TESTIMONY OF ROBERT TOYOFUKU ON BEHALF OF THE HAWAII ASSOCIATION FOR JUSTICE (HAJ) formerly known as the CONSUMER LAWYERS OF HAWAII (CLH) REGARDING H.B. NO. 1514, HD 1

February 24, 2009

To: Chairman Jon Riki Karamatsu and Members of the House Committee on Judiciary:

My name is Bob Toyofuku and I am presenting this testimony on behalf of the Hawaii

Association for Justice (HAJ) in regarding H.B. No. 1514. HAJ is opposed to the provision in

Section 2 of Part I of this bill. With regard to Section 3 of Part I of this bill, although we are
opposed to the provisions of Part I, Section 2 of this bill, if this committee passes Section 2, then

HAJ contends that it is necessary to pass Section 3, Part I of the bill relating to a mandated
rollback of insurance rates and suggests that it be at least 35% of the lowest rate rather than 25%
as stated in Section 3 and contain a sunset provision. HAJ supports Sections 5, 6, 7, 8 and 9 of
Parts II, III and IV of this bill.

Testimony Regarding Section 2, Part I

Section 2 of Part I of this bill provides for a ceiling on noneconomic damages for those physicians that are board certified in neurology or neurosurgery. HAJ has always opposed "caps" on noneconomic damages and we oppose this section of the bill as well.

Noneconomic losses are important losses that can never be replaced. These are losses that are intangible but nonetheless real. For example, noneconomic losses would include the trauma caused by the loss of a sense such as eyesight, injuries to the reproductive system, loss of a limb or a body part, scarring, and the death of a loved one.

Capping noneconomic losses unfairly impact women who traditionally do not experience high economic losses, but rather experience losses when their health and well-being is affected.

The harm to their health and well-being should not be undervalued by an arbitrary limit.

Capping noneconomic losses is also particularly unfair to children and the elderly. In wrongful death claims, for instance, their value of life is often underestimated because they do not generally have income that can be used to measure their economic worth.

Further, we feel strongly that the argument for caps, that it will either significantly lower insurance premiums and/or be an incentive for neurosurgeons or other specialists to move to rural areas is without foundation. The studies have clearly shown that the factors involved in a physician choosing a place to practice involves a lifestyle preference, economic opportunities, cost of living, quality of education, and the desire of his or her spouse to move to that location, be it an urban or rural setting.

These are only some of the reasons why HAJ is opposed to limitations on noneconomic damages. We have always argued that it is anti-consumer and not good public policy.

Testimony Regarding Section 3, Part I

Section 3 of Part I also provides for a rollback of medical malpractice insurance rates to 25% of the lowest rate in effect between January 1, 2003 and December 31, 2009. The Hawaii Medical Association and the Insurance Commissioner has testified that the high cost of medical malpractice insurance premiums are the reason doctors are leaving the state or refuse to move to the rural areas and that limitations on non economic damages will reduce insurance premiums. HAJ has argued that there will be no substantial reduction in rates. Therefore, the question for you as policy makers is what will the savings be to the physicians and will the specialists then move to underserved areas, volunteer to be on-call physicians at hospitals, and make quality health care more accessible to all of our citizens?. If implemented, the reduction of rate should be tied to a percentage of the current premiums. If the medical profession is so certain that

should be at least 35% to 40% of the lowest rates between 2003 and 2009. Further, a sunset provision should be included as to Section 2 if the mandated reduction is not implemented.

Testimony Regarding Section 5 of Part II

The purpose of this section of the bill is to require health care providers to notify patients or their representatives of any adverse events that result in serious harm or death to the patient within 72 hours of discovery of the adverse event. The notification is not admissible as evidence of liability.

In 2007, the Legislature passed HB 1253 (Act 88) that made statements of sympathy inadmissible to prove liability. (HRS section 626-1, Hawaii Rules of Evidence Rule 409.5) In the context of medical errors, this bill takes the next step toward encouraging full disclosure of adverse medical events. This bill carefully balances two important and often conflicting interests: protecting a patient's right to know about any unexpected medical consequences that may harm them and the health care provider's concern that disclosure of an adverse medical event may be an admission of liability.

Background for "Sorry" Laws with Disclosure Requirements

In 1999, a report by the Institute of Medicine, "To Err is Human," indicated that up to 98,000 deaths occur each year in the United States as a result of medical errors. Since then, there has been a steady movement focused on patient safety and improving communication between health care providers and patients to create a more transparent environment to avoid triggering an automatic adversarial situation.

Two significant organizations support disclosure of medical errors. The American Medical Association Code of Medical Ethics describes standards of professional conduct that

includes disclosure to the patient of facts necessary to ensure understanding of what has occurred, without concern about legal liability.

The Joint Commission on Accreditation of Healthcare Organizations (JCAHO) requires that hospitalized patients and their families be told of "unanticipated outcomes" of care (Standard - Ethics, Rights, and Responsibilities (RI) 2.90, 2005) and that clinicians and health care organizations inform patients and families of adverse events.

At least 29 states have adopted "sorry" laws as a means to reduce medical malpractice claims. These laws encourage full disclosure of mistakes or errors in judgments by eliminating a physician's fear that the admission will be used against them. Over the past several years, many of these states have added mandatory notification requirements that impose a duty on health care providers to inform patients of adverse medical outcomes. These states include Florida, Nevada, New Jersey, Pennsylvania, Vermont, Colorado and Illinois. This bill is patterned after the Colorado and Illinois statutes.

"Sorry" Laws and Disclosure of Medical Errors Reduces Medical Malpractice Claims and Malpractice Insurance

The Veterans Affairs Medical Center at Lexington, KY is a pioneer in adopting a full disclosure policy. The Lexington program requires immediate notification to the patient of a possible mistake, face to face communication of details, an apology, and if it is determined that the hospital was at fault, restitution is offered. A study of the success of the Lexington Program was conducted by Kraman and Hamm, "Risk Management: Extreme Honesty May be the Best Policy," Annals of Internal Medicine, Vol. 131, No. 12, 12/21/99, which concluded that in comparison with other Veterans Affairs medical facilities, Lexington had lower payments than

30 other facilities, averaged payment of \$15,000 versus \$98,000 average of other facilities, quicker case closure than the average, in general, more positive economic outcomes.

Other medical centers, such as University of Michigan and University of Illinois, which have adopted policies of disclosure, also report reduction in malpractice claims and litigation expenses. See, attached New York Times article, "Doctors Say 'I'm Sorry' before 'See You in Court'," for a discussion of the success of disclosure policies in reducing malpractice claims.

Many insurance companies are also offering incentives for premium discounts for insured physicians who participate in the insurer's risk management and education program. For example, Med Pro offers a 5% discount. (as reported in www.sorryworks.net/article44)

Disclosure of Medical Errors Leads to Improved Patient Safety as "lessons learned"

Health care providers have operated under the "deny and defend" model for too long.

Unfortunately, when mistakes are covered up, no one learns from the mistakes or takes steps to correct practices and protocols that could prevent future errors. This bill will stop the "deny and defend" practice immediately and shift to the "lessons learned" approach to medical treatment.

While most conscientious health care providers take risk management very seriously, this bill puts patient safety as the highest priority for health care providers, without regard to concerns over liability.

Conclusion.

Our experience is that many clients come to attorneys because they simply don't know why something bad has happened in their medical treatment. They complain that no one has given them reasons, and worse, some have told them that they can't talk to them. One physician whose wife was seriously injured due to malpractice would not have initiated litigation if only the hospital had been candid, admitted its mistake and offered to help out with the additional

medical costs necessitated by the malpractice. Patients deserve full disclosure when mistakes are made. This bill will lead to improved patient safety procedures, reduce medical errors, which in turn will lead to reduced malpractice claims and costs of insurance.

Testimony Regarding Sections 6, 7 and 8 of Part III

This section of the bill calls for greater transparency of information collected by the DCCA Board of Medical Examiners (BME) on Hawaii's physicians and requires publication of the information on their website. The categories of information include standard facts such as contact information and status of licensure, biographical facts such as medical education and academic appointments, business-related facts such as insurance carrier, hospital affiliations, medical practice specialty areas, and malpractice awards, and profession-related data such as disciplinary actions and criminal convictions.

The Patient's Right to Know

This bill is aptly called the "Patient's Right to Know Act." In Hawaii, very little information is readily accessible to the public about the 7400 physicians who treat our residents and visitors. Our body is so important that we require food manufacturers to list ingredients, nutrition, and the identity of the manufacturer on packaging so that consumers can decide whether to ingest the food product. Yet, when it comes to choosing a doctor to heal our body, we ask patients to take their qualifications on faith. We don't require doctors to provide even the most basic information about themselves, such as how long they've practiced medicine and whether they are certified in a medical specialty!

Hawaii residents are starved for information about physicians so that they can make informed decisions about medical providers. It is no wonder that the "Best Doctors" edition of the Honolulu magazine is one of the most sought after and widely read publication. For patients,

there is some comfort in selecting a doctor because his/her name appears on a "best" list even if no other data is provided in the article. And doctors must also believe there is a need to provide information about themselves because their advertisements include biographical facts, years of experience, medical specialties, and personal attributes such as hobbies and community activities.

DCCA Board of Medical Examiners Collects, But Does Not Publicly Release, Essential Physician Data

Currently, the BME provides two pieces of information on its website: status and dates of current license and cryptic descriptions of disciplinary action. If a patient can figure out how to access license information by clicking on an obscure label called "online services," the patient will eventually be rewarded with a screen that describes that information. (See, sample Attachment 1) And if a patient is savvy enough to click on the label "Office of Administrative Hearings" the patient may discover a list of 39 disciplinary decisions published between 1995 and 2007.

Despite the dearth of available public information, doctors and other private and governmental entities are required to submit certain information to the BME, which collects the data but does not release it. Specifically, the following data is submitted and collected:

Physician's license application: medical education and training, hospital affiliation, licensed in other jurisdictions, disciplinary action in other jurisdictions, malpractice claims, denial of malpractice insurance, criminal convictions. Physician has a continuing duty to update information and report new events to the BME, including malpractice settlements, claims, and awards.

Affiliated Hospitals: confirmation or denial of staff privileges, disciplinary actions taken in a training program, actions relating to safe practices, adverse decisions of peer review committees (HRS §453-7.5).

Court system: certain criminal convictions (HRS §329-44) and malpractice judgments (HRS §453-8.7).

Insurance carriers: medical malpractice settlements, judgments and awards (HRS §671-5).

MCCP: malpractice awards (HRS §671-15).

This bill simply requires the BME to publish the information that it already collects.

Hawaii's Physician Information System Doesn't Work is and Inadequate

Most patients are given a doctor's name by a friend or family member or by a referring physician. However, for serious illnesses or sensitive conditions, patients should not have to rely on the word of another. Instead, before a patient is forced to sign an "informed consent" form, the patient should also make an "informed decision" about the medical provider. That's why this bill is necessary. Under Hawaii's current system, it is not sufficient to know that a doctor has a current license to practice medicine. Even though cryptic disciplinary action information appears to be provided, a patient cannot rely on the absence of disciplinary information as assurance that the doctor is competent to deliver services.

Here are two cases on point.

First, a check of the licensure status of Richard Bost. MD, indicates that his license is "current, valid & in good standing" and expires on 1/31/2010. Any patient reading that information would have no reason to look further by clicking on the standard language "complaint history" link. Yet, the complaint history reveals two disciplinary actions taken, in

2003 and 2006, both for failure to disclose disciplinary action in another jurisdiction or agency. The 2003 action is not reported as one of the 39 published disciplinary decisions. The 2006 action placed Dr. Bost on probation for 1095 days, with a compliance date of 11/03/09. The actual disciplinary order reveals that he was disciplined in Florida and failed to report that disciplinary action to Hawaii BME, resulting in a three year probation. Based on the disciplinary order, it appears that Dr. Bost should still be on probation until November 30, 2009. Yet the license status is "current, valid & in good standing." (See, Attachment 1)

Second, Robert Ricketson, MD, is the doctor who implanted a screwdriver rod instead of medically appropriate titanium rods, during spinal surgery on Arturo Iturralde at Hilo Medical Center in February 2001. The rod broke, and Iturralde suffered intense pain and loss of certain bodily functions as he underwent several surgeries to correct the problems. Iturralde died in June 2003. In March 2006, a jury found that Dr. Ricketson committed malpractice. During the 2006 trial, evidence emerged that Dr. Ricketson had a history of drug abuse, was disciplined in the late 1990's by Oklahoma for writing false prescriptions for drugs which he took, and his medical license was revoked by Texas in 2000 for unprofessional conduct likely to deceive or injure the public. Dr. Ricketson testified that he was re-credentialed by Hilo Medical Center even though it knew about his prior disciplinary actions and drug abuse. Further, trial evidence revealed that Dr. Ricketson's file at Hilo Medical Center contained eight complaints of malpractice.

While Dr. Ricketson's malpractice is shocking, his disciplinary history in Hawaii tells a troubling story of a system that protects the doctor, not the public. Dr. Ricketson fled to Hawaii and obtained a license to practice here in 1998. In May 1999, he entered an agreement with the Hawaii Medical Association (HMA) to refrain from taking illegal drugs. Eighteen months later, in November 2000, after being charged with failure to report disciplinary action by another

jurisdiction, Dr. Ricketson entered into a settlement agreement with BME to be placed on probation for 4 years, and to abide by the same terms as his prior agreement with HMA. Six years later, in July 2006, (four months after the widely publicized malpractice trial returned a verdict against Dr. Ricketson), the BME initiated disciplinary action against Dr. Ricketson for violation of probation in 2002 when he ingested cocaine in an attempt to commit suicide. Over one year later, the BME revoked Dr. Ricketson's medical license.

The published records on his disciplinary actions are vaguely described on the website "complaint history" page as three complaints, but only the 2007 revocation action is posted as a disciplinary decision. (See, Attachment 2) Neither the settlement agreement with HMA nor the probationary action appears to have been publicly disclosed at the time; these facts were described in the 2007 revocation decision. Clearly, the system protected Dr. Ricketson from public disclosure of his disciplinary history, both here in Hawaii and in other states. In this case, the system failed to protect the patient and the public.

This transparency bill addresses the deficiencies identified by the Bost and Ricketson cases, and properly puts patient safety first.

Hawaii Needs to Keep Up with Transparency Laws Enacted in Other States

Many states recognize that patient safety and preventative practices will do more toward reducing the high costs of health care, litigation, and malpractice insurance than tort remedies designed to close the courthouse door to victims of medical malpractice. These states have enacted transparency laws that require physician profiles be published on the agency's website.

A sampling of physician profiles from other states is attached for the committee's review.

Massachusetts - Attachment 3

California - Attachment 4

Rhode Island – Attachment 5

Connecticut – Attachment 6

Colorado - Attachment 7

Maine - Attachment 8

Conclusion

Patient's rights and patient safety must be Hawaii's highest priority in the delivery of health care services. The pendulum has swung from the policy of "buyer beware" to "buyer be aware" as the consumer protection movement has grown over the years and consumers demand more information before making a choice. This bill gives licensing and enforcement agencies an opportunity to play an important role in building patient confidence that there is reliable information about doctors available for them to make informed decisions on medical providers.

Testimony Regarding Section 9 of Part IV

This section of this bill provides for the establishment of a task force to provide support in implementing the provisions of this bill provided it becomes law. We feel that the purpose of the task force as set forth in HB 1785 where it was charged with developing a strategic plan to address the cost of medical malpractice rates in the State as it relates to a shortage of doctors is a more practical approach to address the alleged high cost of medical malpractice insurance in the state. We also feel that it is a good idea to have a task force to look into the role that medical malpractice insurance rates play in doctor shortage crisis, and the effect that changes to the tort system will solve the crisis in relation to other solutions. Our comments and suggestions are listed below.

First as to the membership of the task force: HAJ feels that it would be beneficial if the members of the task force had background on the issue of tort law and an understanding of how

insurance works. We feel that the focus should be on the primary purpose of the task force; that is, to address the reasons and solutions for the doctor shortage crisis and consideration of the cost of medical malpractice insurance and possible alternatives such as captive insurance companies and a patients' compensation fund. Our concern is that if the big picture is not considered, i.e., what are the main causes of the doctor shortage and what are the most effective solutions, the purpose for forming the task force will not be achieved.

Thank you for the opportunity to present our views on the various aspects of this bill. In summary, HAJ is opposed to the provision in Section 2 of Part I of this bill, and supports the provisions in Parts II, III and IV of this bill. With regard to Section 3 of this bill, although we are opposed to the provisions of Part I, Section 2 of this bill, if this committee passes Section 2, then HAJ contends that it is necessary to pass Section 3, Part I of the bill relating to a mandated rollback of insurance rates and suggests that it be at least 35% of the lowest rate rather than 25% as stated in Section 3.

Thank you for the opportunity to testify on this bill.

ATTACHMENT

1

Professional and Vocational Licensing (PVL) Search - General Licensee

GENERAL LICENSEE		
LIC ID: MD-8776	Active/Inactive:	
NAME: RICHARD M BOST		
TRADE NAME:		
STATUS: CURRENT, VALID & IN GOOD STANDING		
ENTITY: INDIVIDUAL	BUSINESS CODE:	
ORIG LIC DATE: 05/27/1994	EXPIRE DATE: 01/31/2010	
CLASS PREFIX:	SPECIAL PRIVILEGE:	
RESTRICTION:	EDUCATION CODE:	
CONDITIONS AND LIMITATIONS:		
BUSINESS ADDR:		
MAILING ADDR:		

Click here to enter search criteria for prior complaints history ->
For prior complaints and disciplinary history, contact licensing and business information center at (808)
587-3295.

License information on this site reflects information in the Professional and Vocational Licensing Division as of January 18, 2009; however applications and forms are subject to standard processing time, and the information here does not reflect pending changes which are being reviewer. The site is updated daily, Monday through Friday, except holidays.

The State of Hawaii makes no guarantees as to the accuracy of the information accessed, the timeliness of the delivery of transactions, delivery the correct party, preservation of the privacy and security of users and makes no warranties, including warranty of merchantability and fitness for particular purpose. User is advised that if the information obtained herein is to be reasonably relied upon, user should confirm the accuracy of su information with the provider thereof.



Current as of 01/18/2009 15:02

Complaint History Report

NOTE: PLEASE CONTACT THE CONSUMER RESOURCE CENTER AT (808) 587-3222 IF YOU HAVE A QUESTION ABOUT THIS REPORT. THE FOLLOWING INFORMATION PERTAINS TO COMPLAINT HISTORY ONLY. FOR LICENSING INFORMATION, PLEASE CALL (808) 587-3222 OR CHECK THE PROFESSIONAL & VOCATIONAL LICENSING DIVISION WEBSITE AT http://pvl.ehawaii.gov/pvlsearch/app. TO SEARCH FOR BUSINESS INFORMATION PLEASE VISIT THE DEPARTMENT OF COMMERCE AND CONSUMER AFFAIRS BUSINESS NAME SEARCH AT http://hbe.ehawaii.gov/documents/search.html.

The business & licensee complaints history database is designed to serve as a neutral repository of complaints filed with OCP or RICO. Users should judge a business' complaints history on the outcome of the complaints and not on the number of complaints or on the fact that a complaint was filed.

	Summary of Names
COMPANY OR PROPER NAME	BOST, RICHARD M. (M.D.)
ASSOCIATED NAMES	NONE KNOWN AT THIS TIME
OTHER NAMES	NONE KNOWN AT THIS TIME

COMPANY OR PROPER NAME

BOST, RICHARD M. (M.D.)

ASSOCIATED NAMES

COMPLAINT NO: MED-2006-0242L

ALLEGATION:

FAILURE TO DISCLOSE ANY DISCIPLINARY ACTION BY PERSON OR

ORGANIZATION.

ALLEGATION:

DISCIPLINARY ACTION TAKEN IN OTHER JURISDICTION.

ACTION:

PRE-PETITION SETTLEMENT AGREEMENT FILED

OUTCOME:

SETTLEMENT AGREEMENT AND ORDER

DATE:

11/03/2006

REMEDY:

LICENSE PROBATION:

EFFECTIVE DATE: 11/03/2006

TERMS:

1095 DAYS

MONETARY SANCTIONS:

FINE:

\$1,000.00

COMPLIANCE DATE:

11/03/2009

COMPLAINT NO: MED-2003-0045L

ALLEGATION:

FAILURE TO DISCLOSE ANY DISCIPLINARY ACTION BY PERSON OR

ORGANIZATION.

ALLEGATION:

DISCIPLINARY ACTION TAKEN IN OTHER JURISDICTION.

ACTION:

PRE-PETITION SETTLEMENT AGREEMENT FILED

OUTCOME:

SETTLEMENT AGREEMENT AND ORDER

DATE:

10/08/2004

REMEDY:

LICENSE CENSURE:

EFFECTIVE DATE: 10/08/2004

MONETARY SANCTIONS:

FINE:

\$1,000.00

COMPLIANCE DATE:

11/15/2004

The information is provided by the State of Hawaii Department of Commerce and Consumer Affairs (DCCA) from its website as a public service and is intended to be used solely as reference material. No posted information is intended to constitute legal or professional advice and this report is NOT an official record. The records available through this web site are NOT official records. Assessing the accuracy and reliability of information contained herein is the user's responsibility. The information obtained from the DCCA website DOES NOT comprise all information from official DCCA records available to the public. Please consult the DCCA website for additional terms and conditions of use.

DARIA A. LOY-GOTO 6175
DENISE P. BALANAY 5526
Regulated Industries Complaints Office
Department of Commerce and Consumer Affairs
State of Hawaii
Leiopapa A Kamehameha Building
235 South Beretania Street, Suite 900
Honolulu, Hawaii 96813
Telephone: 586-2660

Attorney for Department of Commerce and Consumer Affairs

BOARD OF MEDICAL EXAMINERS DEPARTMENT OF COMMERCE AND CONSUMER AFFAIRS STATE OF HAWAII

In the Matter of the)	MED 2006-242-L
License to Practice Medicine of)	
)	SETTLEMENT AGREEMENT PRIOR TO
RICHARD M. BOST, M.D.,)	FILING OF PETITION FOR DISCIPLINARY
)	ACTION AND BOARD'S FINAL ORDER;
Respondent.)	EXHIBIT "1"
•)	[EXHIBIT 1 redacted for publication purposes]

SETTLEMENT AGREEMENT PRIOR TO FILING OF PETITION FOR DISCIPLINARY ACTION AND BOARD'S FINAL ORDER

Respondent RICHARD M. BOST, M.D. (hereinafter "Respondent"), and the Department of Commerce and Consumer Affairs, through its Regulated Industries Complaints Office (hereinafter "RICO"), through its undersigned attorneys enter into this Settlement Agreement on the terms and conditions set forth below.

A. <u>UNCONTESTED FACTS</u>

 Respondent is licensed by the Board of Medical Examiners (hereinafter the "Board") as a physician under License Number MD 8776. Said license was issued on May 27, 1994 and currently has an expiration date of January 31, 2008.

- The last known address for Respondent is 650 Fem Street, West Palm Beach,
 Florida 33401.
- RICO received information that a Uniform Disciplinary Citation, accepted July 6,
 was issued against Respondent by the Florida Department of Health (hereinafter the
 "Florida Citation"). A true and correct copy of the Florida Citation is attached as Exhibit "1."
- The Florida Citation concluded the Respondent failed to notify the Department of Health of a change of address.
 - 5. Pursuant to the Florida Citation, Respondent was fined \$339.00.
- 6. Respondent failed to report the Florida Citation to the Board within thirty (30) days, as required by Hawaii Revised Statutes (hereinafter "HRS") §§ 436B-19(15) and 453-8(14). Respondent disclosed the Florida Citation to the Board on a December 8, 2005 renewal application.
- 7. RICO is prepared to file a petition for disciplinary action against the Respondent for his failure to comply with HRS §§ 436B-19(15) and 453-8(14).
- 8. The Board has jurisdiction over the subject matter herein and over the parties hereto.

B. <u>REPRESENTATIONS BY RESPONDENT:</u>

- 1. Respondent is fully aware that he has the right to be represented by an attorney of his choosing in this matter and voluntary waives that right.
- Respondent enters into this Settlement Agreement freely and voluntarily and under no coercion or duress.

- 3. Respondent has been informed of his right to have a hearing to determine the issues in RICO's investigation. Pursuant to HRS § 91-9(d), Respondent voluntarily waives his right to a hearing and agrees to a disposition of this case in accordance with the terms and conditions of this Settlement Agreement.
- 4. Respondent being at all times relevant herein licensed as a physician by the Board acknowledges that he is subject to penalties including but not limited to, revocation, suspension or limitation of his license and civil fines, if the foregoing violations are proven at hearing.
- Respondent does not admit that he has violated any law or rule, but acknowledges
 that RICO has sufficient cause to file a Petition for Disciplinary Action against his license to
 practice medicine.
- 6. Respondent enters into this Settlement Agreement as a compromise of the claims and to conserve on the expenses of proceeding with an administrative hearing on this matter.
- Respondent agrees that this Settlement Agreement is intended to resolve the issues raised in RICO's investigation in RICO Case No. MED 2006-242-L.

C. <u>TERMS OF SETTLEMENT:</u>

- Probation. Respondent's license to practice medicine in the State of Hawaii is hereby placed on probation for a period of three (3) years. During the probationary period, Respondent agrees to the following terms and conditions:
- 2. Compliance with Law Relating to Reporting of Disciplinary Actions. Respondent agrees to report to the Hawaii Board any future disciplinary actions against any license to practice medicine held by the Respondent within thirty (30) days of the issue date of the disciplinary action as required by law. Respondent understands notice to the Hawaii Board must be in

writing. Any mailing must be postmarked by the 30th day to be in compliance with this Settlement Agreement.

- 3. Administrative fine. Respondent agrees to pay a fine in the amount of ONE THOUSAND AND NO/100 DOLLARS (\$1,000.00). Said payment shall be made by cashier's check or money order made payable to "State of Hawaii Compliance Resolution Fund" and mailed to the Regulated Industries Complaints Office, Attn: Denise P. Balanay, Esq., 235 S. Beretania Street, 9th Floor, Honolulu, Hawaii 96813. Payment of the fine shall be due at the time this fully executed Settlement Agreement is returned to RICO.
- 4. Failure to Comply with Settlement Agreement. If Respondent fails to fully and timely comply with the terms of probation as set forth in paragraphs C. 1, C.2, and C.3 above, Respondent's license to practice medicine shall be automatically revoked for a period of five (5) years upon RICO's filing of an affidavit with the Hawaii Board attesting to such failure. In case of such revocation, Respondent shall turn in all indicia of his licensure to the Executive Officer of the Hawaii Board within ten (10) days after receipt of notice of the revocation. Upon completion of the revocation period, Respondent understands that he will need to apply to the Hawaii Board for a new license pursuant to and subject to the requirements and conditions set forth in HRS § 436B-21.
- 5. <u>Possible further sanction</u>. The Board, at its discretion, may pursue additional disciplinary action as provided by law to include further fines and other sanctions as the Board may deem appropriate if Respondent violates any provision of the statutes or rules governing the conduct of physicians in the State of Hawaii, or if Respondent fails to abide by the terms of this Settlement Agreement.

- 6. Approval of the Board. Respondent agrees that, except for the representations, agreements and covenants contained in Paragraphs C.7, C.8, C.9 and C.10 below, this Settlement Agreement shall not be binding on any of the parties unless and until it is approved by the Board.
- 7. No Objection if Board Fails to Approve. If the Board does not approve this

 Settlement Agreement, does not issue an order pursuant thereto, or does not approve a lesser remedy, but instead an administrative hearing is conducted against Respondent in the Board's usual and customary fashion pursuant to the Administrative Procedure Act, Respondent agrees that neither he nor any attorney that he may retain, will raise as an objection in any administrative proceeding or in any judicial action, to the Board's proceeding against him on the basis that the Board has become disqualified to consider the case because of its review and consideration of this Settlement Agreement.
- 8. Any Ambiguities Shall be Construed to Protect the Consuming Public. It is agreed that any ambiguity in this Settlement Agreement is to be read in the manner that most completely protects the interests of the consuming public.
- 9. No Reliance on Representations by RICO. Other than the matters specifically stated in this Settlement Agreement, neither RICO nor anyone acting on its behalf has made any representation of fact, opinion or promise to Respondent to induce entry into this Settlement Agreement, and Respondent is not relying upon any statement, representation or opinion or promise made by RICO or any of its agents, employees, representatives or attorneys concerning the nature, extent or duration of exposure to legal liability arising from the subject matter of this Settlement Agreement or concerning any other matter.

10. <u>Complete Agreement.</u> This Settlement Agreement is a complete settlement of the rights, responsibilities and liabilities of the parties hereto with respect to the subject matter hereof; contains the entire agreement of the parties; and may only be modified, changed or amended by written instrument duly executed by all parties hereto.

IN WITNESS WHEREOF, the parties have signed this Settlement Agreement on the date(s) set forth below.

[West Pal	m Beach]		
DATED:	, [Florida]	, [October 10, 2006]	
(CITY)	(STATE)		
	/s/ RICHAI	RD M. BOST, M.D.	
	RICHARI	M. BOST, M.D.	
	Responder	nt	

DATED: Honolulu, Hawaii, [October 17, 2006]

/s/ DENISE P. BALANAY
DARIA A. LOY-GOTO

DENISE P. BALANAY

Attorneys for Department of Commerce and Consumer Affairs

IN THE MATTER OF THE LICENSE TO PRACTICE MEDICINE OF RICHARD M. BOST, M.D.; SETTLEMENT AGREEMENT PRIOR TO FILING OF PETITION FOR DISCIPLINARY ACTION AND BOARD'S FINAL ORDER; EXHIBIT "1"; RICO CASE NO. MED 2006-242-L

APPROVED AND SO ORDERED: BOARD OF MEDICAL EXAMINERS STATE OF HAWAII

/s/ H. ROGER NETZER, M.D.	[November 3, 2006]
H. ROGER NETZER, M.D. –	DATE
Chairperson	
/s/ MARIA BRUSCA PATTEN, D.O.	Isl BRIAN E. CODY
MARIA BRUSCA PATTEN, D.O	BRIAN E. CODY
Vice-Chairperson	
/s/ RONALD H. KIENITZ, D.O.	Isl JOHN T. McDONNELL, M.D.
RONALD H. KIENITZ, D.O.	JOHN T. McDONNELL, M.D.
/s/ M. PIERRE K.W. PANG, M.D.	/s/ FEREYDOUN DON PARSA, M.D.
M. PIERRE K.W. PANG, M.D.	FEREYDOUN DON PARSA, M.D.
BENK. AZMAN, D.O.	G. MARKUS POLIVKA
PETER A. MATSUURA, M.D.	DANNY M. TAKANISHI, JR.,
	M.D.

PVL 07/01/06

STATE OF [Florida])
COUNTY OF [Palm Beach]) SS.)
On this [10th]day of [October]	2006, before me personally appeared
[Richard M. Bost], to me know	on to be the person described and who executed the
foregoing instrument and acknowledged the	same as his/her free act and deed.
	[Signature redacted]
	Name: [Terrie L. Vanover]
	Notary Public - State of [Florida]
	My commission expires:

ATTACHMENT

2

Professional and Vocational Licensing (PVL) Search - General Licensee

GENERAL LICENSEE			
LIC ID: MD-10248	Active/Inactive:		
NAME: ROBERT RICKETSON			
TRADE NAME:			
STATUS: REVOKED			
ENTITY: INDIVIDUAL	BUSINESS CODE:		
ORIG LIC DATE: 05/11/1998	EXPIRE DATE: 01/31/2008		
CLASS PREFIX:	SPECIAL PRIVILEGE:		
RESTRICTION:	EDUCATION CODE:		
CONDITIONS AND LIMITATIONS:			
BUSINESS ADDR:			
MAILING ADDR:			

Click here to enter search criteria for prior complaints history ->
For prior complaints and disciplinary history, contact licensing and business information center at (808)
587-3295.

License information on this site reflects information in the Professional and Vocational Licensing Division as of January 18, 2009; however applications and forms are subject to standard processing time, and the information here does not reflect pending changes which are being reviewer. The site is updated daily, Monday through Friday, except holidays.

The State of Hawaii makes no guarantees as to the accuracy of the information accessed, the timeliness of the delivery of transactions, delivery

The State of Hawaii makes no guarantees as to the accuracy of the information accessed, the timeliness of the delivery of transactions, delivery the correct party, preservation of the privacy and security of users and makes no warranties, including warranty of merchantability and fitness for particular purpose. User is advised that if the information obtained herein is to be reasonably relied upon, user should confirm the accuracy of su information with the provider thereof.



Current as of 01/18/2009 14:32

Complaint History Report

NOTE: PLEASE CONTACT THE CONSUMER RESOURCE CENTER AT (808) 587-3222 IF YOU HAVE A QUESTION ABOUT THIS REPORT. THE FOLLOWING INFORMATION PERTAINS TO COMPLAINT HISTORY ONLY. FOR LICENSING INFORMATION, PLEASE CALL (808) 587-3222 OR CHECK THE PROFESSIONAL & VOCATIONAL LICENSING DIVISION WEBSITE AT http://pvl.ehawaii.gov/pvlsearch/app. TO SEARCH FOR BUSINESS INFORMATION PLEASE VISIT THE DEPARTMENT OF COMMERCE AND CONSUMER AFFAIRS BUSINESS NAME SEARCH AT http://hbe.ehawaii.gov/documents/search.html.

The business & licensee complaints history database is designed to serve as a neutral repository of complaints filed with OCP or RICO. Users should judge a business' complaints history on the outcome of the complaints and not on the number of complaints or on the fact that a complaint was filed.

Summary of Names		
COMPANY OR PROPER NAME	RICKETSON, ROBERT (M.D.)	
ASSOCIATED NAMES	NONE KNOWN AT THIS TIME	
OTHER NAMES	NONE KNOWN AT THIS TIME	

COMPANY OR PROPER NAME

RICKETSON, ROBERT (M.D.)

ASSOCIATED NAMES

COMPLAINT NO: MED-2006-0076L

ALLEGATION:

FAILURE TO ABIDE BY CONDITIONS OF LICENSE/ REGISTRATION.

ALLEGATION:

FAILURE TO COMPLY WITH CHAPTER OR THE RULES AND

REGULATIONS.

ACTION:

PETITION FILED

OUTCOME:

FINAL ORDER

DATE:

07/13/2007

REMEDY:

LICENSE REVOCATION:

EFFECTIVE DATE: 07/13/2007

TERMS:

1825 DAYS

COMPLIANCE DATE:

07/13/2017

COMPLAINT NO: MED-2003-0086L

ALLEGATION:

FAILURE TO COMPLY WITH CHAPTER OR THE RULES AND

REGULATIONS.

OUTCOME:

PRIOR ACTION TAKEN

DATE:

09/18/2007

COMPLAINT NO: MED-2000-0003L

ALLEGATION:

FAILURE TO DISCLOSE ANY DISCIPLINARY ACTION BY PERSON OR

ORGANIZATION.

ACTION:

PRE-PETITION SETTLEMENT AGREEMENT FILED

OUTCOME:

SETTLEMENT AGREEMENT AND ORDER

DATE:

11/17/2000

MONETARY SANCTIONS:

FINE:

\$500.00

COMPLIANCE DATE:

11/17/2004

The information is provided by the State of Hawaii Department of Commerce and Consumer Affairs (DCCA) from its website as a public service and is intended to be used solely as reference material. No posted information is intended to constitute legal or professional advice and this report is NOT an official record. The records available through this web site are NOT official records. Assessing the accuracy and reliability of information contained herein is the user's responsibility. The information obtained from the DCCA website DOES NOT comprise all information from official DCCA records available to the public. Please consult the DCCA website for additional terms and conditions of use.



BOARD OF MEDICAL EXAMINERS OFFICE OF ADMINISTRATIVE HEARINGS DEPARTMENT OF COMMERCE AND CONSUMER AFFAIRS STATE OF HAWAII

In the Matter of the License to)	MED-2006-76-L
Practice Medicine of)	
)	BOARD'S FINAL
ROBERT RICKETSON, M.D.,)	ORDER
	.)	
Respondent.)	
)	
)	

BOARD'S FINAL ORDER

On or about May 18, 2007, the duly appointed Hearings Officer submitted his Findings of Fact, Conclusions of Law, and Recommended Order in the above-captioned matter to the Board of Medical Examiners ("Board"). Copies of the Hearings Officer's recommended decision were also transmitted to the parties. On June 13, 2007, Respondent Robert Ricketson, M.D., ("Respondent") filed exceptions to the recommended order. Neither party requested an opportunity to present oral arguments.

Upon review of the entire record of this proceeding, the Board adopts the Hearings Officer's recommended decision as the Board's Final Order. Accordingly, the Board finds and concludes that the preponderance of the evidence established that Respondent violated the terms of the Settlement Agreement and Order and Hawaii Revised Statutes §436B-19(17).

For the violation found, the Board orders that Respondent's medical license be revoked and that Respondent be required to immediately submit all indicia of licensure as a physician in the State of Hawaii to the Executive Officer of the Board.

DATED: Honolulu, Hawaii: [July 13, 2007]

/s/ H. ROGER NETZER, M.D.

H. ROGER NETZER, M.D. Chairperson

/s/ MARIA BRUSCA PATTEN, D.O. MARIA BRUSCA PATTEN, D.O. Vice-Chairperson	Is/ BRIAN E. CODY BRIAN E. CODY Board Member
RONALD H. KIENITZ, D.O. RONALD H. KIENITZ, D.O. Board Member	JOHN T. McDONNELL, M.D. JOHN T. MCDONNELL, M.D. Board Member
DANNY M. TAKANISHI, JR., M.D. Board Member	M. PIERRE K.W. PANG, M.D. Board Member
FEREYDOUN DON PARSA, M.D. Board Member	/s/ BEN K. AZMAN, M.D. BEN K. AZMAN, M.D. Board Member
/s/ G. MARKUS POLIVKA G. MARKUS POLIVKA Board Member	PETER A. MATSUURA, M.D. Peter A. MATSUURA, M.D. Board Member

777 77 18 A II: 39



BOARD OF MEDICAL EXAMINERS OFFICE OF ADMINISTRATIVE HEARINGS DEPARTMENT OF COMMERCE AND CONSUMER AFFAIRS STATE OF HAWAII

In the Matter of the License to)	MED-2006-76-L
Practice Medicine of)	w;
)	HEARINGS OFFICER'S
ROBERT RICKETSON, M.D.,)	FINDINGS OF FACT,
)	CONCLUSIONS OF LAW
Respondent.)	AND RECOMMENDED
)	ORDER
)	

HEARINGS OFFICER'S FINDINGS OF FACT, CONCLUSIONS OF LAW, AND RECOMMENDED ORDER

I. INTRODUCTION

On July 25, 2006, the Department of Commerce and Consumer Affairs, through its Regulated Industries Complaints Office ("Petitioner"), by and through its attorney, John T. Hassler, Esq., filed a petition for disciplinary action against the medical license of Robert Ricketson, M.D. ("Respondent"). The matter was duly set for hearing, and the notice of hearing and pre-hearing conference was transmitted to the parties.

On February 13, 2007, the hearing in the above-captioned matter was convened by the undersigned Hearings Officer pursuant to Hawaii Revised Statutes ("HRS") Chapters 91, 92 and 453. Petitioner was represented by its attorney, John T. Hassler, Esq. Respondent appeared by telephone.

Having reviewed and considered the evidence and arguments presented at the hearing, together with the entire record of this proceeding, the Hearings Officer hereby renders the following findings of fact, conclusions of law and recommended order.

II. FINDINGS OF FACT

- 1. Respondent has been licensed to practice medicine by the Board of Medical Examiners ("Board"), License No. MD 10248, since 1998.
- 2. On May 20, 1999, Respondent and the Hawaii Medical Association ("HMA"), entered into a Memorandum of Understanding ("MOU").
- 3. In the MOU, Respondent agreed to, among other things, refrain from taking mind-altering drugs or alcohol on any occasion unless he was hospitalized as a patient or under treatment by a physician.
- 4. On November 17, 2000, the Board approved a Settlement Agreement Prior to Filing of Petition for Disciplinary Action and Board's Final Order ("Settlement Agreement and Order") in the Matter of the License to Practice Medicine of Robert Ricketson, M.D.; Case No. MED-2000-3-L.
- 5. In Paragraph 5, <u>Probation</u>, of the Settlement Agreement and Order, Respondent agreed, among other things, to be placed on probation for 4 years "with the terms and conditions of the probation to be the same as provided in the [MOU].
- 6. In Paragraph 6, Automatic Revocation for failure to comply, of the Settlement Agreement and Order, Respondent agreed that if he failed to comply with Paragraph 5 of the MOU, his license would be automatically revoked without further hearing upon the filing of an affidavit by RICO attesting that he had violated the provisions of the Settlement Agreement and Order.
- 7. In or about February 2002, while residing in Hawaii and during the period of probation under the Settlement Agreement and Order, Respondent ingested cocaine in an attempt to commit suicide.

III. CONCLUSIONS OF LAW

The Petition charges Respondent as follows:

The conduct described [in the Petition] is grounds for automatic revocation of Dr. Ricketson's license under the terms of the Final Order and RICO is prepared to file an affidavit to be submitted to the Board. Furthermore, Dr. Ricketson's conduct in failing to comply with the terms of the Final Order violated Hawaii Revised Statutes section 436B-19(17) [sic] (violating an order of the licensing authority).

HRS §436B-19(17) provides:

§436B-19 Grounds for refusal to renew, reinstate or restore and for revocation, suspension, denial, or condition of licenses. In addition to any other acts or conditions provided by law, the licensing authority may refuse to renew, reinstate or restore, or may deny, revoke, suspend, or condition in any manner, any license for any one or more of the following acts or conditions on the part of the licensee or the applicant thereof:

* * * *

(17) Violating this chapter, the applicable licensing laws, or any rule or order of the licensing authority.

The evidence was sufficient to prove that Respondent violated the Settlement Agreement and Order by ingesting cocaine in 2002.

IV. RECOMMENDED ORDER

Based on the foregoing findings and conclusions, the Hearings Officer recommends that the Board find and conclude that the preponderance of the evidence established that Respondent violated the terms of the Settlement Agreement and Order and HRS §436B-19(17).

For the violation found, the Hearings Officer recommends that Respondent's medical license be revoked and that Respondent be required to immediately submit all indicia of licensure as a physician in the State of Hawaii to the Executive Officer of the Board.

DATED at Honolulu, Hawaii: [MAY 18, 2007]

/s/ CRAIG H. UYEHARA

CRAIG H. UYEHARA
Administrative Hearings Officer
Department of Commerce
and Consumer Affairs

¹ Although Respondent testified that his ingestion of cocaine in 2002 was an isolated incident and that he remains competent to practice medicine, no objective evidence to support these contentions was presented.

ATTACHMENT

3

()ther information at www.massmedboard.org

Board Disciplinary Actions

Annual Reports and Publications

Autorita ist parts mare transcription

Contact Information

Directions to the Board

24.300420.00039.00039.000300

Complaint Forms & Instructions

Links to Massachusetts Flospitals

Links to Major Health Plans

Links to Other State Agencies

Board Regulations

Partent Safety Updates

Commonwealth of Massachusetts Board of Registration in Medicine 200 Havard Mill Square Suite 230 Wakefield, MA 01880 781-876-8200 Commonwealth of Massachusetts
Deval L. Patrick Timothy P. Murray
Grandon Ligitement Governor



Massachusetts Board of

Physician Profiles Consumer Guide

> 781-876-8200 800-377-0550

PHYSICIAN PROFILES SERVICE MAI.PRACTICE AND BOARD DISCIPLINE

Plasmodusetto was the first rate to referant malpractice, payment before as on it the way. Consumers about one the collection of the extraories decisioner and copheration that accompany the information, just because a payment most as a pulspractice payment on only assumed task of physician most a pool descere. Sometimes, the bourse decided to textile a fallow without ever decided to textile a fallow without every decided to textile a fall

Here are some read evenious

"Did this malpractice payment involve the same procedure I am having ?"
"Did you make any changes to your procedur to response to the problem ?"

Different deciens will respond to questions in different ways. Someone who selfs you his none of your business may not have the communication skills you want.

Another doctor may say something ske this:

"I used to do this procedure in my affice. I learned that I didn't have enough backup when there were complications and that's why potients, like you, we now asked to have the nonredure in the hamiliat."

Such an arawer would show you that you are taking to a doctor who respects your question, learns from higher experiences, and is willing to make changes to improve patient safety.

Malpractice paymons are presented within the context of the physician's specialty. Some medical specialties are far more bluely to have more numerous and more expectative paymonts. Comparing physicians to others in the same specialty accounts for these specialty differences.

Board Disciplis

When the Beard these final disciplinary action against a physician, is is noted on the prefix for ten years.

Additional information about the discipline can be found on the Beard t

wehrke in the "Disciplorary Action List" and the press release for the corresponding date. In April 1907, the Board of Medicine began pasting copies of the actual Board disciplinary orders on the website.



Massachuselts was the first state to offer a comprehensive program to give patients access to information about the education, training, and experience of all keensed physicians.

The 'Physician Profiles' program is one lool patients can use to make the right health care decisions. Patients are encouraged to use the physician profile information to foster better communication with a

physician.

Who Should Use
the Physician Pro-

Consumers use Physician Profiles when hyng to choose a physician from a list supplied by their health insurer. Others have found the information useful when they have been referred to a specialist. Expectant mothers use Physician Profiles as one step in choosing an obstetrician.

Many physicians use the system to help patients when making a referral in a specialist

What's On a Profile ?

- Education
- Training
- Medical Specialties
- Professional demographics, including business address, insurance plan and hospital affillations, and available translation services.
- · Professional or community awards received
- Research or publications by the physician
- . Malpractice claims paid in the past ten years
- Hospital discipline in the past ten years
 Criminal convictions in the past ten years
- Disciplinary actions of the Massachusetts Board of Registration in Medicine in the past

What's NOT On a Profile ?

- The number of sults filed against a physician
- · Complication rates for hospitals or physicians
- Patient mortality rates
- Malpractice dollar awards



Using Physician Profiles

Search for a physician in a specific city or lown. Find a specialist in a town by entering both the fown and the specialty on the search page.

See if a physician accepts your insurance plan. Please be aware that those contractual arrangements change guickly and you should always confirm abilities with your provider.

120, 120

If there is a specific hospital that you prefer to use, find out if a physician has privileges at that facility. Some physicians have full admitting privileges white others have different levels of access to a hospital's services. Ask the physician to explain the type of privileges having has.

information on where the doctor went to medical

19"

This section shows the area of medicine in which he spirition specialism. Rend cardiffication shows that the physician has additional training in shows that the physician has additional training in has medical speciality or thes passed special exams to prove higher companions in this speciality. This cardification is from a medical protessional association, not the state medical board. Physicians are not required to be Board Certified, but many patients prietr to see physicians with these credentials.



Physicians are not required to submit this information, but patients find the information ex-

tramely helpful in choosing a new physician.
For example, a patient with a significant family
history of diabetes may prefer a physician who
has published research papers in this area.

t. there

Any serious criminal mailers reported to the Board in the past len years are listed in this section. The criminal issue may or may not be related to the practice of medicine.

toh

Whenever a Massachusetts hospital suspends or revokes a physician's pivileges in the hospital, the Barad of Mediches Is hidmad. Details on the cause for the action should be requested from the physician. Lavally, the Board of Medicine will not have additional information about the reason for the action available to consumers.



Physician Profiles can be found on the Board's web site; www.massmedboard.org Or through the Consumer Services Call Center 800-377-0550



· online services · agencles

Back Home How to Read a Profile



Massachusetts **Board of Registration in Medicine Physician Profile**

Robert P. Wespiser, M.D.

١. Physician Information

(The information in sections I - VI has been provided by the physician.)

License Status:

Active

License Issue Date:

3/19/1986

Accepting New Patients:

Yes

Accepts Medicaid:

Yes

Primary Work Setting:

Private Office

Business Address:

710 Stockbridge Road

Lee, MA 01238

Phone:

(413) 243-0122

Translation Services Available:

None Reported

Insurance Plans Accepted:

Blue Cross Blue Shield Community Health Plan Health New England, Inc.

Numerous Plans Accepted

Hospital Affiliations:

Berkshire Health Systems

Nursing Home

11. **Education & Training**

Medical School:

University of Massachusetts Medical School

Graduation Date:

1983

Post Graduate Training:

Umass Medical Center (7/1/1983-6/30/1984)

Umass Medical Center (7/1/1984-6/30/1986)

111. Specialty

Area of Specialty:

Internal Medicine

IV. **Board Certifications**

American Board of Medical Specialties (ABMS)

Board Name

General Certification

Subspecialty

Internal Medicine

Internal Medicine

V. Honors and Awards

This physician has reported no awards.

VI. Professional Publications

This physician has reported no publications.

VII. Malpractice Information

Some studies have shown that there is no significant correlation between malpractice history and a doctor's competence. At the same time, the Board believes that consumers should have access to malpractice information. In these profiles, the Board has given you information about both the malpractice history of the physician's specialty and the physician's history of payments. The Board has placed payment amounts into three statistical categories: below average, average, and above average. To make the best health care decisions, you should view this information in perspective. You could miss an opportunity for high quality care by selecting a doctor based solely on malpractice history.

When considering malpractice data, please keep in mind:

- Malpractice histories tend to vary by specialty. Some specialties are more likely
 than others to be the subject of litigation. This report compares doctors only to
 the members of their specialty, not to all doctors, in order to make individual
 doctor's history more meaningful.
- This report reflects data for the last 10 years of a doctor's practice. For doctors
 practicing less than 10 years, the data covers their total years of practice. You
 should take into account how long the doctor has been in practice when
 considering malpractice averages.
- The incident causing the malpractice claim may have happened years before a
 payment is finally made. Sometimes, it takes a long time for a malpractice
 lawsuit to move through the legal system.
- Some doctors work primarily with high risk patients. These doctors may have malpractice histories that are higher than average because they specialize in cases or patients who are at very high risk for problems.
- Settlement of a claim may occur for a variety of reasons which do not necessarily reflect negatively on the professional competence or conduct of the physician. A payment in settlement of a medical malpractice action or claim should not be construed as creating a presumption that medical malpractice has occurred.

You may wish to discuss information provided in this report, and malpractice generally, with your doctor. The Board can refer you to other articles on this subject.

Dr. Wespiser has not made a payment on a malpractice claim in Massachusetts in the past ten years.

VIII.

Disciplinary and/or Criminal Actions

Medicine

A. Criminal Convictions, Pleas and Admissions:

The information in this section may not be comprehensive. The courts are now required by law to supply this information to the Board.

Dr. Wespiser has had no criminal convictions in the past ten years.

B. Hospital Discipline:

This section contains several categories of disciplinary actions taken by Massachusetts hospitals during the past ten years which are specifically required by law to be released in the physician's profile.

Dr. Wespiser has no record of hospital discipline in the past ten years.

C. Board Discipline:

This section includes final disciplinary actions taken by the Massachusetts Board of Registration in Medicine during the past ten years.

1. Date: 12/17/2008

> 2008-051 Case #:

Suspension, Stay of Suspension, Probation Action:

Instrument: Consent Order

Action Note: Indefinite Suspension

Additional information about a physician, including closed complaints, may be available by calling the Massachusetts Board of Registration in Medicine Phone 781-876-8230 Toll Free Number (Massachusetts only) 1-800-377-0550

Return to Physician Profile Search

Direct questions and comments about these results to Massachusetts Board of Registration in Medicine 200 Harvard Mill Square, Suite 330, Wakefield, MA 01880 Phone 781-876-8200

For direct response please use Email

Please read the Board of Registration in Medicine Disclaimer



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COMMONWEALTH OF MASSACHUSETTS

Board of Registration in Medicine

Adjudicatory Case No. 2008-051

Middlesex, ss.	
In the Matter of)
Robert P. Wespiser, M.D.)

STATEMENT OF ALLEGATIONS

In Board of Registration in Medicine (the Board) Docket No. 07-302, the Board has reason to believe that Robert P. Wespiser, M.D. (Respondent) has fraudulently obtained controlled substances by issuing prescriptions in the names of fictitious patients and then filling them for self-use.

BIOGRAPHICAL INFORMATION

1. The Respondent was born on May 27, 1957. He graduated from University of Massachusetts Medical School in June 1983, and has been licensed to practice medicine in Massachusetts since March 19, 1986 under certificate number 55555. The Respondent specializes in internal medicine and is certified by the American Board of Internal Medicine. He is a partner in Suburban Internal Medicine, a group practice located in Lee, Massachusetts. He has nursing home privileges at Laurel Lake Center for Health and Rehabilitation in Lee, where he is medical director, and at Providence Care Nursing Center in Lenox. He has hospital privileges at Berkshire Medical Center.

FACTUAL ALLEGATIONS

2. For a period of about six months, beginning in February 2001, the Respondent was prescribed hydrocodone/APAP (a Schedule III controlled substance) and tramadol (a Schedule VI controlled substance) by an orthopedic surgeon, following a leg fracture.

N

Medical Board of California



2005 Evergreen Street, Suite 1200 Sacramento, CA 95815 Consumer Information Line: (916) 263-2382

Physician Information

Licensee Name:

LURA JUSTICE REDDINGTON, MD

License Type:

PHYSICIAN AND SURGEON

License Number:

G76987

License Status:

LICENSE RENEWED & CURRENT

Public Record Actions: HOSPITAL DISCIPLINE

Original Issue Date:

JUNE 28, 1993

Expiration Date:

FEBRUARY 28, 2011

Address:

6730 N WEST AVE # 115

FRESNO, CA 93711

County:

FRESNO

Public Disclosure

To find out what information is and is not available, please click here.

If information is posted in the Administrative/Disciplinary Actions or Administrative Citation Issued categories below, documents may be available for review. Please click here to search the public document database.

Administrative/Disciplinary Action

No information available.

Administrative Action Taken by Other State or Federal Government No information available from this agency.

Felony Conviction

No information available from this agency.

Misdemeanor Conviction

No information available from this agency.

Administrative Citation Issued

No information available.

Hospital Disciplinary Action

The action taken by this healthcare facility against this physician's staff privileges to provide healthcare services at this facility was for a medical disciplinary cause or reason. The Medical Board is authorized by law to disclose only revocations and terminations of staff privileges. The Medical Board is prohibited from releasing a copy of the actual report or any other information.

Complaint Number:

08 2000 109065

Health Care Facility:

FRESNO SURGERY CENTER FRESNO, CALIF.

Description of Action:

TERMINATION OR REVOCATION OF STAFF

PRIVILEGES

Effective Date of Action:

MARCH 31, 2000

Malpractice Judgment

No information available from this agency.

Arbitration Award

No information available from this agency.

Malpractice Settlements

No information available from this agency.

Note: "No information available from this agency" may not indicate none exists; but indicates no information has been reported to the Medical Board of California and/or that the Board is unable to post the information on the Web site by law.

Education

Medical School: MEDICAL COLLEGE OF PENNSYLVANIA

Year Graduated: 1989

This information is updated Monday through Friday - Last updated: FEB-06-2009

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cense Holders:

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Back

MIA

Association of State Medical Board Executive Directors

Rhode Island Department of Health Board of Medical Licensure and Discipline Search Results

Licensee Name	JOHN ANDREW HALLBERG
License Status	EXPIRED - MUST REINSTATE
License Number	MD07302
License Type	MD
Business Address	10 HUTCHINSON DR
City State Zip	FAIRMONT WV 26554-1235
Business Phone	(860)-536-0773
Birthdate	1956
Gender	Male
Primary Specialty	ORTHOPAEDIC SURGERY
Year Board Certified	1990
Secondary Specialty	No secondary Specialty
License issue date	06/01/1988
License expire date	06/30/2008
License last renewed	2006
Medical School	TULANE UNIVERSITY SCHOOL OF MEDICINE
Medical School Location	NEW ORLEANS LA UNITED STATES
Medical School Graduation Year	1982 .
Examination Code	National Boards
Hospital Privileges	Yes
Hospital Privileges at:	Westerly Hospital
Disciplinary Action in RI?	YES

This physician's record was last updated on 02/05/2009

Direct questions and comments about these results to Rhode Island Department of Health Board of Medical Licensure and Discipline

This Board's data has been searched 850196 times since 12/11/1997

Please read the AIM Disclaimer

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BOARD OF MEDICAL LICENSURE AND DISCIPLINE

No. C07-335

IN THE MATTER OF J. Andrew Hallberg, M.D. License Number: MD 07302

Consent Order

Pursuant to R.I. General Laws §5-37-5.2, 1956, as amended, (2002 Reenactment) a notification was received by the Board of Medical Licensure and Discipline [Board] regarding J. Andrew Hallberg, M.D. ("Respondent"). This matter was referred to an Investigating Committee of the board for investigation and recommendation. The following are findings of fact and conclusions of law:

FINDINGS OF FACTS AND CONCLUSIONS OF LAW

- The Respondent is a physician born in 1956 and has been licensed to practice medicine in Rhode Island since 1988. He is a 1982 graduate of the Tulane University School of Medicine. His primary area of practice is Orthopedic surgery. He had hospital privileges at the Westerly Hospital until March 2007. The Respondent failed to answer a question relating to past disciplinary action correctly on the 2006 2008 Rhode Island medical license renewal application regarding whether he had any hospital disciplinary action. He failed to disclose action taken by the hospital.
- 2. The Westerly Hospital notified the Rhode Island Board of Medical Licensure and Discipline about its action and it reported that the Respondent's privileges have been placed on probation for 3 years to the National Practitioner Data Bank.



CONNECTICUT DEPARTMENT OF PUBLIC HEALTH

Physician Profile

MARTIN PERLIN MD

This profile contains information that may be used as a starting point in evaluating the physician. This profile should not, however, be your sole basis for selecting a physician.

I. Physician Information

Connecticut License Number:

030601

Date Issued: 02/23/1990

Date Expires: 08/31/2009

License Status: Current, Prior Discipline

Currently practicing medicine in Connecticut: Yes

Practice Location(s):

Martin Perlin, M.D.

9 Bettswood Road

Norwalk, CT 06851-5103

Languages spoken other than English

at the practice location:

French, Italian, Spanish

Hospitals/Nursing Home

Affiliations:

Norwalk Hospital, Norwalk CT

Westport Health Care Center, Westport CT

Wilton Meadows Health Care Center, Wilton CT

Honey Hill Rehabilitation And Nursing Center, Norwalk CT

Stamford Hospital, The, Stamford CT

Marathon Healthcare Center Of Norwalk, Norwalk CT

II. Education

Medical School: SUNY Downstate

Year of Graduation: 1976

III. Post Graduate Training

Dates of training Training Level Hospital, City and State

07/01/1976 - 06/30/1977 Internal Medicine

Intern New York Hospital

New York, NY

07/01/1977 - 06/30/1979 Internal Medicine

Resident

New York Hospital

New York, NY

07/01/1979 - 06/30/1982 Hematology/Oncology

Fellowship

New York Hospital

New York, NY

IV. Specialty

Specialty: Internal Medicine Subspecialty: Hematology

Current American Board Certification:

None reported.

This physician has reported the above Certification.

For more information regarding Board Certification please contact:

The American Board of Medical Specialties at www.abms.org

or The American Osteopathic Association at www.am-osteo-assn.org

V. Medical Educational Responsibility (This section is voluntary)

None reported.

VI. Publications, Professional Services, Activities and Awards (This section is voluntary and may include a maximum of ten items)

None reported.

VII. Malpractice Information

Some studies have shown that there is no significant correlation between malpractice history and a physician's competence. At the same time, consumers should have access to malpractice information. This profile contains information about the malpractice payment history of the physician. Payment amounts have been placed into three statistical categories: below average, average and above average. To make the best health care decisions, you should view this information in perspective. You could miss an opportunity for high quality care by selecting a doctor based solely on malpractice history.

When considering malpractice data, please keep in mind:

- Malpractice histories tend to vary by specialty. Some specialities are more likely than others to be the subject of litigation. This report compares physicians only to the members of their specialty, not all physicians, in order to make an individual physician's history more meaningful.
- This malpractice information reflects data for the last 10 years of the physician's practice. For physicians practicing less than 10 years, the data covers their total years of practice. You should take into account how long the doctor has been in practice when considering malpractice averages.
- The incident causing the malpractice claim may have happened years before payment is finally made. Sometimes it takes a long time for a malpractice lawsuit to move through the legal system.
- Some physicians work primarily with high risk patients. These physicians may have malpractice histories that are higher than average because they specialize in cases or patients who are at very high risk of problems.
- Settlement of a claim may occur for a variety of reasons that do not necessarily reflect negatively on the professional competence or conduct of the physician. A payment in settlement of a medical malpractice action or claim should not be construed as creating a presumption that medical malpractice has occured. For example, an insurer may choose to settle a case even if the physician opposes such settlement.

You may wish to discuss the information provided in this report, and malpractice generally, with your physician.

Physicians licensed in specialty(Internal Medicine): 6166 Number who made malpractice payments in the last ten years: 460

Payments made by or on behalf of this physician

Date Resolved Payment Category

Specialty

09/25/2001

Average

Internal Medicine

VIII. Hospital Discipline Within the Last Ten Years

This section contains several categories of disciplinary actions taken by hospitals during the past 10 years which are specifically required by law to be released in the physician's profile.

Hospital

City, State

Nature of Discipline

None reported.

IX. Felony Convictions Within the Last Ten Years

Date of Conviction Nature of Conviction

None reported.

X. Connecticut Licensure Disciplinary Actions

License Status: Current, Prior Discipline

Date of Action

Action

06/17/2008

Consent Order Signed

To obtain a copy of the discipline reported above, make note of license number and click here

Please direct questions and comments about this profile to:

Connecticut Department of Public Health
Physician Profiles
410 Capitol Ave., M.S. 12 APP
P.O. Box 340308
Hartford, CT 06134-0308
(860) 509-7557

STATE OF CONNECTICUT DEPARTMENT OF PUBLIC HEALTH HEALTHCARE SYSTEMS BRANCH

In Re: Martin Perlin, M.D.

Petition No. 2007-0320-001-042

CONSENT ORDER

WHEREAS, Martin Perlin (hereinafter "respondent") of Norwalk, Connecticut has been issued license number 030601 to practice as a physician and surgeon by the Department of Public Health (hereinafter "the Department") pursuant to Chapter 370 of the General Statutes of Connecticut, as amended; and,

WHEREAS, the Department alleges that:

- 1. In January 2007, patient DM, a resident under respondent's care at Westport Health Care Center nursing home, was referred to Norwalk Hospital's Emergency Department for flank and abdominal pain and diagnosed with "infectious pan-colitis". Upon discharge from the hospital to the nursing home, respondent did not adequately monitor DM's continuing abdominal symptoms and/or obtain test results for C. Dificile infection in a timely manner.
- 2. The above described facts constitute grounds for disciplinary action pursuant to the General Statutes of Connecticut, 20-13(c), including but not limited to, 20-13(c)(4).

WHEREAS, respondent has chosen not to contest the Department's allegations but, while admitting no guilt or wrongdoing, agrees that for purposes of this or any future proceedings before the Connecticut Medical Examining Board (hereinafter "the Board"), this Consent Order shall have the same effect as if proven and ordered after a full hearing held pursuant to §§19a-10, 19a-14 and 20-13c of the General Statutes of Connecticut; and



Division of Registrations

Department Home Division Home Physician Profiling

Physician Profile

Search

Kenneth Clinton Parsons

Aliases

Parsons, Kenneth Clinton

Address

License

Englewood, CO 80111-0000

License Number: 24980

License Type: DR

License Status: Active

License First Issued: 10/14/1982 Last Renewal Date: 07/17/2008

Last Expiration Date: 05/31/2009

Physician Profile

Last updated: 06/26/2008

Other Medical Licenses

Have you ever held, or do you currently hold, any other medical licenses from any other state, country or province?

Yes

License	License	Year	Expire/	State/	License
Number	Type	Issued	Renew	Country	Status
030161	Physician	1971	2008	MI	Inactive
G59819	Physician	1987	2007	CA	Other
J4956	Physician	1993	2008	TX	Active

Board Certifications

Do you hold any current Board Certifications?

Yes

Certification				Other
Physical Med	licine and	Rehabilitation		

Practice Specialties

Yes

Specialty	Other
Physical Medicine and Rehabilitation: Spinal Cord Injury Medicine	

CO Hospital Affiliations

Do you have an affiliation with any Colorado hospital?

No

Other Health Care Facilities and Out of State Hospitals

Do you have an <u>affiliation</u> with any health care facility or a non-Colorado hospital?

Yes

Facility	Affiliation		
Name	Type	Other Type	City, State
The Institute of Rehab and Research	Other	Consulting recently	Houston, TX

Memorial Hermann Hos	pital	Other	Consulting recently	Houston, TX
Business Ownership Do you have a current ownission relates to the proproducts?				No
Employment Contracts Do you have a current em whose mission relates to products?	the provisic	on of healt		Yes
Entity Name Paradigm Healthcare		t Length d Annually	Contract Po Independent	
Disciplinary Actions Have you ever had public medical license by a boar country?				No
Restrictions or Suspension Have you ever entered in temporarily cease the pra issued restricting or suspension	to any agre- ctice of me	dicine or h	ad a board order	No
Health Care Facility Actions Have you ever had any in status on or reduction, no suspension of hospital or Facility City, Ac	voluntary lir nrenewal, c	denial, rev facility pri	ocation or	Yes
			CompleteCompl No	Appointment expired due to inactivity, insufficient patient contacts.
U.S. DEA Registration Have you ever had to invo Drug Enforcement Admin				No
Convictions Have you ever had any fire arrangement(s) resulting commission of a felony of jurisdiction?	from the co	mmission	or alleged	No
Paid Claims Have you ever had any fire entered into by, or arbitra medical malpractice?				No
Carrier Refusal Has a medical malpractic issue a medical malpract claims experience?				No

GENERAL DISCLAIMER

The information posted on the state board of medical examiner's website was provided by applicants for an original medical license; applicants for reinstatement or reactivation of an existing medical license; as well as by those physicians renewing a medical license. This information is not verified by the board. The board will take action to obtain compliance with the requirements to provide accurate and timely information as required by law when information is received that indicates information required by law has not been received or is not accurate.

AVAILABILITY DISCLAIMER

If no Profile is found it could be for the following reasons: Physicians who held an active license issued by the Board prior to January 1, 2008 are not required to complete a physician profile until the May 31, 2009 license renewal period. Physicians who have an inactive or lapsed license are not required to complete a physician profile until they apply for reactivation or reinstatement. To verify whether there have been any board actions against this physician click here to go to the Automated Licensure Information System (ALISON).

MALPRACTICE CLAIMS DISCLAIMER

Some studies have shown that there is no significant correlation between malpractice history and a doctor's competence. At the same time, consumers should have access to malpractice information. To make the best health care decisions, you should view this information in perspective. You could miss an opportunity for high quality care by selecting a doctor based solely on malpractice history. When considering malpractice data, please keep in mind: (1) Malpractice histories tend to vary by specialty. Some specialties are more likely than others to be the subject of litigation. (2) You should take into account how long the doctor has been in practice when considering malpractice averages. (3) The incident causing the malpractice claim may have happened years before a payment is finally made. Sometimes, it takes a long time for a malpractice lawsuit to move through the legal system. (4) Some doctors work primarily with high-risk patients. These doctors may have malpractice histories that are higher than average because they specialize in cases or patients who are at very high risk for problems. (5) Settlement of a claim may occur for a variety of reasons that do not necessarily reflect negatively on the professional competence or conduct of the physician. A payment in settlement of a medical malpractice action or claim should not be construed as creating a presumption that medical malpractice has occurred. You may wish to discuss information provided, and malpractice generally, with your doctor.



1560 Broadway, Suite 1350 Denver, CO 80202 (303) 894-7800 - Phone (303) 894-7693 - Fax E-Mail

Technical Assistance Privacy Accessibility

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Association of State Medical Board Executive Directors



Physician Search

State of Maine Board of Licensure in Medicine

137 State House Station Augusta, ME 04333-0137 (207) 287-3601

General Information

Gene Cheng MD

License Number: 015417

6 Middle St

License Status:

Active

Initial License:

9/21/2000

Augusta ME 04330

License Expires 2/28/2009

207-622-4400

Education and Training

Note: Information up to the date of initial licensure is verified by the Board. Information provided by the licensee after this date is not verified by the Board.

Medical School:

TULANE UNIVERSITY, NEW ORLEANS, LA

Graduation Date: 1997

*Specialty:

Internal Medicine

*The Board does not verify current specialties. To determine if a physician has been board certified by the American Board of Medical Specialties please visit www.abms.org

Board Actions

Actions: None

This information was extracted on 2/7/2009

Please read the AIM Disclaimer

Maine Doctor Ratings

Cheng, Gene MD · 6

 \cdot 6 Middle St, **Augusta** 04330 \cdot view map

Uses Clinical Office Systems





Overall

Practice has made good progress in using clinical office systems for managing your care.

Keeps electronic medical records Practice did not complete survey, or survey shows no progress.



Uses electronic prescriptions
Practice has made good progress in
using clinical office systems for managing
your care.



Maintains a chronic illness registry Practice has well developed clinical office systems for managing your care.



Follows clinical guidelines
Practice has well developed clinical office
systems for managing your care.



Reviews patient risk factors
Practice has well developed clinical office
systems for managing your care.



Creates action plans
Practice has made good progress in
using clinical office systems for managing
your care.



Coordinates patient care
Practice has well developed clinical office
systems for managing your care.

Measures Results of Diabetes Care



Measures outcomes for diabetes patients

Practice is measuring outcomes and achieving recognized results.

101 patients measured

Measures Results of Heart Disease Care





Measures outcomes for heart disease patients

Practice is measuring outcomes and achieving recognized results.

42 patients measured