

House District 13

Senate District 7

THE TWENTY-SEVENTH LEGISLATURE  
APPLICATION FOR GRANTS AND SUBSIDIES  
CHAPTER 42F, HAWAII REVISED STATUTES

Log No: \_\_\_\_\_

For Legislature's Use Only

Type of Grant or Subsidy Request:

GRANT REQUEST – OPERATING

GRANT REQUEST – CAPITAL

SUBSIDY REQUEST

"Grant" means an award of state funds by the legislature, by an appropriation to a specified recipient, to support the activities of the recipient and permit the community to benefit from those activities.

"Subsidy" means an award of state funds by the legislature, by an appropriation to a recipient specified in the appropriation, to reduce the costs incurred by the organization or individual in providing a service available to some or all members of the public.

"Recipient" means any organization or person receiving a grant or subsidy.

STATE DEPARTMENT OR AGENCY RELATED TO THIS REQUEST (LEAVE BLANK IF UNKNOWN):

DOE - HANA HIGH AND ELEMENTARY

STATE PROGRAM I.D. NO. (LEAVE BLANK IF UNKNOWN): \_\_\_\_\_

1. APPLICANT INFORMATION:

Legal Name of Requesting Organization or Individual:

Db: Ma Ka Hana Ka 'Ike

Street Address: 4111 Hana Hwy

Mailing Address: P.O. Box 968  
Hana, HI 96713

2. CONTACT PERSON FOR MATTERS INVOLVING THIS APPLICATION:

Name RICK RUTIZ

Title Executive Director

Phone # 808-264-3013

Fax # 808-248-8581

e-mail rick@hanabuild.org

3. TYPE OF BUSINESS ENTITY:

- NON PROFIT CORPORATION  
 FOR PROFIT CORPORATION  
 LIMITED LIABILITY COMPANY  
 SOLE PROPRIETORSHIP/INDIVIDUAL

6. DESCRIPTIVE TITLE OF APPLICANT'S REQUEST:

HANA INFANT AND TODDLER PROGRAM

4. FEDERAL TAX ID #: [REDACTED]

5. STATE TAX ID #: \_\_\_\_\_

7. AMOUNT OF STATE FUNDS REQUESTED:

FISCAL YEAR 2015: \$ 102,680

8. STATUS OF SERVICE DESCRIBED IN THIS REQUEST:

- NEW SERVICE (PRESENTLY DOES NOT EXIST)  
 EXISTING SERVICE (PRESENTLY IN OPERATION)

SPECIFY THE AMOUNT BY SOURCES OF FUNDS AVAILABLE AT THE TIME OF THIS REQUEST:

STATE \$ \_\_\_\_\_

FEDERAL \$ \_\_\_\_\_

COUNTY \$ \_\_\_\_\_

PRIVATE/OTHER \$ \_\_\_\_\_

TYPE NAME & TITLE OF AUTHORIZED REPRESENTATIVE:

[REDACTED]  
RICK RUTIZ, EXECUTIVE DIRECTOR  
NAME & TITLE

1/24/2014  
DATE SIGNED

## Application for Grants and Subsidies

*If any item is not applicable to the request, the applicant should enter "not applicable".*

### I. Background and Summary

This section shall clearly and concisely summarize and highlight the contents of the request in such a way as to provide the State Legislature with a broad understanding of the request. Include the following:

#### **A brief description of the applicant's background;**

Ma Ka Hana Ka 'Ike is a construction skills training program for high school youth in Hana, Maui; an isolated community on the island's east coast with over two-thirds its population of Native Hawaiian ancestry. The mission of our award-winning program is to provide Hana's youth, particularly at-risk students, with a way to learn that makes sense to them, that builds their self-esteem and shows them they have the power to change their future. Ma Ka Hana Ka 'Ike has been working with Hana School and the community of partnerships since 2000.

The Ma Ka Hana Ka 'Ike building program staff works with the Hana School Career Technical Education staff and students to apply academics such as math and science to real-life situations. The program provides the students with hands on vocational training and job experiences so they can graduate being college and career ready. Students take pride in their abilities and accomplishments through building projects. The Grant in Aid Proposal is to provide funds for the construction of an Infant and Toddler Program Facility which will allow students to take part in the creation of a structure to house a long needed program in Hana.

#### **The goals and objectives related to the request;**

The greater Hana Community (Keanae to Kaupo) does not have a licensed child care facility (infant to 3 years old). The lack of a licensed child care facility for this community is problematic for a number of reasons. The main two reasons are safety and financial. With regards to safety, the main purpose of a licensed child care facility is to ensure that basic safety services are provide to our Hana keiki. With regards to the financial aspect, child care is prohibitively expensive. If the Hana community has a licensed child care facility, the high cost of child care would become less because many of our families could now qualify for a subsidy. Subsidies can only be paid to families if their children are in licensed child care facilities. Child care often costs more than a parent can make if they were employed. This is especially true if the parent has more than one child. It is better if our parents are contributing to society by having a job and paying taxes than being on public assistance. As stated above, there are no licensed child care facilities in the Hana community. This puts our Hana families at a financial disadvantage and our keiki in a potentially less safe situation if they attend un-licensed child care facilities.

Ma Ka Hana Ka 'Ike provides our High School youth a hands-on training program that meets their learning needs and shows them pathways to success, with an emphasis on sustainable techniques the program addresses the global environmental crisis and instills the value of responsible and innovative resource use. The project done by Ma Ka Hana Ka 'Ike meet real community needs, and teaches the greatest lesson of all—how to malama [caretake] our people and create a vibrant local community.

**The public purpose and need to be served;**

As stated previously, the community of Hana does not have a licensed child care facility. In 2006 a survey was conducted in the Hana Community by Hana School. Each and every role group had "early childhood education" as one of their top three need areas. In response to this need, Hana School created their own preschool program. Ma Ka Hana Ka 'Ike has assisted the school in their renovation process to make regular classrooms into preschool rooms. The Hana School Preschool Program meets the needs for those students who were unable to have a preschool experience due to the Kamehameha Preschool reaching the capacity because of space constrictions. The Hana School Preschool was implemented in 2007 to absorb the overflow from Kamehameha Preschool. Because of this action, every preschool-age child in the Hana Community is offered a preschool experience. Currently Hana has 26 registered preschool students. With regards to this aspect of early childhood education, Hana is on the forefront. Hana School would like to take the early childhood program to the next level, this would be a licensed Infant and Toddler Program.

Having previously built 14 structures on the Hana School campus we would like to extend this partnership and have the next project be the Infant and Toddler Facility.

**Describe the target population to be served; and the geographic coverage.**

The Hana Community extends 50 miles of rugged East Maui coastline from Keanae to Kipahulu. The town of Hana is an agricultural community with three main employers; The Travaasa Hotel, the County of Maui and Hana High and Elementary School. The community has a population of about 1300, Hana School Complex serves a population of 363 students preschool-12th grade. The 2010 census shows a population of 103 persons 0 - 4 years. The Hana School Complex is the center of many community events. The majority of the student population is of Hawaiian or Part-Hawaiian ancestry. The next largest ethnic group is Caucasian. Approximately 80% of the students are on free or reduced lunch status. The School community believes strongly in the need for early childhood education. Between Hana School and Kamehameha School preschools, education is being provided to all 3 and 4 year old students. A pre-school education, if their parents choose to enroll them, is being offered.

Because there is no licensed child care in Hana, we are partnering with other organizations such as Maui Family Support Services, Kamehameha Schools, Maui Economic Opportunity, Ohana Makamae and Maui County to provide employees to run an infant and toddler program for the students, teachers and other Hana residents. There is very strong community support for the Hana School Complex. Non-profit organizations are located on the campus and contribute

greatly to education and support of the students and involving them in community service activities. Ma Ka Hana Ka 'Ike runs the building program, Ohana Makamae provides counseling and academic support services and will be providing parenting classes in conjunction with the infant toddler program for pregnant and parenting teens. Hana Arts provides a music, art and dance program for grades K-8. In exchange for their services the school has allowed these programs use of the facilities.

From 2010-12, Hana High School had four students fail to graduate because they did not have child care. Their parents worked, so the students had to stay home with their infants. In 2010, Hana school had one teacher on leave due to the lack of child care. Four of our eleven elementary teachers were out on extended maternity leave during the 2011-12 school year due to the lack of childcare. These teachers being out resulted in classes being taught by a substitute teacher. Hana is a hard-to-fill area with regards to teacher positions, the lack of child care in Hana is radically affecting education in Hana. Currently 3 students in the High School are pregnant, two graduates from last year are pregnant and one teacher just came back from maternity leave and another teacher is due next month. We are attempting to convert an existing classroom into a temporary facility, but facility requirements are stringent and we really do not have the room under our current school population.

## **II. Service Summary and Outcomes**

The Service Summary shall include a detailed discussion of the applicant's approach to the request. The applicant shall clearly and concisely specify the results, outcomes, and measures of effectiveness from this request.

The scope of the project is to construct a 1900 sf facility powered by a photovoltaic system on the Hana High and Elementary School campus to be utilized as an infant toddler facility for ages 0 - 3 year olds. The facility will be fully accessible per ADA standards and undergo County Permitting and DCAB Review as required by the State Department of Education Facilities and Maui DAGS.

Ma Ka Hana Ka 'Ike will be the general contractor on the site and work with architects, engineers, sub contractors and Hana High and Elementary School / DAGS / State Facilities Development Branch to project completion. Completion will be approximately 12 months from the time of ground breaking. State Permits and review will have to occur prior to start of construction and is dependent on county agency timelines. Quarterly progress reports will be sent to the Legislature to document building progress. Measure of effectiveness will include a quality satisfaction survey, end user satisfaction survey and a well constructed building free from defects for a time of 2 years from date of completion.

Through the course of the project Ma Ka Hana Ka 'Ike plans to achieve the following: 1) transform the behavioral patterns of at-risk youth, 2) create an appreciation of learning, 3) build community relationships, 4) respond to real school and community needs, 5) offer skills of self-sustenance to the remote Hana region, and 6) prove to youth that goals can be reached.

We aim to meet the above goals with the following objectives: 1) offering a new way to “feel good” without turning to drugs, alcohol, or negative forms of expression; and renewing self-esteem by experiencing pride in accomplishment; 2) showing immediate and tangible results of learning, and introducing learning by choice; 3) instilling the respect of other people and property, and promoting teamwork; 4) improving the school, and providing a new infant and toddler facility for the Hana community; 5) preparing youth for employment in construction trades, inspiring further training, or providing skills for use at home; and raising the skill capacity of our community; and 6) providing a formula for success, instilling youth with the confidence to believe they can succeed.

### III. Financial

#### Budget

1. The applicant shall submit a budget utilizing the enclosed budget forms as applicable, to detail the cost of the request. **SEE ATTACHED FORMS**
2. The applicant shall provide its anticipated quarterly funding requests for the fiscal year 2015.

Operating	Quarter 1	Quarter 2	Quarter 3	Quarter 4	Total Grant
	\$ 25,670	\$ 25,670	\$ 25,670	\$ 25,670	\$ 102,680
Capital	Quarter 1	Quarter 2	Quarter 3	Quarter 4	Total Grant
	\$ 95,527	\$ 137,576	\$137,576	\$ 38,384	\$ 409,063

3. The applicant shall provide a listing of all other sources of funding that they are seeking for fiscal year 2015. **“not applicable”**
4. The applicant shall provide a listing of all state and federal tax credits it has been granted within the prior three years. Additionally, the applicant shall provide a listing of all state and federal tax credits they have applied for or anticipate applying for pertaining to any capital project, if applicable. **“not applicable”**
5. The applicant shall provide the balance of its unrestricted current assets as of December 31, 2013. **for this project ( 0 ) “not applicable”**

### IV. Experience and Capability

#### A. Necessary Skills and Experience

The applicant shall demonstrate that it has the necessary skills, abilities, knowledge of, and experience relating to the request. State your experience and appropriateness for providing the service proposed in this application. The

applicant shall also provide a listing of verifiable experience of related projects or contracts for the most recent three years that are pertinent to the request.

Ma Ka Hana Ka 'Ike is a hands-on construction skills training program for at-risk youth in Hana, East Maui. Our mission is to provide youth with a way to learn that makes sense to them, that builds their self-esteem and shows them they have the power to change their future.

We teach academic subjects through real-life, hands-on application, where students can understand the concepts they're learning through tangible examples. But these aren't just examples for learning's sake. Our projects meet real school and community needs, so our students' education immediately serves those whose lives it touches. Now in our 14<sup>th</sup> successful year (established Fall 2000), we're proud to review the students' main accomplishments: the building of 27 cottages for local elders, 33 collaborative building projects with other area nonprofits, 14 on-campus facilities at Hana High and Elementary School, 6 solar photovoltaic systems and 17 solar hot water systems, a new community farm for Hana, and nearly 50 ramps and handicap-access improvements for elders and community members in need. Go to [www.hanabuild.org](http://www.hanabuild.org) to view our many projects.

## **B. Facilities**

The applicant shall provide a description of its facilities and demonstrate its adequacy in relation to the request. If facilities are not presently available, describe plans to secure facilities. The applicant shall also describe how the facilities meet ADA requirements, as applicable.

As shown above and on the attached website ([www.hanabuild.org](http://www.hanabuild.org)) Ma Ka Hana Ka 'Ike has built many projects on the Hana High and Elementary School Campus. We are currently working with Nick Nichols from the Facilities Development Branch of the Department of Education. We will submit plans to the Disability and Communication Access Board for review prior to construction to make sure the new facility meets ADA requirements.

## **V. Personnel: Project Organization and Staffing**

### **A. Proposed Staffing, Staff Qualifications, Supervision and Training**

The applicant shall describe the proposed staffing pattern and proposed service capacity appropriate for the viability of the request. The applicant shall provide the qualifications and experience of personnel for the request and shall describe its ability to supervise, train and provide administrative direction relative to the request.

Executive director and licensed contractor Rick Rutiz is aided by a full-time program assistant, a part-time program specialist, and a rotating crew of building experts, who give of their time, materials, and services to help further our students' training. Since our inception, Rick and the program have received a humbling series of awards, including the Council for Native Hawaiian

Advancement's Nonprofit of the Year Award (2004); the prestigious Tiny Malaikini Mea Kokua Award (2009), honoring Rick as one who has given most selflessly for the good of the community; several Maui County Council resolutions for bringing exposure to our youth's achievements on national TV (we've been featured on the Discovery Channel's Planet Green network [2009] & Emmy-award winning Profiles in Caring [2010]); the Maui News' 1 of 10 People Who Make a Difference Award (2001); Hawai'i State Governor Linda Lingle's Innovation Award (2010); Mayor Alan Arakawa's Laulima Award for Environmental Leadership (2011); and most recently, the Maui No Ka Oi "Environmental Hero" Award (2012). We believe the greatest measure of our qualifications, however, is not just in awards or even buildings constructed, but the changes we see taking place in our students every day. In their words: "As the year goes by, I'm always learning something new ... I've made many mistakes, but then I learn from it and I prevent it from happening again." "I love to be empowered by my 'aina [land] and kupuna [elders] ... Ma Ka Hana Ka 'Ike keeps me away from bad habits and encourages me to stay on the right path."

**B. Organization Chart**

The applicant shall illustrate the position of each staff and line of responsibility/supervision. If the request is part of a large, multi-purpose organization, include an organizational chart that illustrates the placement of this request. (see above question)

**C. Compensation**

The applicant shall provide the annual salaries paid by the applicant to the three highest paid officers, directors, or employees of the organization by position.

[REDACTED]	Executive Director	\$80,000 (salary)
[REDACTED]	Program Specialist	\$36,000 (salary)
[REDACTED]	Foreman/Teacher	\$25.00 (hourly)

**VI. Other**

**A. Litigation**

The applicant shall disclose any pending litigation to which they are a party, including the disclosure of any outstanding judgement. If applicable, please explain. **"not applicable"**

**B. Licensure or Accreditation**

The applicant shall specify any special qualifications, including but not limited to licensure or accreditation that applicant possesses relevant to this request.

Contractor's License

**BUDGET REQUEST BY SOURCE OF FUNDS**  
(Period: July 1, 2014 to June 30, 2015)

Applicant: Ma Ka Hana Ka 'Ike

BUDGET CATEGORIES	Total State Funds Requested	Ma Ka Hana Ka 'Ike		(d)
	(a)	(b)	(c)	
<b>A. PERSONNEL COST</b>				
1. Salaries	102,680	160,680		
2. Payroll Taxes & Assessments	in kind	in kind / code		
3. Fringe Benefits	in kind	in kind / code		
<b>TOTAL PERSONNEL COST</b>	<b>102,680</b>	<b>160,680</b>		
<b>B. OTHER CURRENT EXPENSES</b>				
1. Airfare, Inter-Island	0	in kind		
2. Insurance	0	in kind		
3. Lease/Rental of Equipment	0	in kind		
4. Lease/Rental of Space	0	in kind		
5. Staff Training	0	in kind		
6. Supplies	0	in kind		
7. Telecommunication	0	in kind		
8. Utilities	0	in kind		
9				
10				
11				
12				
13				
14				
15				
16				
17				
18				
19				
20				
<b>TOTAL OTHER CURRENT EXPENSES</b>	<b>0</b>	in kind		
<b>C. EQUIPMENT PURCHASES</b>	<b>0</b>			
<b>D. MOTOR VEHICLE PURCHASES</b>	<b>0</b>			
<b>E. CAPITAL</b>	<b>409,063</b>			
<b>TOTAL (A+B+C+D+E)</b>	<b>511,743</b>	<b>160,680</b>		
<b>SOURCES OF FUNDING</b>		Budget Prepared By:		
(a) Total State Funds Requested	511,743	Rick Rutiz	808-264-3013	
(b)		[REDACTED]		
(c)				
(d)				
<b>TOTAL BUDGET</b>	<b>511,743</b>	Signature of Authorized Official _____ Date _____ Kamaui Aiona, Board President Name and Title (Please type or print)		



## BUDGET JUSTIFICATION PERSONNEL - SALARIES AND WAGES

Applicant: Ma Ka Hana Ka 'Ike

Period: July 1, 2014 to June 30, 2015

POSITION TITLE	FULL TIME EQUIVALENT	ANNUAL SALARY A	% OF TIME ALLOCATED TO GRANT REQUEST B	TOTAL STATE FUNDS REQUESTED (A x B)
Executive Director	1	\$80,000.00	25.00%	\$ 20,000.00
Journeyman / Educator	0.5	\$36,000.00	25.00%	\$ 9,000.00
Supervisor 1	1	\$42,000.00	50.00%	\$ 21,000.00
Supervisor 2	1	\$42,000.00	50.00%	\$ 21,000.00
Apprentice 1	0.5	\$15,840.00	50.00%	\$ 7,920.00
Apprentice 2	0.5	\$15,840.00	50.00%	\$ 7,920.00
Apprentice 3	0.5	\$15,840.00	50.00%	\$ 7,920.00
Apprentice 4	0.5	\$15,840.00	50.00%	\$ 7,920.00
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
<b>TOTAL:</b>				<b>102,680.00</b>
<b>JUSTIFICATION/COMMENTS:</b>				

## BUDGET JUSTIFICATION - EQUIPMENT AND MOTOR VEHICLES

Applicant: Ma Ka Hana Ka 'Ike

Period: July 1, 2014 to June 30, 2015

DESCRIPTION EQUIPMENT	NO. OF ITEMS	COST PER ITEM	TOTAL COST	TOTAL BUDGETED
"not applicable"			\$ -	
			\$ -	
			\$ -	
			\$ -	
			\$ -	
<b>TOTAL:</b>				
<b>JUSTIFICATION/COMMENTS:</b>				

DESCRIPTION OF MOTOR VEHICLE	NO. OF VEHICLES	COST PER VEHICLE	TOTAL COST	TOTAL BUDGETED
"not applicable"			\$ -	
			\$ -	
			\$ -	
			\$ -	
			\$ -	
<b>TOTAL:</b>				
<b>JUSTIFICATION/COMMENTS:</b>				

## BUDGET JUSTIFICATION CAPITAL PROJECT DETAILS

Applicant: Ma Ka Hana Ka 'Ike

Period: July 1, 2014 to June 30, 2015

FUNDING AMOUNT REQUESTED						
TOTAL PROJECT COST	ALL SOURCES OF FUNDS RECEIVED IN PRIOR YEARS		STATE FUNDS REQUESTED	OF FUNDS REQUESTED	FUNDING REQUIRED IN SUCCEEDING YEARS	
	FY: 2012-2013	FY: 2013-2014	FY:2014-2015	FY:2014-2015	FY:2015-2016	FY:2016-2017
PLANS/Permits/DCAB			9454			
LAND ACQUISITION			0			
DESIGN			19479			
Construction / Septic Groundwork / ADA sidewalks / PV			380130			
EQUIPMENT			0			
<b>TOTAL:</b>			<b>409,063</b>			
JUSTIFICATION/COMMENTS:						

**DECLARATION STATEMENT OF  
APPLICANTS FOR GRANTS AND SUBSIDIES PURSUANT TO  
CHAPTER 42F, HAWAII REVISIED STATUTES**

The undersigned authorized representative of the applicant certifies the following:

- 1) The applicant meets and will comply with all of the following standards for the award of grants and subsidies pursuant to Section 42F-103, Hawai'i Revised Statutes:
  - a) Is licensed or accredited, in accordance with federal, state, or county statutes, rules, or ordinances, to conduct the activities or provide the services for which a grant or subsidy is awarded;
  - b) Complies with all applicable federal and state laws prohibiting discrimination against any person on the basis of race, color, national origin, religion, creed, sex, age, sexual orientation, or disability;
  - c) Agrees not to use state funds for entertainment or lobbying activities; and
  - d) Allows the state agency to which funds for the grant or subsidy were appropriated for expenditure, legislative committees and their staff, and the auditor full access to their records, reports, files, and other related documents and information for purposes of monitoring, measuring the effectiveness, and ensuring the proper expenditure of the grant or subsidy.
  
- 2) The applicant meets the following requirements pursuant to Section 42F-103, Hawai'i Revised Statutes:
  - a) Is incorporated under the laws of the State; and
  - b) Has bylaws or policies that describe the manner in which the activities or services for which a grant or subsidy is awarded shall be conducted or provided.
  
- 3) If the applicant is a non-profit organization, it meets the following requirements pursuant to Section 42F-103, Hawai'i Revised Statutes:
  - a) Is determined and designated to be a non-profit organization by the Internal Revenue Service; and
  - b) Has a governing board whose members have no material conflict of interest and serve without compensation.

Pursuant to Section 42F-103, Hawai'i Revised Statutes, for grants or subsidies used for the acquisition of land, when the organization discontinues the activities or services on the land acquired for which the grant or subsidy was awarded and disposes of the land in fee simple or by lease, the organization shall negotiate with the expending agency for a lump sum or installment repayment to the State of the amount of the grant or subsidy used for the acquisition of the land.

Further, the undersigned authorized representative certifies that this statement is true and correct to the best of the applicant's knowledge.

Ma Ka Hana Ka 'Ike  
(Typed Name of Individual or Organization)

  
(Signature)

01/29/2014  
(Date)

Kamaui Aiona  
(Typed Name)

Board President  
(Title)