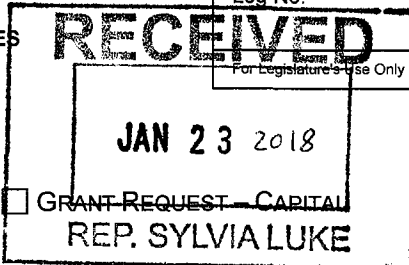


House District(s) 38 _____

Senate District(s) 17 _____

THE TWENTY-NINTH LEGISLATURE
APPLICATION FOR GRANTS
CHAPTER 42F, HAWAII REVISED STATUTES

Log No: _____



Type of Grant Request:

GRANT REQUEST - OPERATING

LATE

GRANT REQUEST - CAPITAL
REP. SYLVIA LUKE

"Grant" means an award of state funds by the legislature, by an appropriation to a specified recipient, to support the activities of the recipient and permit the community to benefit from those activities.

"Recipient" means any organization or person receiving a grant.

STATE DEPARTMENT OR AGENCY RELATED TO THIS REQUEST (LEAVE BLANK IF UNKNOWN): _____

STATE PROGRAM I.D. NO. (LEAVE BLANK IF UNKNOWN): _____

1. APPLICANT INFORMATION:

Legal Name of Requesting Organization or Individual:
Friends of Waipahu Cultural Garden Park

Dbas: Hawaii's Plantation Village

Street Address: 94-695 Waipahu Street, Waipahu, HI 96797

Mailing Address: same as above

2. CONTACT PERSON FOR MATTERS INVOLVING THIS APPLICATION:

Name DEANNA ESPINAS

Title Board President

Phone # (808) 228-6345

Fax # _____

E-mail espihawaii@juno.com

3. TYPE OF BUSINESS ENTITY:

- NON PROFIT CORPORATION INCORPORATED IN HAWAII
- FOR PROFIT CORPORATION INCORPORATED IN HAWAII
- LIMITED LIABILITY COMPANY
- SOLE PROPRIETORSHIP/INDIVIDUAL
- OTHER

6. DESCRIPTIVE TITLE OF APPLICANT'S REQUEST:

CAPITAL IMPROVEMENT PROJECT TO REPAIR PLANTATION BUILDING AND EXTERIOR STRUCTURES DAMAGED BY RAIN, WIND, AND TERMITES.

4. FEDERAL TAX ID #: _____

5. STATE TAX ID #: _____

7. AMOUNT OF STATE FUNDS REQUESTED:

FISCAL YEAR 2019: \$1,000,000

8. STATUS OF SERVICE DESCRIBED IN THIS REQUEST:

- NEW SERVICE (PRESENTLY DOES NOT EXIST)
- EXISTING SERVICE (PRESENTLY IN OPERATION)

SPECIFY THE AMOUNT BY SOURCES OF FUNDS AVAILABLE AT THE TIME OF THIS REQUEST:

STATE \$ 0

FEDERAL \$ 0

COUNTY \$ 0

PRIVATE/OTHER \$ 0

TYPE NAME & TITLE OF AUTHORIZED REPRESENTATIVE

DEANNA ESPINAS, BOARD PRESIDENT
NAME & TITLE

JANUARY 22, 2018
DATE SIGNED

Application for Grants

Please check the box when item/section has been completed. If any item is not applicable to the request, the applicant should enter "not applicable".

I. Background and Summary

This section shall clearly and concisely summarize and highlight the contents of the request in such a way as to provide the State Legislature with a broad understanding of the request. Please include the following:

1. A brief description of the applicant's background; Hawaii's Plantation Village is a living history museum and ethno-botanical garden on a 50-acre site in the heart of sugar plantation country. Established in 1992 by the Friends of Waipahu Cultural Garden Park, it is Oahu's only outdoor museum that exhibits true-to-scale plantation camp buildings in the historic plantation town of Waipahu.
2. The goals and objectives related to the request; to repair existing buildings, walkways and exterior structures damaged through the years by age, rain, wind and termites.
3. The public purpose and need to be served; in order to continue to share the stories of the past with all visitors, our historic structures must be preserved and restored so that all visitors can safely experience our museum and exhibits.
4. Describe the target population to be served; our visitor count range between 2000-3000 each year and includes visitors from everywhere. We focus our outreach on students from Hawaii and the other parts of the U.S. and other countries.
5. Describe the geographic coverage. Visitors from all over the world come to see and hear the immigrant stories of various ethnic groups. Our mission is to reach school children from the Leeward area (including other parts of the State), in hopes that they will gain knowledge and understanding of the life, struggles, and accomplishments of workers on the plantation.

II. Service Summary and Outcomes

The Service Summary shall include a detailed discussion of the applicant's approach to the request. The applicant shall clearly and concisely specify the results, outcomes, and measures of effectiveness from this request. The applicant shall:

1. Describe the scope of work, tasks and responsibilities; Hawaii's Plantation Village is challenged with sustaining and preserving the historic building structures and exteriors. Visitors, staff, and docent guides must be able to safely walk through each of the

structures to fully experience the lives of earlier plantation workers and families. Through the generous contributions of earlier community donors, Hawaii's Plantation Village was able to build the outdoor museum and strives to safeguard not only the structures, but the valuable artifacts, photos and collection of stories so that future generations can learn and gain inspiration from our ancestors.

2. Provide a projected annual timeline for accomplishing the results or outcomes of the service; we are moving forward with work on our structures giving priority to those areas in most need of repair. We continue to request bid estimates from various vendors.

3. Describe its quality assurance and evaluation plans for the request. Specify how the applicant plans to monitor, evaluate, and improve their results; our volunteer executive board of directors and committee of other volunteers work with our assigned building maintenance staff to coordinate repair activities and insure that repairs and restorations are done in a safe and timely manner.

4. List the measure(s) of effectiveness that will be reported to the State agency through which grant funds are appropriated (the expending agency). The measure(s) will provide a standard and objective way for the State to assess the program's achievement or accomplishment. Please note that if the level of appropriation differs from the amount included in this application that the measure(s) of effectiveness will need to be updated and transmitted to the expending agency. Measures of effectiveness: identify building structures in most need of repair, solicit bids and select contractors, award contract, repair structures, while staying within projected level of appropriation.

III. Financial

Budget

1. The applicant shall submit a budget utilizing the enclosed budget forms as applicable, to detail the cost of the request.
 - a. Budget request by source of funds ([Link](#))
 - b. Personnel salaries and wages ([Link](#))
 - c. Equipment and motor vehicles ([Link](#))
 - d. Capital project details ([Link](#))
 - e. Government contracts, grants, and grants in aid ([Link](#))

2. The applicant shall provide its anticipated quarterly funding requests for the fiscal year 2019.

Quarter 1	Quarter 2	Quarter 3	Quarter 4	Total Grant
250,000	250,000	250,000	250,000	1,000,000

3. The applicant shall provide a listing of all other sources of funding that they are seeking for fiscal year 2019. No requests for other funding has been submitted.
4. The applicant shall provide a listing of all state and federal tax credits it has been granted within the prior three years. Additionally, the applicant shall provide a listing of all state and federal tax credits they have applied for or anticipate applying for pertaining to any capital project, if applicable. Not applicable.
5. The applicant shall provide a listing of all federal, state, and county government contracts, grants, and grants in aid it has been granted within the prior three years and will be receiving for fiscal year 2019 for program funding. HPV was selected for Capital Improvement Funding in FY 2017, but funds have not yet been received (pending contract with DAGS).
6. The applicant shall provide the balance of its unrestricted current assets as of December 31, 2017, \$42,885.00.

IV. Experience and Capability

1. Necessary Skills and Experience

The applicant shall demonstrate that it has the necessary skills, abilities, knowledge of, and experience relating to the request. State your experience and appropriateness for providing the service proposed in this application. The applicant shall also provide a listing of verifiable experience of related projects or contracts for the most recent three years that are pertinent to the request. The current President of the Board of Directors for the Friends of Waipahu Cultural Garden Park continues to serve in a temporary, volunteer capacity along with the members of the general board of directors. We strive to maintain programs and services and responsible for project implementation. This includes: requesting bids for services, ensuring that contractors meet the requirements and that construction proceeds in a timely manner within budget. Our team of volunteers are committed to helping coordinate the contracts and payments after delivery of services are received.

2. Facilities

The applicant shall provide a description of its facilities and demonstrate its adequacy in relation to the request. If facilities are not presently available, describe plans to secure facilities. We are submitting our scope of repair work and a map of the building structures on premises.

V. Personnel: Project Organization and Staffing

1. Proposed Staffing, Staff Qualifications, Supervision and Training

Scope of work for Capital Improvement projects as follows:

1. Repair/replace exterior and interior termite damaged wood walls, ceilings and floors of historical houses with stairs, and lanais. Repair fences and gates between and front of houses. Estimated cost: \$140,000.
2. Prime and paint exterior stairs, lanais, walls of historical houses. Estimated cost: \$ 150,000.
3. Replace additional corrugated metal roofing panels and wood shingles roofing. Estimated cost: \$35,000.
4. Upgrade electrical service box, grounding and distribution panel. Estimated cost: \$50, 000.
5. Repair existing inoperable electrical walkway light fixtures, posts, and electrical lines. Estimated cost: \$40,000.
6. Repair damaged asphalt concrete walkways. Estimated cost: \$25,000.
7. Install new retaining rock wall for erosion control include grading, landscape. Estimated cost: \$150,000.
8. Install asphalt concrete curbs to control rain water run-off from adjacent City Street. Estimated cost: \$40,000.
9. Repair termite damaged wooden walkways. Estimated cost: \$15,000.
10. Install new safety wooden picket fences. Estimated cost: \$10,000.
11. Repair root damaged concrete walkways. Estimated cost: \$50,000
12. Repair existing outside bathroom structure, sewer line, and replace toilet fixtures. Up-grade existing main building bathroom with new sink, vanity, fixture and ceramic floor tile. Estimated cost: \$125,000.
13. Remove existing inoperable sprinkler system and replace with new sprinkler system. Estimated cost: \$90,000.
14. Abatement mold on house interior walls and ceilings. Prime and paint two finish coats. Estimated cost: \$30,000.
15. Repair and install new chain link fences and gates to maintain security. Estimated cost: \$30,000.

(中国)
 中国料理会事務所
 Chinese Society
 Building
 (1909)

Wakamiya
 Inari Shrine**
 (1914)



Hawaii's Plantation Village

at Waipahu Cultural Garden Park
 • Waipahu, HI 96797
 Ph. (808) 677-0110

ポルトガル人の家
 Portuguese
 House
 (1918)

プエルトリコ人の家
 Puerto Rican
 House
 (1900)

日本長屋
 Japanese
 Duplex
 (1910)

日本人の家
 Japanese
 House
 (1930s)

Chinese
 Cookhouse*
 (1909)

公衆浴場
 Community
 Furo

フィリピン人宿舎
 Filipino
 Dormitory
 (1919)

フィリピン人宿舎
 Filipino
 House
 (1935)

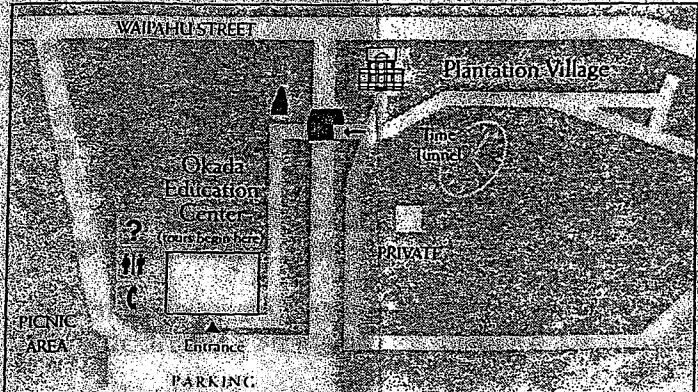
商店
 Plantation
 Store
 (1900)

www.hawaiiplantationvillage.com

Time
 Tunnel

中国料理館

Waipahu Cultural Garden Park Area Map



Barber
 Shop

オクマワン人宿舎
 Okinawan
 House
 (1919)

韓国宿舎
 Korean
 House
 (1919)

ガレージ
 Garage

相撲土俵
 Sumo
 Ring

ハワイ人宿舎
 Hawaiian Hale
 (1850s)

キャンプ事務所
 Camp Office
 (1930s)

社会連合会事務所
 Social Union
 Hall (1920)

Cemetery →

* Listed on the Hawaii Register of Historic Places
 ** Listed on the National and Hawaii Registers of Historic Places

The applicant shall describe the proposed staffing pattern and proposed service capacity appropriate for the viability of the request. The applicant shall provide the qualifications and experience of personnel for the request and shall describe its ability to supervise, train and provide administrative direction relative to the request. The current President of the Board of Directors for the Friends of Waipahu Cultural Garden Park continues to serve in a temporary, volunteer capacity along with the members of the general board of directors. We strive to maintain programs and services and responsible for project implementation. This includes: requesting bids for services, ensuring that contractors meet the requirements and that construction proceeds in a timely manner within budget. Our team of volunteers are committed to helping coordinate the contracts and payments after delivery of services are received.

2. **Organization Chart**

The applicant shall illustrate the position of each staff and line of responsibility/supervision. If the request is part of a large, multi-purpose organization, include an organization chart that illustrates the placement of this request. (refer to response in #1 and #2).

3. **Compensation**

The applicant shall provide the annual salaries paid by the applicant to the three highest paid officers, directors, or employees of the organization by position. (refer to response in #1 and #2).

VI. Other

1. **Litigation**

The applicant shall disclose any pending litigation to which they are a party, including the disclosure of any outstanding judgement. If applicable, please explain. Not applicable.

2. **Licensure or Accreditation**

The applicant shall specify any special qualifications, including but not limited to licensure or accreditation that the applicant possesses relevant to this request. Not applicable.

3. **Private Educational Institutions**

The applicant shall specify whether the grant will be used to support or benefit a sectarian or non-sectarian private educational institution. Please see Article X, Section 1, of the State Constitution for the relevance of this question. Grant will not be used to support or benefit a sectarian or non-sectarian private educational institution.

4. **Future Sustainability Plan**

The applicant shall provide a plan for sustaining after fiscal year 2018-19 the activity funded by the grant if the grant of this application is:

- (a) Received by the applicant for fiscal year 2018-19, but
- (b) Not received by the applicant thereafter. Our board of directors will develop a priority-based budget focusing on those structures in need of immediate repairs. Safety is of importance. Delay of repairs will result in temporary closures of particular structures and exterior areas and may impact our ability to provide tours to visitors and schools.

5. **Certificate of Good Standing (If the Applicant is an Organization)**

If the applicant is an organization, the applicant shall submit one (1) copy of a certificate of good standing from the Director of Commerce and Consumer Affairs that is dated no earlier than December 1, 2017. See attached.

6. **Declaration Statement**

The applicant shall submit a declaration statement affirming its compliance with Section 42F-103, Hawaii Revised Statutes. (Link) Applicant affirms its compliance with Section 42-F103.

7. **Public Purpose**

The applicant shall specify whether the grant will be used for a public purpose pursuant to Section 42F-102, Hawaii Revised Statutes. (Link) Applicant affirms that the grant will be used for a public purpose pursuant to Section 42F-102, Hawaii Revised. Statutes.

STATE OF HAWAII — DEPARTMENT OF TAXATION
TAX CLEARANCE APPLICATION

Form A-6 can be filed electronically OR for all state, city, or county government contracts, may be obtained through Hawaii Compliance Express. See Instructions.
(NOTE: References to "married" and "spouse" are also references to "in a civil union" and "civil union partner," respectively.)

1. APPLICANT INFORMATION: (PLEASE TYPE OR PRINT CLEARLY)

Applicant's Name Friends of Waipahu Cultural Garden
Address 94-695 Waipahu St.
City/State/Postal/Zip Code Waipahu, HI 96797
DBA/Trade Name Hawaii's Plantation VILLAGE

2. TAX IDENTIFICATION NUMBER:

HAWAII TAX I.D. # [REDACTED]
FEDERAL EMPLOYER I.D. # (FEIN) [REDACTED]
SOCIAL SECURITY # (SSN) _____

3. APPLICANT IS A/AN: (Check only ONE box)

- CORPORATION
- INDIVIDUAL
- LIMITED LIABILITY COMPANY
- Single Member LLC disregarded as separate from owner; enter owner's FEIN/SSN _____
- Subsidiary Corporation; enter parent corporation's name and FEIN _____
- S CORPORATION
- PARTNERSHIP
- LIMITED LIABILITY PARTNERSHIP
- TAX EXEMPT ORGANIZATION
- ESTATE
- TRUST

4. THE TAX CLEARANCE IS REQUIRED FOR: (MUST check at least ONE box)

- CITY, COUNTY, OR STATE GOVERNMENT CONTRACT IN HAWAII *
- REAL ESTATE LICENSE
- FINANCIAL CLOSING
- HAWAII STATE RESIDENCY
- SUBCONTRACT
- OTHER
- LIQUOR LICENSE *
- BULK SALES¹
- PERSONAL
- CONTRACTOR LICENSE
- PROGRESS PAYMENT
- FEDERAL CONTRACT
- LOAN

* IRS APPROVAL STAMP IS ONLY REQUIRED FOR PURPOSES INDICATED BY AN ASTERISK.

¹ ATTACH FORM G-8A, REPORT OF BULK SALE OR TRANSFER

5. NO. OF CERTIFIED COPIES REQUESTED:

1



6. DECLARATION - I declare that I am either the taxpayer whose name is shown on line 1, or a person authorized under section 231-15.6 or 231-15.7, HRS, to sign on behalf of the taxpayer. If the request applies to a joint return, at least one spouse must sign. I declare to the best of my knowledge and belief, that this is a true, correct, and complete form, made in good faith pursuant to Title 14 of the HRS, and the rules issued thereunder.

SIGNATURE [REDACTED]
PRINT NAME Danna Espinas

DATE Jan. 23, 2018 (808) TELEPHONE 228-6345 FAX _____
PRINT TITLE: Board President
PRINT TITLE: Corporate Officer, General Partner or Member, Individual (Sole Proprietor), Trustee, Executor

FOR OFFICE USE ONLY

BUSINESS START DATE IN HAWAII IF APPLICABLE
/ /

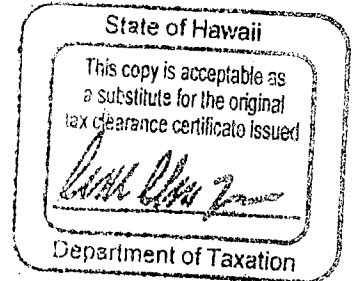
HAWAII RETURNS FILED IF APPLICABLE
20__ 20__ 20__

STATE APPROVAL STAMP (Not valid unless stamped)



*IRS APPROVAL STAMP

CERTIFIED COPY STAMP



POWER OF ATTORNEY. If submitted by someone other than a Corporate Officer, General Partner or Member, Individual (Sole Proprietor), Trustee, or Executor, a power of attorney (State of Hawaii, Department of Taxation, Form N-848) must be submitted with this application. If a Tax Clearance is required from the Internal Revenue Service, IRS Form 8821, or IRS Form 2848 is also required. Applications submitted without proper authorization will be sent to the address of record with the taxing authority. UNSIGNED APPLICATIONS WILL NOT BE PROCESSED.
PLEASE TYPE OR PRINT CLEARLY — THE FRONT PAGE OF THIS APPLICATION BECOMES THE CERTIFICATE UPON APPROVAL.
SEE PAGE 2 ON REVERSE & SEPARATE INSTRUCTIONS. Failure to provide required information on page 2 of this application or as required in the separate instructions to this application will result in a denial of the Tax Clearance request.

BUDGET REQUEST BY SOURCE OF FUNDS

Period: July 1, 2018 to June 30, 2019

App

Hawaii's Plantation Village

BUDGET CATEGORIES	Total State Funds Requested (a)	Total Federal Funds Requested (b)	Total County Funds Requested (c)	Total Private/Other Funds Requested (d)
A. PERSONNEL COST				
1. Salaries				
2. Payroll Taxes & Assessments				
3. Fringe Benefits				
TOTAL PERSONNEL COST				
B. OTHER CURRENT EXPENSES				
1. Airfare, Inter-Island				
2. Insurance				
3. Lease/Rental of Equipment				
4. Lease/Rental of Space				
5. Staff Training				
6. Supplies				
7. Telecommunication				
8. Utilities				
9				
10				
11				
12				
13				
14				
15				
16				
17				
18				
19				
20				
TOTAL OTHER CURRENT EXPENSES				
C. EQUIPMENT PURCHASES				
D. MOTOR VEHICLE PURCHASES				
E. CAPITAL	1,000,000			
TOTAL (A+B+C+D+E)	1,000,000			
SOURCES OF FUNDING		Budget Prepared By:		
(a) Total State Funds Requested	1,000,000	Deanna Espinas 228-6345		
(b) Total Federal Funds Requested		Name (Please type or print) / Phone		
(c) Total County Funds Requested		Jan. 22, 2018		
(d) Total Private/Other Funds Requested		Signature of Authorized Official Date		
TOTAL BUDGET	1,000,000	Deanna Espinas, Board President		
		Name and Title (Please type or print)		

BUDGET JUSTIFICATION - PERSONNEL SALARIES AND WAGES

Period: July 1, 2017 to June 30, 2018

Hawaii's Plantation Village

POSITION TITLE	FULL TIME EQUIVALENT	ANNUAL SALARY A	% OF TIME ALLOCATED TO GRANT REQUEST B	TOTAL STATE FUNDS REQUESTED (A x B)
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
TOTAL:				
JUSTIFICATION/COMMENTS:				

BUDGET JUSTIFICATION - EQUIPMENT AND MOTOR VEHICLES

Period: July 1, 2018 to June 30, 2019

Applicant: Hawaii's Plantation Village

DESCRIPTION EQUIPMENT	NO. OF ITEMS	COST PER ITEM	TOTAL COST	TOTAL BUDGETED
			\$ -	
			\$ -	
			\$ -	
			\$ -	
			\$ -	
TOTAL:				
JUSTIFICATION/COMMENTS:				

DESCRIPTION OF MOTOR VEHICLE	NO. OF VEHICLES	COST PER VEHICLE	TOTAL COST	TOTAL BUDGETED
			\$ -	
			\$ -	
			\$ -	
			\$ -	
			\$ -	
TOTAL:				
JUSTIFICATION/COMMENTS:				

BUDGET JUSTIFICATION - CAPITAL PROJECT DETAILS

Period: July 1, 2018 to June 30, 2019

Applicant: _____Hawaii's Plantation Village_____

FUNDING AMOUNT REQUESTED						
TOTAL PROJECT COST	ALL SOURCES OF FUNDS RECEIVED IN PRIOR YEARS		STATE FUNDS REQUESTED	OF FUNDS REQUESTED	FUNDING REQUIRED IN SUCCEEDING YEARS	
	FY: 2016-2017	FY: 2017-2018	FY:2018-2019	FY:2018-2019	FY:2019-2020	FY:2020-2021
PLANS						
LAND ACQUISITION						
DESIGN						
CONSTRUCTION			1,000,000			
EQUIPMENT						
TOTAL:			1,000,000			
JUSTIFICATION/COMMENT Funds are requested to repair structures and exteriors damaged through the years by age, rain, wind and termites.						

GOVERNMENT CONTRACTS, GRANTS, AND / OR GRANTS IN AID

App: Hawaii's Plantation Village Contracts Total: -

	CONTRACT DESCRIPTION	EFFECTIVE DATES	AGENCY	GOVERNMENT ENTITY (U.S. / State / Haw / Hon / Kau / Mau)	CONTRACT VALUE
1	No pending grants				
2					
3					
4					
5					
6					
7					
8					
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12					
13					
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30					

**DECLARATION STATEMENT OF
APPLICANTS FOR GRANTS PURSUANT TO
CHAPTER 42F, HAWAI'I REVISED STATUTES**

The undersigned authorized representative of the applicant certifies the following:

- 1) The applicant meets and will comply with all of the following standards for the award of grants pursuant to Section 42F-103, Hawai'i Revised Statutes:
 - a) Is licensed or accredited, in accordance with federal, state, or county statutes, rules, or ordinances, to conduct the activities or provide the services for which a grant is awarded;
 - b) Complies with all applicable federal and state laws prohibiting discrimination against any person on the basis of race, color, national origin, religion, creed, sex, age, sexual orientation, or disability;
 - c) Agrees not to use state funds for entertainment or lobbying activities; and
 - d) Allows the state agency to which funds for the grant were appropriated for expenditure, legislative committees and their staff, and the auditor full access to their records, reports, files, and other related documents and information for purposes of monitoring, measuring the effectiveness, and ensuring the proper expenditure of the grant.
- 2) If the applicant is an organization, the applicant meets the following requirements pursuant to Section 42F-103, Hawai'i Revised Statutes:
 - a) Is incorporated under the laws of the State; and
 - b) Has bylaws or policies that describe the manner in which the activities or services for which a grant is awarded shall be conducted or provided.
- 3) If the applicant is a non-profit organization, it meets the following requirements pursuant to Section 42F-103, Hawai'i Revised Statutes:
 - a) Is determined and designated to be a non-profit organization by the Internal Revenue Service; and
 - b) Has a governing board whose members have no material conflict of interest and serve without compensation.

Pursuant to Section 42F-103, Hawai'i Revised Statutes, for grants used for the acquisition of land, when the organization discontinues the activities or services on the land acquired for which the grant was awarded and disposes of the land in fee simple or by lease, the organization shall negotiate with the expending agency for a lump sum or installment repayment to the State of the amount of the grant used for the acquisition of the land.

Further, the undersigned authorized representative certifies that this statement is true and correct to the best of the applicant's knowledge.

Hawaii's Plantation Village
(Typed Name of Individual or Organization)

 _____
(Signature) Jan. 22, 2018
(Date)

Deanna Espinas _____ Board President
(Typed Name) (Title)