## A BILL FOR AN ACT

RELATING TO TECHNOLOGY TRANSFER AT THE UNIVERSITY OF HAWAII.

## BE IT ENACTED BY THE LEGISLATURE OF THE STATE OF HAWAII:

- 1 SECTION 1. The legislature finds that the board of regents
- 2 of the University of Hawaii has established a regulatory
- 3 framework and compliance program to balance the unique benefits
- 4 and ethical issues specific to university research and
- 5 technology transfer and to assure compliance with applicable
- 6 external regulations. The university framework and compliance
- 7 program incorporates long-standing ethical research principles
- 8 and technology transfer regulations currently used by the
- 9 federal government. The board periodically updates its policies
- 10 and procedures to reflect the best practices currently in place
- 11 at several of the university's peer institutions. In this
- 12 manner, the university strives to remain competitive for
- 13 external research funding and to continue to attract innovative
- 14 faculty.
- 15 The legislature finds that it is a matter of statewide
- 16 concern to support the contributions by the University of Hawaii
- 17 to the economic health and diversification of the State. The
- 18 legislature further finds that the timely and efficient



- 1 commercialization of intellectual property created by basic and
- 2 applied research at the university greatly benefits the public.
- 3 The purpose of this Act is to clarify the application of
- 4 the state ethics code to allow technology transfer activities
- 5 sponsored by the University of Hawaii, provided that such
- 6 activities comport with a regulatory framework and compliance
- 7 program approved by the board of regents.
- 8 SECTION 2. Chapter 84, Hawaii Revised Statutes, is amended
- 9 by adding a new section to be appropriately designated and to
- 10 read as follows:
- 11 "§84- University of Hawaii technology transfer
- 12 activities. This chapter shall not apply to faculty,
- 13 professional and administrative staff, executives, and managers
- 14 of the University of Hawaii who are engaged in technology
- 15 transfer activities; provided that such persons comply with a
- 16 regulatory framework and research compliance program approved by
- 17 the University of Hawaii board of regents that is regularly
- 18 updated and incorporates best practices for ethical research
- 19 principles. For the purposes of this section, "technology
- 20 transfer activities" means the process of transferring
- 21 scientific findings from one organization to another for the

- 1 purpose of further development and commercialization.
- 2 Technology transfer activities may include identifying new
- 3 technologies, protecting technologies through patents and
- 4 copyrights, forming development and commercialization
- 5 strategies, and other related activities."
- 6 SECTION 3. The board of regents of the University of
- 7 Hawaii shall submit a written report to the legislature
- 8 regarding the development and implementation of its regulatory
- 9 framework and compliance program that incorporates ethical
- 10 research principles and technology transfer regulations used by
- 11 the federal government no later than twenty days prior to the
- 12 convening of the regular session of 2016.
- 13 SECTION 4. New statutory material is underscored.
- 14 SECTION 5. This Act shall take effect on January 7, 2059,
- 15 and shall be repealed on June 30, 2020.

## Report Title:

State Ethics Code; University of Hawaii; Technology Transfer Activities

## Description:

Clarifies application of State Ethics Code to University of Hawaii technology transfer activities, provided that the activities comport with a regulatory framework and research compliance program approved by the board of regents of the University of Hawaii. Requires the board of regents to submit a written report to the legislature regarding its technology transfer activity regulatory program prior to the regular session of 2016. Effective 01/07/2059 and sunsets 06/30/2020. (SD2)

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