred by the agencies will not be assessed fees for veterinary services, exams, surgeries, medications, and preventations at Oahu SPCA.

To address the problem of feral and stray animals, Oahu SPCA will purchase traps to lend out in neighborhoods across Oahu with a particular focus on neighboring areas with acute feral animal overpopulations – Ewa Beach, Pearl City, Waikele and Wahiawa. The traps require careful monitoring to capture feral (rather than domesticated or non-feline or – canine) animals and brought in for free sterilization and care on a designated day of the month. The organization will use social media, its website, traditional advertising, and its network of animal welfare organizations and aficionados to generate public awareness and participation.

Oahu SPCA will conduct its free sterilization clinic at its Wahiawa shelter once a month for 12 months. In addition to providing free spay/neuter services, every animal will be medically checked, vaccinated against distemper/hepatitis/parainfluenza/parvovirus (DHPP) or feline viral rhinotracheitis/calicivirus/panleukopenia (FVRCP), and administered heartworm and flea prevention. Though not a complete fix, these services will help reduce the homeless animal population and check the spread of disease among colonies and to humans.

3. Describe its quality assurance and evaluation plans for the request. Specify how the applicant plans to monitor, evaluate, and improve their results; and

Oahu SPCA will use *Specific, Measurable, Achievable, Realistic, Time-Framed*, or SMART, outcomes to monitor, evaluate and improve results on an on-going basis. For the “No Fee” program, Oahu SPCA will measure the program’s reach by documenting the number of referrals from partner social service agencies. It will use existing protocols for imparting educational information to pet-owners and use medical records to quantify the follow-up actions resulting from those efforts. And, Oahu SPCA will benchmark program success by the qualitative responses to surveys taken by participants.

For the “Feral/Stray Sterilization” program, Oahu SPCA will quantifiably measure the program’s effectiveness by the numbers of free roaming animals that are brought in for spaying or neutering.

For both programs, Oahu SPCA will use monthly participation numbers to assess if communication and outreach efforts to families served are appropriate and adequate. It will stay in close contact with social service agencies and animal welfare organizations to

see if primary goals are being met and target populations are being reached. It will use medical records to quantify services provided and the impact of its educational efforts. And it will use feedback and data from participant surveys to inform its messaging and approach, and to make on-going program improvements.

4. List the measure(s) of effectiveness that will be reported to the State agency through which grant funds are appropriated (the expending agency). The measure(s) will provide a standard and objective way for the State to assess the program's achievement or accomplishment. Please note that if the level of appropriation differs from the amount included in this application that the measure(s) of effectiveness will need to be updated and transmitted to the expending agency.

Under the Oahu SPCA's "No Fee" program, the organization will initially target the Wahiawa community, a census-designated place (CDP) that according to the 2010 U.S. Census has both median household and per capita incomes below the State averages. Of the estimated 3,136 pet-owning households in the CDP, conservatively 50%, or approximately 1,568, are at-risk. In this first year of "No Fee," Oahu SPCA will reach 150 at-risk families and individuals as a program goal for improving access to quality veterinary care.

The organization will also benchmark success by the effectiveness of its public education. Ensuring that clients understand the need for preventative care, Oahu SPCA will measure the quality and effectiveness of its educational efforts by the adherence to scheduled care (e.g., completing immunization and oral medication regimens) and the percent of unaltered pets that are responsibly spayed or neutered.

And finally, we will measure program effectiveness through self-reported satisfaction levels with "No Fee" services as well as the qualitative and quantitative relief provided by the program to at-risk respondents.

The collection method will be through patient intake and medical records; program participants will also be asked to complete written questionnaires and surveys for qualitative/attitudinal information.

For the "Feral/Stray Sterilization" program, Oahu SPCA will use quantifiable outcomes to benchmark success and effectiveness. At the end of the 12-month grant period, a minimum of 35 feral/stray animals will be sterilized per sterilization clinic day at no cost for a total of 420 animals annually. All 420 animals will additionally receive a wellness check, core DHPP/FVRCP vaccines, and heartworm and flea prevention.

The community and island-wide benefits from the measurements above will directly be seen in the number of animals and low-income families who need and receive our care. The most noticeable benefit will be a decrease in stray/homeless animals.

IV. Financial

Budget

1. The applicant shall submit a budget utilizing the enclosed budget forms as applicable, to detail the cost of the request.
 - a. Budget request by source of funds ([Link](#))
 - b. Personnel salaries and wages ([Link](#))
 - c. Equipment and motor vehicles ([Link](#))
 - d. Capital project details ([Link](#))
 - e. Government contracts, grants, and grants in aid ([Link](#))

Attached

2. The applicant shall provide its anticipated quarterly funding requests for the fiscal year 2021.

Quarter 1	Quarter 2	Quarter 3	Quarter 4	Total Grant
\$43,540	\$38,679	\$39,580	\$38,679	\$160,478

3. The applicant shall provide a listing of all other sources of funding that they are seeking for fiscal year 2021.

These are pilot projects of Oahu SPCA; yet it is willing to invest 13% of costs, or just under \$25,000, because of the belief that there are whole communities on Oahu in which veterinary care is grossly underrepresented and underutilized due to the expense of services.

With State assistance, these pilot attempts will help reveal demand in the community and will serve as the cornerstone to understanding the depth of need and the type of services most vital to a population for which animal care thus far is only modestly provided.

4. The applicant shall provide a listing of all state and federal tax credits it has been granted within the prior three years. Additionally, the applicant shall provide a listing of all state and federal tax credits they have applied for or anticipate applying for pertaining to any capital project, if applicable.

Not Applicable

5. The applicant shall provide a listing of all federal, state, and county government contracts, grants, and grants in aid it has been granted within the prior three years and will be receiving for fiscal year 2021 for program funding.

None.

6. The applicant shall provide the balance of its unrestricted current assets as of December 31, 2019. Attached

V. Experience and Capability

1. Necessary Skills and Experience

The applicant shall demonstrate that it has the necessary skills, abilities, knowledge of, and experience relating to the request. State your experience and appropriateness for providing the service proposed in this application. The applicant shall also provide a listing of verifiable experience of related projects or contracts for the most recent three years that are pertinent to the request.

Oahu SPCA serves as the primary animal welfare organization that fosters or rescues the companion animals of Hawaii's homeless population. Included in its list of accomplishments is the successful rescue of 432 animals in July 2009 after the death of the owner of an animal sanctuary in Waianae, and the care of more than 90 abandoned animals recovered after 200 inhabitants were displaced from Maili Point Beach Park in 2010. Since the inception of the Oahu SPCA in 2009, the number of homeless animals on Oahu has been reduced by more than 50%. The first animal shelter in Hawaii with a "no-kill philosophy," the mission of the Oahu SPCA is to ensure that every healthy, treatable animal will find a forever home.

Oahu SPCA operates a low cost veterinarian clinic in Wahiawa. Its spay and neuter program offers same-day surgery and its wellness services include vaccinations, heartworm testing, micro-chipping, ringworm prevention, ear cleaning, skin and parasite testing, flea and tick prevention, and de-worming.

Expanding services in the community, Oahu SPCA, in partnership with Petco Hawaii, also offers wellness clinics at seven Petco stores on Oahu and four on the neighbor islands. To better serve the pet owner population of Honolulu, Oahu SPCA offers twice-weekly wellness clinics at the Petco store on Beretania Street, and weekend clinics at all eleven locations. These in-store clinics successfully serve tens of thousands of Hawaii families annually and have broadened the organization's footprint of care to neighborhoods across all major Hawaiian Islands.

Open to the general public with below-market pricing, the Oahu SPCA clinics are an alternative to those who cannot afford private, full-service veterinarian care. Low cost sterilization and wellness services are provided at our shelter location in Wahiawa.

Oahu SPCA also serves as a shelter that provides foster-care and adoption services to the community. It rescues, rehabilitates (including all necessary surgeries, medications and preventions) and re-homes an estimated 2,000 dogs and cats annually. The ethos of Oahu SPCA is that the key to humanely reduce pet overpopulation and euthanasia is sterilization and the provision of quality affordable veterinarian care.

In 2016, Oahu SPCA rescued, rehabilitated and served a total of 3,000 rescued animals. Of the incoming animals, all were behaviorally and medically rehabilitated, including all necessary surgeries, sterilizations (unless already spayed or neutered), inoculations, and micro chipping. Approximately 90% were adopted or placed in foster homes. 45 remained in-house at the end of the year. 1,906 sterilizations were performed on family pets and stray animals. An estimated 6,000 pets were treated at the Oahu SPCA's Petco Low-Cost Vaccination Clinics on Oahu, Maui and Kauai.

At the end of 2016, Oahu SPCA moved to a temporary, substantially smaller facility in Wahiawa. With half the square footage than its previous location, the downsized shelter space impacted capacity and will continue to do so until a permanent venue is established. As such, in 2017, Oahu SPCA rescued, rehabilitated and served a total of 2,100 animals. All animals were behaviorally and medically rehabilitated, including all necessary surgeries, sterilizations (unless already spayed or neutered), inoculations, and micro chipping. 1,000 sterilizations were performed on family pets and stray animals. Over 6,500 pets were treated at the Oahu SPCA's Petco Low-Cost Vaccination Clinics on Oahu, Maui and Kauai.

In 2018, Oahu SPCA rescued, rehabilitated and served a total of 2,526 animals. All animals were behaviorally and medically rehabilitated. 885 dogs and cats were surrendered or abandoned to or taken in by the Oahu SPCA from other organizations; of those 706, or 80%, were adopted. 151 remained in-house at the end of the year. 1,332 sterilizations were performed on family pets and stray animals. Approximately 7,500 pets were treated at the Oahu SPCA's Petco Low-Cost Vaccination Clinics on Oahu, Maui, Kauai, and Hawaii Island.

2019 numbers are in the process of compilation but should, conservatively, mirror our 2018 outcomes.

The Oahu SPCA also recently completed services under a City and County of Honolulu Grant in Aid to provide affordable veterinary services and help prevent the euthanasia and abandonment of sick animals due to the exorbitant costs of private veterinary care. It successfully exceeded the following grant deliverables:

- Oahu SPCA successfully served 3,907 animals during the grant period, exceeding the expected number of 2,820 animals by 1,087 animals, an increase of 38.5% above the funded number;
- Oahu SPCA conducted 1,408 wellness exams on animals, which is 67% more than the funded number of 820 exams;
- Oahu SPCA spayed or neutered 753 animals during the 12-month grant period, 3 over the funded number;
- Oahu SPCA performed 871 clinical procedures on animals that included heartworm testing, fecal exams, skin scrapes, DTM/ringworm culture, and ear cytology; exceeding the number funded of 520 by 351 procedures; and
- Oahu SPCA micro-chipped 875 animals, exceeding the number funded of 710 by 165 animals, or 23% more than funded.

2. Facilities

The applicant shall provide a description of its facilities and demonstrate its adequacy in relation to the request. If facilities are not presently available, describe plans to secure facilities.

The Oahu SPCA currently operates an animal shelter and veterinary clinic out of a 4,000 square foot facility located at 823 Olive Avenue in Wahiawa.

VI. Personnel: Project Organization and Staffing

1. Proposed Staffing, Staff Qualifications, Supervision and Training

The applicant shall describe the proposed staffing pattern and proposed service capacity appropriate for the viability of the request. The applicant shall provide the qualifications and experience of personnel for the request and shall describe its ability to supervise, train and provide administrative direction relative to the request.

Oahu SPCA executive director Lucy Ahn oversees a staff of 23 part- and full-time employees in three departments – Operations, Development, and Veterinarian Services. She will be responsible for the implementation and successful completion of the “No Fee” and “Feral/Stray Sterilization” programs. The primary manager and service provider will be Oahu SPCA director of veterinarian services Dr. Claire Conrath. Educational and promotional and marketing collateral and community outreach will be the responsibility of Oahu SPCA director of development and communications Lisa Levine.

LUCY AHN, Executive Director

Lucy Ahn has worked in the nonprofit sector for 17 years serving in leadership management roles with PBS Hawaii, the Hawaiian Educational Council, Ronald McDonald House Charities of Hawaii, and the Hawaii Agricultural Foundation. She was also Administrative Assistant to former State Senator Patsy K. Young and Committee Clerk to the Senate Committee on Housing and Hawaiian Affairs, and a legislative aide to the late U.S. Senator Daniel K. Inouye. A graduate of Tufts University in Medford, MA, Ms. Ahn is the current executive director of Oahu SPCA and oversees a staff of 23 full- and part-time employees

DR. CLAIRE CONRATH, Director of Veterinarian Services

Dr. Conrath graduated with honors from the University of Illinois College of Veterinary Medicine in 2009. She worked in private practice and ER her first 3 years out of school where she provided routine wellness care, diagnosed and treated acutely sick animals and animals with chronic disease, advanced her internal medicine skills and performed surgeries including spay neuter, mass removals, GI surgery, urinary surgery, enucleations and emergency procedures as needed. In 2011, Dr. Conrath started working for PAWS

Chicago as a relief spay/neuter surgeon and later became a full time surgeon in May 2012. Main responsibilities included spay/neuter of 50-80 animals/day as well as providing routine surgeries and dental procedures for animals in the shelter program. She also gained experience in no kill shelter medicine while providing care to animals in the shelter program. Dr. Conrath remained at PAWS until June 2017 when she began doing relief work as a shelter veterinarian, spay/neuter surgeon and ER veterinarian. In 2017, she relocated to Hawaii to work for a mobile non profit providing spay/neuter/wellness procedures. Employed at Oahu SPCA and as a civilian veterinarian for the US Army since January 2018, Dr. Conrath provides wellness care and routine surgical procedures to public patients as well as shelter animals. She has performed approximately 30,000 spays and neuters to date and recently assumed the position of Director of Veterinarian Services. In that position, Dr. Conrath has established surgery and wellness protocols, standard operating procedures and managed staff to build a more productive and efficient working environment.

LISA LEVINE, Director of Development and Communications

Lisa Levine is the new development director and oversees communications for Oahu SPCA. She has over 26 years of experience in all aspects of non-profit fundraising and a proven record of developing and transforming giving programs that foster organizational growth. She has provided training and led numerous fundraising teams in exceeding annual revenue goals of \$2 million+. Her extensive program success includes six figure fundraising gains, double-digit improvements in donor retention, and building engagement for development programs with over 100,000 donors and prospects. Her skills in strategic planning, budgeting and forecasting, marketing, community relationship building, increasing volunteer engagement, and identifying funding opportunities will assist in strengthening and building the sustainability of the Oahu SPCA.

2. Organization Chart

The applicant shall illustrate the position of each staff and line of responsibility/supervision. If the request is part of a large, multi-purpose organization, include an organization chart that illustrates the placement of this request.

Attached

3. Compensation

The applicant shall provide an annual salary range paid by the applicant to the three highest paid officers, directors, or employees of the organization by position title, not employee name.

Director of Veterinarian Services	\$117,000
Executive Director	\$ 80,400
Director of Development & Communications	\$ 65,000

VII. Other

1. Litigation

The applicant shall disclose any pending litigation to which they are a party, including the disclosure of any outstanding judgement. If applicable, please explain.

Not Applicable

2. Licensure or Accreditation

The applicant shall specify any special qualifications, including but not limited to licensure or accreditation that the applicant possesses relevant to this request.

None

3. Private Educational Institutions

The applicant shall specify whether the grant will be used to support or benefit a sectarian or non-sectarian private educational institution. Please see [Article X, Section 1, of the State Constitution](#) for the relevance of this question.

Not Applicable

4. Future Sustainability Plan

The applicant shall provide a plan for sustaining after fiscal year 2020-21 the activity funded by the grant if the grant of this application is:

- (a) Received by the applicant for fiscal year 2020-21, but
- (b) Not received by the applicant thereafter.

In the fourth quarter of 2019, Oahu SPCA hired a development director (Lisa Levine) who has been tasked with the design and implementation of a formal giving program. The organization has not had a professional advancement program till now. Ms. Levine is an experienced development professional and has implemented and led the individual giving programs for PBS Hawaii and Ronald McDonald House Charities of Hawaii. She will focus on building sustainability for both programs.

Oahu SPCA has been able to address the need to care for thousands of animals each year with current funding, volunteers, and staff. To continue to grow this work and serve an increasing number of people and their companion animals, we will continue to apply for and seek funding to increase productivity and the services we can offer to homeless and low-income families. This continued development will increase the numbers of people and animals annually served by the organization.

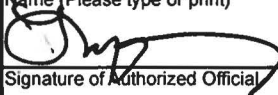
Oahu SPCA is fortunate to have a corporate partner, Petco Hawaii, with which it operates low-cost wellness and vaccination clinics on all major Hawaiian Islands. This valued relationship serves to underwrite the work we do at the Wahiawa clinic, and is expected to continue and grow.

And finally, grant support from the State would enable us to create and grow a baseline clientele, expand our programs for greater mission impact, educate a greater section of the community and develop our veterinary care and sterilization clinic. This assistance is meaningful to insuring our sustainability and success by both developing our client base and enhancing the organization's value proposition for purposes of fundraising.

BUDGET REQUEST BY SOURCE OF FUNDS

Period: July 1, 2020 to June 30, 2021

Applicant: Oahu Society for the Prevention of Cruelty to Animals

BUDGET CATEGORIES	Total State Funds Requested (a)	Total Federal Funds Requested (b)	Total County Funds Requested (c)	Total Private/Other Funds Requested (d)
A. PERSONNEL COST				
1. Salaries	22,682	0	0	0
2. Payroll Taxes & Assessments	0	0	0	1,872
3. Fringe Benefits	0	0	0	581
TOTAL PERSONNEL COST	22,682			2,453
B. OTHER CURRENT EXPENSES				
1. Airfare, Inter-Island	0	0	0	0
2. Insurance	0	0	0	0
3. Lease/Rental of Equipment	0	0	0	0
4. Lease/Rental of Space	0	0	0	0
5. Staff Training	0	0	0	0
6. Supplies	0	0	0	0
7. Telecommunication	0	0	0	0
8. Utilities	0	0	0	0
9. Printing	1,800	0	0	0
10. Vaccines, exams, medications, preventions	132,036	0	0	22,230
11. Equipment	3,960	0	0	0
12.				
13.				
14.				
15.				
16.				
17.				
18.				
19.				
20.				
TOTAL OTHER CURRENT EXPENSES	137,796			22,230
C. EQUIPMENT PURCHASES				
D. MOTOR VEHICLE PURCHASES				
E. CAPITAL				
TOTAL (A+B+C+D+E)	160,478			24,683
SOURCES OF FUNDING		Budget Prepared By:		
(a) Total State Funds Requested	160,478	Lucy Ahn (808) 800-6200		
(b) Total Federal Funds Requested	0	Name (Please type or print) Phone		
(c) Total County Funds Requested	0			
(d) Total Private/Other Funds Requested	24,683	Signature of Authorized Official Date		
TOTAL BUDGET	185,161	Lucy Ahn, Executive Director		
		Name and Title (Please type or print)		

BUDGET JUSTIFICATION - EQUIPMENT AND MOTOR VEHICLES

Period: July 1, 2020 to June 30, 2021

Applicant: Oahu Society for the Prevention of Cruelty

DESCRIPTION EQUIPMENT	NO. OF ITEMS	COST PER ITEM	TOTAL COST
Pop-Up Tents for mass sterilizations (holding, recovery)	2.00	\$239.75	\$ 479.50
6 ft Folding Utility Table for mass sterilizations (holding, recovery)	4	\$102.62	\$ 410.48
Heavy Duty Single Door Cage Trap for mass sterilizations	35	\$87.71	\$ 3,069.85
			\$ -
			\$ -
TOTAL:	41		\$ 3,959.83

JUSTIFICATION/COMMENTS: Animal traps will be lent out to capture feral/stray dogs and cats; tents and tables will be used for holding captured animals before and during their recovery after being spayed/neutered.

DESCRIPTION OF MOTOR VEHICLE	NO. OF VEHICLES	COST PER VEHICLE	TOTAL COST
			\$ -
			\$ -
			\$ -
			\$ -
			\$ -
TOTAL:			\$ -

JUSTIFICATION/COMMENTS:

BUDGET JUSTIFICATION - EQUIPMENT AND MOTOR VEHICLES

Period: July 1, 2020 to June 30, 2021

TOTAL BUDGETED
480
410
3070
3,960

TOTAL BUDGETED

BUDGET JUSTIFICATION - CAPITAL PROJECT DETAILS

Period: July 1, 2020 to June 30, 2021

Applicant: Oahu Society for the Prevention of Cri

FUNDING AMOUNT REQUESTED						
TOTAL PROJECT COST	ALL SOURCES OF FUNDS RECEIVED IN PRIOR YEARS		STATE FUNDS REQUESTED	OTHER SOURCES OF FUNDS REQUESTED	FUNDING REQUIRED IN SUCCEEDING YEARS	
	FY: 2018-2019	FY: 2019-2020	FY:2020-2021	FY:2020-2021	FY:2021-2022	FY:2022-2023
PLANS						
LAND ACQUISITION						
DESIGN						
CONSTRUCTION						
EQUIPMENT						
TOTAL:						
JUSTIFICATION/COMMENTS Not Applicable						

GOVERNMENT CONTRACTS, GRANTS, AND / OR GRANTS IN AID

Applicant: Oahu Society for the Prevention of Cruelty to Animals

Contracts Total: -

	CONTRACT DESCRIPTION	EFFECTIVE DATES	AGENCY	GOVERNMENT ENTITY (U.S. / State / Haw / Hon / Kau / Mau)	CONTRACT VALUE
1	None				
2					
3					
4					
5					
6					
7					
8					
9					
10					
11					
12					
13					
14					
15					
16					
17					
18					
19					
20					
21					
22					
23					
24					
25					
26					
27					
29					
30					

Oahu SPCA
Balance Sheet
As of December 31, 2019

	Total
ASSETS	
Current Assets	
Bank Accounts	
0651 - Payroll	0.00
0678 - New General	14,864.38
0686 - Clinic	-16.95
2836 - Construction Account	0.00
3813- CPB	5.00
7225 - Fundraiser	0.00
9816-CPB	259,864.24
Cash on hand	0.00
PayPal - Clinic	0.00
PayPal - Funding	0.74
PayPal - General	4,519.08
Payroll Account	0.00
Total Bank Accounts	\$ 279,236.49
Other Current Assets	
Ask My Accountant	-10,654.18
Grants Receivable	350,000.02
Inventory Asset	0.00
Food Donations	0.00
Total Inventory Asset	\$ 0.00
Inventory for Sale	4,144.47
Pledges Receivable	0.00
Sterilization Deposits	0.00
Undeposited Funds	0.00
Total Other Current Assets	\$ 343,490.31
Total Current Assets	\$ 622,726.80
Fixed Assets	
Accumulated Amortization	-20,083.75
Accumulated Depreciation	-81,684.00
Car	4,622.00
Construction in progress	854,419.51
Furniture and Equipment	56,683.67
Land	0.00
Machinery & Equipment	38,250.26
Total Fixed Assets	\$ 852,207.69
Other Assets	
Accumulated Amortization - Debt Issuance Costs	-8,050.00
Debt Issuance Costs - Asset	8,050.00
Security Deposits	185,000.00
Total Other Assets	\$ 185,000.00
TOTAL ASSETS	\$ 1,659,934.49

LIABILITIES AND EQUITY**Liabilities****Current Liabilities****Accounts Payable**

Accounts Payable	788.37
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Total Accounts Payable	\$ 788.37
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Other Current Liabilities

Accrued expenses	0.00
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Accrued interest payable	0.00
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Accrued Payroll	0.00
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Due to/ Due from Amanda	72.29
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FHB - State Grant Advance - Note payable	323,657.84
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Loan from Stephanie Ryan	0.00
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Loan Payable to ALAN MAYHACK	15,300.00
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Payroll Advance	0.00
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Payroll Clearing	0.00
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Payroll Tax Liabilities	0.00
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Federal Taxes Payable	718.13
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Hawaii Tax Payable	951.57
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SUTA Payable	0.00
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Total Payroll Tax Liabilities	\$ 1,669.70
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Total Other Current Liabilities	\$ 340,699.83
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Total Current Liabilities	\$ 341,488.20
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Long-Term Liabilities

ACM Bridge Loan	242,800.00
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Note Payable - Land	0.00
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Total Long-Term Liabilities	\$ 242,800.00
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Total Liabilities	\$ 584,288.20
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Equity

Opening Balance Equity	325,486.95
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Unrestricted Net Assets	464,646.65
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Net Income	285,512.69
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Total Equity	\$ 1,075,646.29
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TOTAL LIABILITIES AND EQUITY	\$ 1,659,934.49
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2019 Organizational Chart

