

House District _____

Senate District _____

THE TWENTY-EIGHTH LEGISLATURE
APPLICATION FOR GRANTS
CHAPTER 42F, HAWAII REVISED STATUTES

Log No:

For Legislature's Use Only

Type of Grant Request:

GRANT REQUEST – OPERATING

GRANT REQUEST – CAPITAL

"Grant" means an award of state funds by the legislature, by an appropriation to a specified recipient, to support the activities of the recipient and permit the community to benefit from those activities.

"Recipient" means any organization or person receiving a grant.

STATE DEPARTMENT OR AGENCY RELATED TO THIS REQUEST (LEAVE BLANK IF UNKNOWN):
OFFICE OF PLANNING, DEPT OF BUSINESS, ECONOMIC DEVELOPMENT & TOURISM
STATE PROGRAM I.D. NO. (LEAVE BLANK IF UNKNOWN): BED 144

1. APPLICANT INFORMATION:

Legal Name of Requesting Organization or Individual:
SHADE GROUP LLC
Dba:

Street Address: 720 IWLEI RD #336, HONOLULU, HI 96817

Mailing Address: SAME

2. CONTACT PERSON FOR MATTERS INVOLVING THIS APPLICATION:

Name DEAN SAKAMOTO

Title PRINCIPAL & EXECUTIVE DIRECTOR

Phone # 808-772-2694

Fax # _____

E-mail DEANS@DSARCH.NET

3. TYPE OF BUSINESS ENTITY:

- NON PROFIT CORPORATION INCORPORATED IN HAWAII
 FOR PROFIT CORPORATION INCORPORATED IN HAWAII
 LIMITED LIABILITY COMPANY
 SOLE PROPRIETORSHIP/INDIVIDUAL
 OTHER

6. DESCRIPTIVE TITLE OF APPLICANT'S REQUEST:

SHADE INSTITUTE COMMUNITY DESIGN WORKSHOP
(ASSOCIATED NON PROFIT OF SHADE GROUP LLC TO BE CREATED)

4. FEDERAL TAX ID #: [REDACTED]

5. STATE TAX ID #: [REDACTED]

7. AMOUNT OF STATE FUNDS REQUESTED:

FISCAL YEAR 2017: \$ 250,000

8. STATUS OF SERVICE DESCRIBED IN THIS REQUEST:

- NEW SERVICE (PRESENTLY DOES NOT EXIST)
 EXISTING SERVICE (PRESENTLY IN OPERATION)

SPECIFY THE AMOUNT BY SOURCES OF FUNDS AVAILABLE
AT THE TIME OF THIS REQUEST:

STATE \$ _____
FEDERAL \$ _____
COUNTY \$ _____
PRIVATE/OTHER \$752.46

TYPE NAME & TITLE OF AUTHORIZED REPRESENTATIVE:

[REDACTED SIGNATURE]

AUTHORIZED SIGNATURE

DEAN SAKAMOTO, PRINCIPAL & EXECUTIVE DIRECTOR, 1/22/2016

NAME & TITLE

DATE SIGNED



RECEIVED
1/22/16 aa

I. Background and Summary

1. Applicant Background

This proposal establishes a public, private partnership for the SHADE Institute Community Design Workshop (CDW). The CDW will provide community design assistance to state and county agencies to help improve Hawaii's built environment.

SHADE is seeking funding to conduct the SHADE Institute Community Design Workshop (SHADE CDW) similar to the successful 2015 SHADE Summer Institute, which provided pro-bono intern and professional training in community-based urban design in Honolulu's Chinatown. SHADE is the acronym for "Sustainable Humanitarian Architecture and Design for the Earth." SHADE envisions the SHADE Institute Community Design Workshops as a vehicle for augmenting the work of public agencies in improving the quality of the public realm, quality of life, and sustainability and resilience of Hawaii's communities.

The SHADE Institute is the public interest design practice component of SHADE Group LLC, a registered business in the State of Hawaii. Dean Sakamoto, FAIA, LEED AP, is SHADE Institute's director and the principal investigator of this grant application. He is a Hawaii-born practicing architect, university educator, and community organizer, and has practiced architecture and urban design professionally since 1986 in Europe, North America, and Hawaii.

The 2015 SHADE Summer Institute's Chinatown Urban Acupuncture Project developed a Vision Map and project recommendations to help prepare this district for rail transit. SHADE Interns and Mentors worked in direct collaboration with members of the Chinatown Community, AIA Honolulu's Regional and Urban Design Committee and the City and County of Honolulu Department of Planning and Permitting's TOD Planning Team. Intern stipends were funded by the City and County of Honolulu City Council and project expenses were funded by a private donor. The Hawaii Heritage Center provided in-kind sponsorship through use of its Chinatown space for events. HonBlue provided a limited amount of printing at no charge. SHADE Group provided instructional and work space at no charge. Dean Sakamoto provided his time and effort pro-bono. For more information on the Chinatown Project, see attached Vision Map and Summary.

2. Goals and Objectives

As an economy dependent on tourism and a society which stands by the Spirit of Aloha, the quality of our cities and towns – mainly our streets, parks, public spaces and buildings – need sustainable and humanitarian design. This grant application offers an alternative approach to community design and professional training with the public's interest as its priority.

“... public interest practices identify and initiate projects that address complex, long-term societal problems and have broad public benefit.”

– Wisdom From the Field: Public Interest Architecture in Practice, Feldman, Palleroni, Perkes and Bell, 2011

Public interest design is a proactive service-based method of delivering environmental planning and design services to underserved sectors of society. It addresses issues and places of need where conventional planning and design practice cannot or do not. The SHADE CDW brings together interns and professional mentors in the environmental planning and design fields to do pro-bono/at cost projects for communities and non-profit organizations in the State of Hawaii

Mission:

Provide statewide assistance to State and county agencies through finer grain planning and community design in areas impacted by State land and infrastructure development, particularly related to public transportation-oriented development and transitional and affordable housing needs.

Goals:

- a. Enhance the service capacity of the State and county planning agencies by providing community-based design support and sustainable design services.
- b. Conduct community design engagement for communities with an emphasis on creating more sustainable, humane, and livable communities in conjunction with State and County agency planning efforts. This process will include training/professional development, public outreach/facilitation and visualization of planning and design concepts.
- c. Assist in the development of a physical design strategy to reduce the State’s homeless problem, which is most evident in its public spaces, streets and parks.

Objectives:

- a. Initiate community design services for a minimum of two communities through the Summer Institute’s community design projects and continue to work with these communities throughout the year to develop a community-based implementation strategies.
- b. Train 20 interns and provide continuing education for 20-40 professionals in the physical planning and design fields through the Summer Institute and throughout the year. Interns will earn a SHADE Institute certificate. Professionals who are AIA and APA members can earn continuing education units.
- c. Work with community leaders and stakeholders through outreach and facilitation to create community-based action plans, design concept plans and proof-of-concept demonstration projects (i.e. realized Chinatown demonstration projects).
- d. Increase awareness and public knowledge of sustainable and humanitarian urban planning and environmental design.

3. Public Purpose and Need

Community-based urban design fills the gap between urban planning and building design. It requires participation from community stakeholders, government officials and consultants related to a project vicinity. The following needs are served:

- a. Engagement of communities in the process of shaping their own communities and neighborhoods.
- b. Greater attention and use of community design that increases sustainability, resilience and serves the needs of communities impacted by State and county projects.
- c. Intern training and professional development directed toward community-specific urban planning and design projects.

4. Target Population

Three target populations will be served by these projects: 1) communities, their residents, businesses, and institutions - by enabling them to participate in community design in coordination with State or county agencies; 2) university students and interns who need hands-on training in land development, planning, and design practices; and 3) practicing professionals in related fields who will obtain continuing education units and participate in community service. In Fiscal Year 2016-2017, SHADE intends to work with the City and County of Honolulu, Kauai County, and their targeted communities in conjunction with numerous professional organizations. For more specific information on projects, see Scope of Work below. Professional organizations who have agreed to work with SHADE include the American Planners Association Hawaii (APA), American Institute of Architects Honolulu Chapter (AIA), American Society of Landscape Architects Hawaii (ASLA), and the Urban Land Institute Hawaii Chapter (ULI). With the University of Hawaii at Manoa Department of Urban and Regional Planning (DURP), the SHADE Institute CDW will deliver disaster and resilience training programs to its target populations through DURP's National Disaster Preparedness Training Center (NDPTC).

5. Geographic coverage

The geographic coverage is statewide on a prioritized-need basis as determined by the collaborating State and County agencies.

II. Service Summary and Outcomes

1. Scope of Work, Tasks and Responsibilities

a. Scope of Work

Plan, organize, and implement SHADE Institute summer program and follow through with intern/professional training and community project implementation.

The 2016 SHADE Summer Institute is planning to initiate work with Honolulu's Department of Planning and Permitting on the Waipahu Transit Oriented Development (TOD) district on Oahu and the Lihue Town Core Revitalization project on Kauai. Chinatown demonstration projects developed during the 2015 Summer

Institute will also be worked on until they are realized. The 2017 Summer Institute work plan will be developed during the evaluation period of the 2016 Summer Institute (September 2016).

b. Tasks

- Plan and coordinate with State Office of Planning, in conjunction with County agencies and program partners as necessary.
- Meet with potential community partners (beneficiaries of the service).
- Recruit/interview/hire staff which includes a Program Manager and Instructors.
- Invite/schedule guest speakers for series of lunch time talks.
- Solicit interest from potential intern applicants; review applications/notify acceptance or non-acceptance of applicants.
- Develop a training curriculum for interns and professional mentors.
- Create and schedule courses and events that are specific to the community project.
- Conduct multiple weekly meetings with interns and mentors during the project implementation period.
- Seek and establish partnerships with community organizations and individuals.
- Register continuing education units with appropriate organizations.
- Monitor progress and follow-up with collaborating State and Local agencies.

c. Responsibilities

The State Office of Planning will provide guidance to the SHADE Institute. The Director of the SHADE Institute will coordinate with State agencies and county government leadership. The Director will lead and oversee the Institute's activities and staff. The SHADE Institute staff is outlined in the organizational charts, their descriptions are outlined below.

The Fiscal Administrator will manage accounts received and payables and implement other business-related tasks. The Policy Advisor will research regulatory issues related to projects. The Program Manager will handle specific community projects and be the liaison between the stakeholders and project team (Mentors and Interns). SMEs (Subject Matter Experts) will advise the project team and give at least one lunchtime or seminar talk. Instructors will implement training workshops for the project team. Mentors will be practicing professionals who will volunteer their time for continuing education units (APA and AIA) and advise interns throughout the project. Interns will be recruited from professional university programs and firms nation-wide. They will participate in training, engage with community stakeholders and conduct research and project design work under the supervision of the Program Manager and Mentors.

2. Projected Annual Timeline

2016 Workshop Preparation—Pro-bono & other funding sources:*

Jan-March 2016	Project planning for 2016 Summer Institute
Mar-April 2016	Staff, mentor and intern recruitment for 2016 Summer Institute
May 2016	Summer Institute Community Project Announcement
May-June 2016	Preliminary coordination meetings & program development

*SHADE will obtain a business loan during this period until State Grant funds are available in July 2016.

2016 Workshop Implementation & Follow-up—Grant funds:

July- Sept. 2016	Summer Institute Program and Community Project Implementation
October 2016	Summer Institute Follow-up & Evaluation
Oct-Dec 2016	Community Project Enhancement, Development and Distribution

2017 Workshop Preparation—Grant funds:

Jan-March 2017	Project planning for 2016 Summer Institute
Mar-April 2017	Staff, mentor and intern recruitment for 2016 Summer Institute
May 2017	Summer Institute Community Project Announcement
May-June 2017	Preliminary coordination meetings & program development

3. Quality Assurance and Evaluation

SHADE Institute will develop evaluative tools to ensure the project is implemented as planned and that project participants are satisfied with their experience and outcomes. Toward this end, SHADE has created a framework to establish and track a set of metrics of success, which is illustrated in the attached graphic. These include:

- The number and variety of meetings held between SHADE partners and target community groups and the number of participants in those meetings
- The creation of new - and the strengthening of existing - community organizations participating in design review and decision-making, growing a framework for implementing best-practices in design decisions concerning neighborhoods and communities and amplification of the voice of underserved populations in design decisions that directly impact their quality of life
- The development of a sustainability plan for SHADE Institute/neighborhood partnerships that invites participation from a broad network of private, nonprofit, philanthropic, and public sector partners
- Participation of SHADE project teams in a growing number of state and municipal conversations about land use, public interest architecture and the quality of the built environment

SHADE’s Policy Advisor has extensive expertise in project evaluation and improvement science with projects funded by the US Department of Education, the Kellogg Foundation, and the Urban Institute.

4. **Measures of Effectiveness (Refer to “Metrics and Goals” Diagram on next page)**
 - a. Number of Interns and Professionals (Mentors) trained and feedback received through evaluations. Subsequently, the number of professional participants who continue to work with Public Interest projects or groups.
 - b. Number of community participants involved and feedback received through evaluations. Further, the number of community initiatives or organizations involved in community planning.
 - c. Visibility of relevant issues and initiatives across media.
 - d. Number of proposed Community Plan Projects funded and percentage of proposed projects implemented
 - e. Community support and momentum behind projects (as indicated by the presence of community-instigated meetings/discussions, social media presence, lobbying/letter-writing to representatives or other social initiatives).
 - f. Percentage of Emergency Housing Strategy Plans adopted.
 - g. Percentage of proposed new or altered policy inspired or directly informed by the CDW that is adopted in full or in part by the relevant agency or organization, including plans and designs adopted into law by communities and local governments.

In the event that the level of appropriation differs from the amount included in this application, the level of staffing, responsibility and measures of effectiveness will be revised by the applicant and transmitted to the expending agency.

**III. Financial
Budget**

1. Budget forms are attached
2. Anticipated quarterly funding request for FY 2017:

Quarter 1	Quarter 2	Quarter 3	Quarter 4	Total Grant
\$116,567	\$48,285	\$42,324	\$42,824	\$250,000

3. List of all other sources of funding being sought for FY 2017
 - o City Council, Chinatown Revitalization Funding (\$4,755)
4. List of State and federal tax credits granted over the past 3 years.
 - o None
5. List of all federal, state, and county government contracts it has been and will be receiving for program funding.
 - o City Council, Chinatown Revitalization Funding
6. Balance of its unrestricted current assets as of 12/31/15.
 - o \$752.46

SHADE METRICS AND GOALS

SHADE INSTITUTE CDW PROJECTS | JANUARY, 2016

METRICS

Community initiatives/ organizations involved in community planning

Visibility of related issues in media

SHADE meetings with active community participation

Community members at meetings

Professional participants continuing to work with Public Interest Projects

Interns and mentors trained

% Proposed projects funded

% Proposed projects implemented

Community support and momentum behind projects

% Proposed projects funded

% Proposed plans adopted

% Project-targeted policies changed

GOALS



Giving people a voice in shaping and improving their communities



Encouraging professionals to work towards the public interest



Implementing community plan project recommendations



Implementing emergency housing strategy plans and designs



Improving policies to allow for progressive development

PRIORITY OUTCOMES

Communities participating in design-review and decision making.

People empowered to enact change

More professionals engaged in Public Interest Design

Professional services and skills available to all communities

Improved quality of life for all community residents

Sustainable neighborhoods and urban places

Improved quality of life for the homeless

Reduced homelessness

Improved policies that allow for sustainable and innovative ideas and development

Increased community involvement in creating policy

GRANT RESULTS

People in the community are knowledgeable about design issues and how to enact change

Community organizations take initiative to continue projects or create new ones

Individuals within a community feel they have a voice

Professionals understand the impact of public interest design and its importance in their communities

Under-served communities have access to design professionals and their services

Communities have a philanthropic network of professionals to draw on

Projects that have a real impact on communities are funded and implemented

Neighborhoods become healthier, safer, happier places

Community members are invested in their neighborhood and have a sense of ownership of public spaces

Best practice design decisions are implemented in improving the homelessness situation

People who are vulnerable have access to dignified shelter, hygiene services and social support

Less people are homeless or at-risk for becoming homeless

Communities and individuals have a say in policy development and changes to existing policy

Policy changes to reflect current needs of the community-at-large

Outdated policies are modified with input from all relevant stakeholders

IV. Experience and Capability

A. Necessary Skills and Experience

Dean Sakamoto has extensive experience in community based urban design and workshop delivery. In 2015, he initiated and directed the first SHADE Summer Institute, the Chinatown Urban Acupuncture project which worked with community members to create a Vision Map and project recommendations which supplemented and complimented the City's TOD Neighborhood Plan.

He is the author and urban designer of the Chapel West Special Services District, Vision and Strategic Plan (2004-2005) and the architect of the Farmington Canal Greenway Phase IV, multi-use recreational trail and interpretive design (2009-present) both in New Haven, Conn. Since 2011, he has delivered community-based urban resilience workshops in the States of Hawaii, Florida, Mississippi, Alabama, Georgia, Illinois, New York, Massachusetts and Connecticut for the University of Hawaii at Manoa, Department of Urban and Regional Planning's National Disaster Preparedness Training Center (DURP-NDPTC) under contract with the Pacific International Center of High Technology and Research (PICHTR). Mr. Sakamoto is a faculty affiliate at UHM DURP.

Mr. Sakamoto is also the editor and author of the internationally acclaimed book and exhibition, *Hawaiian Modern: The Architecture of Vladimir Ossipoff* (2007, Honolulu Museum of Art and Yale University Press). He served on the faculties of Yale University, Pratt Institute, University of Hawaii at Manoa, and Chaminade University of Honolulu. As a community organizer, he has initiated, organized and implemented numerous community-based planning, design and arts projects in the Northeast and Hawaii over the past 25 years. In 2015, he founded the SHADE Institute as part of the SHADE Group LLC.

SHADE's capacity to provide services proposed in this application will also be enhanced by the participation of subject matter experts, instructors and professional mentors from the fields of urban planning, landscape architecture and architecture.

B. Facilities

SHADE Group's 2,000 SF studio in Iwilei provides a professional setting for interns to work and meet with mentors. However, when working with communities, having an on-site meeting facility is very productive for planning workshops and meetings. For example, for the Chinatown Project, the Hawaii Heritage Center's storefront space on Smith and Hotel Street served this purpose during the summer of 2015.

V. Personnel: Project Organization and Staffing

A. Proposed Staffing, Staff Qualifications, Supervision and Training

Staff:

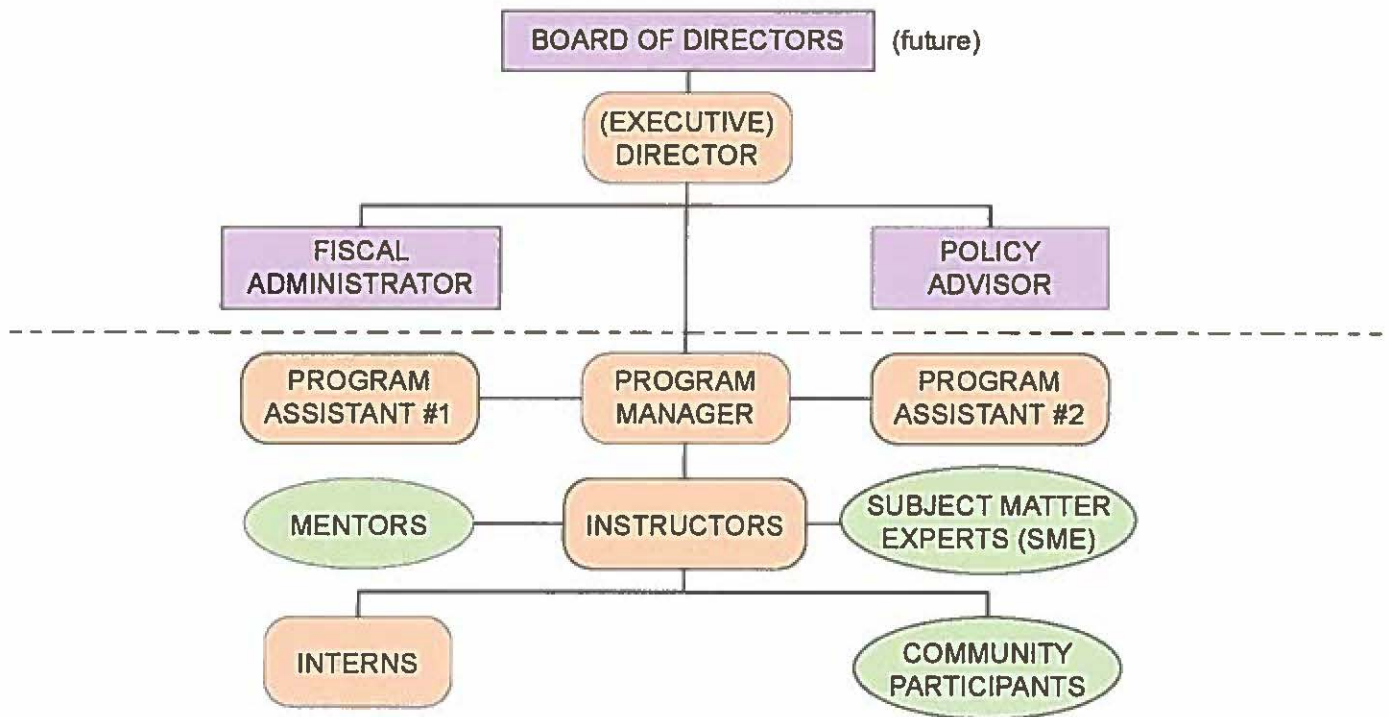
- Director
- Fiscal Administrator
- Policy Advisor
- Program Manager
- Program Assistants (2)
- Subject Matter Experts (multiple)
- Instructors (multiple)
- Mentors (multiple)
- Intern (multiple)

B. Organization Charts

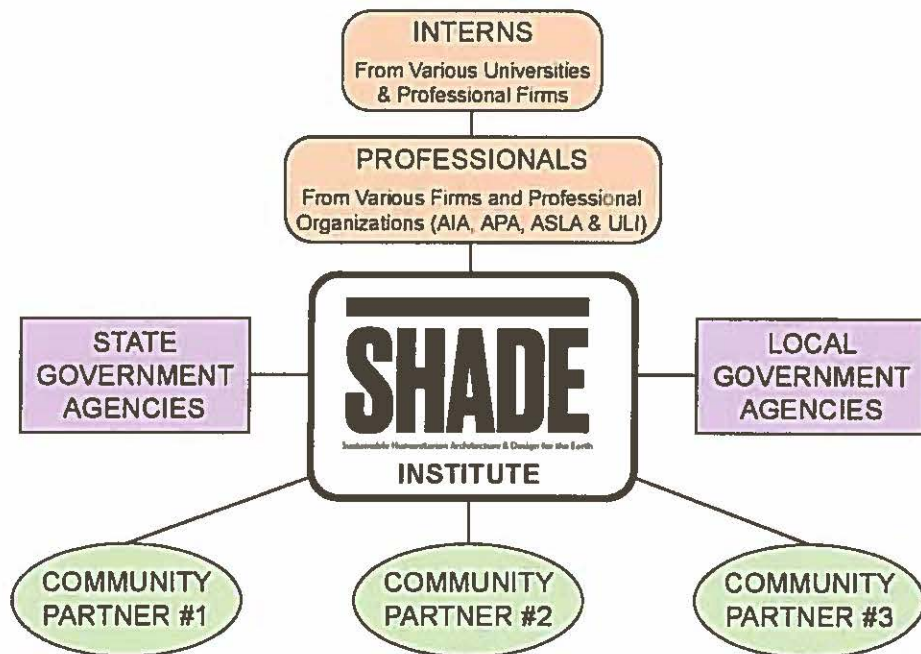
- See next page

C. Compensation for FY 16-17

- See attached, "Budget Justification – Personnel Salaries and Wages"



Internal
SHADE Institute CDW Organizational Chart



External
SHADE Institute CDW Organizational Chart

VI. Other

A. Litigation

The applicant is not a party to any pending litigation or judgement.

B. Licensure or Accreditation

Dean Sakamoto is a licensed architect in the State of Hawaii (AR-7802). SHADE Group LLC is a registered Continuing Education Unit provider with the American Institute of Architects (AIA).

C. Private Educational Institutions

Not applicable.

D. Future Sustainability Plan

This grant opportunity will provide seed money to build an institution for global public service with a Hawaii base. This grant application would serve two purposes. First, in the short term, it would provide start-up operational funding to continue current projects generated through the Chinatown project (2015) and the forthcoming Summer Institutes (2016 and 2017). Second, for longer term sustainability, this grant may also fund SHADE's 501(c)(3) application process and the development of additional funding sources through private foundations and businesses. Currently, the SHADE Institute is administered by SHADE Group LLC; private donations are administered by the Oahu Economic Development Board (previously known as Enterprise Honolulu), SHADE's fiscal sponsor.

SHADE's long range plan is to create a Hawaii-based institution to provide training and service to cities and communities. Based on its record of achievement from FY 2016-2017 projects in Hawaii, SHADE will apply for funding from national and international sources. Partnerships with the national offices of the professional organizations who are already local collaborators such as the APA, AIA, ASLA and ULI, as well as the U.S. Green Building Council and National League of Cities are strong possibilities. SHADE will also develop partnerships with universities and other training centers in Hawaii (i.e. UHM DURP-NDPTC) and abroad throughout the Asia-Pacific Region.

Locally, the Hawaii Community Foundation has expressed interest in matching SHADE Institute with potential donors once its non-profit status is achieved (early 2017 expected). Project specific funding through the counties and local businesses will also be continuously sought.

E. Certificate of Good Standing

- a. See attached, "DCCA Certificate of Good Standing"

BUDGET REQUEST BY SOURCE OF FUNDS

Period: July 1, 2016 to June 30, 2017

Applicant: _Shade Group LLC

BUDGET CATEGORIES	Total State Funds Requested (a)	Total Federal Funds Requested (b)	Total County Funds Requested (c)	Total Private/Other Funds Requested (d)
A. PERSONNEL COST				
1. Salaries	99,500			
2. Payroll Taxes & Assessments	12,736			
3. Fringe Benefits	34,825			
TOTAL PERSONNEL COST	147,061			
B. OTHER CURRENT EXPENSES				
1. Airfare, Inter-Island (2 trips, 20 interns)	11,000			
2. Insurance	500			
3. Lease/Rental of Equipment				
4. Lease/Rental of Space	13,200			
5. Staff Training	2,500			
6. Supplies & Printing/Mounting	20,000			
7. Telecommunication	652			
8. Utilities	3,600			
9. Intern Stipends	15,245		4,755	
10. Instructor Stipends	10,000			
11. Car Rental-Kauai; 2 vans, 2 trips	1,600			
12. computer/network services	5,642			
13. Car Rental-Oahu; 2 vans, 4 trips	3,200			
14. Program Assistants (2) Stipends	5,000			
15. Housing Suppl-out of state interns	8,000			
16. Loan Fees & Interest	800			
17.				
18.				
19.				
20.				
TOTAL OTHER CURRENT EXPENSES	100,939		4,755	
C. EQUIPMENT PURCHASES	2,000			
D. MOTOR VEHICLE PURCHASES				
E. CAPITAL				
TOTAL (A+B+C+D+E)	250,000		4,755	
SOURCES OF FUNDING		Budget Prepared By:		
(a) Total State Funds Requested	250,000	Lei Sakamoto 808-772-2694		
(b) Total Federal Funds Requested		Name (Please type or print) Phone		
(c) Total County Funds Requested	4,755	1/22/2018		
(d) Total Private/Other Funds Requested		Signature of Authorized Official Date		
TOTAL BUDGET	254,755	Dean Sakamoto, Principal & Executive Director Name and Title (Please type or print)		

BUDGET JUSTIFICATION - EQUIPMENT AND MOTOR VEHICLES

Period: July 1, 2016 to June 30, 2017

Applicant: Shade Group LLC

DESCRIPTION EQUIPMENT	NO. OF ITEMS	COST PER ITEM	TOTAL COST	TOTAL BUDGETED
Computer with Monitor, Windows & MS Office	1.00	\$2,000.00	\$ 2,000.00	2000
			\$ -	
			\$ -	
			\$ -	
			\$ -	
TOTAL:	1		\$ 2,000.00	2,000

JUSTIFICATION/COMMENTS:

Computer for the Program Manager. We are asking all interns to use their own computers. Other staff already have computers.

DESCRIPTION OF MOTOR VEHICLE	NO. OF VEHICLES	COST PER VEHICLE	TOTAL COST	TOTAL BUDGETED
			\$ -	
			\$ -	
			\$ -	
			\$ -	
			\$ -	
TOTAL:				

JUSTIFICATION/COMMENTS:

BUDGET JUSTIFICATION - CAPITAL PROJECT DETAILS

Period: July 1, 2016 to June 30, 2017

Applicant: Shade Group LLC

FUNDING AMOUNT REQUESTED						
TOTAL PROJECT COST	ALL SOURCES OF FUNDS RECEIVED IN PRIOR YEARS		STATE FUNDS REQUESTED	OF FUNDS REQUESTED	FUNDING REQUIRED IN SUCCEEDING YEARS	
	FY: 2014-2015	FY: 2015-2016	FY:2016-2017	FY:2016-2017	FY:2017-2018	FY:2018-2019
PLANS						
LAND ACQUISITION						
DESIGN						
CONSTRUCTION						
EQUIPMENT						
TOTAL:						
JUSTIFICATION/COMMENTS: NONE requested						

GOVERNMENT CONTRACTS AND / OR GRANTS

Applicant: Shade Group LLC

Contracts Total: 4,755

	CONTRACT DESCRIPTION	EFFECTIVE DATES	AGENCY	GOVERNMENT ENTITY (U.S. / State / Haw / Hon / Kau / Mau)	CONTRACT VALUE
1	Chinatown Revitalization	6/1/2015-6/30/2015	City Council	Honolulu	4,755
2					
3					
4					
5					
6					
7					
8					
9					
10					
11					
12					
13					
14					
15					
16					
17					
18					
19					
20					
21					
22					
30					

**DECLARATION STATEMENT OF
APPLICANTS FOR GRANTS PURSUANT TO
CHAPTER 42F, HAWAII REVISIED STATUTES**

The undersigned authorized representative of the applicant certifies the following:

- 1) The applicant meets and will comply with all of the following standards for the award of grants pursuant to Section 42F-103, Hawaii Revised Statutes:
 - a) Is licensed or accredited, in accordance with federal, state, or county statutes, rules, or ordinances, to conduct the activities or provide the services for which a grant is awarded;
 - b) Complies with all applicable federal and state laws prohibiting discrimination against any person on the basis of race, color, national origin, religion, creed, sex, age, sexual orientation, or disability;
 - c) Agrees not to use state funds for entertainment or lobbying activities; and
 - d) Allows the state agency to which funds for the grant were appropriated for expenditure, legislative committees and their staff, and the auditor full access to their records, reports, files, and other related documents and information for purposes of monitoring, measuring the effectiveness, and ensuring the proper expenditure of the grant.
- 2) If the applicant is an organization, the applicant meets the following requirements pursuant to Section 42F-103, Hawaii Revised Statutes:
 - a) Is incorporated under the laws of the State; and
 - b) Has bylaws or policies that describe the manner in which the activities or services for which a grant is awarded shall be conducted or provided.
- 3) If the applicant is a non-profit organization, it meets the following requirements pursuant to Section 42F-103, Hawaii Revised Statutes:
 - a) Is determined and designated to be a non-profit organization by the Internal Revenue Service; and
 - b) Has a governing board whose members have no material conflict of interest and serve without compensation.

Pursuant to Section 42F-103, Hawaii Revised Statutes, for grants used for the acquisition of land, when the organization discontinues the activities or services on the land acquired for which the grant was awarded and disposes of the land in fee simple or by lease, the organization shall negotiate with the expending agency for a lump sum or installment repayment to the State of the amount of the grant used for the acquisition of the land.

Further, the undersigned authorized representative certifies that this statement is true and correct to the best of the applicant's knowledge.

SHADE Group LLC

(Typed Name of Individual or Organization)



(Signature)

1/22/2016

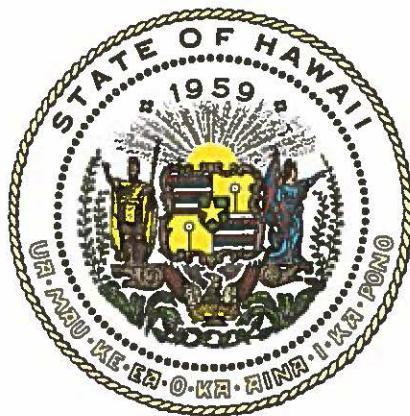
(Date)

Dean S. Sakamoto

(Typed Name)

Principal & Executive Director

(Title)



Department of Commerce and Consumer Affairs

CERTIFICATE OF GOOD STANDING

I, the undersigned Director of Commerce and Consumer Affairs of the State of Hawaii, do hereby certify that according to the records of this Department,

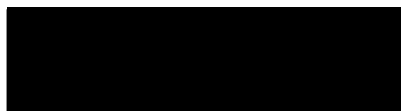
SHADE GROUP LLC

was organized under the laws of the State of Hawaii on 06/23/2014 ; that it is an existing limited liability company in good standing and is duly authorized to transact business.



IN WITNESS WHEREOF, I have hereunto set my hand and affixed the seal of the Department of Commerce and Consumer Affairs, at Honolulu, Hawaii.

Dated: January 21, 2016



Director of Commerce and Consumer Affairs

CHINATOWN Vision Map



Projects

- | | |
|-----------------------------|----------------------------|
| 1 Hotel Street Transit Mall | 8 Street Beautification |
| 2 Kekaulike Pedestrian Mall | 9 Facade Restoration Site |
| 3 Bento Box Microhousing | 10 Sun Yat Sen Park |
| 4 River Street Kiosks | 11 A'ala Park Improvements |
| 5 Chinatown Loo | 12 Heritage Walk |
| 6 Homeless Center | 13 Harbor Market |

 MINOR DISTRESSED BUILDING CONDITION

 MAJOR DISTRESSED BUILDING CONDITION

 HISTORIC DISTRICT & SPECIAL DESIGN DISTRICT LIMITS

 PROPOSED EXTENSION OF CHINATOWN COMMUNITY DEVELOPMENT DISTRICT

Vision

The SHADE Urban Acupuncture Project envisions Chinatown as a diverse, multi-generational destination for both long-term residents and tourists. We can celebrate Chinatown's rich heritage through the sustainable design of this community's right-of-ways, historic facades, public spaces, amenities, and interpretive elements. We can strengthen Chinatown's economic productivity by enhancing its physical connections to its adjacent districts and water frontage through intentionally designed pedestrian, bicycle, vehicular, and rail transit infrastructure.

Strategies

- Enhance Economic Development through Housing and Commerce
- Improve Safety / Sanitation / Beauty of the Right of Way
- Reinforce Chinatown as a Destination
- Promote Community Gathering / Cultural Celebration
- Reconnect Chinatown to Waterfronts / Adjacent Districts

SHADE
Summer Institute 2015

Sustainable
Humanitarian
Architecture
Design for the
Earth

CHINATOWN
URBAN
ACUPUNCTURE
WWW.SHADEGROUP.ORG

SHADE

Sustainable Humanitarian Architecture & Design for the Earth

Summer Institute 2015

Chinatown Urban Acupuncture Project Executive Summary

From June to August, twelve SHADE interns from three universities in Hawaii and the U.S. mainland and ten professional mentors from the fields of urban planning, architecture and landscape architecture participated in the Urban Acupuncture Project. Working with members of Honolulu's Chinatown Community, the interns assessed Chinatown's current conditions and began the process of planning a vision for its future. Preparation for the forthcoming Downtown Transit Oriented District (TOD) and rail station on Kekaulike Street was the stimulus for this project after a year of informal discussion among the Chinatown community and its area legislators.

SHADE interns and mentors participated in three community planning workshops, eight lunchtime talk stories with guest speakers, and weekly meetings with SHADE mentors. The interns served as volunteer facilitators at the Chinatown Action Summit, organized by the City Department of Planning and Permitting's TOD Planning Team on June 27th at the Golden Palace Restaurant. Following the Summit, SHADE led two of its own community design workshops on July 11th and 25th at the Hawaii Heritage Center in Chinatown. In addition to the workshops, talk stories and weekly meetings at SHADE, many Chinatown stakeholders were engaged through field surveys and informal conversations.

The final work products of the Project include the following recommendations: a Vision Statement, Design Strategies and 12 Project Concepts. These recommendations are supported by a 372 page report, a District Vision Site Plan, and room-sized scale model of the Chinatown District. Ultimately, these work products and recommendations will provide the basis for proof of concept project implementation (i.e. funded execution of specific projects and further planning). Ultimately, the establishment of a Chinatown Community Planning organization with dedicated funding, staff and a program will ideally follow-up this effort. Funding of the Summer Institute was made possible primarily by the City and County of Honolulu, City Council; Bento Box LLC; Hawaii Heritage Center, the Oahu Economic Development Board and Dean Sakamoto Architects LLC. More information on the SHADE Summer Institute and final work products can be found at www.shadegroup.org.

The SHADE Institute is a Hawaii-based Public Interest Design (PID) initiative to create a more sustainable, resilient and humane built environment by providing intern training and continuing education for urban planning and design professionals through community service.

SHADE Group LLC is a State of Hawaii registered business whose mission is to create alliances among innovative planning design firms, government non-profit and for-profit organizations, communities and individuals interested in making better built environments in the tropical and sub-tropical regions of the world. SHADE Institute is a component of SHADE Group LLC. The Oahu Economic Development Board, a 501(c)(3) is SHADE Institute's fiscal sponsor. SHADE is a subsidiary of Dean Sakamoto Architects LLC. For more info see www.shadegroup.org or call (808) 591-5558.

NEWS

SHADE throws a light on Chinatown

BY A. KAM NAPIER
PBN Editor-in-Chief

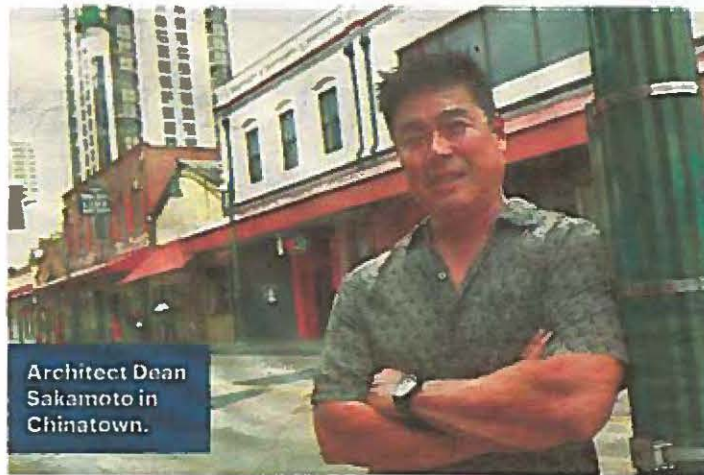
Honolulu architect Dean Sakamoto has launched a new venture called SHADE – Sustainable, Humanitarian, Architecture & Design for the Earth.

An umbrella group currently seeking nonprofit status, SHADE is intended to bring together architects, urban designers and engineers from private practice to collaborate with government entities and the public on issues with a strong public policy component.

Sakamoto kicked off the initiative Tuesday night at the AIA Honolulu's Center for Architecture. SHADE's first big project? Something Sakamoto calls "Chinatown Urban Acupuncture."

"As Chinatown prepares for the arrival of a rail station in 2019, we'll be looking for the pressure points, places where design can solve problems in the community," he said at the event, explaining the "acupuncture" reference.

For the audience of mainly design professionals, Sakamoto introduced



Architect Dean Sakamoto in Chinatown.

TINA YUEN

the dozen interns participating in the SHADE Summer Institute 2015, who are documenting the present condition of the 11 streets of Honolulu's Chinatown. He hopes that experienced design professionals will step forward to serve as mentors for the interns.

Sakamoto also wants the public to turn out for the workshops and activities coming up in the China-

town Urban Acupuncture project. The first major workshop will be the Chinatown Action Summit from 8:30 a.m. to 1 p.m. Saturday at the Golden Palace Seafood Restaurant at 111 N. King St. Harrison Rue, transit oriented development administrator for the City & County of Honolulu, noted that 250 people already had registered for the event and said the city wants to hear what residents

► CLOSER LOOK

SHADE

Umbrella group of architects, urban designers and engineers

Address: 720 Iwilei Road, Suite 336, Honolulu, HI 96817

Phone: 808-591-5558

Web: www.shadegroup.com

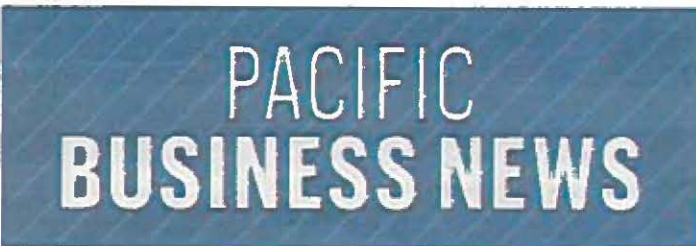
need, even blue-sky ideas.

"It could be as simple as a bench that's needed or as big as a festival promenade," he said.

Other events through Aug. 15 include lunchtime talk stories with architects, planners and representatives from the Honolulu Authority for Rapid Transportation.

State and city governments were both represented at the SHADE reception. State Sen. Suzanne Ghun Oakland, whose district includes Chinatown, noted that Honolulu's Chinatown is the nation's oldest. Honolulu City Council Chair Ernie Martin said of the SHADE Chinatown Initiative, "We're looking for outcomes we can guide with legislation."

Excerpt from:



PACIFIC
BUSINESS NEWS
June 26, 2015
Vol. 53, No. 18, \$2.75
737 Bishop St.
Suite 1590
Honolulu, HI 96813

-  **Breaking news online**
PacificBusinessNews.com
-  **On smartphones and tablets**
PacificBusinessNews.com/apps
-  **Daily email updates**
PacificBusinessNews.com/email

OFFICE OF THE MAYOR
CITY AND COUNTY OF HONOLULU

530 SOUTH KING STREET, ROOM 300 * HONOLULU, HAWAII 96813
PHONE: (808) 768-4141 * FAX: (808) 768-4242 * INTERNET: www.honolulu.gov



KIRK CALDWELL
MAYOR

July 16, 2015

RECEIVED

JUL 20 2015

Dean Sakamoto
Architects LLC

ROY K. AMEMIYA, JR.
MANAGING DIRECTOR
GEORGETTE T. DEEMER
DEPUTY MANAGING DIRECTOR

Mr. Dean Sakamoto
and SHADE Summer Institute Interns
SHADE Group, LLC
720 Iwilei Road, Suite 336
Honolulu, Hawaii 96817

Dear Mr. Sakamoto and Interns:

Thank you for your participation and assistance with the Chinatown Action Summit on Saturday, June 27, 2015. Your efforts and coordination throughout the event played an integral part in the success of the summit. This event was critical in helping the City prioritize its efforts to improve this special community.

We are fortunate to have dedicated community members like yourself in our city and hope you will continue to stay engaged as we continue to invest our efforts in Chinatown.

Sincerely,



Kirk Caldwell
Mayor



OFFICE OF PLANNING STATE OF HAWAII

DAVID Y. IGE
GOVERNOR

LEO R. ASUNCION
DIRECTOR
OFFICE OF PLANNING

235 South Beretania Street, 6th Floor, Honolulu, Hawaii 96813
Mailing Address: P.O. Box 2359, Honolulu, Hawaii 96804

Telephone: (808) 587-2846
Fax: (808) 587-2824
Web: <http://planning.hawaii.gov/>

Ref. No. P-15026

January 22, 2016

The Honorable Sylvia Luke
Chair, House Committee on Finance
State Capitol, Room 306
415 South Beretania Street
Honolulu, Hawaii 96813

The Honorable Jill N. Tokuda
Chair, Senate Committee on Ways and Means
State Capitol, Room 207
415 South Beretania Street
Honolulu, Hawaii 96813

Dear Representative Luke and Senator Tokuda:

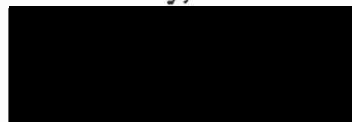
The Office of Planning (OP) supports the SHADE Group LLC's Chapter 42F, Hawaii Revised Statutes, grant application for Fiscal Year 2017. OP is willing to serve as the expending agency should the grant application be selected for funding.

The SHADE Group proposal would provide additional community design services and support for community-based design activities related to State investments in transit-oriented development along the Honolulu rail corridor on Oahu, and for similar infrastructure and facility projects on the Neighbor Islands. The community design workshops and implementation activities that SHADE envisions would complement and supplement the planning and design efforts of State and county agencies, providing additional capacity and flexibility in how these public initiatives are integrated into the impacted communities.

We are particularly pleased that the SHADE Group proposal will provide multiple benefits to Hawaii's communities. The planned community design workshops would foster the engagement of community residents, businesses, and institutions in improving design in the public realm of their communities. The workshops will provide invaluable training opportunities for graduate students pursuing careers in the planning and design professions, exposing them to best practices in civic design and public engagement. Just as importantly, they will provide focused community service opportunities for practicing design professionals to share their skill and talent with communities selected for the SHADE workshops and civic design initiatives.

We respectfully request your favorable consideration of the SHADE Group's grant application.

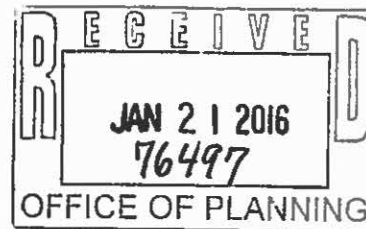
Sincerely,



Leo R. Asuncion
Director

DEPARTMENT OF PLANNING AND PERMITTING
CITY AND COUNTY OF HONOLULU

650 SOUTH KING STREET, 7TH FLOOR • HONOLULU, HAWAII 96813
PHONE: (808) 768-8000 • FAX: (808) 768-6041
DEPT. WEB SITE: www.honoluluodpp.org • CITY WEB SITE: www.honolulu.gov



KIRK CALDWELL
MAYOR



GEORGE I. ATTA, FAICP
DIRECTOR

ARTHUR D. CHALLACOMBE
DEPUTY DIRECTOR

January 19, 2016

Mr. Leo Asuncion, Director
Office of Planning
Dept. of Business, Economic
Development & Tourism
P.O. Box 2359
Honolulu, Hawaii 96804

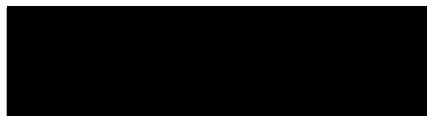
Dear Mr. Asuncion:

I am writing in support of the SHADE Institute's application for State of Hawaii Grant in Aid funding for its operations during Fiscal Year 2017.

My office worked closely with SHADE last summer on Transit-Oriented Development (TOD) planning and supplementary urban design visualization for Chinatown. SHADE interns also served as facilitators at the Chinatown Action Summit in June 2015, which served over 240 community members. At very little cost to the City, the SHADE team successfully extended the City's outreach efforts and provided concepts for potential projects in Chinatown. It would be ideal if SHADE can continue to collaborate with City and State agencies, and supplement our State's urban planning and design efforts.

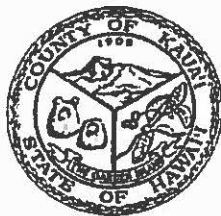
In the coming year, we hope to work with SHADE on our ongoing efforts to implement the Waipahu Neighborhood TOD Plan. SHADE will work with City staff and community groups on an action plan for connectivity and place making around the Waipahu Transit Center, Waipahu Depot Road, and the surrounding Old Town area. Interns and mentors will assist with facilitation and information gathering, site analysis, and urban design visualization of the project focus areas to help develop near-term actions to create a safe, accessible, culturally appropriate, and pedestrian-friendly neighborhood center.

Many thanks for your consideration of this worthy application. Please feel free to contact me with any questions at 768-8294 or hru@honolulu.gov.



Harrison Rue
Community Building and TOD Administrator

Bernard P. Carvalho, Jr.
Mayor



Nadine K. Nakamura
Managing Director

OFFICE OF THE MAYOR
County of Kaua'i, State of Hawai'i
4444 Rice Street, Suite 235, Līhu'e, Hawai'i 96766
TEL (808) 241-4900 FAX (808) 241-6877

Mr. Leo Asuncion, Director
Office of Planning
Dept. of Business, Economic Development and Tourism
P.O. Box 2359
Honolulu, HI 96804

Re: Letter of Support for SHADE Institute
State of Hawaii Grant in Aid Application FY 2017
January 22, 2016

Dear Mr. Asuncion:

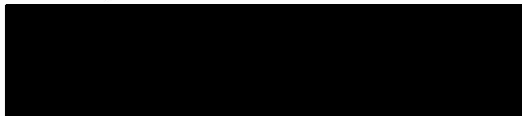
I am writing in support of the SHADE Institute's application for State of Hawaii Grant in Aid funding for its operation during Fiscal Year 2017. Kaua'i County is looking forward to the possibility of collaborating with the SHADE Community Design Workshop to improve the Garden Island's public realm.

The timing of SHADE's grant request is ideal because it aligns with several current and future planning and design initiatives in our urban core and outlying communities. In addition, SHADE's emphasis on sustainable and humanitarian urban design compliments Mayor Carvalho's initiatives relating to complete streets, safe routes to schools, and smart-growth.

As a planner myself, I believe that a new approach is needed to plan, design and build our island communities. While SHADE's work will not replace the usual professional services and government regulation of projects, the idea of merging professional development with community service will positively enhance this process.

Please consider this intriguing application.

Mahalo,


Nadine K. Nakamura
Managing Director

January 22, 2016

Mr. Leo Asuncion, Director
Office of Planning
Dept. of Business, Economic Development and Tourism
P.O. Box 2359
Honolulu, HI 96804

Re: Letter of Support for SHADE Institute
State of Hawaii Grant in Aid Application FY 2017

Dear Mr. Asuncion:

I am writing in support of the SHADE Institute's application for State of Hawaii Grant in Aid funding for its operation during Fiscal Year 2017. The Urban Land Institute Hawaii looks forward to collaborating with SHADE as a few of our members did last year as a mentor through the Chinatown Urban Acupuncture Project.

We believe that the SHADE Institute's program aligns with ULI's mission to provide leadership in the responsible use of land and in creating and sustaining thriving communities worldwide.

In the coming year, ULI Hawaii looks forward to increasing our participation with SHADE through our programs such as UrbanPlan and other events. We believe that by combining intern and professional training through the SHADE Institute, land development professionals will learn, engage with and help to improve our island communities.

Many thanks for considering of this worthy application.

Mahalo,



Steven W. Colón
Chair, ULI Hawaii



CGPTA

Chinatown Gateway Plaza Tenant Association

Since 2006

Steve Lohse, Chair
CGP Tenant Association
1031 Nuuanu Ave., #2104
Honolulu, HI 96817

January 21, 2016

Leo Asuncion, Director
Office of Planning
Dept. of Business, Economic Development and Tourism
P.O. Box 2359
Honolulu, HI 96804

Re: Letter of Support for SHADE

Aloha e Director Asuncion,

My name is Steve Lohse, I am a founding member and chair of the Chinatown Gateway Plaza Tenant Association (CGPTA), organized by CGP residents in 2006 to keep ourselves informed and engaged in matters of interest to our resident community.

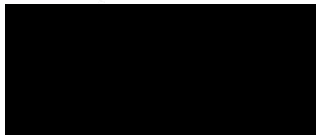
On behalf of the CGPTA, I am writing this letter in support of the SHADE Institute for a State Grant in Aid (GIA) for operating funds for the coming fiscal year.

SHADE is a tremendous asset to our City and our Chinatown community. The SHADE Institute's collaborative work stimulates support and action addressing community needs by engaging genuine resident and business community voices and supplementing the City's transportation and housing efforts.

SHADE expertly facilitates community dialogue through City/Community/SHADE collaboration. Effective community-based planning and genuine community-based decision making are extremely important to our resident community, and SHADE has shown itself to be an excellent community partner.

Please support the SHADE Institute's critical work on behalf of our sustainable, humane, and livable State, City, and Chinatown community. Thank you!

Aloha no,



Steve Lohse, CGPTA

Cc: Dean Sakamoto



Hawai`i Chapter American Society of Landscape Architects

1253 S Beretania St #3006
Honolulu, HI 96814

January 22, 2016

Mr. Leo Asuncion, Director
Office of Planning
Dept. of Business, Economic Development and Tourism
P.O. Box 2359
Honolulu, HI 96804

Subject: Support SHADE Institute
Hawai`i State Grant in Aid Application FY 2017

Aloha, Mr. Asuncion:

The Hawai`i Chapter of the American Society of Landscape Architects (Hawai`i ASLA) supports the SHADE Institute's application for Hawai`i State Grant in Aid funding for its operation during Fiscal Year 2017. Hawai`i ASLA looks forward to collaborating with SHADE as our members did last year through the Chinatown Urban Acupuncture Project.

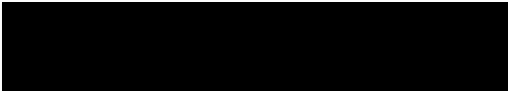
In the coming year, Hawai`i ASLA plans to increase our participation with SHADE through our Chapter's *Mālama Pono* community outreach activities. The SHADE Institute is also an avenue for our seasoned and young professionals to share their own professional knowledge with interns as well as for our professionals to obtain continuing education credits in their respective states of licensure.

By combining intern training and professional development through the SHADE Institute, we believe that we will ensure a more resilient future where we truly act as stewards of the land for our communities. After all *He wa'a he moku, he moku he wa'a*.

Mahalo nui loa for considering the SHADE Institute's application.

Very Respectfully,

Founded 1899
*Landscape Architects lead
the stewardship, planning,
and design of our built and
natural environments.*


Elizabeth E Fischer, RLA, ASLA, APA, IAEM
Hawai`i ASLA President



American Planning Association
Hawai'i Chapter

*hawai'i chapter
of the
american planning
association
p.o. box 557
honolulu
hawai'i
96809
www.hawaiiapa.org*

January 21, 2016

Mr. Leo Asuncion, Director
Office of Planning
Dept. of Business, Economic Development and Tourism
P.O. Box 2359
Honolulu, HI 96804

Re: Letter of Support for SHADE Institute
State of Hawai'i Grant in Aid Application FY 2017

Aloha, Mr. Asuncion:

I am writing in support of the SHADE Institute's application for State of Hawai'i Grant in Aid funding for its operation during Fiscal Year 2017. The Hawai'i Chapter of the American Planning Association looks forward to collaborating with SHADE as our members did last year through the Chinatown Urban Acupuncture Project.

In the coming year, APA Hawai'i looks forward to increasing our participation with SHADE through our Certification Maintenance (CM) program for our members who are American Institute of Certified Planners and other events. We believe that by combining intern training and professional development through the SHADE Institute, planning professionals will learn, engage with and help to improve our island communities.

Many thanks for considering of this worthy application.

Mahalo,

Kimi Mikarai Yuen
APA Hawai'i Chapter President

*founded in 1962, the
hawai'i chapter has over
300 members, including
planning officials, public
and private sector planners,
and community advocates,
on the major islands
across the state*

*e mālama pono i ka 'āina;
nānā mai ke ola
take good care of the land;
it grants you life*



AECOM
Design + Planning
1001 Bishop St Ste 1600
Honolulu, HI 96813
www.aecom.com

808 529 7290 tel
808 529 7289 fax

Mr. Leo Asuncion, Director
Office of Planning
Dept. of Business, Economic Development and Tourism
P.O. Box 2359
Honolulu, HI 96804

**Re: Letter of Support for SHADE Institute
State of Hawaii Grant in Aid Application FY 2017**

January 22, 2016

Aloha Mr. Asuncion,

I am writing in support of the SHADE Institute's application for State of Hawaii Grant in Aid funding for its operation during Fiscal Year 2017. As a mentor of the SHADE Institute program and a community planner working for AECOM, I support the continuance of the SHADE Institute and look forward to another collaboration with SHADE as what I did last year through the Chinatown Urban Acupuncture Project.

In the coming year, AECOM Honolulu Office will work closely with SHADE to provide an collaborative internship program to assist with the good cause of what SHADE brings to the community and how interns and AECOM professionals can be benefited from each other, by learning between academic methodologies and real life experiences. I strongly believe that SHADE Institute is a great program that will help improve our island communities as a whole.

Your consideration of this worthy application is highly appreciated.

Mahalo nui loa,



Lorenda Lo
Associate Planner, AECOM
Coordinator of the Chinatown Riverwalk Revitalization
and Downtown Connectivity Study

DENISE P. BEKAERT, Architect

January 22, 2016

Mr. Leo Asuncion
Director Office of Planning
Dept. of Business, Economic Development and Tourism
PO Box 2359
Honolulu, HI 96804

Re: Letter of Support for SHADE

Dear Mr. Asuncion:

I am writing this letter in support of SHADE Institute's application for state Grant-In-Aid funding for its internship development and community collaboration program.

I am a practicing architect and was a mentor for SHADE Institute's 2015 summer interns involved with the Chinatown community's planning. I found the experience professionally rewarding, and more importantly I believe that the interns (our future community planners) and the community stakeholders and residents developed practical skills and pragmatic plans for improving Chinatown and helping it thrive.

Based on similar programs I was involved in during my professional matriculation, and involvement in grassroots-student-architect collaboration in 30 years of practice, my experience has been that these programs result in real and constructive projects and strengthen the larger community through dialogue and mutual support. I look forward to maintaining my contribution to the SHADE Institute.

Again, I earnestly encourage your consideration and support of SHADE's application.

Respectfully,



Denise P. Bekaert, RA, A.I.A.

Cc: Dean Sakamoto