### TESTIMONY OF ROBERT TOYOFUKU ON BEHALF OF THE HAWAII ASSOCIATION FOR JUSTICE (HAJ) formerly known as the CONSUMER LAWYERS OF HAWAII (CLH) IN SUPPORT OF H.B. NO. 1540

#### February 10, 2009

To: Chairman Ryan Yamane and Members of the House Committee on Health:

My name is Bob Toyofuku and I am presenting this testimony on behalf of the Hawaii Association for Justice (HAJ) in strong support of H.B. No. 1540.

#### **Purpose of Bill**

This bill calls for greater transparency of information collected by the DCCA Board of Medical Examiners (BME) on Hawaii's physicians and requires publication of the information on their website. The categories of information include standard facts such as contact information and status of licensure, biographical facts such as medical education and academic appointments, business-related facts such as insurance carrier, hospital affiliations, medical practice specialty areas, and malpractice awards, and profession-related data such as disciplinary actions and criminal convictions.

#### The Patient's Right to Know

This bill is aptly called the "Patient's Right to Know Act." In Hawaii, very little information is readily accessible to the public about the 7400 physicians who treat our residents and visitors. Our body is so important that we require food manufacturers to list ingredients, nutrition, and the identity of the manufacturer on packaging so that consumers can decide whether to ingest the food product. Yet, when it comes to choosing a doctor to heal our body, we ask patients to take their qualifications on faith. We don't require doctors to provide even the most basic information about themselves,

such as how long they've practiced medicine and whether they are certified in a medical specialty!

Hawaii residents are starved for information about physicians so that they can make informed decisions about medical providers. It is no wonder that the "Best Doctors" edition of the Honolulu magazine is one of the most sought after and widely read publication. For patients, there is some comfort in selecting a doctor because his/her name appears on a "best" list even if no other data is provided in the article. And doctors must also believe there is a need to provide information about themselves because their advertisements include biographical facts, years of experience, medical specialties, and personal attributes such as hobbies and community activities.

### DCCA Board of Medical Examiners Collects, But Does Not Publicly Release, Essential Physician Data

Currently, the BME provides two pieces of information on its website: status and dates of current license and cryptic descriptions of disciplinary action. If a patient can figure out how to access license information by clicking on an obscure label called "online services," the patient will eventually be rewarded with a screen that describes that information. (See, sample Attachment 1) And if a patient is savvy enough to click on the label "Office of Administrative Hearings" the patient may discover a list of 39 disciplinary decisions published between 1995 and 2007.

Despite the dearth of available public information, doctors and other private and governmental entities are required to submit certain information to the BME, which collects the data but does not release it. Specifically, the following data is submitted and collected:

Physician's license application: medical education and training, hospital affiliation, licensed in other jurisdictions, disciplinary action in other jurisdictions, malpractice claims, denial of malpractice insurance, criminal convictions. Physician has a continuing duty to update information and report new events to the BME, including malpractice settlements, claims, and awards.

Affiliated Hospitals: confirmation or denial of staff privileges, disciplinary actions taken in a training program, actions relating to safe practices, adverse decisions of peer review committees (HRS §453-7.5).

Court system: certain criminal convictions (HRS §329-44) and malpractice judgments (HRS §453-8.7).

Insurance carriers: medical malpractice settlements, judgments and awards (HRS §671-5).

MCCP: malpractice awards (HRS §671-15).

This bill simply requires the BME to publish the information that it already collects.

#### Hawaii's Physician Information System Doesn't Work is and Inadequate

Most patients are given a doctor's name by a friend or family member or by a referring physician. However, for serious illnesses or sensitive conditions, patients should not have to rely on the word of another. Instead, before a patient is forced to sign an "informed consent" form, the patient should also make an "informed decision" about the medical provider. That's why this bill is necessary. Under Hawaii's current system, it is not sufficient to know that a doctor has a current license to practice medicine. Even though cryptic disciplinary action information appears to be provided, a

patient cannot rely on the absence of disciplinary information as assurance that the doctor is competent to deliver services.

Here are two cases on point.

First, a check of the licensure status of Richard Bost. MD, indicates that his license is "current, valid & in good standing" and expires on 1/31/2010. Any patient reading that information would have no reason to look further by clicking on the standard language "complaint history" link. Yet, the complaint history reveals two disciplinary actions taken, in 2003 and 2006, both for failure to disclose disciplinary action in another jurisdiction or agency. The 2003 action is not reported as one of the 39 published disciplinary decisions. The 2006 action placed Dr. Bost on probation for 1095 days, with a compliance date of 11/03/09. The actual disciplinary order reveals that he was disciplined in Florida and failed to report that disciplinary action to Hawaii BME, resulting in a three year probation. Based on the disciplinary order, it appears that Dr. Bost should still be on probation until November 30, 2009. Yet the license status is "current, valid & in good standing." (See, Attachment 1)

Second, Robert Ricketson, MD, is the doctor who implanted a screwdriver rod instead of medically appropriate titanium rods, during spinal surgery on Arturo Iturralde at Hilo Medical Center in February 2001. The rod broke, and Iturralde suffered intense pain and loss of certain bodily functions as he underwent several surgeries to correct the problems. Iturralde died in June 2003. In March 2006, a jury found that Dr. Ricketson committed malpractice. During the 2006 trial, evidence emerged that Dr. Ricketson had a history of drug abuse, was disciplined in the late 1990's by Oklahoma for writing false prescriptions for drugs which he took, and his medical license was

revoked by Texas in 2000 for unprofessional conduct likely to deceive or injure the public. Dr. Ricketson testified that he was re-credentialed by Hilo Medical Center even though it knew about his prior disciplinary actions and drug abuse. Further, trial evidence revealed that Dr. Ricketson's file at Hilo Medical Center contained eight complaints of malpractice.

While Dr. Ricketson's malpractice is shocking, his disciplinary history in Hawaii tells a troubling story of a system that protects the doctor, not the public. Dr. Ricketson fled to Hawaii and obtained a license to practice here in 1998. In May 1999, he entered an agreement with the Hawaii Medical Association (HMA) to refrain from taking illegal drugs. Eighteen months later, in November 2000, after being charged with failure to report disciplinary action by another jurisdiction, Dr. Ricketson entered into a settlement agreement with BME to be placed on probation for 4 years, and to abide by the same terms as his prior agreement with HMA. Six years later, in July 2006, (four months after the widely publicized malpractice trial returned a verdict against Dr. Ricketson), the BME initiated disciplinary action against Dr. Ricketson for violation of probation in 2002 when he ingested cocaine in an attempt to commit suicide. Over one year later, the BME revoked Dr. Ricketson's medical license.

The published records on his disciplinary actions are vaguely described on the website "complaint history" page as three complaints, but only the 2007 revocation action is posted as a disciplinary decision. (See, Attachment 2) Neither the settlement agreement with HMA nor the probationary action appears to have been publicly disclosed at the time; these facts were described in the 2007 revocation decision.

Clearly, the system protected Dr. Ricketson from public disclosure of his disciplinary

history, both here in Hawaii and in other states. In this case, the system failed to protect the patient and the public.

This transparency bill addresses the deficiencies identified by the Bost and Ricketson cases, and properly puts patient safety first.

#### Hawaii Needs to Keep Up with Transparency Laws Enacted in Other States

Many states recognize that patient safety and preventative practices will do more toward reducing the high costs of health care, litigation, and malpractice insurance than tort remedies designed to close the courthouse door to victims of medical malpractice.

These states have enacted transparency laws that require physician profiles be published on the agency's website.

A sampling of physician profiles from other states is attached for the committee's review.

New York - Attachment 3

Florida – Attachment 4

Massachusetts – Attachment 5

California – Attachment 6

Rhode Island – Attachment 7

Connecticut – Attachment 8

Colorado – Attachment 9

Maine – Attachment 10

#### Conclusion

Patient's rights and patient safety must be Hawaii's highest priority in the delivery of health care services. The pendulum has swung from the policy of "buyer beware" to "buyer be aware" as the consumer protection movement has grown over the years and consumers demand more information before making a choice. This bill gives licensing and enforcement agencies an opportunity to play an important role in building patient confidence that there is reliable information about doctors available for them to make informed decisions on medical providers.

Thank you for the opportunity to testify on this measure and we ask this committee to pass this bill.

# **ATTACHMENT**

1

MAILING ADDR:

Professional and Vocational Licensin	ng (PVL) <i>Search</i> - General Licensee	
GENER.	AL LICENSEE	
IC ID: MD-8776 Active/Inactive:		
NAME: RICHARD M BOST		
TRADE NAME:		
STATUS: CURRENT, VALID & IN GOOD STANDING		
ENTITY: INDIVIDUAL	BUSINESS CODE:	
ORIG LIC DATE: 05/27/1994	<b>EXPIRE DATE:</b> 01/31/2010	
CLASS PREFIX:	SPECIAL PRIVILEGE:	
RESTRICTION:	EDUCATION CODE:	
CONDITIONS AND LIMITATIONS:		
BUSINESS ADDR:		

Click here to enter search criteria for prior complaints history ->

For prior complaints and disciplinary history, contact licensing and business information center at (808) 587-3295.

License information on this site reflects information in the Professional and Vocational Licensing Division as of January 18, 2009; however applications and forms are subject to standard processing time, and the information here does not reflect pending changes which are being reviewed. The site is updated daily, Monday through Friday, except holidays.

The State of Hawaii makes no guarantees as to the accuracy of the information accessed, the timeliness of the delivery of transactions, delivery the correct party, preservation of the privacy and security of users and makes no warranties, including warranty of merchantability and fitness for particular purpose. User is advised that if the information obtained herein is to be reasonably relied upon, user should confirm the accuracy of su information with the provider thereof.

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Current as of 01/18/2009 15:02

#### Complaint History Report

NOTE: PLEASE CONTACT THE CONSUMER RESOURCE CENTER AT (808) 587-3222 IF YOU HAVE A QUESTION ABOUT THIS REPORT. THE FOLLOWING INFORMATION PERTAINS TO COMPLAINT HISTORY ONLY. FOR LICENSING INFORMATION, PLEASE CALL (808) 587-3222 OR CHECK THE PROFESSIONAL & VOCATIONAL LICENSING DIVISION WEBSITE AT http://pvl.ehawaii.gov/pvlsearch/app. TO SEARCH FOR BUSINESS INFORMATION PLEASE VISIT THE DEPARTMENT OF COMMERCE AND CONSUMER AFFAIRS BUSINESS NAME SEARCH AT http://hbe.ehawaii.gov/documents/search.html.

The business & licensee complaints history database is designed to serve as a neutral repository of complaints filed with OCP or RICO. Users should judge a business' complaints history on the outcome of the complaints and not on the number of complaints or on the fact that a complaint was filed.

Summary of Names		
COMPANY OR PROPER NAME	BOST, RICHARD M. (M.D.)	
ASSOCIATED NAMES	NONE KNOWN AT THIS TIME	
OTHER NAMES	NONE KNOWN AT THIS TIME	

COMPANY OR PROPER NAME BOST, RICHARD M. (M.D.)

ASSOCIATED NAMES

ALLEGATION:

COMPLAINT NO: MED-2006-0242L

FAILURE TO DISCLOSE ANY DISCIPLINARY ACTION BY PERSON OR

ORGANIZATION.

ALLEGATION: DISCIPLINARY ACTION TAKEN IN OTHER JURISDICTION.

ACTION: PRE-PETITION SETTLEMENT AGREEMENT FILED

OUTCOME: SETTLEMENT AGREEMENT AND ORDER

DATE: 11/03/2006

REMEDY:

LICENSE PROBATION:

EFFECTIVE DATE: 11/03/2006

TERMS:

1095 DAYS

MONETARY SANCTIONS:

FINE:

\$1,000.00

**COMPLIANCE DATE:** 

11/03/2009

COMPLAINT NO: MED- 2003- 0045L

**ALLEGATION:** 

FAILURE TO DISCLOSE ANY DISCIPLINARY ACTION BY PERSON OR

ORGANIZATION.

ALLEGATION:

DISCIPLINARY ACTION TAKEN IN OTHER JURISDICTION.

ACTION:

PRE-PETITION SETTLEMENT AGREEMENT FILED

OUTCOME:

SETTLEMENT AGREEMENT AND ORDER

DATE:

10/08/2004

REMEDY:

LICENSE CENSURE:

EFFECTIVE DATE: 10/08/2004

MONETARY SANCTIONS:

FINE:

\$1,000.00

**COMPLIANCE DATE:** 

11/15/2004

The information is provided by the State of Hawaii Department of Commerce and Consumer Affairs (DCCA) from its website as a public service and is intended to be used solely as reference material. No posted information is intended to constitute legal or professional advice and this report is NOT an official record. The records available through this web site are NOT official records. Assessing the accuracy and reliability of information contained herein is the user's responsibility. The information obtained from the DCCA website DOES NOT comprise all information from official DCCA records available to the public. Please consult the DCCA website for additional terms and conditions of use.

DARIA A. LOY-GOTO 6175
DENISE P. BALANAY 5526
Regulated Industries Complaints Office
Department of Commerce and Consumer Affairs
State of Hawaii
Leiopapa A Kamehameha Building
235 South Beretania Street, Suite 900
Honolulu, Hawaii 96813
Telephone: 586-2660

Attorney for Department of Commerce and Consumer Affairs

### BOARD OF MEDICAL EXAMINERS DEPARTMENT OF COMMERCE AND CONSUMER AFFAIRS STATE OF HAWAII

In the Matter of the	) MED 2006-242-L
License to Practice Medicine of	)
	) SETTLEMENT AGREEMENT PRIOR TO
RICHARD M. BOST, M.D.,	) FILING OF PETITION FOR DISCIPLINARY
•	) ACTION AND BOARD'S FINAL ORDER;
Respondent.	) EXHIBIT "1"
•	) [EXHIBIT 1 redacted for publication purposes

### SETTLEMENT AGREEMENT PRIOR TO FILING OF PETITION FOR DISCIPLINARY ACTION AND BOARD'S FINAL ORDER

Respondent RICHARD M. BOST, M.D. (hereinafter "Respondent"), and the Department of Commerce and Consumer Affairs, through its Regulated Industries Complaints Office (hereinafter "RICO"), through its undersigned attorneys enter into this Settlement Agreement on the terms and conditions set forth below.

#### A. UNCONTESTED FACTS

 Respondent is licensed by the Board of Medical Examiners (hereinafter the "Board") as a physician under License Number MD 8776. Said license was issued on May 27, 1994 and currently has an expiration date of January 31, 2008.

- The last known address for Respondent is 650 Fern Street, West Palm Beach,
   Florida 33401.
- 3. RICO received information that a Uniform Disciplinary Citation, accepted July 6, 2005, was issued against Respondent by the Florida Department of Health (hereinafter the "Florida Citation"). A true and correct copy of the Florida Citation is attached as Exhibit "1."
- 4. The Florida Citation concluded the Respondent failed to notify the Department of Health of a change of address.
  - 5. Pursuant to the Florida Citation, Respondent was fined \$339.00.
- 6. Respondent failed to report the Florida Citation to the Board within thirty (30) days, as required by Hawaii Revised Statutes (hereinafter "HRS") §§ 436B-19(15) and 453-8(14). Respondent disclosed the Florida Citation to the Board on a December 8, 2005 renewal application.
- 7. RICO is prepared to file a petition for disciplinary action against the Respondent for his failure to comply with HRS §§ 436B-19(15) and 453-8(14).
- 8. The Board has jurisdiction over the subject matter herein and over the parties hereto.

#### B. REPRESENTATIONS BY RESPONDENT:

- 1. Respondent is fully aware that he has the right to be represented by an attorney of his choosing in this matter and voluntary waives that right.
- 2. Respondent enters into this Settlement Agreement freely and voluntarily and under no coercion or duress.

- 3. Respondent has been informed of his right to have a hearing to determine the issues in RICO's investigation. Pursuant to HRS § 91-9(d), Respondent voluntarily waives his right to a hearing and agrees to a disposition of this case in accordance with the terms and conditions of this Settlement Agreement.
- 4. Respondent being at all times relevant herein licensed as a physician by the Board acknowledges that he is subject to penalties including but not limited to, revocation, suspension or limitation of his license and civil fines, if the foregoing violations are proven at hearing.
- 5. Respondent does not admit that he has violated any law or rule, but acknowledges that RICO has sufficient cause to file a Petition for Disciplinary Action against his license to practice medicine.
- 6. Respondent enters into this Settlement Agreement as a compromise of the claims and to conserve on the expenses of proceeding with an administrative hearing on this matter.
- Respondent agrees that this Settlement Agreement is intended to resolve the issues raised in RICO's investigation in RICO Case No. MED 2006-242-L.

#### C. TERMS OF SETTLEMENT:

- Probation. Respondent's license to practice medicine in the State of Hawaii is hereby placed on probation for a period of three (3) years. During the probationary period, Respondent agrees to the following terms and conditions:
- 2. <u>Compliance with Law Relating to Reporting of Disciplinary Actions</u>. Respondent agrees to report to the Hawaii Board any future disciplinary actions against any license to practice medicine held by the Respondent within thirty (30) days of the issue date of the disciplinary action as required by law. Respondent understands notice to the Hawaii Board must be in

writing. Any mailing must be postmarked by the 30<sup>th</sup> day to be in compliance with this Settlement Agreement.

- 3. Administrative fine. Respondent agrees to pay a fine in the amount of ONE THOUSAND AND NO/100 DOLLARS (\$1,000.00). Said payment shall be made by cashier's check or money order made payable to "State of Hawaii Compliance Resolution Fund" and mailed to the Regulated Industries Complaints Office, Attn: Denise P. Balanay, Esq., 235 S. Beretania Street, 9<sup>th</sup> Floor, Honolulu, Hawaii 96813. Payment of the fine shall be due at the time this fully executed Settlement Agreement is returned to RICO.
- 4. Failure to Comply with Settlement Agreement. If Respondent fails to fully and timely comply with the terms of probation as set forth in paragraphs C. 1, C.2, and C.3 above, Respondent's license to practice medicine shall be automatically revoked for a period of five (5) years upon RICO's filing of an affidavit with the Hawaii Board attesting to such failure. In case of such revocation, Respondent shall turn in all indicia of his licensure to the Executive Officer of the Hawaii Board within ten (10) days after receipt of notice of the revocation. Upon completion of the revocation period, Respondent understands that he will need to apply to the Hawaii Board for a new license pursuant to and subject to the requirements and conditions set forth in HRS § 436B-21.
- 5. <u>Possible further sanction</u>. The Board, at its discretion, may pursue additional disciplinary action as provided by law to include further fines and other sanctions as the Board may deem appropriate if Respondent violates any provision of the statutes or rules governing the conduct of physicians in the State of Hawaii, or if Respondent fails to abide by the terms of this Settlement Agreement.

- 6. Approval of the Board. Respondent agrees that, except for the representations, agreements and covenants contained in Paragraphs C.7, C.8, C.9 and C.10 below, this Settlement Agreement shall not be binding on any of the parties unless and until it is approved by the Board.
- 7. No Objection if Board Fails to Approve. If the Board does not approve this

  Settlement Agreement, does not issue an order pursuant thereto, or does not approve a lesser remedy, but instead an administrative hearing is conducted against Respondent in the Board's usual and customary fashion pursuant to the Administrative Procedure Act, Respondent agrees that neither he nor any attorney that he may retain, will raise as an objection in any administrative proceeding or in any judicial action, to the Board's proceeding against him on the basis that the Board has become disqualified to consider the case because of its review and consideration of this Settlement Agreement.
- 8. Any Ambiguities Shall be Construed to Protect the Consuming Public. It is agreed that any ambiguity in this Settlement Agreement is to be read in the manner that most completely protects the interests of the consuming public.
- 9. No Reliance on Representations by RICO. Other than the matters specifically stated in this Settlement Agreement, neither RICO nor anyone acting on its behalf has made any representation of fact, opinion or promise to Respondent to induce entry into this Settlement Agreement, and Respondent is not relying upon any statement, representation or opinion or promise made by RICO or any of its agents, employees, representatives or attorneys concerning the nature, extent or duration of exposure to legal liability arising from the subject matter of this Settlement Agreement or concerning any other matter.

10. <u>Complete Agreement</u>. This Settlement Agreement is a complete settlement of the rights, responsibilities and liabilities of the parties hereto with respect to the subject matter hereof; contains the entire agreement of the parties; and may only be modified, changed or amended by written instrument duly executed by all parties hereto.

IN WITNESS WHEREOF, the parties have signed this Settlement Agreement on the date(s) set forth below.

[ West Pain	Beach]	
DATED:	, [Florida]	, [ October 10, 2006 ]
(CITY)	(STATE)	
	/s/ RICHAR	D M. BOST, M.D.
	RICHARD	M. BOST, M.D.
	Responden	t
DATED: Honolulu,	Hawaii, [ October 1	7, 2006]

/s/ DENISE P. BALANAY

DARIA A. LOY-GOTO DENISE P. BALANAY Attorneys for Department of Commerce and Consumer Affairs IN THE MATTER OF THE LICENSE TO PRACTICE MEDICINE OF RICHARD M. BOST, M.D.; SETTLEMENT AGREEMENT PRIOR TO FILING OF PETITION FOR DISCIPLINARY ACTION AND BOARD'S FINAL ORDER; EXHIBIT "1"; RICO CASE NO. MED 2006-242-L

APPROVED AND SO ORDERED: BOARD OF MEDICAL EXAMINERS STATE OF HAWAII

PVL 07/01/06

/s/ H. ROGER NETZER, M.D.	[ November 3, 2006 ]		
H. ROGER NETZER, M.D. –	DATE		
Chairperson			
/s/ MARIA BRUSCA PATTEN, D.O.	/s/ BRIAN E. CODY		
MARIA BRUSCA PATTEN, D.O Vice-Chairperson	BRIAN E. CODY		
/s/ RONALD H. KIENITZ, D.O.	/s/ JOHN T. McDONNELL, M.D.		
RONALD H. KIENITZ, D.O.	JOHN T. McDONNELL, M.D.		
/s/ M. PIERRE K.W. PANG, M.D.	/s/ FEREYDOUN DON PARSA, M.D.		
M. PIERRE K.W. PANG, M.D.	FEREYDOUN DON PARSA, M.D.		
BEN K. AZMAN, D.O.	G. MARKUS POLIVKA		
PETER A. MATSUURA, M.D.	DANNY M. TAKANISHI, JR.,		
,	M.D.		

STATE OF [Florida]	)
	) SS.
COUNTY OF [Palm Beach]	
On this [10th]day of [Oc	tober ], 2006, before me personally appeared
[Richard M. Bost] , to	o me known to be the person described and who executed the
foregoing instrument and acknowle	edged the same as his/her free act and deed.
	[ Signature redacted ]
	Name: [Terrie L. Vanover]
	Notary Public - State of [Florida]

## **ATTACHMENT**

2

#### Professional and Vocational Licensing (PVL) Search - General Licensee

GENERAL LICENSEE			
LIC ID: MD-10248 Active/Inactive:			
NAME: ROBERT RICKETSON			
TRADE NAME:			
STATUS: REVOKED			
ENTITY: INDIVIDUAL	BUSINESS CODE:		
ORIG LIC DATE: 05/11/1998	<b>EXPIRE DATE:</b> 01/31/2008		
CLASS PREFIX:	SPECIAL PRIVILEGE:		
RESTRICTION:	EDUCATION CODE:		
CONDITIONS AND LIMITATIONS:			
BUSINESS ADDR:			
MAILING ADDR:			

Click here to enter search criteria for prior complaints history ->
For prior complaints and disciplinary history, contact licensing and business information center at (808)
587-3295.

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Current as of 01/18/2009 14:32

#### **Complaint History Report**

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	Summary of Names
COMPANY OR PROPER NAME	RICKETSON, ROBERT (M.D.)
ASSOCIATED NAMES	NONE KNOWN AT THIS TIME
OTHER NAMES	NONE KNOWN AT THIS TIME

COMPANY OR PROPER NAME

RICKETSON, ROBERT (M.D.)

ASSOCIATED NAMES

COMPLAINT NO: MED-2006-0076L

ALLEGATION:

FAILURE TO ABIDE BY CONDITIONS OF LICENSE/ REGISTRATION.

ALLEGATION:

FAILURE TO COMPLY WITH CHAPTER OR THE RULES AND

REGULATIONS.

ACTION:

PETITION FILED

OUTCOME:

FINAL ORDER

DATE:

07/13/2007

REMEDY:

LICENSE REVOCATION:

EFFECTIVE DATE: 07/13/2007

TERMS:

1825 DAYS

**COMPLIANCE DATE:** 

07/13/2017

COMPLAINT NO: MED-2003-0086L

ALLEGATION:

FAILURE TO COMPLY WITH CHAPTER OR THE RULES AND

REGULATIONS.

OUTCOME:

PRIOR ACTION TAKEN

DATE:

09/18/2007

COMPLAINT NO: MED-2000-0003L

ALLEGATION:

FAILURE TO DISCLOSE ANY DISCIPLINARY ACTION BY PERSON OR

ORGANIZATION.

ACTION:

PRE-PETITION SETTLEMENT AGREEMENT FILED

OUTCOME:

SETTLEMENT AGREEMENT AND ORDER

DATE:

11/17/2000

MONETARY SANCTIONS:

FINE:

\$500.00

**COMPLIANCE DATE:** 

11/17/2004

The information is provided by the State of Hawaii Department of Commerce and Consumer Affairs (DCCA) from its website as a public service and is intended to be used solely as reference material. No posted information is intended to constitute legal or professional advice and this report is NOT an official record. The records available through this web site are NOT official records. Assessing the accuracy and reliability of information contained herein is the user's responsibility. The information obtained from the DCCA website DOES NOT comprise all information from official DCCA records available to the public. Please consult the DCCA website for additional terms and conditions of use.



# BOARD OF MEDICAL EXAMINERS OFFICE OF ADMINISTRATIVE HEARINGS DEPARTMENT OF COMMERCE AND CONSUMER AFFAIRS STATE OF HAWAII

In the Matter of the License to	)	MED-2006-76-L
Practice Medicine of	)	BOARD'S FINAL
	,	
ROBERT RICKETSON, M.D.,	)	ORDER
	)	
Respondent.	)	
	)	
	)	

#### **BOARD'S FINAL ORDER**

On or about May 18, 2007, the duly appointed Hearings Officer submitted his Findings of Fact, Conclusions of Law, and Recommended Order in the above-captioned matter to the Board of Medical Examiners ("Board"). Copies of the Hearings Officer's recommended decision were also transmitted to the parties. On June 13, 2007, Respondent Robert Ricketson, M.D., ("Respondent") filed exceptions to the recommended order. Neither party requested an opportunity to present oral arguments.

Upon review of the entire record of this proceeding, the Board adopts the Hearings Officer's recommended decision as the Board's Final Order. Accordingly, the Board finds and concludes that the preponderance of the evidence established that Respondent violated the terms of the Settlement Agreement and Order and Hawaii Revised Statutes §436B-19(17).

For the violation found, the Board orders that Respondent's medical license be revoked and that Respondent be required to immediately submit all indicia of licensure as a physician in the State of Hawaii to the Executive Officer of the Board.

DATED: Honolulu, Hawaii:	[ July 13, 2007 ]
--------------------------	-------------------

/s/ H. ROGER NETZER, M.D.
H. ROGER NETZER, M.D.
Chairperson

/s/ MARIA BRUSCA PATTEN, D.O.	/s/ BRIAN E. CODY		
MARIA BRUSCA PATTEN, D.O. Vice-Chairperson	BRIAN E. CODY Board Member		
/s/ RONALD H. KIENITZ, D.O. RONALD H. KIENITZ, D.O. Board Member	JOHN T. McDONNELL, M.D.  JOHN T. MCDONNELL, M.D.  Board Member		
DANNY M. TAKANISHI, JR., M.D. Board Member	M. PIERRE K.W. PANG, M.D. Board Member		
FEREYDOUN DON PARSA, M.D. Board Member	/s/ BEN K. AZMAN, M.D. BEN K. AZMAN, M.D. Board Member		
Isl G. MARKUS POLIVKA G. MARKUS POLIVKA Board Member	PETER A. MATSUURA, M.D. Board Member		

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# BOARD OF MEDICAL EXAMINERS OFFICE OF ADMINISTRATIVE HEARINGS DEPARTMENT OF COMMERCE AND CONSUMER AFFAIRS STATE OF HAWAII

	Matter of the License to	MED-2006-76-L
Practice Medicine of ) HEARINGS OFFICER'S	ce Medicine of	HEARINGS OFFICER'S
ROBERT RICKETSON, M.D., ) FINDINGS OF FACT,	ERT RICKETSON, M.D.,	
) CONCLUSIONS OF LAW	22 - 1 - 1 - 1 - 1 - 1 - 1 - 1 - 1 - 1 -	CONCLUSIONS OF LAW
Respondent. ) AND RECOMMENDED ORDER	Respondent.	
) ORDER		ORDER

#### HEARINGS OFFICER'S FINDINGS OF FACT, CONCLUSIONS OF LAW, AND RECOMMENDED ORDER

#### I. INTRODUCTION

On July 25, 2006, the Department of Commerce and Consumer Affairs, through its Regulated Industries Complaints Office ("Petitioner"), by and through its attorney, John T. Hassler, Esq., filed a petition for disciplinary action against the medical license of Robert Ricketson, M.D. ("Respondent"). The matter was duly set for hearing, and the notice of hearing and pre-hearing conference was transmitted to the parties.

On February 13, 2007, the hearing in the above-captioned matter was convened by the undersigned Hearings Officer pursuant to Hawaii Revised Statutes ("HRS") Chapters 91, 92 and 453. Petitioner was represented by its attorney, John T. Hassler, Esq. Respondent appeared by telephone.

Having reviewed and considered the evidence and arguments presented at the hearing, together with the entire record of this proceeding, the Hearings Officer hereby renders the following findings of fact, conclusions of law and recommended order.

#### II. FINDINGS OF FACT

- Respondent has been licensed to practice medicine by the Board of Medical Examiners ("Board"), License No. MD 10248, since 1998.
- 2. On May 20, 1999, Respondent and the Hawaii Medical Association ("HMA"), entered into a Memorandum of Understanding ("MOU").
- 3. In the MOU, Respondent agreed to, among other things, refrain from taking mind-altering drugs or alcohol on any occasion unless he was hospitalized as a patient or under treatment by a physician.
- 4. On November 17, 2000, the Board approved a Settlement Agreement Prior to Filing of Petition for Disciplinary Action and Board's Final Order ("Settlement Agreement and Order") in the Matter of the License to Practice Medicine of Robert Ricketson, M.D.;

  Case No. MED-2000-3-L.
- 5. In Paragraph 5, <u>Probation</u>, of the Settlement Agreement and Order, Respondent agreed, among other things, to be placed on probation for 4 years "with the terms and conditions of the probation to be the same as provided in the [MOU].
- 6. In Paragraph 6, <u>Automatic Revocation for failure to comply</u>, of the Settlement Agreement and Order, Respondent agreed that if he failed to comply with Paragraph 5 of the MOU, his license would be automatically revoked without further hearing upon the filing of an affidavit by RICO attesting that he had violated the provisions of the Settlement Agreement and Order.
- 7. In or about February 2002, while residing in Hawaii and during the period of probation under the Settlement Agreement and Order, Respondent ingested cocaine in an attempt to commit suicide.

#### III. CONCLUSIONS OF LAW

The Petition charges Respondent as follows:

The conduct described [in the Petition] is grounds for automatic revocation of Dr. Ricketson's license under the terms of the Final Order and RICO is prepared to file an affidavit to be submitted to the Board. Furthermore, Dr. Ricketson's conduct in failing to comply with the terms of the Final Order violated Hawaii Revised Statutes section 436B-19(17) [sic] (violating an order of the licensing authority).

HRS §436B-19(17) provides:

§436B-19 Grounds for refusal to renew, reinstate or restore and for revocation, suspension, denial, or condition of licenses. In addition to any other acts or conditions provided by law, the licensing authority may refuse to renew, reinstate or restore, or may deny, revoke, suspend, or condition in any manner, any license for any one or more of the following acts or conditions on the part of the licensee or the applicant thereof:

\* \* \* \*

(17) Violating this chapter, the applicable licensing laws, or any rule or order of the licensing authority.

The evidence was sufficient to prove that Respondent violated the Settlement Agreement and Order by ingesting cocaine in 2002.

#### IV. RECOMMENDED ORDER

Based on the foregoing findings and conclusions, the Hearings Officer recommends that the Board find and conclude that the preponderance of the evidence established that Respondent violated the terms of the Settlement Agreement and Order and HRS §436B-19(17).

For the violation found, the Hearings Officer recommends that Respondent's medical license be revoked<sup>1</sup> and that Respondent be required to immediately submit all indicia of licensure as a physician in the State of Hawaii to the Executive Officer of the Board.

DATED at Honolulu, Hawaii: [MAY 18, 2007]

#### /s/ CRAIG H. UYEHARA

CRAIG H. UYEHARA
Administrative Hearings Officer
Department of Commerce
and Consumer Affairs

<sup>&</sup>lt;sup>1</sup> Although Respondent testified that his ingestion of cocaine in 2002 was an isolated incident and that he remains competent to practice medicine, no objective evidence to support these contentions was presented.

# **ATTACHMENT**

3

Physician Search By Physician By License Number By License Type By Effective Date

#### **Professional Misconduct** and Physician Discipline

#### **Physician Information**

**Physician Name:** 

Joseph John Altieri, MD

Address:

Address Redacted

**License Number:** License Type:

157995 MD

Year of Birth:

1956

**Effective Date:** 

09/19/2008

Action:

The physician has agreed to never activate his registration or reapply for a license to practice medicine in New York State.

Misconduct

The physician did not contest the charge of having been

**Description:** 

disciplined by the Florida State Board of Medicine based on failing to practice medicine with an acceptable level of care, skill and treatment; prescribing, administering, mixing, or otherwise preparing a legend drug including controlled substances and

failing to maintain accurate patient records.

License

Restrictions:

**Board Order:** 

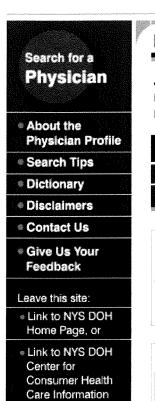
lc157995.pdf

Return to Professional Misconduct and Professional Discipline Return to Welcome Page

Send questions or comments to:

opmc@health.state.ny.us

Home Page / From the Commissioner / Directory Services / Vital Records / Info for Consumers / Info for Providers / Info for Researchers / Public Health Forum / What's New / HELP! / Search our Web Site





#### John Joseph Altieri

Office Locations: None reported

NYS License Number: 173102
Date of NYS Licensure: 11-30-1987

Education	<ul> <li>Practice Info</li> </ul>	• Legal	Actions	<ul><li>Professiona</li></ul>	I Activities	Statement
Malpractice	NY Licensee	Actions		-State Actions	* Current	Limitations
■ Hospital Res	trictions © Cri	minal Con	victions			

#### Malpractice

<u> то тор</u>

None reported

**Note:** Malpractice information continues to be collected and reviewed. You should check periodically to see if information has been added or updated.

#### **NY Licensee Actions**



The Department of Health Office of Professional Medical Conduct (OPMC) is responsible for taking action on a doctor's medical license if the doctor is found to be guilty of Professional Misconduct. If you would like to see if there have been any license actions taken against this doctor over the past 10 years, you may click here: <a href="OPMC">OPMC</a>. This will take you to the OPMC Web site. If there have not been any license actions against this doctor in New York over the past ten years, the OPMC screen will tell you that. When you want to return to this Physician Profile you must click on the X in the upper right corner of the OPMC window.

ast Updated 11-17-2008		
Date	State	Action
02-16-2007	FL	BASED ON NYS ACTION OF 9/16/05. LETTER OF CONCERN.

Current Limitations Last Updated 11-17-2008	<u> то тог</u>
Limitation	
NY: Censure and reprimand with probation for five years.	Effective September 16, 2005.
Hospital Privilege Restrictions	<b>®</b> то тог

1 of 3 2/8/2009 11:08 AM

**Criminal Convictions** 



### Search for a **Physician**

- About the Physician Profile
- Search Tips
- Dictionary
- Disclaimers
- Contact Us
- Give Us Your Feedback

#### Leave this site:

- Link to NYS DOH
   Home Page, or
- Link to NYS DOH
   Center for
   Consumer Health
   Care Information

#### **Physician**

Back to Search Results



#### John Joseph Altieri

NYS License Number: 173102
Date of NYS Licensure: 11-30-1987

Office Locations: None reported

#### **Medical School**



то тор

Graduated from ST GEORGE'S UNIVERSITY, SCHOOL OF MEDICINE, ST GEORGE'S, GRENADA, 1982

**Note:** This information cannot be used by healthcare organizations to meet their credentialing requirements as set forth by accrediting bodies such as the Joint Commission or National Committee for Quality Assurance (NCQA).

#### TO TOP **Graduate Medical Education Completion Date** Specialty **Sponsor** CARDIOVASCULAR ST MICHAEL'S MED CTR 06-30-1988 DISEASE **CRITICAL CARE** 06-30-1986 MEDICINE (INTERNAL ST MICHAEL'S MED CTR MEDICINE) ST MICHAEL'S MED CTR 06-30-1985 INTERNAL MEDICINE INTERNAL MEDICINE ST MICHAEL'S MED CTR 06-30-1984 INTERNAL MEDICINE ST MICHAEL'S MED CTR 06-30-1983

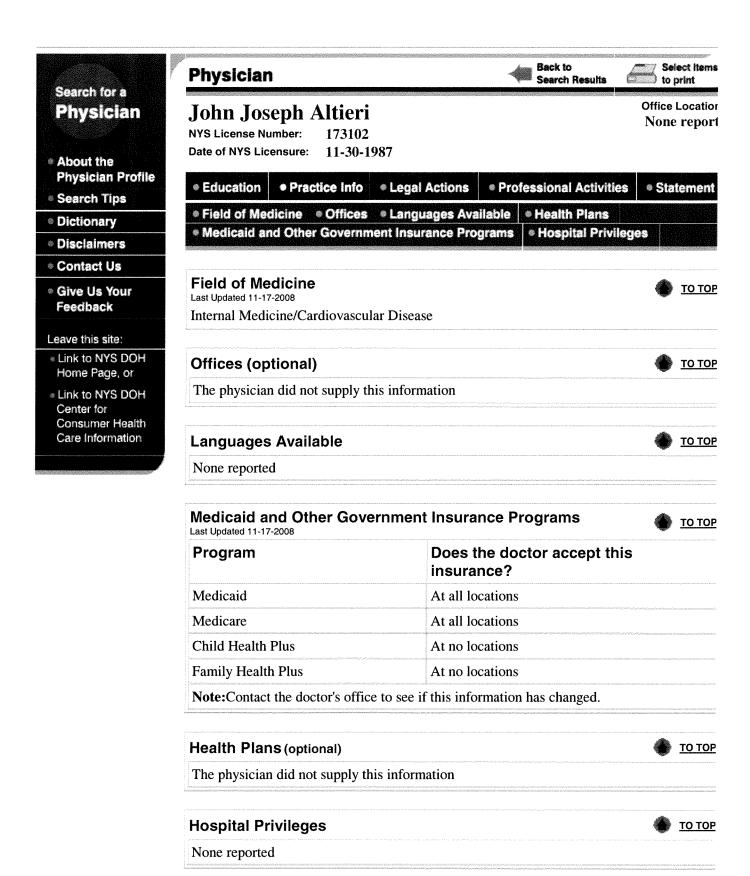
**Note:** This information cannot be used by healthcare organizations to meet their credentialing requirements as set forth by accrediting bodies such as the Joint Commission or National Committee for Quality Assurance (NCQA).

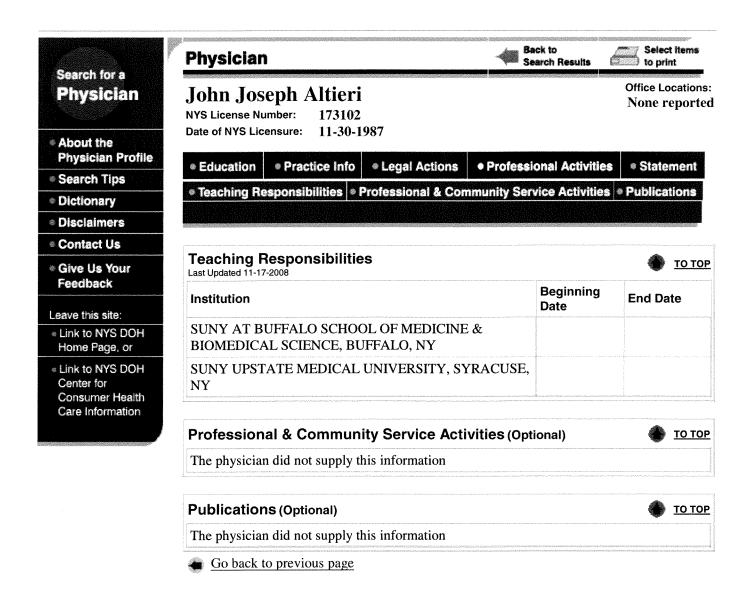
Board Certifications TO TOP				
Name of Board	Specialty/Subspecialty	Certification Date	Expiration Date	
*AM BRD OF INTERNAL MEDICINE	The property of the property o	09-15-1988		
*AM BRD OF INTERNAL MEDICINE	Cardiovascular Disease	06-23-2005	12-31-2015	
*AM BRD OF INTERNAL MEDICINE	Cardiovascular Disease	11-04-1993	12-31-2003	

- **1. Note:** The state of New York recognizes the specialty boards that are members or components of the <u>ABMS</u>, <u>AOA</u>, <u>RCPSC</u> or <u>CFPC</u>. Certification by member boards or components of these umbrella organizations is the responsibility of the member organization.
- **2. Note:**For certification dates, a default value of "01" appears in the month field if the specific month was not provided by ABMS.

\*ABMS Copyright 2009 American Board of Medical Specialties. All rights reserved.

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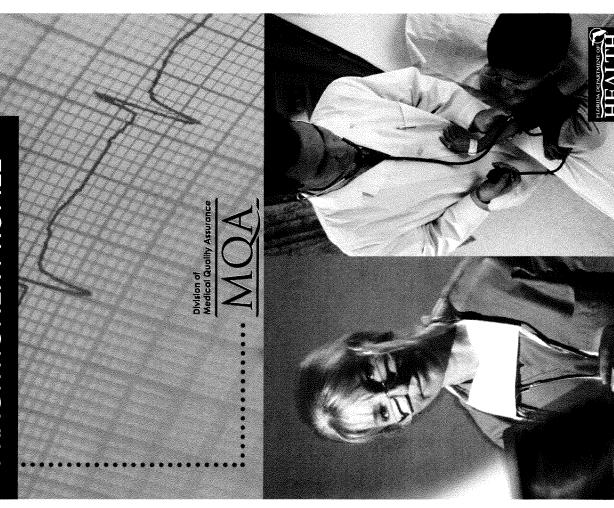


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Department of Health Home Page | Center for Consumer Health Care Information
New York State Education Office of the Professions On-line Verification Searches
New York State Hospital Profile

### **ATTACHMENT**

4

## A GUIDE TO THE FLORIDA PRACTITIONER PROFILE



## UNDERSTANDING PROFILING

In 1997, the Florida Legislature passed a law requiring the Department of Health to maintain profiles on certain health professionals licensed in Florida. The law also specified the information to be maintained, how it was to be reported, and other requirements dealing with compiling and updating the information in the profiles.

# Which professions are required to have profiles?

Practitioner profiles are required for all Medical Doctors (M.D.s), Osteopathic, Chiropractic and Podiatric Physicians, and Advanced Registered Nurse Practitioners licensed in Florida.

## Are profiles available for other professions?

No; however, licensure verification is available for all health care professionals currently or previously licensed in Florida. This information can be found by clicking on the "License Lookup" button at www.doh-mgaservices.com

## How can I find a profile?

Like licensure verification, profiles can be accessed by clicking on the "License Lookup" button at www.doh-mqaservices.com. If the health professional is licensed in one of the profiled professions, a "Practitioner Profile" tab will be available on the licensure verification screen.

## What information is included in the profile?

The profile contains required and optional information from the practitioner. Required information includes:

- the practitioner's education and training, including other health-related degrees, professional and post graduate training specialty
- the practitioner's current practice and mailing addresses
- the practitioner's staff privileges and faculty appointments
- the practitioner's reported financial responsibility
- legal actions taken against the practitioner
- board final disciplinary action taken against the practitioner
- any liability claims filed against Podiatric Physicians which exceed \$5,000
- any liability claims filed against M.D.s and osteopathic physicians which exceed \$100,000

Optional information may include committees/memberships, professional or community service awards, and publications the practitioner has authored.

# is all of the information in the profile verified by the Department of Health?

No. This guide shows what information is verified, as well as the source of the information and whether it is optional or mandatory.

Last Updated 10/30/08

#### PRACTITIONER PROFILE FACT SHEET

General			Reporting	
Information	Description	Reported By	Requirement	Verification
Primary Practice Address	The primary practice address for the practitioner.	Self-Reported	Mandatory	Not verified by DOH
Secondary Address(es)	The address of a secondary practice.	Self-Reported	Mandatory	Not verified by DOH
Medicaid	Indicates whether or not the practitioner participates in the Medicaid program.	Self-Reported	Optional	Not verified by DOH
Staff Privileges	A list of licensed hospitals, Health Maintenance Organizations, Prepaid Health Clinics, and Ambulatory Surgical Centers that the practitioner holds staff privileges.	Self-Reported	Mandatory except for Advanced Registered Nurse Practitioners	Information is verified by DOH at the time of initial licensure. Any changes post-licensure are considered self-reported and the licensing board accepts and reports the information as submitted by the practi- tioner.
E-Mail Address	The practitioner's e-mail address.	Self-Reported	Optional	Not verified by DOH
Other State Licensure	A list of states in which the practitioner received a professional license and the license type.	Self-Reported	Mandatory	Information is verified by DOH at the time of initial licensure. Any changes post- licensure are considered self-reported and the licensing board accepts and reports the inform- tion as submitted by the practitioner.
Year Began Practicing	The year the practitioner received a license in this or any other jurisdiction.	Self-Reported	Mandatory	Not verified by DOH

Education			Reporting	
and Training	Description	Reported By	Requirement	Verification
Other Health Related Degrees	Provides the name of the school or training program attended by the practitioner, dates of attendance, date of graduation, and a description of all graduate medical or professional education completed.  Provides information about other health related degrees	Reported By Supporting documentation received from a primary source  Self-Reported	Requirement Mandatory for M.D.s and Osteopathic, Chiropractic and Podiatric Physicians; not required for Advanced Registered Nurse Practitioners. Optional for M.D.s and Osteopathic,	Verification Information is verified by DOH at the time of initial licensure.  Not verified by DOH
	related degrees received by the practitioner.		Osteopathic, Chiropractic and Podiatric Physicians; not required for Advanced Registered Nurse Practitioners.	
Professional and Postgraduate Training	Provides information about professional and postgraduate training attended by the practitioner.	Self-Reported	Mandatory	Information required for licensure is verified by DOH at the time of initial licensure. Any changes post-licensure are considered self-reported and the licensing board accepts and reports the information as submitted by the practitioner.
Academic			Reporting	ted by the practitioner.
Appointments	Description	Reported By	Requirement	Verification
	Provides information about faculty appointments the practitioner received within the past 10 years.	Self-Reported	Mandatory	Not verified by DOH
Specialty			Reporting	
Certification	Description	Reported By	Requirement	Verification
	Provides information on specialty certifications received by the practitioner.	Self-Reported	Mandatory	Not verified by DOH

inancial			Reporting	
esponsibility	Description	Reported By	Requirement	Verification
	Information on how	Self-Reported	Mandatory	Not verified by DOH
	the practitioner has			
	elected to comply			
	with financial			
	responsibility			
	requirements.			
oceedings and	2000		Reporting	
tions	Description	Reported By	Requirement	Verification
inal Offenses	Description of any	Self-reported	Mandatory	Information is verified b
	criminal offenses of	by the		DOH at the time of
	which the practitioner	practitioner		initial licensure
	has been found guilty,	or reported		through FDLE and FBI.
	regardless of whether	by the Florida		Changes post-licensure
	adjudication of guilt	Department		may be self-reported by
	was withheld, or pled	of Law		the practitioner or
	guilty or nolo	Enforcement/		updated based on a
	contendere.	Federal Bureau		report received from the
		of Investigation		FDLE. DOH conducts
		(FBI) in response		statewide criminal
		to a criminal		background checks
		background check		every two years, immedi
		conducted by		ately following a renewa
		DOH		cycle for the practitione
l Disciplinary	Indicates final actions	Self-reported by	Mandatory	Information is verified b
ons	taken by the	the practitioner		DOH through the
hin last 10 years).	the last ten years.	and reported by		National Practioner Data
		DOH		Bank.
disciplinary action	Indicates final action	Self-Reported	Mandatory	Information is verified b
n by a specialty	taken by a specialty			DOH through the
d within the	board recognized			National Practitioner
ous 10 years.	by DOH	<u> </u>		Data Bank.
disciplinary action	Indicates final actions	Self-reported by	Mandatory	Information is verified b
by a licensing	taken by a licensing	the practitioner		DOH through the
icy within the	agency regulating the	as well as directly		National Practitioner
ious 10 years.	practitioner's license	from the source.		Data Bank.
	in Florida or any other			
At a state of the	jurisdiction.	6 16		
disciplinary action	Indicates final action	Self-reported by	Mandatory	Information is verified b
n by a health	taken by an institution,	the practitioner		DOH through the
ntenance	such as a health	as well as directly		National Practitioner
nization, pre-paid	maintenance	from the source.		Data Bank.
th clinic, nursing	organization,			
	clinic or nursing			
	home.	1		
e, out-of-state ital or out-of-state	nome.	1		1
ital or out-of-state ulatory surgical	nome.			1
tal or out-of-state datory surgical or within the	nome.			
ital or out-of-state	nome.			
ital or out-of-state ulatory surgical er within the	Thome.			
ital or out-of-state ulatory surgical er within the	none.			
al or out-of-state atory surgical within the	TIONE.			

177	Proceedings and Actions (cont'd.)	Description	Reported By	Reporting Requirement	Verification
y DOH  s verified by me of re s and FBI.	Resigned from or had any medical staff privileges restricted or revoked within the previous 10 years by a health maintenance organization, pre-paid health clinic, nursing home, out-of-state hospital or out-of-state ambulatory surgical center.	Indicates information related to restriction, resignation or revocation of staff privileges to settle a pending disciplinary action.	Self-Reported	Mandatory	Information is verified by DOH through the National Practitioner Data Bank.
e-licensure eported by eer or ed on a ed from the enducts minal checks ers, immedi- ga renewal practitioner. es verified by the tioner Data	Liability Claims Exceeding \$100,000 (Within last 10 years).	Indicates any action or claim providing the date, county, case number, settlement date, amount and policy amount for personal injury alleged to have been caused.	Self-reported by the practitioner and reported directly to the department from the Office Insurance Regulation.	Mandatory for M.D.s and Osteopathic physicians to report to the Office of Insurance Regulation. DOH is required to publish all claims received from the Office of Insurance Regulation.	Information is verified by DOH through the National Practitioner Data Bank.
s verified by  the  titioner  s verified by the titioner  s verified by the titioner	Liability Claims Exceeding \$5,000 (Within last 10 years).	Indicates any action or claim providing the date, county, case number, settlement date, amount and policy amount for personal injury alleged to have been caused.	Self-reported by the practitioner and reported directly to DOH from the Office of Insurance Regulation	Mandatory for Podiatric physicians to report to the Office of Insurance Regulation. DOH is required to publish all claims received from the Office of Insurance Regulation.	Information is verified by DOH through the National Practitioner Data Bank.
	Bankruptcies	Indicates any bankruptcy information received by the department against the practitioner. If no bankruptcy information has been received, this field will not show in the profile.	Self-reported by the practitioner as well as directly from the source.		Not verified by DOH

Optional			Reporting	
Information	Description	Reported By	Requirement	Verification
Committees/	A list of any	Self-Reported	Optional	Not verified by DOH
Memberships	committees			
	on which the			
	practitioner served		-	
	for any health entity			
	with which they are			
	affiliated.			
Professional or	A list of any	Self-Reported	Optional	Not verified by DOH
Community	professional or		4	
Service Awards	community service			
	activities, honors,			
	or awards received			
	by the practitioner.			
Publications	A list of publications	Self-Reported	Optional	Not verified by DOH
	authored by the			
	practitioner and			
	published in peer-			
	reviewed medical or		Table 1	
	nursing literature.			
	Profile includes			
	publication title and			
	the year it was			
	published.			
Professional Web Page	A link to the	Self-Reported	Optional	Not verified by DOH
	practitioner's			
	professional			
	website.			
Languages Other	Languages, other	Self-Reported	Optional	Not verified by DOH
Than English	than English, that		1	,
Ü	the practitioner			
	uses to communicate			
	with patients or any			
	translation services			
	available to patients			
	at the practitioner's			
	primary place of			
	practice.			
Other Affiliations	A list of any national,	Self-Reported	Optional	Not verified by DOH
	,	1200 Heported	Spelona	The vermed by both
	state, local, county		1	
	state, local, county, or professional			

#### **CONTACT INFORMATION**

Email: Licensure\_Services@doh.state.fl.us

Telephone: (850) 488-0595

Fax: (850) 487-3284

Mailing Address:

Department of Health

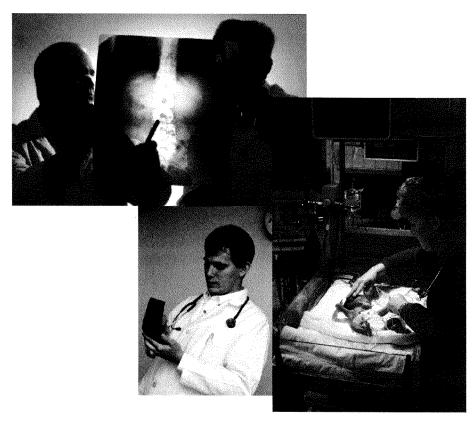
**Division of Medical Quality Assurance** 

Bureau of Operations - Licensing & Auditing Services Unit

4052 Bald Cypress Way, Bin #C-10

Tallahassee, Florida 32399-3260

A Profiling Specialist is available to assist you Monday through Friday, from 8:00 a.m. until 5:00 p.m., excluding state holidays



#### License Verification

Data As Of 2/8/2009

#### **ANTHONY GLENN ROGERS**

LICENSE NUMBER: ME62034

**Profession** 

MEDICAL DOCTOR

**License/Activity Status** 

CLEAR/ACTIVE

Qualifications

Dispensing Practitioner

**License Expiration Date** 

1/31/2011

Discipline on File

YES

**Address of Record** 

3618 LANTANA RD. SUITE 200 LAKE WORTH, FL 33462 UNITED STATES **License Original Issue Date** 

04/15/1992

The information on this page is a secure, primary source for license verification provided by The Florida Department of Health, Division of Medical Quality Assurance. This website is maintained by Division staff and is updated immediately upon a change to our licensing and enforcement database.

Name	Profession	City	Case Number	Action Code	IACTION LAKEN	Case Status Date	Case Name
ROGERS, ANTHONY GLENN	Medical Doctor	LAKE WORTH, FL	200626784		Non-Disciplinary Citation Satisfied	06-20-2007	
ROGERS, ANTHONY GLENN	Medical Doctor	LAKE WORTH, FL	199961662	4050	Obligations Imposed	04-21-2006	DOH-06-0688-FOI
ROGERS, ANTHONY GLENN	Medical Doctor	LAKE WORTH, FL	199961662	4050	Obligations Imposed	04-21-2006	DOH-06-0688-FOI
ROGERS, ANTHONY GLENN	Medical Doctor	LAKE WORTH, FL	199961662	4050	Obligations Imposed	04-21-2006	DOH-06-0688-FOI
ROGERS, ANTHONY GLENN	Medical Doctor	LAKE WORTH, FL	199961662	4050	Obligations Imposed	04-21-2006	DOH-06-0688-FOI

#### Practitioner Profile

Information in this profile has been verified by the practitioner.

#### **ANTHONY GLENN ROGERS**

Profession: MEDICAL DOCTOR
Year Began Practicing: 1/1/1992
Expiration Date: 1/31/2011
Status: CLEAR/ACTIVE

#### **Primary Practice Address**

ANTHONY GLENN ROGERS 3618 LANTANA RD. SUITE 200 LAKE WORTH, FL 33462 UNITED STATES

#### Medicaid

The practitioner did not indicate if he/she participates in the Medicaid program.

#### **Staff Privileges**

This practitioner currently holds staff privileges at the following hospital/medical/health institutions:

**Institution Name** 

BETHESDA MEMORIAL HOSPITAL

City

**BOYNTON BEACH** 

State

**FLORIDA** 

**Institution Name** 

BETHESDA MEMORIAL HOSPITAL

City

**BOYNTON BEACH** 

State

**FLORIDA** 

#### **E-Mail Address**

Please contact at: mdman3@msn.com

#### **Other State Licensure**

This practitioner has indicated the following additional state licensure:

**State** 

**Profession** 

**MEDICAL** 

**State** 

**Profession** 

**PHYSICIAN** 

#### **Education and Training**

Institution Name UNIVERSITY OF MIAMI

**Dates of Attendance** 1/1/1984-5/1/1988

**Graduation Date** 5/1/1988

Degree Title MD

#### Other Health Related Degrees

This practitioner does not hold any additional health related degrees.

#### **Professional and Postgraduate Training**

This practitioner has completed the following graduate medical education:

Program Name DEACONESS HOSPITAL

Program Type INTERNSHIP

Specialty Area TY - TRANSITIONAL YEAR

**Other Specialty Area** 

City \*\*\*

State or Country MISSOURI

Dates Attended From 07/01/1988

Dates Attended To 06/30/1989

Program Name LOS ANGELES COUNTY HARBOR/UNIVERSITY OF CALIFORNIA

Program Type RESIDENCY

Specialty Area AN - ANESTHESIOLOGY

Other Specialty Area

City LOS ANGELES
State or Country CALIFORNIA
Dates Attended From 07/01/1989
Dates Attended To 06/30/1992

Program Name LIPOSUCTION

Program Type OTHER PROGRAM

Specialty Area PS - PLASTIC SURGERY

**Other Specialty Area** 

City \*\*\*

State or Country COLORADO

**Dates Attended From** 

**Dates Attended To** 01/01/1996

Program Name AMERICAN ACADEMY
Program Type OTHER PROGRAM

Specialty Area PS - PLASTIC SURGERY

**Other Specialty Area** 

City \*\*\*

State or Country CALIFORNIA

**Dates Attended From** 

Dates Attended To 01/01/1998

Program Name COSMETIC BREAST SURGERY

Program Type OTHER PROGRAM

Specialty Area PS - PLASTIC SURGERY

**Other Specialty Area** 

City \*\*\*

State or Country FLORIDA

**Dates Attended From** 

**Dates Attended To** 01/01/1997

#### **Academic Appointments**

This practitioner does not currently hold faculty appointments at any medical/health related institutions of higher learning.

#### **Specialty Certification**

This practitioner holds the following certifications from specialty boards recognized by the Florida board which regulates the profession for which he/she is licensed:

Specialty Board AMERICAN BOARD OF ANESTHESIOLOGY

Certification AN - ANESTHESIOLOGY

Specialty Board AMERICAN BOARD OF PAIN MEDICINE

Certification AN - PAIN MANAGEMENT

#### **Financial Responsibility**

I have hospital staff privileges and I have professional liability coverage in an amount not less than \$250,000 per claim, with a minimum annual aggregate of not less than \$750,000 from an authorized insurer as defined under s. 624.09, F. S., from a surplus lines insurer as defined under s. 626.914(2), F. S., from a risk retention group as defined under s. 627.942, F.S., from the Joint Underwriting Association established under s. 627.351(4), F. S., or through a plan of self insurance as provided in s.627 .357, F.S.

#### **Criminal Offenses**

**Amt Recvd** 

#### The criminal history information, if any exists, may be incomplete; federal criminal history information is not available to the public.

This practitioner has indicated that he/she has NO criminal offenses.

Information provided has been verified through a criminal records check as of 8/28/2008 4:43:12 PM.

#### Final Disciplinary Actions (Within last 10 years)

For instructions on how to order copies of final disciplinary actions, please go to http://www.doh.state.fl.us/mqa/clientserv/records\_request.htm

This information has been reported by the Department of Health:

Taken By FLORIDA DEPARTMENT OF HEALTH

**Date** 4/21/2006

**Description of Disciplinary Action** Obligations Imposed

Under Appeal N

**Type** Fine

 Imposed
 2/17/2004

 Due
 8/15/2004

 Completed
 9/2/2004

 Amt Due
 \$1,000.00

 Amt Recvd
 \$10,000.00

 Type
 Costs

 Imposed
 2/17/2004

 Due
 8/15/2004

 Completed
 9/2/2004

 Amt Due
 \$9,322.35

Type Continuing Education

 Imposed
 8/15/2004

 Due
 11/30/2005

 Completed
 11/4/2005

 Amt Due
 \$0.00

 Amt Recvd
 \$0.00

This information is self reported by the practitioner. For Florida health care practitioner discipline, see information listed above.

\$9,322.35

#### Final disciplinary action taken by a specialty board within the previous 10 years:

This practitioner has indicated that he/she has <u>NOT</u> had any final disciplinary action taken against him/her within the previous 10 years by a specialty board.

Final disciplinary action taken by a licensing agency within the previous 10 years:

This practitioner has indicated that he/she has <u>NOT</u> had any final disciplinary action taken against him/her within the previous 10 years by a licensing agency.

Disciplinary action taken by a health maintenance organization, pre-paid health clinic, nursing home, hospital or ambulatory surgical center within the previous 10 years:

This practitioner has indicated that he/she has <u>NOT</u> had any final disciplinary action taken against him/her within the previous 10 years by a health maintenance organization, pre-paid health clinic, nursing home, hospital or ambulatory surgical center.

Resigned from or had any medical staff privileges restricted or revoked within the previous 10 years by a health maintenance organization, pre-paid health clinic, nursing home, hospital or ambulatory surgical center:

This practitioner has indicated that he/she has <u>NEVER</u> been asked to or allowed to resign from or had any medical staff privileges restricted or revoked within the previous 10 years by a health maintenance organization, pre-paid health clinic, nursing home, hospital or ambulatory surgical center.

Liability Claims Exceeding \$100,000.00 (Within last 10 years).

Settlement of a claim may occur for a variety of reasons that do not necessarily reflect negatively on the professional competence or conduct of the physician. A payment settlement of a medical malpractice action or claim should not be construed as creating a presumption that medical malpractice has occurred.

Additional claims information may have been reported to the Department of Financial Services. To check their web site, please click here.

The following liability actions have been reported as required under section 456.049, F. S., within the previous 10 years:

Incident Date 5/23/2002

County

**Judicial Case** 

 Settlement Date
 8/23/2004

 Amount
 \$250,000.00

**Policy Amount** 

Incident Date 9/12/2002
County PALM BEACH
Judicial Case 50 2005 CA 0039

 Settlement Date
 3/14/2007

 Amount
 \$250,000.00

 Policy Amount
 \$250,000.00

#### **Committees/Memberships**

This practitioner has not indicated any committees on which they serve for any health entity with which they are affiliated.

#### **Professional or Community Service Awards**

This practitioner has not provided any professional or community service activities, honors, or awards.

#### **Publications**

This practitioner has not provided any publications that he/she authored in peer-reviewed medical literature within the last ten years.

#### **Professional Web Page**

This practitioner has not provided any professional web page information.

#### **Languages Other Than English**

This practitioner has not indicated that any languages other than English are used to communicate with patients, or that any translation service is available for patients, at his/her primary place of practice.

#### Other Affiliations

This practitioner has provided the following national, state, local, county, and professional affiliations:

**Affiliation** 

AMERICAN ACADEMY OF COSMETIC SURGERY

**Affiliation** 

AMERICAN SOCIETY OF REGIONAL ANESTHESIA

**Affiliation** 

**ASCBS** 

**Affiliation** 

**ASLSS** 

**Affiliation** 

BRD CERT/AMERICAN ACADEMY OF PAIN MEDICINE, PAIN MEDICINE

**Affiliation** 

FLORIDA ACADEMY OF COSMETIC SURGERY

### **ATTACHMENT**

5



Timothy P. Murray Lieutenant Governor Commonwealth of Massachusetts
Deval L. Patrick Timothy P. Murray



Registration in Medicine Massachusetts Board of

eping Jewnsuog

Physician Profiles

www.massmedboard.org

0028-948-184

Board Regulations

· Patient Safety Updates

800-377-0550 781-876-8200

Wakefield, MA 01880

S00 Harvard Mill Square 088 silu?

Commonwealth of Massachusetts Board of Registration in Medicine

Links to Other State Agencies

Fluks to Wajor Health Plans

Links to Massachusetts Hospitals

Complaint Forms & Instructions

Directions to the Board

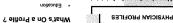
Contact Information

· Annual Reports and Publications

Board Disciplinary Actions

MAM WYSSWEDBOYED'ORC Other Information at

**МАГРЯАСТІСЕ АИВ ВОАЯВ DISCIPLINE** PHYSICIAN PROFILES SERVICE



gninieri \*

Using Physician Profiles

Search for a physician in a specific city or town.

Find a specialist in a town by entering both the fown and the speciality on the search page.

Please be aware that these contractual arrange-See it a physician accepts your insurance plan.

many patients prefer to see physicians with these credentials.

cians are not required to be Board Certifled, but

) pis centification is from a medical professional

quer meascel abecieță or per bezeed abeciej ex-

the physician specializes. Board certification and physician physician has additional training in

Line section shows the area of medicine in which

information on where the doctor went to medical

hospital's services. Ask the physician to explain

white others have different levels of access to a

juq oni ii a physician has privileges at that facility.

it there is a specific hospital that you prefer to use,

weuts cysude dnjckj) sug kon sponja sjwske cou-

Some physicians have full admitting privileges

acupos sug natura se se teatgeur

the type of privileges hershe has.

0990-776-008

Or through the Consumer Services Call Center

TIOTHEOMOSHIESEHIWWW

on the Board's web site:

Physician Profiles can be found

of eldelievs notice entro? notes entrope not

dnested from the physician. Usually, the Board

tal, the Board of Medicine is informed. Details

or revokes a physician's privileges in the hospi-

AAUGUGAGE 9 W9329CURSGES VOSDESI SRSDGUGS

section. The criminal lesue may or may not be

Board in the past ten years are listed in this

has published research papers in this area.

reason, but pagents tind the information ex-

Publications & Research

pictory of diabetes may prefer a physician who

tomely helpful in choosing a new physician. For example, a patient with a significant family

Physicians are not required to submit this infor-

related to the practice of medicine.

of Medicine will not have additional informa-

coasumers.

тетп енднонку with your provider.

Medical Specialties

Malpracece dollar awards

What's NOT On a Profile?

Complication rates for hospitals or physicians

The number of suits filed against a physician

Board of Registration in Medicine in the past

+ precipiosity actions of the massachusetts

. Criminal convictions in the past ten years

. Hospital discipline in the past ten years

\* Majoractice claims baid in the past ten years

Research or publications by the physician

\* Patient montality rates

ses years

\* Professional demographics, including bush

 Exoresaional or community awards received fillations, and available translation services. ness address, insurance plan and hospital at-

exbeueuce of all licensed physi-

necornatedo na gnicoorta ni qete eno as sellon naiciayin cialist. Expectant mothers use mey have been reletted to a spetheir health insurer. Others have a buysician from a list supplied by

help patients when making a refer-

wany physicians use the system to

cian Profises when trying to choose

Consumers use Physi-

Who Should Use the Physician Proler bedler communication with a physician profile information to fosgents are encouraged to use the

the right health care decisions. Pa-

should read the extensive declarmer and explanations that accompany the elementon, Just because a physician made a majoracuse payment don't strume that the physician is not a good doctor, Cometimes, the insurer decides to settle a claim without ever decuaning it with the doctor. The best way to understand

ther of the scrass Board decipiesty orders on the website.

When the Board takes linel disciplining action against a physician, it is noted on the profile for ten years.

physicians to others in the same specially account for these specially differences.

Jaipractics payments are presented within the context of the physician's specially. Some medical

Such an answer would show you that you are tailang to a doctor who respects your question, learns

"I used to do this procedure in my office. I fearmed that I didn't have enough backup when

there were complications and short's why patients, like you, are now asked to have the

from histher experiences, and is willing to make changes to improve patient salety.

"Did you make any changes to your practice in response to the problem ?"

"Did this malpractice payment involve the same procedure I om having ?"

Board Discipline

Another doctor may say something like this:

THEM NOT THIS COMMUNICATION AND TON YEAR STATE

generating date. In April 2007, the Board of Medicine began posting

oth not been been been been been been the press release for the



one tool patients can use to make The "Physician Profiles" program is about the education, training, and



· online services · agencies · elected officials · help

Back | Home | How to Read a Profile



## Massachusetts Board of Registration in Medicine Physician Profile

#### Robert P. Wespiser, M.D.

#### I. <u>Physician Information</u>

(The information in sections I - VI has been provided by the physician.)

License Status: Acti

<u>Active</u>

**License Issue Date:** 

3/19/1986

**Accepting New Patients:** 

Yes

**Accepts Medicaid:** 

Yes

Primary Work Setting:

**Private Office** 

**Business Address:** 

710 Stockbridge Road

Lee, MA 01238

Phone:

(413) 243-0122

**Translation Services Available:** 

None Reported

**Insurance Plans Accepted:** 

Blue Cross Blue Shield Community Health Plan

Health New England, Inc. Numerous Plans Accepted

**Hospital Affiliations:** 

Berkshire Health Systems

**Nursing Home** 

#### II. Education & Training

Medical School:

University of Massachusetts Medical School

**Graduation Date:** 

1983

**Post Graduate Training:** 

Umass Medical Center (7/1/1983-6/30/1984) Umass Medical Center (7/1/1984-6/30/1986)

#### III. Specialty

Area of Specialty:

Internal Medicine

#### IV. Board Certifications

#### **American Board of Medical Specialties (ABMS)**

**Board Name** 

**General Certification** 

Subspecialty

Internal Medicine

Internal Medicine

#### V. Honors and Awards

This physician has reported no awards.

#### VI. <u>Professional Publications</u>

This physician has reported no publications.

#### VII. Malpractice Information

Some studies have shown that there is no significant correlation between malpractice history and a doctor's competence. At the same time, the Board believes that consumers should have access to malpractice information. In these profiles, the Board has given you information about both the malpractice history of the physician's specialty and the physician's history of payments. The Board has placed payment amounts into three statistical categories: below average, average, and above average. To make the best health care decisions, you should view this information in perspective. You could miss an opportunity for high quality care by selecting a doctor based solely on malpractice history.

When considering malpractice data, please keep in mind:

- Malpractice histories tend to vary by specialty. Some specialties are more likely than others to be the subject of litigation. This report compares doctors only to the members of their specialty, not to all doctors, in order to make individual doctor's history more meaningful.
- This report reflects data for the last 10 years of a doctor's practice. For doctors
  practicing less than 10 years, the data covers their total years of practice. You
  should take into account how long the doctor has been in practice when
  considering malpractice averages.
- The incident causing the malpractice claim may have happened years before a
  payment is finally made. Sometimes, it takes a long time for a malpractice
  lawsuit to move through the legal system.
- Some doctors work primarily with high risk patients. These doctors may have malpractice histories that are higher than average because they specialize in cases or patients who are at very high risk for problems.
- Settlement of a claim may occur for a variety of reasons which do not necessarily reflect negatively on the professional competence or conduct of the physician. A payment in settlement of a medical malpractice action or claim should not be construed as creating a presumption that medical malpractice has occurred.

You may wish to discuss information provided in this report, and malpractice generally, with your doctor. The Board can refer you to other articles on this subject.

Dr. Wespiser has not made a payment on a malpractice claim in Massachusetts in the past ten years.

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#### VIII. Disciplinary and/or Criminal Actions

#### A. Criminal Convictions, Pleas and Admissions:

The information in this section may not be comprehensive. The courts are now required by law to supply this information to the Board.

#### Dr. Wespiser has had no criminal convictions in the past ten years.

#### B. Hospital Discipline:

This section contains several categories of disciplinary actions taken by Massachusetts hospitals during the past ten years which are specifically required by law to be released in the physician's profile.

#### Dr. Wespiser has no record of hospital discipline in the past ten years.

#### C. Board Discipline:

This section includes final disciplinary actions taken by the Massachusetts Board of Registration in Medicine during the past ten years.

**1.** <u>Date:</u> 12/17/2008

Case #: 2008-051

**Action:** Suspension, Stay of Suspension, Probation

<u>Instrument:</u> Consent Order

Action Note: Indefinite Suspension

Additional information about a physician, including closed complaints, may be available by calling the Massachusetts Board of Registration in Medicine Phone 781-876-8230

Toll Free Number (Massachusetts only) 1-800-377-0550

#### Return to Physician Profile Search

Direct questions and comments about these results to
Massachusetts Board of Registration in Medicine
200 Harvard Mill Square, Suite 330, Wakefield, MA 01880
Phone 781-876-8200
For direct response please use Email

Please read the Board of Registration in Medicine Disclaimer

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#### COMMONWEALTH OF MASSACHUSETTS

Middlesex, ss.		Board of Registration in Medicine
		Adjudicatory Case No. 2008-051
In the Matter of		
Robert P. Wespiser, M.D.	)	

#### STATEMENT OF ALLEGATIONS

In Board of Registration in Medicine (the Board) Docket No. 07-302, the Board has reason to believe that Robert P. Wespiser, M.D. (Respondent) has fraudulently obtained controlled substances by issuing prescriptions in the names of fictitious patients and then filling them for self-use.

#### **BIOGRAPHICAL INFORMATION**

1. The Respondent was born on May 27, 1957. He graduated from University of Massachusetts Medical School in June 1983, and has been licensed to practice medicine in Massachusetts since March 19, 1986 under certificate number 55555. The Respondent specializes in internal medicine and is certified by the American Board of Internal Medicine. He is a partner in Suburban Internal Medicine, a group practice located in Lee, Massachusetts. He has nursing home privileges at Laurel Lake Center for Health and Rehabilitation in Lee, where he is medical director, and at Providence Care Nursing Center in Lenox. He has hospital privileges at Berkshire Medical Center.

#### FACTUAL ALLEGATIONS

2. For a period of about six months, beginning in February 2001, the Respondent was prescribed hydrocodone/APAP (a Schedule III controlled substance) and tramadol (a Schedule VI controlled substance) by an orthopedic surgeon, following a leg fracture.

D.5

### **ATTACHMENT**

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#### **Medical Board of California**



2005 Evergreen Street, Suite 1200 Sacramento, CA 95815 Consumer Information Line: (916) 263-2382

#### **Physician Information**

**Licensee Name:** 

LURA JUSTICE REDDINGTON, MD

**License Type:** 

PHYSICIAN AND SURGEON

**License Number:** 

G76987

**License Status:** 

LICENSE RENEWED & CURRENT

**Public Record Actions:** HOSPITAL DISCIPLINE

EICEINDE REINE WED & CORREIN

**Original Issue Date:** 

JUNE 28, 1993

**Expiration Date:** 

**FEBRUARY 28, 2011** 

Address:

6730 N WEST AVE # 115

FRESNO, CA 93711

**County:** 

**FRESNO** 

#### **Public Disclosure**

To find out what information is and is not available, please click here.

If information is posted in the Administrative/Disciplinary Actions or Administrative Citation Issued categories below, documents may be available for review. Please click <u>here</u> to search the public document database.

#### **Administrative/Disciplinary Action**

No information available.

Administrative Action Taken by Other State or Federal Government No information available from this agency.

#### **Felony Conviction**

No information available from this agency.

#### **Misdemeanor Conviction**

No information available from this agency.

#### **Administrative Citation Issued**

No information available.

#### **Hospital Disciplinary Action**

The action taken by this healthcare facility against this physician's staff privileges to provide healthcare services at this facility was for a medical disciplinary cause or reason. The Medical Board is authorized by law to disclose only revocations and terminations of staff privileges. The Medical Board is prohibited from releasing a copy of the actual report or any other information.

Complaint Number:

08 2000 109065

Health Care Facility:

FRESNO SURGERY CENTER FRESNO, CALIF.

Description of Action:

TERMINATION OR REVOCATION OF STAFF

**PRIVILEGES** 

Effective Date of Action:

MARCH 31, 2000

#### **Malpractice Judgment**

No information available from this agency.

#### **Arbitration Award**

No information available from this agency.

#### **Malpractice Settlements**

No information available from this agency.

Note: "No information available from this agency" may not indicate none exists; but indicates no information has been reported to the Medical Board of California and/or that the Board is unable to post the information on the Web site by law.

#### **Education**

**Medical School:** MEDICAL COLLEGE OF PENNSYLVANIA

Year Graduated: 1989

This information is updated Monday through Friday - Last updated: FEB-06-2009

All information provided by the Department of Consumer Affairs on this web page, and on

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its other web pages and internet sites, is made available to provide immediate access for the convenience of interested persons. While the Department believes the information to be reliable, human or mechanical error remains a possibility, as does delay in the posting or updating of information. Therefore, the Department makes no guarantee as to the accuracy, completeness, timeliness, currency, or correct sequencing of the information. Neither the Department, nor any of the sources of the information, shall be responsible for any errors or omissions, or for the use or results obtained from the use of this information. Other specific cautionary notices may be included on other web pages maintained by the Department. All access to and use of this web page and any other web page or internet site of the Department is governed by the Disclaimers and Conditions for Access and Use as set forth at California Department of Consumer Affairs' Disclaimer Information and Use Information.

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## **ATTACHMENT**

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#### **AIM**

Association of State Medical Board Executive Directors

#### **Rhode Island Department of Health Board of Medical Licensure and Discipline Search Results**

JOHN ANDREW HALLBERG
EXPIRED - MUST REINSTATE
MD07302
MD
10 HUTCHINSON DR
FAIRMONT WV 26554-1235
(860)-536-0773
1956
Male
ORTHOPAEDIC SURGERY
1990
No secondary Specialty
06/01/1988
06/30/2008
2006
TULANE UNIVERSITY SCHOOL OF MEDICINE
NEW ORLEANS LA UNITED STATES
1982
National Boards
Yes
Westerly Hospital
YES

This physician's record was last updated on 02/05/2009

Direct questions and comments about these results to **Rhode Island Department of Health Board of Medical Licensure and Discipline** 

This Board's data has been searched 850196 times since 12/11/1997

Please read the AIM Disclaimer

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BOARD OF MEDICAL LICENSURE AND DISCIPLINE

No. C07-335

IN THE MATTER OF J. Andrew Hallberg, M.D. License Number: MD 07302

#### **Consent Order**

Pursuant to R.I. General Laws §5-37-5.2, 1956, as amended, (2002 Reenactment) a notification was received by the Board of Medical Licensure and Discipline [Board] regarding J. Andrew Hallberg, M.D. ("Respondent"). This matter was referred to an Investigating Committee of the board for investigation and recommendation. The following are findings of fact and conclusions of law:

#### FINDINGS OF FACTS AND CONCLUSIONS OF LAW

- The Respondent is a physician born in 1956 and has been licensed to practice medicine in Rhode Island since 1988. He is a 1982 graduate of the Tulane University School of Medicine. His primary area of practice is Orthopedic surgery. He had hospital privileges at the Westerly Hospital until March 2007. The Respondent failed to answer a question relating to past disciplinary action correctly on the 2006 2008 Rhode Island medical license renewal application regarding whether he had any hospital disciplinary action. He failed to disclose action taken by the hospital.
- 2. The Westerly Hospital notified the Rhode Island Board of Medical Licensure and Discipline about its action and it reported that the Respondent's privileges have been placed on probation for 3 years to the National Practitioner Data Bank.

## **ATTACHMENT**

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#### CONNECTICUT DEPARTMENT OF PUBLIC **HEALTH**

#### **Physician Profile**

#### **MARTIN PERLIN MD**

This profile contains information that may be used as a starting point in evaluating the physician. This profile should not, however, be your sole basis for selecting a physician.

#### I. Physician Information

Connecticut License Number:

030601

Date Issued: 02/23/1990

Date Expires: 08/31/2009

License Status: Current, Prior Discipline

Currently practicing medicine in Connecticut: Yes Practice Location(s):

Martin Perlin, M.D.

9 Bettswood Road

Norwalk, CT 06851-5103

Languages spoken other than English

at the practice location:

French, Italian, Spanish

Hospitals/Nursing Home

**Affiliations:** 

Norwalk Hospital, Norwalk CT

Westport Health Care Center, Westport CT

Wilton Meadows Health Care Center, Wilton CT

Honey Hill Rehabilitation And Nursing Center, Norwalk CT

Stamford Hospital, The, Stamford CT

Marathon Healthcare Center Of Norwalk, Norwalk CT

#### II. Education

Medical School: SUNY Downstate

Year of Graduation: 1976

#### III. Post Graduate Training

Dates of training **Training** Level Hospital, City and State 07/01/1976 - 06/30/1977 Internal Medicine Intern New York Hospital New York, NY New York Hospital 07/01/1977 - 06/30/1979 Internal Medicine Resident

New York, NY

07/01/1979 - 06/30/1982 Hematology/Oncology **Fellowship** New York Hospital

New York, NY

#### IV. Specialty

Specialty: Internal Medicine Subspecialty: Hematology

#### **Current American Board Certification:**

None reported.

This physician has reported the above Certification.

For more information regarding Board Certification please contact:

The American Board of Medical Specialties at www.abms.org

or The American Osteopathic Association at www.am-osteo-assn.org

#### V. Medical Educational Responsibility (This section is voluntary)

None reported.

VI. Publications, Professional Services, Activities and Awards (This section is voluntary and may include a maximum of ten items)

None reported.

#### VII. Malpractice Information

Some studies have shown that there is no significant correlation between malpractice history and a physician's competence. At the same time, consumers should have access to malpractice information. This profile contains information about the malpractice payment history of the physician. Payment amounts have been placed into three statistical categories: below average, average and above average. To make the best health care decisions, you should view this information in perspective. You could miss an opportunity for high quality care by selecting a doctor based solely on malpractice history.

When considering malpractice data, please keep in mind:

- Malpractice histories tend to vary by specialty. Some specialities are more likely than others to be the subject of litigation. This report compares physicians only to the members of their specialty, not all physicians, in order to make an individual physician's history more meaningful.
- This malpractice information reflects data for the last 10 years of the physician's practice. For physicians practicing less than 10 years, the data covers their total years of practice. You should take into account how long the doctor has been in practice when considering malpractice averages.
- The incident causing the malpractice claim may have happened years before payment is finally made. Sometimes it takes a long time for a malpractice lawsuit to move through the legal system.
- Some physicians work primarily with high risk patients. These physicians may have malpractice histories that are higher than average because they specialize in cases or patients who are at very high risk of problems.
- Settlement of a claim may occur for a variety of reasons that do not necessarily reflect negatively on the professional competence or conduct of the physician. A payment in settlement of a medical malpractice action or claim should not be construed as creating a presumption that medical malpractice has occured. For example, an insurer may choose to settle a case even if the physician opposes such settlement.

You may wish to discuss the information provided in this report, and malpractice generally, with your physician.

Physicians licensed in specialty(Internal Medicine): 6166 Number who made malpractice payments in the last ten years: 460

Payments made by or on behalf of this physician

**Date Resolved Payment Category** 

Specialty

09/25/2001

Average

Internal Medicine

#### VIII. Hospital Discipline Within the Last Ten Years

This section contains several categories of disciplinary actions taken by hospitals during the past 10 years which are specifically required by law to be released in the physician's profile.

**Hospital** 

City, State

Nature of Discipline

None reported.

IX. Felony Convictions Within the Last Ten Years

Date of Conviction Nature of Conviction

None reported.

X. Connecticut Licensure Disciplinary Actions

License Status: Current, Prior Discipline

Date of Action

Action

06/17/2008

Consent Order Signed

To obtain a copy of the discipline reported above, make note of license number and click here

Please direct questions and comments about this profile to:

Connecticut Department of Public Health
Physician Profiles
410 Capitol Ave., M.S. 12 APP
P.O. Box 340308
Hartford, CT 06134-0308
(860) 509-7557

STATE OF CONNECTICUT DEPARTMENT OF PUBLIC HEALTH HEALTHCARE SYSTEMS BRANCH

In Re: Martin Perlin, M.D.

Petition No. 2007-0320-001-042

#### **CONSENT ORDER**

WHEREAS, Martin Perlin (hereinafter "respondent") of Norwalk, Connecticut has been issued license number 030601 to practice as a physician and surgeon by the Department of Public Health (hereinafter "the Department") pursuant to Chapter 370 of the General Statutes of Connecticut, as amended; and,

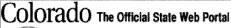
WHEREAS, the Department alleges that:

- 1. In January 2007, patient DM, a resident under respondent's care at Westport Health Care Center nursing home, was referred to Norwalk Hospital's Emergency Department for flank and abdominal pain and diagnosed with "infectious pan-colitis". Upon discharge from the hospital to the nursing home, respondent did not adequately monitor DM's continuing abdominal symptoms and/or obtain test results for C. Difficile infection in a timely manner.
- 2. The above described facts constitute grounds for disciplinary action pursuant to the General Statutes of Connecticut, 20-13(c), including but not limited to, 20-13(c)(4).

WHEREAS, respondent has chosen not to contest the Department's allegations but, while admitting no guilt or wrongdoing, agrees that for purposes of this or any future proceedings before the Connecticut Medical Examining Board (hereinafter "the Board"), this Consent Order shall have the same effect as if proven and ordered after a full hearing held pursuant to §§19a-10, 19a-14 and 20-13c of the General Statutes of Connecticut; and

## **ATTACHMENT**

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#### **Division of Registrations**

Department Home Division Home Physician Profiling

#### **Physician Profile**

Search

#### **Kenneth Clinton Parsons**

**Aliases** 

Parsons, Kenneth Clinton

**Address** 

License

Englewood, CO 80111-0000

License Number: 24980
License Type: DR
License Status: Active

License First Issued: 10/14/1982 Last Renewal Date: 07/17/2008 Last Expiration Date: 05/31/2009

#### **Physician Profile**

Last updated: 06/26/2008

#### **Other Medical Licenses**

Have you ever held, or do you currently hold, any other medical licenses from any other state, country or province?

Yes

License Number	License Type	Year Issued	Expire/ Renew	State/ Country	License Status
030161	Physician	1971	2008	MI	Inactive
G59819	Physician	1987	2007	CA	Other
J4956	Physician	1993	2008	TX	Active

#### **Board Certifications**

Do you hold any current Board Certifications?

Certification	Other
Physical Medicine and Rehabilitation	

#### **Practice Specialties**

Yes

Yes

Specialty	Other
Physical Medicine and Rehabilitation: Spinal Cord Injury Medicine	

#### **CO Hospital Affiliations**

Do you have an <u>affiliation</u> with any Colorado hospital?

No

#### Other Health Care Facilities and Out of State Hospitals

Do you have an <u>affiliation</u> with any health care facility or a non-Colorado hospital?

Yes

Facility	Affiliation					
Name	Type	Other Type	City, State			
The Institute of Rehab and Research	Other	Consulting recently	Houston, TX			

	Hermann I	ноѕрітаі	Other	Consulting recently	Houston, TX
	e a current	ownership in provision of h		y business whose ervices or	e No
	e a current			h any business n care services o	r Yes
Entity Na Paradigm	<b>me</b> Healthcare		act Length red Annually	Contract P Independer	osition it Contractor
	ver had <u>pu</u>			en against your of any state or	No
temporarily	ver entered	d into any agr	edicine or h	ad a board order	No
status on or	ver had an reduction		, denial, revo e facility priv	rileges?	Yes
Name The Methodist Hospital	State Houston,		Duration	CompleteComp	Appointment expired due
					to inactivity, insufficient patient contacts.
	ver had to	involuntarily s ministration F		ur United States	to inactivity, insufficient patient
Have you end Drug Enforce  Convictions  Have you end arrangement	ver had to cement Adi ver had an nt(s) resulti		Registration?  al conviction commission	(s) or plea or alleged	to inactivity, insufficient patient contacts.
Have you end of the convictions  Have you end of the commission of	ver had to cement Adi ver had an nt(s) resulti n of a felon ver had an o by, or arb	ministration F y final <u>crimina</u> ng from the c	al conviction? commission moral turpitu	(s) or plea or alleged ude in any	to inactivity, insufficient patient contacts.

#### **GENERAL DISCLAIMER**

The information posted on the state board of medical examiner's website was provided by applicants for an original medical license; applicants for reinstatement or reactivation of an existing medical license; as well as by those physicians renewing a medical license. This information is not verified by the board. The board will take action to obtain compliance with the requirements to provide accurate and timely information as required by law when information is received that indicates information required by law has not been received or is not accurate.

#### **AVAILABILITY DISCLAIMER**

If no Profile is found it could be for the following reasons: Physicians who held an active license issued by the Board prior to January 1, 2008 are not required to complete a physician profile until the May 31, 2009 license renewal period. Physicians who have an inactive or lapsed license are not required to complete a physician profile until they apply for reactivation or reinstatement. To verify whether there have been any board actions against this physician click here to go to the Automated Licensure Information System (ALISON).

#### MALPRACTICE CLAIMS DISCLAIMER

Some studies have shown that there is no significant correlation between malpractice history and a doctor's competence. At the same time, consumers should have access to malpractice information. To make the best health care decisions, you should view this information in perspective. You could miss an opportunity for high quality care by selecting a doctor based solely on malpractice history. When considering malpractice data, please keep in mind: (1) Malpractice histories tend to vary by specialty. Some specialties are more likely than others to be the subject of litigation. (2) You should take into account how long the doctor has been in practice when considering malpractice averages. (3) The incident causing the malpractice claim may have happened years before a payment is finally made. Sometimes, it takes a long time for a malpractice lawsuit to move through the legal system. (4) Some doctors work primarily with high-risk patients. These doctors may have malpractice histories that are higher than average because they specialize in cases or patients who are at very high risk for problems. (5) Settlement of a claim may occur for a variety of reasons that do not necessarily reflect negatively on the professional competence or conduct of the physician. A payment in settlement of a medical malpractice action or claim should not be construed as creating a presumption that medical malpractice has occurred. You may wish to discuss information provided, and malpractice generally, with your doctor.



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Technical Assistance Privacy Accessibility

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### **ATTACHMENT**

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#### **AIM**

#### Association of State Medical Board Executive Directors



#### **Physician Search**

#### State of Maine Board of Licensure in Medicine

137 State House Station Augusta, ME 04333-0137 (207) 287-3601

#### **General Information**

**Gene Cheng MD** 

License Number: 015417

6 Middle St

License Status: **Active** 

Augusta ME 04330

Initial License: 9/21/2000

License Expires 2/28/2009

207-622-4400

#### **Education and Training**

Note: Information up to the date of initial licensure is verified by the Board. Information provided by the licensee after this date is not verified by the Board.

Medical School:

TULANE UNIVERSITY, NEW ORLEANS, LA

Graduation Date: 1997

\*Specialty:

**Internal Medicine** 

\*The Board does not verify current specialties. To determine if a physician has been board certified by the American Board of Medical Specialties please visit www.abms.org

**Board Actions** 

Actions: None

This information was extracted on 2/7/2009

Please read the AIM Disclaimer

#### **Maine Doctor Ratings**

Cheng, Gene MD  $\phantom{MMMMMMMMMM} \cdot 6\,\text{Middle St}, \textbf{Augusta}\,\, \text{04330} \cdot \text{view map}$ 

#### **Uses Clinical Office Systems**





Practice has **made good progress** in using clinical office systems for managing your care.

Keeps electronic medical records
Practice did not complete survey, or survey
shows no progress.



Uses electronic prescriptions
Practice has made good progress in
using clinical office systems for managing
your care.



Maintains a chronic illness registry Practice has well developed clinical office systems for managing your care.



Follows clinical guidelines
Practice has well developed clinical office
systems for managing your care.



Reviews patient risk factors
Practice has well developed clinical office
systems for managing your care.



Creates action plans
Practice has made good progress in
using clinical office systems for managing
your care.



Coordinates patient care
Practice has well developed clinical office
systems for managing your care.

#### Measures Results of Diabetes Care





Measures outcomes for diabetes patients

Practice is measuring outcomes and achieving recognized results.

101 patients measured

#### Measures Results of Heart Disease Care





Measures outcomes for heart disease patients

Practice is measuring outcomes and achieving recognized results.

42 patients measured

#### Yvonne L. Geesey PO Box 62245 Honolulu, HI 96839 geesey@hawaii.edu

Aloha Chairperson Yamane, Vice-Chair Nishimoto and members of the Health Committee.

My name is Yvonne Geesey and I am an advanced practice registered nurse—a nurse practitioner and an attorney.

I see patients one day a week and work in the law the other four days. I am here today testifying as an individual.

I would like to testify in support of the patient's right to know, house bill 1540. I would like you to consider extending the bill to include advanced practice registered nurses.

This information should be in the public domain. It will give our patients an opportunity to make an informed choice in selecting a health care provider.

However, I disagree that our email addresses should be disclosed and would ask that you delete that mandate from the bill.