

House District 5
Senate District 4

THE TWENTY-EIGHTH LEGISLATURE
APPLICATION FOR GRANTS
CHAPTER 42F, HAWAII REVISED STATUTES

Log No:

For Legislature's Use Only

Type of Grant Request:

GRANT REQUEST - OPERATING

GRANT REQUEST - CAPITAL

"Grant" means an award of state funds by the legislature, by an appropriation to a specified recipient, to support the activities of the recipient and permit the community to benefit from those activities.

"Recipient" means any organization or person receiving a grant.

STATE DEPARTMENT OR AGENCY RELATED TO THIS REQUEST (LEAVE BLANK IF UNKNOWN):

STATE PROGRAM I.D. NO. (LEAVE BLANK IF UNKNOWN):

1. APPLICANT INFORMATION:

Legal Name of Requesting Organization or Individual:
Hawaii Sober Living & Recovery Center

Db/a: Hawaii Sober Living & Recovery Center

Street Address: 75-5708 Alahou Street
Kailua-Kona, HI 96740

Mailing Address: same as street address

2. CONTACT PERSON FOR MATTERS INVOLVING THIS APPLICATION:

Name MARELLA HAKKEI

Title Board Director, Treasurer

Phone # (808) 443-3873

Fax # _____

E-mail hmarella@yahoo.com

3. TYPE OF BUSINESS ENTITY:

- NON PROFIT CORPORATION INCORPORATED IN HAWAII
- FOR PROFIT CORPORATION INCORPORATED IN HAWAII
- LIMITED LIABILITY COMPANY
- OTHER
- SOLE PROPRIETORSHIP/INDIVIDUAL

6. DESCRIPTIVE TITLE OF APPLICANT'S REQUEST:

TO ESTABLISH THE FIRST COMPREHENSIVE DUAL-DIAGNOSIS OUTPATIENT DRUG AND ALCOHOL TREATMENT SERVICES ON THE ISLAND OF HAWAII.



RECEIVED

1/27/15 1:40 am

4. FEDERAL TAX ID # _____

5. STATE TAX ID # _____

7. AMOUNT OF STATE FUNDS REQUESTED:

FISCAL YEAR 2016: \$ 100,000

8. STATUS OF SERVICE DESCRIBED IN THIS REQUEST:

- NEW SERVICE (PRESENTLY DOES NOT EXIST)
- EXISTING SERVICE (PRESENTLY IN OPERATION)

SPECIFY THE AMOUNT BY SOURCES OF FUNDS AVAILABLE AT THE TIME OF THIS REQUEST:

STATE \$ 0
FEDERAL \$ 0
COUNTY \$ 0
PRIVATE/OTHER \$ 21,000

TYPE NAME & TITLE OF AUTHORIZED REPRESENTATIVE:

[Redacted Name and Title]

MARELLA HAKKEI, BOARD DIRECTOR, TREASURER
NAME & TITLE

1/25/2015
DATE SIGNED



Department of Commerce and Consumer Affairs

CERTIFICATE OF GOOD STANDING

I, the undersigned Director of Commerce and Consumer Affairs of the State of Hawaii, do hereby certify that

HAWAII SOBER LIVING & RECOVERY

was incorporated under the laws of the State of Hawaii on 06/28/2013 ; that it is an existing nonprofit corporation; and that, as far as the records of this Department reveal, has complied with all of the provisions of the Hawaii Nonprofit Corporations Act, regulating domestic nonprofit corporations.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed the seal of the Department of Commerce and Consumer Affairs, at Honolulu, Hawaii.

Dated: January 12, 2015

Catherine P. Awele-Cobb

Interim Director of Commerce and Consumer Affairs



Application for Grants

If any item is not applicable to the request, the applicant should enter "not applicable".

I. Background and Summary

This section shall clearly and concisely summarize and highlight the contents of the request in such a way as to provide the State Legislature with a broad understanding of the request. Please include the following:

1. A brief description of the applicant's background;

Hawaii Sober Living & Recovery Center is a non-profit 501 c(3) organization located in Kailua-Kona, Hawaii. The mission of our organization is to provide a sober living facility in a clean, safe, stable and structured environment for persons with mental and substance abuse disorders. In addition, we provide affordable housing to low-income families and the homeless population. We are a 60-bed facility that provides housing for approximately 150 individuals each year.

Hawaii Sober Living & Recovery Center was formed in 2013 to take over the existing programs and activities of Alahou Clean & Sober that were located at the same location since 2010.

2. The goals and objectives related to the request:

Hawaii Sober Living & Recovery is seeking funding from the Hawaii Legislature to expand our services to provide substance abuse treatment services at our facility. We seek to establish Hawaii island's first and only comprehensive, integrated dual-diagnosis outpatient treatment program. In launching this first program of its kind on the island, we have several goals:

The primary goal of our dual-diagnosis treatment program is to provide comprehensive, individualized, integrated substance abuse and mental health treatment under one roof. Through hiring the qualified staff outlined in this proposal, we will be able to provide the services our clients need to truly be successful, independent, contributing members of our community.

Our second goal is to expand the number of clients that we serve in substance abuse treatment. In recent years, the facility has provided basic substance abuse services for approximately 35 individuals per year. The services have included only group counseling, offered twice a week by an external provider. We have not been able to ensure the quality of the services that are provided. Through the funding we are seeking, we propose to provide a comprehensive, high-quality, and effective substance abuse treatment program that will serve 125 individuals each year.

The third goal of this program will be to continually improve our services by monitoring and evaluating program effectiveness, and applying program improvements and innovations whenever possible.

3. The public purpose and need to be served:

Substance abuse and mental health issues are a major concern in the Kailua-Kona district. The child abuse, homelessness, vagrancy, health care costs, poverty and crime that often result from substance abuse are an enormous detriment to our economy and social welfare as a community. According to the State of Hawaii Department of Health's 2004 Treatment Needs Assessment, in the County of Hawaii there are simply not enough treatment facilities in place to treat the numbers of individuals in need. By adding trained professional staff to our existing facility, and by expanding our treatment programs, the Hawaii Sober Living & Recovery Center will be meeting a critical need in our community.

In addition, mental health issues are often an underlying factor in an individual's substance abuse problem, and often are undiagnosed or untreated. According to a 2010 study from the National Survey on Drug Use and Health, 45% of individuals with substance addiction have a co-occurring psychiatric disorder. The Healthcare Association of Hawaii identified the need to treat substance abuse and mental health co-morbidity in their 2013 State of Hawaii Community Needs Assessment. Recent research at the national level has found that people with co-occurring disorders of substance abuse and mental health need a specialized form of treatment called integrated services, or dual-diagnosis treatment. Our team of experts will be able to provide the integrated substance abuse and mental health services (dual-diagnosis treatment) that these clients require.

We find that our clients are not effectively able to manage their own care at separate facilities, due to lack of transportation, cost, and an inability to manage their own schedules. By having a team of qualified experts who treat addiction and mental health issues all under one roof, we can provide high-quality effective care that will enable our clients to break the cycle of addiction and lead healthy, productive lives. Currently, there is no comprehensive dual-diagnosis treatment center on the Big Island. We would be the first to provide this model of integrated services on the island.

4. Describe the target population to be served:

The Hawaii Sober Living & Recovery Center currently serves as a sober living facility for adults with substance abuse and mental health issues. Most of our clients are referred to us from other agencies or the justice system, and almost all of our clients receive financial assistance from the State or other social programs. All of our clients report low-income status. Through our program expansion, we anticipate serving the same target population.

5. Describe the geographic coverage:

Most of our program participants will be from the County of Hawaii. We will also accept referrals from other islands.

II. Service Summary and Outcomes

The Service Summary shall include a detailed discussion of the applicant's approach to the request. The applicant shall clearly and concisely specify the results, outcomes, and measures of effectiveness from this request. The applicant shall:

1. Describe the scope of work, tasks and responsibilities:

Staff Hiring: We will first hire an Executive Director and Program Director to oversee all aspects of the program. The Executive Director will be responsible for hiring and/or contracting the other staff, including: a psychiatrist, medical director, therapists, and a dietician.

Program Development & Implementation: Through the intake and assessment process, our skilled staff will develop individualized treatment plans for each client. Services will be coordinated through case management to best achieve effective results. Hawaii Sober Living & Recovery will achieve this work through a combination of the following programs and services:

- a. Detoxification – Our staff of licensed trained professionals will address the client’s biological and chemical dependency on drugs and alcohol through specialized detoxification treatments.
- b. Primary Treatment for addiction – Our staff will support the client in making long-term recovery through individualized therapeutic treatment interventions for addiction.
- c. Mental Health Services –Our staff will conduct medical and psychiatric assessments, prescribe medications, and conduct group and private counseling, as needed to address clients’ mental health issues.
- d. Sober Living Environment – Our facility provides a safe, clean, structured, and low-cost environment where clients can remain free from substance abuse for up to six months.
- e. Recreational Counseling- Our staff will provide ongoing education and hands-on trainings to prepare clients for transition to employment and independent living through life skills such as: cooking, chores, money management, effective communication, personal hygiene, transportation, problem-solving, and social and recreational activities.
- f. Vocational Counseling – We partner with One-Stop and Hawaii County Economic Opportunity Center to provide clients with employability skills and transition to work preparations and services.

Program Expansion: Once the integrated services are in place, we can then expand our client base to serve the targeted number of individuals.

Program Evaluation: Using client’s initial and final assessment data that we collect, we will be able to evaluate the effectiveness of our treatment programs.

- 2. Provide a projected annual timeline for accomplishing the results or outcomes of the service:

Objective	Quarter 1	Quarter 2	Quarter
Staff Hiring	X		
Program Development	X	X	
Program Implementation		X	X
Program Expansion			X

 Program Evaluation

X

X

3. Describe its quality assurance and evaluation plans for the request. Specify how the applicant plans to monitor, evaluate, and improve their results; and

We will hire qualified licensed and trained professionals to ensure the highest quality of care is provided at our facility. Staff will be required to have current professional licensing, and must maintain continuing education credits annually according to State of Hawaii guidelines required for each position. In addition, we will provide monthly staff training and supervision.

The impact of treatment will be measured quarterly using the DSM-V(Diagnostic and Statistical Manual of Mental Disorders), the SASSI(Substance Abuse Subtle Screening Inventory) and the ASI(Addiction Severity Index), all of which are professional assessment tools. Based on these quarterly evaluations, staff will recognize the effective therapeutic tools and change or modify treatment plans accordingly.

3. List the measure(s) of effectiveness that will be reported to the State agency through which grant funds are appropriated (the expending agency). The measure(s) will provide a standard and objective way for the State to assess the program's achievement or accomplishment. Please note that if the level of appropriation differs from the amount included in this application that the measure(s) of effectiveness will need to be updated and transmitted to the expending agency.

We will measure the effectiveness of our program in the number of clients who make observable progress in their treatment plans. We will measure progress through evaluations using the DSM-III, SASSI and ASI assessment tools, and treatment success will be based on a comparison with each client's initial assessment using these same tools. We will track observable data such as number of days sober, highest level of functioning, social skills performance evaluations, and number of days employed.

III. Financial

Budget

1. The applicant shall submit a budget utilizing the enclosed budget forms as applicable, to detail the cost of the request.

See attached.

2. The applicant shall provide its anticipated quarterly funding requests for the fiscal year 2016.

Quarter 1	Quarter 2	Quarter 3	Quarter 4	Total Grant
\$25,000	\$25,000	\$25,000	\$25,000	\$100,000

3. The applicant shall provide a listing of all other sources of funding that they are seeking for fiscal year 2016.

McInerny Foundation	\$25,000
Other foundations	\$100,000

4. The applicant shall provide a listing of all state and federal tax credits it has been granted within the prior three years. Additionally, the applicant shall provide a listing of all state and federal tax credits they have applied for or anticipate applying for pertaining to any capital project, if applicable.

Not applicable.

5. The applicant shall provide a listing of all government contracts and grants it has been and will be receiving for program funding.

We have received \$25,000 in funding from the Shipper's Wharf Committee Trust in 2014.

6. The applicant shall provide the balance of its unrestricted current assets as of December 31, 2014.

The unrestricted current assets total is \$21,319.14.

IV. Experience and Capability

A. Necessary Skills and Experience

The applicant shall demonstrate that it has the necessary skills, abilities, knowledge of, and experience relating to the request. State your experience and appropriateness for providing the service proposed in this application. The applicant shall also provide a listing of verifiable experience of related projects or contracts for the most recent three years that are pertinent to the request.

Hawaii Sober Living & Recovery Center has been successfully serving the Kailua-Kona community as a sober living center since 2013, when this organization took over an existing facility. Our plans to expand to a

comprehensive treatment center will be led by a highly qualified team of professionals.

Our Executive Director, Dr. Simon Casey, PhD, LADC, CEDS is a management level professional with over 25 years of experience in the field of behavioral health, co-occurring substance abuse and mental health disorders, and healthcare management. He has successfully established and managed several small to large inpatient and outpatient treatment programs. He is skilled in working with an ethnically diverse community of employees, clients and in culturally sensitive communities. He is a licensed Alcohol and Drug Counselor, and a Board Certified Eating Disorder Specialist. He is the author of several books and numerous articles in his field. His resume with verifiable experience of related projects is attached. Dr. Casey will hire and oversee the qualified staff needed to deliver the program services described in this proposal.

Our Medical Director, Dr. Patrick Okamura, M.D., has been in professional practice as a physician in the State of Hawaii for over 25 years. He specializes in pain management and treatment for addictions.

B. Facilities

The applicant shall provide a description of its facilities and demonstrate its adequacy in relation to the request. If facilities are not presently available, describe plans to secure facilities.

Hawaii Sober Living & Recovery Center is a 60 bed/30 room sober living program facility located in the heart of Kailua-Kona. Our proposed integrated dual-diagnosis treatment program will be housed in the offices of the facility, and will serve as outpatient services for both those living in the sober living facility, and those residing in the community.

V. Personnel: Project Organization and Staffing

A. Proposed Staffing, Staff Qualifications, Supervision and Training

The applicant shall describe the proposed staffing pattern and proposed service capacity appropriate for the viability of the request. The applicant shall provide the qualifications and experience of personnel for the request and shall describe its ability to supervise, train and provide administrative direction relative to the request.

We seek to staff the center with the following positions in order to provide the comprehensive services that are needed in the community:

Dr. Simon Casey, the Executive Director(full time), will be responsible for hiring and supervising all other staff, and be responsible for the development and expansion of the program. Dr. Casey is a nationally-recognized management professional with more than 25 years in the field of managing and operating inpatient and outpatient treatment and recovery programs.

Dr. Patrick Okamura will serve as the Medical Director(part-time). Dr. Okamura will conduct the initial physical exam and address clients' medical needs in the program, and will supervise the Dietician. Dr. Okamura is a board certified physician with more than 25 years of experience in the field, and specializes in pain management and substance abuse treatment.

The Psychiatrist(part-time) will oversee the detoxification program, evaluate and assess clients' mental health needs, and prescribe and manage medication as needed. The Psychiatrist will be a licensed, certified professional with more than 5 years of experience in the field.

The Dietician(part-time) will address and oversee the client's nutritional needs while in the program. The Dietician will be a certified professional with more than 2 years of experience in the field.

The Program Director(full time) will ensure the smooth day-to-day operations of the center, tend to client issues, handle office administrative needs, as well as oversee the work of the Intake Coordinator.

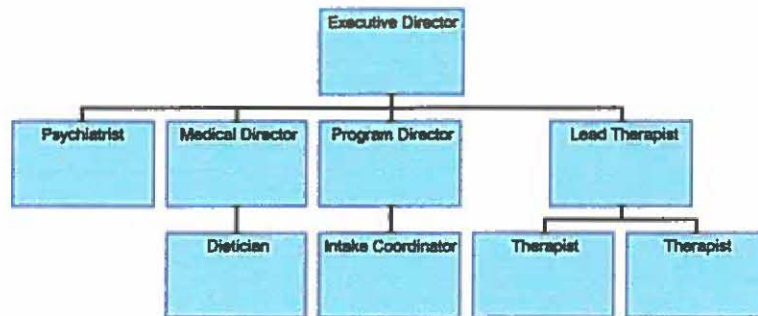
The Intake Coordinator(full-time) will schedule and manage the registration and initial assessment of clients.

The Lead Therapist(part-time) will provide day-to-day substance abuse and mental health services through individual and group counseling, and will oversee the other therapists. The Lead Therapist will be a licensed, certified professional with more than 5 years of experience in the field.

Therapists(part-time) will assist the Lead Therapist in providing substance abuse, mental health, as well as vocational and recreational services to the clients. The Therapists will be licensed, certified professionals with more than 2 years of experience in the field.

B. Organization Chart

The applicant shall illustrate the position of each staff and line of responsibility/supervision. If the request is part of a large, multi-purpose organization, include an organization chart that illustrates the placement of this request.



C. Compensation

The applicant shall provide the annual salaries paid by the applicant to the three highest paid officers, directors, or employees of the organization by position.

The current Program Director and two Intake Coordinators do not receive salaries, but they are given in-kind free rent at the sober living center.

VI. Other

A. Litigation

The applicant shall disclose any pending litigation to which they are a party, including the disclosure of any outstanding judgement. If applicable, please explain.

Not applicable.

B. Licensure or Accreditation

The applicant shall specify any special qualifications, including but not limited to licensure or accreditation that the applicant possesses relevant to this request.

Not applicable.

C. Federal and County Grants

The applicant shall separately specify the amount of federal and county grants awarded since July 1, 2014.

We received \$25,000 from the Shipper's Wharf Committee Trust.

D. Private Educational Institutions

The applicant shall specify whether the grant will be used to support or benefit a sectarian or non-sectarian private educational institution. Please see Article X, Section 1, of the State Constitution for the relevance of this question.

The grant will not be used to benefit any private educational institution.

E. Future Sustainability Plan

The applicant shall provide a plan for sustaining after fiscal year 2015-16 the activity funded by the grant if the grant of this application is:

- (1) Received by the applicant for fiscal year 2015-16, but
- (2) Not received by the applicant thereafter.

The Hawaii Sober Living & Recovery Center will continue to seek funding from other private foundations and individuals in fiscal year 2015-2016. In addition, the facility receives revenue generated from sober living housing and treatment of clients.

F. Certificate of Good Standing (If the Applicant is an Organization)

If the applicant is an organization, the applicant shall submit one (1) copy of a certificate of good standing from the Director of Commerce and Consumer Affairs that is dated no earlier than December 1, 2014.

See attached.

BUDGET REQUEST BY SOURCE OF FUNDS

Period: July 1, 2015 to June 30, 2016

App

BUDGET CATEGORIES	Total State Funds Requested (a)	Total Federal Funds Requested (b)	Total County Funds Requested (c)	Total Private/Other Funds Requested (d)
A. PERSONNEL COST				
1. Salaries	78,000			192,000
2. Payroll Taxes & Assessments	6,400			15,380
3. Fringe Benefits	15,600			19,200
TOTAL PERSONNEL COST	100,000			226,580
B. OTHER CURRENT EXPENSES				
1. Airfare, Inter-Island				1,000
2. Insurance				7,200
3. Lease/Rental of Equipment				0
4. Lease/Rental of Space				120,000
5. Staff Training				0
6. Supplies				10,000
7. Telecommunication				5,000
8. Utilities				65,000
9				
10				
11				
12				
13				
14				
15				
16				
17				
18				
19				
20				
TOTAL OTHER CURRENT EXPENSES				208,200
C. EQUIPMENT PURCHASES				
D. MOTOR VEHICLE PURCHASES				
E. CAPITAL				
TOTAL (A+B+C+D+E)	100,000			434,760
SOURCES OF FUNDING		Budget Prepared By:		
(a) Total State Funds Requested	100,000	Marella Hakkei 808-443-3873		
(b) Total Federal Funds Requested		Name (Please type or print) Phone		
(c) Total County Funds Requested		[Redacted] Jan 25, 2015		
(d) Total Private/Other Funds Requested	434,760	Signature of Authorized Official Date		
TOTAL BUDGET	534,760	Marella Hakkei		
		Name and Title (Please type or print)		
		Board of Directors - Secretary		

BUDGET JUSTIFICATION - PERSONNEL SALARIES AND WAGES

Period: July 1, 2015 to June 30, 2016

Hawaii Sober Living & Recovery Center

POSITION TITLE	FULL TIME EQUIVALENT	ANNUAL SALARY A	% OF TIME ALLOCATED TO GRANT REQUEST B	TOTAL STATE FUNDS REQUESTED (A x B)
Executive Director	54000	\$54,000.00	100.00%	\$ 54,000.00
Program Director	24000	\$24,000.00	100.00%	\$ 24,000.00
Intake Coordinator	30000	\$30,000.00		\$ -
Psychiatrist	28000	\$14,000.00		\$ -
Medical Director	41000	\$36,000.00		\$ -
Dietician	24000	\$12,000.00		\$ -
Lead Therapist	45000	\$36,000.00		\$ -
Therapist	40000	\$32,000.00		\$ -
Therapist	40000	\$32,000.00		\$ -
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
TOTAL:				78,000.00
JUSTIFICATION/COMMENTS:				

BUDGET JUSTIFICATION - EQUIPMENT AND MOTOR VEHICLES

Period: July 1, 2015 to June 30, 2016

Applicant: Hawaii Sober Living & Recovery Center

DESCRIPTION EQUIPMENT	NO. OF ITEMS	COST PER ITEM	TOTAL COST	TOTAL BUDGETED
n/a			\$ -	
			\$ -	
			\$ -	
			\$ -	
			\$ -	
TOTAL:				0
JUSTIFICATION/COMMENTS:				

DESCRIPTION OF MOTOR VEHICLE	NO. OF VEHICLES	COST PER VEHICLE	TOTAL COST	TOTAL BUDGETED
n/a			\$ -	
			\$ -	
			\$ -	
			\$ -	
			\$ -	
TOTAL:				0
JUSTIFICATION/COMMENTS:				

BUDGET JUSTIFICATION - CAPITAL PROJECT DETAILS

Period: July 1, 2015 to June 30, 2016

Applicant: _Hawaii Sober Living & Recovery Ce

FUNDING AMOUNT REQUESTED						
TOTAL PROJECT COST	ALL SOURCES OF FUNDS RECEIVED IN PRIOR YEARS		STATE FUNDS REQUESTED	OF FUNDS REQUESTED	FUNDING REQUIRED IN SUCCEEDING YEARS	
	FY: 2013-2014	FY: 2014-2015	FY:2015-2016	FY:2015-2016	FY:2016-2017	FY:2017-2018
PLANS	n/a					
LAND ACQUISITION	n/a					
DESIGN	n/a					
CONSTRUCTION	n/a					
EQUIPMENT	n/a					
TOTAL:	0	0	0	0	0	0
JUSTIFICATION/COMMENTS:						

GOVERNMENT CONTRACTS AND/OR GRANTS

Applicant: Hawaii Sober Living & Recovery Center

	CONTRACT DESCRIPTION	EFFECTIVE DATES	AGENCY	GOVERNMENT ENTITY (U.S. / State / Haw / Hon / Kau / Mau)	CONTRACT VALUE
1.	Computer Center	5/2014-5/2015	Shipper's Wharf Committee Trust	Hawaii	\$25,000
2.					
3.					
4.					
5.					
6.					
7.					
8.					
9.					
10.					
				TOTAL	\$25,000

**DECLARATION STATEMENT OF
APPLICANTS FOR GRANTS PURSUANT TO
CHAPTER 42F, HAWAII REVISIED STATUTES**

The undersigned authorized representative of the applicant certifies the following:

- 1) The applicant meets and will comply with all of the following standards for the award of grants pursuant to Section 42F-103, Hawaii Revised Statutes:
 - a) Is licensed or accredited, in accordance with federal, state, or county statutes, rules, or ordinances, to conduct the activities or provide the services for which a grant is awarded;
 - b) Complies with all applicable federal and state laws prohibiting discrimination against any person on the basis of race, color, national origin, religion, creed, sex, age, sexual orientation, or disability;
 - c) Agrees not to use state funds for entertainment or lobbying activities; and
 - d) Allows the state agency to which funds for the grant were appropriated for expenditure, legislative committees and their staff, and the auditor full access to their records, reports, files, and other related documents and information for purposes of monitoring, measuring the effectiveness, and ensuring the proper expenditure of the grant.
- 2) If the applicant is an organization, the applicant meets the following requirements pursuant to Section 42F-103, Hawaii Revised Statutes:
 - a) Is incorporated under the laws of the State; and
 - b) Has bylaws or policies that describe the manner in which the activities or services for which a grant is awarded shall be conducted or provided.
- 3) If the applicant is a non-profit organization, it meets the following requirements pursuant to Section 42F-103, Hawaii Revised Statutes:
 - a) Is determined and designated to be a non-profit organization by the Internal Revenue Service; and
 - b) Has a governing board whose members have no material conflict of interest and serve without compensation.

Pursuant to Section 42F-103, Hawaii Revised Statutes, for grants used for the acquisition of land, when the organization discontinues the activities or services on the land acquired for which the grant was awarded and disposes of the land in fee simple or by lease, the organization shall negotiate with the expending agency for a lump sum or installment repayment to the State of the amount of the grant used for the acquisition of the land.

Further, the undersigned authorized representative certifies that this statement is true and correct to the best of the applicant's knowledge.

Hawaii Sober Living & Recovery Center
(Typed Name of Individual or Organization)

(Signature)

Marella Hakkei
(Typed Name)

1/25/2015
(Date)

Treasurer, Board of Directors
(Title)