

House Districts 19-26, 28

Senate Districts 8-12

THE TWENTY-FOURTH LEGISLATURE
HAWAII STATE LEGISLATURE
APPLICATION FOR GRANTS & SUBSIDIES
CHAPTER 42F, HAWAII REVISED STATUTES

Log No: 3-0

For Legislature's Use Only

Type of Grant or Subsidy Request:

GRANT REQUEST - OPERATING

GRANT REQUEST - CAPITAL

SUBSIDY REQUEST

"Grant" means an award of state funds by the legislature, by an appropriation to a specified recipient, to support the activities of the recipient and permit the community to benefit from those activities.

"Subsidy" means an award of state funds by the legislature, by an appropriation to a recipient specified in the appropriation, to reduce the costs incurred by the organization or individual in providing a service available to some or all members of the public.

"Recipient" means any organization or person receiving a grant or subsidy.

STATE DEPARTMENT OR AGENCY RELATED TO THIS REQUEST DLNR - ENVIRONMENTAL PROTECTION
AND PROGRAM I.D. NO. LNR 404 WATER RESOURCES

1. APPLICANT INFORMATION:

Legal Name of Requesting Organization or Individual:
Ala Wai Watershed Association, Inc.

Db/a:

Street Address: 1314 S. King Street, #521, Honolulu, HI
96814

Mailing Address: (same as above)

2. CONTACT PERSON FOR MATTERS INVOLVING THIS APPLICATION:

Name KAREN AH MAI

Title Executive Director

Phone # 808-955-7882

Fax # 808-732-7329

e-mail awwa@alawai.org

3. TYPE OF BUSINESS ENTITY:

- NON PROFIT CORPORATION
 FOR PROFIT CORPORATION
 LIMITED LIABILITY COMPANY
 SOLE PROPRIETORSHIP/INDIVIDUAL

4. FEDERAL TAX ID #: [REDACTED]

5. STATE TAX ID #: _____

6. SSN (IF AN INDIVIDUAL): _____

7. DESCRIPTIVE TITLE OF APPLICANT'S REQUEST:

ALA WAI WATERSHED STREAM BANK IMPROVEMENT AND MAINTENANCE PROJECTS

(Maximum 300 Characters)

8. FISCAL YEARS AND AMOUNT OF STATE FUNDS REQUESTED:

FY 2007-2008 \$ 74,149

FY 2008-2009 \$ 76,730

9. STATUS OF SERVICE DESCRIBED IN THIS REQUEST:

- NEW SERVICE (PRESENTLY DOES NOT EXIST)
 EXISTING SERVICE (PRESENTLY IN OPERATION)

SPECIFY THE AMOUNT BY SOURCES OF FUNDS AVAILABLE AT THE TIME OF THIS REQUEST:

STATE \$ _____

FEDERAL \$ _____

COUNTY \$ _____

PRIVATE/OTHER \$ _____

TYPE NAME & TITLE OF AUTHORIZED REPRESENTATIVE:

[REDACTED]
AUTHORIZED SIGNATURE

J. THOMAS HEINRICH, PRESIDENT
NAME & TITLE

1/31/07
DATE SIGNED

DATE SIGNED

Application for Grants and Subsidies

If any item is not applicable to the request, the applicant should enter "not applicable".

I. Background and Summary

This section shall clearly and concisely summarize and highlight the contents of the request in such a way as to provide the State Legislature with a broad understanding of the request. Include the following:

1. A brief description of the applicant's background;

The Ala Wai Watershed Association (AWWA) was incorporated in 2000 as a community-based organization dedicated to activities that would improve the heavily polluted waters of the Ala Wai Canal using ahupuaa resource management concepts. Under several large grants, AWWA has provided leadership in cleanups, streambank restoration and improvement, public education and outreach, volunteer recruitment and coordination, technical and logistic assistance to community groups within the watershed, and input to policies and planning to governmental agencies. AWWA has been active in 16 sites over the past 2 years.

2. The goals and objectives related to the request;

(1) Provide maintenance to current sites that are not regularly serviced by governmental or private organizations; (2) continue stream corridor and associated watershed land improvements to reduce stormwater and pollution impacts to the Ala Wai Canal, its feeder streams, and near shore waters; (3) continue public education and outreach in the Ala Wai Watershed; and (4) continue to support volunteer groups and communities with technical and logistical assistance in improving the waters flowing to the Ala Wai Canal by integrating resources provided by public agencies and private organizations.

3. State the public purpose and need to be served;

Improve the water quality in the Ala Wai Canal, near shore waters, and feeder streams in the Ala Wai Watershed for the health and safety of the general public.

4. Describe the target population to be served;

(1) Residents of the Ala Wai Watershed estimated at 150,000;
(2) Visitor population in Waikiki;
(3) Recreational users, which includes those swimming, surfing, canoe paddling, and fishing in the Ala Wai Canal, feeder streams, and near shore waters.

5. Describe the geographic coverage;

The Ala Wai Watershed includes the area from Punchbowl to Palolo from the mountains to the sea. Communities included are Makiki, Manoa, Palolo, Kaimuki, Kapahulu, Diamond Head, Waikiki, Moiliili, McCully, Ala Moana, and Kakaako.

6. Describe how the request will, in the case of a grant, permit the community to benefit from those activities; or for a subsidy, reduce the costs incurred by the organization or individual in providing a service available to some or all members of the public.

The grant will enable AWWA to (1) continue to provide leadership, maintenance, and support for community-based activities that improve the Ala Wai watershed, the Ala Wai Canal, and the associated near shore waters, and (2) provide the base for matching funds needed for AWWA to undertake larger projects that will benefit the watershed.

II. Experience and Capability

A. Necessary Skills and Experience

The applicant shall demonstrate that it has the necessary skills, abilities, knowledge of, and experience relating to the request. State your experience and appropriateness for providing the service proposed in this application. The applicant shall also provide a listing of verifiable experience of related projects or contracts for the most recent three years that are pertinent to the request.

AWWA has been in existence as a community group since 1997 and as an independent 501(c)(3) corporation since 2000 and has the requisite insurance to work on governmental and private lands in the watershed. AWWA has successfully executed over \$1.25 million in watershed improvement grants from governmental agencies, incorporating community volunteer contributions of over 9,000 man-hours in the past three years. Projects in the last three years included stream cleanups, public information campaigns, and stream corridor restoration and improvement projects done with funding from the Hawaii Nature Center EPA grant, the Department of Land and Natural Resources Ala Wai Canal Watershed Improvement Project, Group 70 Foundation, and the Honolulu Board of Water Supply.

B. Quality Assurance and Evaluation

The applicant shall describe its quality assurance and evaluation plans for the request. Specify how the applicant plans to monitor, evaluate and improve their results.

The standard measures of evaluation for community-based activities are the number of events executed; where applicable, the number of feet or square feet of waterway improved; where applicable, the number of persons reached; and the number of volunteer hours contributed. These will be recorded for all events. Evaluation will be based on comparisons of numbers from previous events. Presentation events will have evaluation sheets.

C. Facilities

The applicant shall provide a description of its facilities and demonstrate its adequacy in relation to the request. If facilities are not presently available, describe plans to secure facilities. Also describe how the facilities meet ADA requirements, as applicable.

AWWA has an administrative office at 1314 S. King Street, #521, Honolulu, Hawaii 96814 for administrative activities, meetings, and materials and tools storage. Most of the work to be done, however, is in the field. AWWA has years of experience in working with governmental and private landowners to secure the permission needed to do work on properties under their jurisdiction.

III. Personnel: Project Organization and Staffing

A. Proposed Staffing, Staff Qualifications, Supervision and Training

The applicant shall describe the proposed staffing pattern and proposed service capacity appropriate for the viability of the request. The applicant shall provide the qualifications and experience of personnel for the request and shall describe its ability to supervise, train and provide administrative direction relative to the request.

The work will take place under the direction of AWWA's Executive Director, with the support and participation of the AWWA Board of Directors. Where other community organizations are involved, their leadership will also participate in the planning and supervision of events, with AWWA providing financial and technical support, tools, and insurance coverage. Members of the Board of Directors have participated for years in field leadership, particularly in the execution of projects involving 50 – 200 volunteers at the same time.

The Executive Director has been involved in organizational development, project management, field expertise and supervision, community interaction, inter-agency relationships, grant writing, and report preparation for this organization for the past 10 years.

B. Organization Chart

The applicant shall illustrate the position of each staff and line of responsibility/supervision. If the request is part of a large, multi-purpose organization, include an organizational chart that illustrates the placement of this request.

This request covers costs for the basic functions related to the watershed improvement program. The only paid staff involved will be the partial support of the Executive Director. Board member participation is contributed.

IV. Service Summary and Outcomes

The Service Summary shall include a detailed discussion of the applicant's approach to the request. The applicant shall clearly and concisely specify the results or outcomes from this request.

A. Describe the scope of work, tasks and responsibilities.

Maintenance of Ala Wai Canal Watershed Improvement Project sites not covered by governmental agencies; planning, design, and implementation of 6 new stream bank and storm water quality improvement projects of community significance; outreach and public education campaigns, including community fairs; technical, financial, and logistic assistance to community groups doing watershed projects; and coordination with agencies for integrated resource delivery.

B. The applicant shall provide a projected annual timeline for accomplishing the results or outcomes of the service.

Quarter

- 1 Identify 9 community projects to be executed with Palolo, Manoa, and Makiki stream corridor communities;
Continue maintenance activities at existing sites;
Plan outreach and public education campaign
- 2 Scope and conduct feasibility studies for projects, eliminating least feasible
Continue maintenance activities at existing sites;
Outreach and public education campaign;
Staff and community training
- 3 Execute Project 1;
Continue maintenance activities at existing sites;
Outreach and public education campaign
- 4 Execute Project 2;
Continue maintenance activities at existing sites;
Outreach and public education campaign;
Staff and community training
- 5 Execute Project 3;
Continue maintenance activities at existing sites;
Outreach and public education campaign
- 6 Execute Project 4;
Continue maintenance activities at existing sites;
Outreach and public education campaign
Staff and community training

- 7 Execute Project 5;
Continue maintenance activities at existing sites;
Outreach and public education campaign
- 8 Execute Project 6;
Continue maintenance activities at existing sites;
Outreach and public education campaign;
Complete evaluation and report

V. Financial

Budget

The applicant shall submit a budget utilizing the enclosed budget forms as applicable, to detail the cost of the request.

VI. Other

A. Litigation

The applicant shall disclose any pending litigation to which they are a party, including the disclosure of any outstanding judgement. If applicable, please explain.

None.


B. Licensure or Accreditation

Specify any special qualifications, including but not limited to licensure or accreditation that applicant possesses relevant to this request.

None.

BUDGET REQUEST BY SOURCE OF FUNDS
(Period: July 1, 2007 to June 30, 2009)

Applicant: Ala Wai Watershed Association

BUDGET CATEGORIES	Total State Funds Requested (a)	2007-08 (b)	2008-09 (c)	(d)
A. PERSONNEL COST				
1. Salaries	71,600	35,800	35,800	
2. Payroll Taxes & Assessments				
3. Fringe Benefits				
TOTAL PERSONNEL COST	71,600	35,800	35,800	
B. OTHER CURRENT EXPENSES				
1. Airfare, Inter-Island	1,440	660	780	
2. Insurance	5,300	2,500	2,800	
3. Lease/Rental of Equipment	0			
4. Lease/Rental of Space	28,419	13,419	15,000	
5. Staff Training	8,500	4,000	4,500	
6. Supplies	30,000	15,000	15,000	
7. Telecommunication	5,620	2,770	2,850	
8. Utilities	0			
9				
10				
11				
12				
13				
14				
15				
16				
17				
18				
19				
20				
TOTAL OTHER CURRENT EXPENSES	79,279	38,349	40,930	
C. EQUIPMENT PURCHASES				
D. MOTOR VEHICLE PURCHASES				
E. CAPITAL				
TOTAL (A+B+C+D+E)	150,879	74,149	76,730	
SOURCES OF FUNDING		Budget Prepared By:		
(a) Total State Funds Requested	150,879	Karen Ah Mai, Executive Director 955-7882		
(b) Other Unspecified Grants	300,000	Name (Please type or print) Phone		
(c)		 Jan. 31, 2007 Signature of Authorized Official Date		
(d)		J. Thomas Heinrich, President		
TOTAL REVENUE	450,879	Name and Title (Please type or print)		

BUDGET JUSTIFICATION - EQUIPMENT AND MOTOR VEHICLES

Applicant: Ala Wai Watershed Association

Period: July 1, 2007 to June 30, 2009

DESCRIPTION EQUIPMENT	NO. OF ITEMS	COST PER ITEM	TOTAL COST	TOTAL BUDGETED
	0.00	\$ 0.00	\$ -	0
			\$ -	
			\$ -	
			\$ -	
			\$ -	
TOTAL:	0	\$ 0.00	\$ -	0
JUSTIFICATION/COMMENTS:				

DESCRIPTION OF MOTOR VEHICLE	NO. OF VEHICLES	COST PER VEHICLE	TOTAL COST	TOTAL BUDGETED
	0.00	\$ 0.00	\$ -	0
			\$ -	
			\$ -	
			\$ -	
			\$ -	
TOTAL:	0	\$ 0.00	\$ -	0
JUSTIFICATION/COMMENTS:				

**DECLARATION STATEMENT
APPLICANTS FOR GRANTS AND SUBSIDIES
CHAPTER 42F, HAWAII REVISED STATUTES**

The undersigned authorized representative of the applicant acknowledges that said applicant meets and will comply with all of the following standards for the award of grants and subsidies pursuant to section 42F-103, Hawaii Revised Statutes:

- (1) Is licensed or accredited, in accordance with federal, state, or county statutes, rules, or ordinances, to conduct the activities or provide the services for which a grant or subsidy is awarded;
- (2) Comply with all applicable federal and state laws prohibiting discrimination against any person on the basis of race, color, national origin, religion, creed, sex, age, sexual orientation, or disability;
- (3) Agree not to use state funds for entertainment or lobbying activities; and
- (4) Allow the state agency to which funds for the grant or subsidy were appropriated for expenditure, legislative committees and their staff, and the auditor full access to their records, reports, files, and other related documents and information for purposes of monitoring, measuring the effectiveness, and assuring the proper expenditure of the grant or subsidy.

In addition, a grant or subsidy may be made to an organization only if the organization:

- (1) Is incorporated under the laws of the State; and
- (2) Has bylaws or policies that describe the manner in which the activities or services for which a grant or subsidy is awarded shall be conducted or provided.


Further, a grant or subsidy may be awarded to a non-profit organization only if the organization:

- (1) Has been determined and designated to be a non-profit organization by the Internal Revenue Service; and
- (2) Has a governing board whose members have no material conflict of interest and serve without compensation.

Further, the undersigned authorized representative certifies that this statement is true and correct to the best of the applicant's knowledge.

Ala Wai Watershed Association, Inc.

(Typed Name of Individual or Organization)


(Signature)

January 31, 2007

(Date)

J. Thomas Heinrich

(Typed Name)

President

(Title)