

GOV. MSG. NO. 1172

EXECUTIVE CHAMBERS

NEIL ABERCROMBIE GOVERNOR

May 27, 2011

The Honorable Shan Tsutsui, President and Members of the Senate Twenty-Sixth State Legislature State Capitol, Room 409 Honolulu, Hawaii 96813 The Honorable Calvin Say, Speaker and Members of the House Twenty-Sixth State Legislature State Capitol, Room 431 Honolulu, Hawaii 96813

Dear President Tsutsui, Speaker Say and Members of the Legislature:

This is to inform you that on May 27, 2011, the following bill was signed into law:

SB1347 SD1 HD2 CD1

RELATING TO THE PUBLIC UTILITIES COMMISSION ACT 069 (11)

NEILABERCROMBIE Governor, State of Hawaii

Approved by the Governor

THE SENATE TWENTY-SIXTH LEGISLATURE, 2011 STATE OF HAWAII

S.B. NO. ¹³⁴⁷ S.D. 1 H.D. 2 C.D. 1

ACT 069

A BILL FOR AN ACT

RELATING TO THE PUBLIC UTILITIES COMMISSION.

BE IT ENACTED BY THE LEGISLATURE OF THE STATE OF HAWAII:

1	SECTION 1. Chapter 269, Hawaii Revised Statutes, is
2	amended by adding a new section to part I to be appropriately
3	designated and to read as follows:
4	"§269- Electronic copies of documents. (a) No later
5	than July 1, 2011, the public utilities commission shall accept
6	from any person who submits a document to the commission the
7	original and one electronic copy of each application, complaint,
8	pleading, brief, or other document required to be filed with the
9	commission pursuant to this chapter and the consumer advocate
10	shall accept service of one paper copy and one electronic copy
11	of each application, complaint, pleading, brief, or other
12	document filed with the commission.
13	(b) The commission shall adopt rules pursuant to chapter
14	91 to effectuate the purposes of this section. Until the final
15	adoption by the commission of rules governing the electronic
16	filing of documents with the commission, the following
17	requirements shall apply to all documents submitted to the
18	commission pursuant to this section; provided that additional

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1	requireme	nts with regard to document format may be established
2	by the co	mmission through written guidelines:
3	(1)	Unless otherwise required by this chapter or the rules
4		or guidelines of the commission, each person who
5		submits or files an application, complaint, pleading,
6	•	brief, or other document shall submit to or file with
7		the commission an original and one electronic copy of
8		each document and shall serve one paper copy and one
9		electronic copy of each document on the consumer
10		advocate;
11	(2)	All paper documents submitted to or filed with the
12	,	commission shall be printed on one side of the page
13		only and, if practicable, in portrait orientation;
14	<u>(3)</u>	Original paper documents submitted to or filed with
15		the commission shall not be stapled but shall be
16		clipped together or placed in a clearly marked three-
17		ring binder, as appropriate;
18	(4)	All paper documents filed or submitted to the
19		commission shall include appropriately labeled
20		separator pages in addition to tabbed dividers, as
21		applicable;

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1	(5)	All confidential documents filed under confidential
2		seal shall be clearly designated in accordance with
3		the requirements of any applicable protective order,
4		and the sealed envelope in which the confidential
5		documents are enclosed shall clearly indicate the
6		appropriate docket number and subject;
7	(6)	Electronic documents shall be submitted on a clearly
8		marked compact disk and shall be in portable document
9		format saved in separate files corresponding to the
10		original paper document submission; provided that
11		electronic documents submitted under confidential seal
12		shall be submitted on a separate compact disk, clearly
13		marked as confidential and indicating the appropriate
14		docket number and subject; and
15	<u>(7)</u>	Electronic documents shall be named using the filing
16		party's name, docket number, date of filing, and name
17		of document as part of the document title.
18	Upon	final adoption of rules pursuant to chapter 91, the
19	rules of	the commission governing submission or filing of
20	electroni	c documents shall supersede the provisions of this
21	subsection	<u>n.</u>

1	(c) No later than July 1, 2013, the public utilities
2	commission shall accept any application, complaint, pleading,
3	brief, or other document required to be filed with the
4	commission pursuant to this chapter as either a paper document
5	or an electronic document.
6	(d) If a signature is required on any document submitted
7	electronically pursuant to this section, that requirement shall
8	be satisfied by the inclusion of an electronic signature.
9	Chapter 489E shall apply to all electronic documents submitted
10	pursuant to this section."
11	SECTION 2. Chapter 271, Hawaii Revised Statutes, is
12	amended by adding a new section to be appropriately designated
13	and to read as follows:
14	"§271- Electronic copies of documents. (a) No later
15	than July 1, 2011, the public utilities commission shall accept
16	from any person who submits a document to the commission the
17	original and one electronic copy of each application, complaint,
18	pleading, brief, or other document required to be filed with the
19	commission pursuant to this chapter and the consumer advocate
20	shall accept service of one paper copy and one electronic copy
21	of each application, complaint, pleading, brief, or other
22	document filed with the commission.
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1	(b)	The commission shall adopt rules pursuant to chapter	
2	91 to eff	fectuate the purposes of this section. Until the final	
3	adoption	by the commission of rules governing the electronic	
4	filing of	documents with the commission, the following	
5	requirements shall apply to all documents submitted to the		
6	commissic	on pursuant to this section; provided that additional	
7	requireme	ents with regard to document format may be established	
8	by the co	mmission through written guidelines:	
9	(1)	Unless otherwise required by this chapter or the rules	
10		or guidelines of the commission, each person who	
11		submits or files an application, complaint, pleading,	
12		brief, or other document shall submit to or file with	
13		the commission an original and one electronic copy of	
14		each document and shall serve one paper copy and one	
15		electronic copy of each document on the consumer	
16		advocate;	
17	(2)	All paper documents submitted to or filed with the	
18		commission shall be printed on one side of the page	
19		only and, if practicable, in portrait orientation;	
20	(3)	Original paper documents submitted to or filed with	
21		the commission shall not be stapled but shall be	

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1		clipped together or placed in a clearly marked three-
2		ring binder, as appropriate;
3	(4)	All paper documents filed or submitted to the
4		commission shall include appropriately labeled
5		separator pages in addition to tabbed dividers, as
6		applicable;
7	(5)	All confidential documents filed under confidential
8		seal shall be clearly designated in accordance with
9		the requirements of any applicable protective order,
10		and the sealed envelope in which the confidential
11		documents are enclosed shall clearly indicate the
12		appropriate docket number and subject;
13	(6)	Electronic documents shall be submitted on a clearly
14		marked compact disk and shall be in portable document
15		format saved in separate files corresponding to the
16		original paper document submission; provided that
17		electronic documents submitted under confidential seal
18		shall be submitted on a separate compact disk, clearly
19	7 	marked as confidential and indicating the appropriate
20		docket number and subject; and

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1	(7) Electronic documents shall be named using the filing
2	party's name, docket number, date of filing, and name
.3	of document as part of the document title.
4	Upon final adoption of rules pursuant to chapter 91, the
5	rules of the commission governing submission or filing of
6	electronic documents shall supersede the provisions of this
7	subsection.
8	(c) No later than July 1, 2013, the public utilities
9	commission shall accept any application, complaint, pleading,
10	brief, or other document required to be filed with the
11	commission pursuant to this chapter as either a paper document
12	or an electronic document.
13	(d) If a signature is required on any document submitted
14	electronically pursuant to this section, that requirement shall
15	be satisfied by the inclusion of an electronic signature.
16	Chapter 489E shall apply to all electronic documents submitted
17	pursuant to this section."
18	SECTION 3. Chapter 271G, Hawaii Revised Statutes, is
19	amended by adding a new section to be appropriately designated
20	and to read as follows:
21	"§271G- Electronic copies of documents. (a) No later
22	than July 1, 2011, the public utilities commission shall accept
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1	from any person who submits a document to the commission the		
2	original and one electronic copy of each application, complaint,		
3	pleading, brief, or other document required to be filed with the		
4	commission pursuant to this chapter and the consumer advocate		
5	shall accept service of one paper copy and one electronic copy		
6	of each application, complaint, pleading, brief, or other		
7	document filed with the commission.		
8	(b) The commission shall adopt rules pursuant to chapter		
9	91 to effectuate the purposes of this section. Until the final		
10	adoption by the commission of rules governing the electronic		
11	filing of documents with the commission, the following		
12	requirements shall apply to all documents submitted to the		
13	commission pursuant to this section; provided that additional		
14	requirements with regard to document format may be established		
15	by the commission through written guidelines:		
16	(1) Unless otherwise required by this chapter or the rules		
17	or guidelines of the commission, each person who		
18	submits or files an application, complaint, pleading,		
19	brief, or other document shall submit to or file with		
20	the commission an original and one electronic copy of		
21	each document and shall serve one paper copy and one		

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1		electronic copy of each document on the consumer
T		erectionic copy of each document on the consumer
2		advocate;
3	(2)	All paper documents submitted to or filed with the
4		commission shall be printed on one side of the page
5		only and, if practicable, in portrait orientation;
6	(3)	Original paper documents submitted to or filed with
7	-	the commission shall not be stapled but shall be
8		clipped together or placed in a clearly marked three-
9		ring binder, as appropriate;
10	(4)	All paper documents filed or submitted to the
11		commission shall include appropriately labeled
12		separator pages in addition to tabbed dividers, as
13		applicable;
14	(5)	All confidential documents filed under confidential
15		seal shall be clearly designated in accordance with
16		the requirements of any applicable protective order,
17		and the sealed envelope in which the confidential
18		documents are enclosed shall clearly indicate the
19	:	appropriate docket number and subject;
20	(6)	Electronic documents shall be submitted on a clearly
21		marked compact disk and shall be in portable document
22		format saved in separate files corresponding to the

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1		original paper document submission; provided that
2		electronic documents submitted under confidential seal
3		shall be submitted on a separate compact disk, clearly
4		marked as confidential and indicating the appropriate
5		docket number and subject; and
6	(7)	Electronic documents shall be named using the filing
7		party's name, docket number, date of filing, and name
8		of document as part of the document title.
9	Upon	final adoption of rules pursuant to chapter 91, the
10	rules of	the commission governing submission or filing of
11	electroni	c documents shall supersede the provisions of this
12	subsection	<u>1.</u>
13	<u>(c)</u>	No later than July 1, 2013, the public utilities
14	commissio	n shall accept any application, complaint, pleading,
15	brief, or	other document required to be filed with the
16	commission	pursuant to this chapter as either a paper document
17	or an elec	ctronic document.
18	<u>(d)</u>	If a signature is required on any document submitted
19	electronic	cally pursuant to this section, that requirement shall
20	be satisf	led by the inclusion of an electronic signature.
21	Chapter 48	39E shall apply to all electronic documents submitted
22	pursuant t	to this section."
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SECTION 4. Section 269-93, Hawaii Revised Statutes, is 1 2 amended to read as follows: 3 "[+]§269-93 Achieving portfolio standard.[+] (a) An electric utility company and its electric utility affiliates may 4 aggregate their renewable portfolios [in order] to achieve the 5 renewable portfolio standard. 6 (b) If an electric utility company and its electric 7 utility affiliates aggregate their renewable portfolios to 8 achieve the renewable portfolio standard, the public utilities 9 commission may distribute, apportion, or allocate the costs and 10 expenses of all or any portion of the respective renewable 11 portfolios among the electric utility company, its electric 12 utility affiliates, and their respective ratepayers, as is 13 reasonable under the circumstances. 14 (c) An electric utility company may recover, through an 15 automatic rate adjustment clause, the electric utility company's 16 revenue requirement resulting from the distribution, 17 apportionment, or allocation of the costs and expenses of the 18 renewable portfolios of the electric utility company and its 19 20 electric utility affiliates. (d) To provide for timely recovery of the revenue 21 requirement under subsection (c), the commission may establish a 22 2011-2256 SB1347 CD1 SMA-2.doc

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1 separate automatic rate adjustment clause, or approve the use of 2 a previously approved automatic rate adjustment clause, without 3 a rate case filing. The use of the automatic rate adjustment clause to recover the revenue requirement shall be allowed to 4 5 continue until the revenue requirement is incorporated in rates 6 in the respective electric utility company's rate case." 7 SECTION 5. The public utilities commission shall submit a 8 report to the legislature no later than twenty days prior to the convening of the 2012 regular session on the commission's 9 10 progress in implementing electronic filing of any and all 11 documents required to be submitted to or filed with the 12 commission. The report required by this section shall include 13 information on the public utilities commission's comprehensive 14 plan to accept electronic filings, a list of hardware and 15 software required for the public utilities commission to comply 16 with the requirements of this Act by July 1, 2013, current funds 17 allocated for the acceptance of electronic documents, requirements for additional funding including a detailed budget 18 19 request and recommendations for a document surcharge if 20 necessary to fully implement the requirements of this Act, and 21 recommendations for further legislation if necessary to

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1 implement the findings and plan to accept electronic filing 2 contained in the report.

3 SECTION 6. Statutory material to be repealed is bracketed 4 and stricken. New statutory material is underscored.

5 SECTION 7. This Act shall take effect on July 1, 2011.

APPROVED this 220 day of May, 2011 M. e. D. aberombri

GOVERNOR OF THE STATE OF HAWAII