

LEGISLATIVE FEDERAL ECONOMIC STIMULUS PROGRAM OVERSIGHT COMMISSION  
ACT 150, SESSION LAWS OF HAWAII 2009

**DEPARTMENT/AGENCY QUESTIONNAIRE**

**Instructions:** Please use this MS Word document as a template, entering your answers below the corresponding number/letter. The commission is cognizant of the numerous reporting requirements of ARRA and does not wish to burden your Department or agency with duplicative requests for information where possible. Please use information that you have all ready reported to the Federal government, as applicable.

If a question is not applicable please indicate N/A. The information requested under question 1 should be answered under each item for each group/category or program/project. However, Questions 2 and 3 need not be answered for each group/category or program/project.

1. For each group/category or program/project for which ARRA funds have been obtained, please provide the following information:

(a) A brief summary of the program/project, including goals:

The Office of the Prosecuting Attorney (OPA) along with the Kaua'i Police Department (KPD) are utilizing funds from the Edward Byrne Memorial Justice Assistance Recovery Act Grant local solicitation funds to provide training opportunities and enhance programs, update AED Equipment for KPD, cover overtime expenses, and to provide a contract part-time Process Server and contract part-time Special Investigator for the OPA.

(b) Whether funds were appropriated for expenditure by a federal agency, were awarded as a formula/block grant to a State or county agency, or were awarded on a competitive grant basis;

A total of \$191,079.00 was awarded to the County of Kaua'i as a Formula Grant. The awarding agency was the U.S. Department of Justice, Office of the Justice Program, and the Bureau of Justice Assistance.

(c) Whether matching funds are required, and, if so:

- (i) Are they available;
- (ii) Have they been secured;
- (iii) If they have not been secured, why not; and
- (iv) Will the State be required to continue that match or provide increased/full funding in the future;

No matching funds were needed.

LEGISLATIVE FEDERAL ECONOMIC STIMULUS PROGRAM OVERSIGHT COMMISSION  
ACT 150, SESSION LAWS OF HAWAII 2009

- (d) If there are additional requirements to receive funds, what are they;

N/A

- (e) The amount of funds involved and the state/federal fiscal year within which the funds must be expended (e.g. SFY 2009-2010 or FFY 2009-2010);

Funds in the amount of \$191,079.00 were awarded and must be expended during FFY 2009-2012.

- (f) What criteria were used to identify the program/project as a priority and how does the program/project meet them;

Funds from the Edward Byrne Memorial Justice Assistance Grant Formula Programs are to be used for Law Enforcement programs and Prosecution and Court Programs.

Funds were divided equally amongst the Kaua'i Police Department and the Office of the Prosecuting Attorney and departments were able to establish where a need for funding was necessary.

- (g) Efforts undertaken to coordinate application for funds and administration of the program/project, including expenditure of funds, with other federal, state, and county agencies;

The Grant Coordinator from the OPA is responsible for the grant application. Coordination was completed by the Grant Coordinator with the help and assistance from the assigned Lt. from the Kaua'i Police Department. Once the application was completed and approved by the Prosecutor, the application was submitted through the GMS system.

- (h) The criteria used to select activities for the program/project;

The OPA and KPD were able to establish what the need for each department had, and if the funding would be able to support the need.

- (i) Efforts made to provide public notice and seek public comment/input or, if public comment/input was not sought, why;

The grant application was submitted for review to the Kaua'i County Council and was reviewed and open to the public for comment/input on Wednesday, June 3, 2009.

LEGISLATIVE FEDERAL ECONOMIC STIMULUS PROGRAM OVERSIGHT COMMISSION  
ACT 150, SESSION LAWS OF HAWAII 2009

- (j) Efforts made during the bidding/award process to ensure that it was transparent and that the funds were awarded based on merit and in a prompt, fair, and reasonable manner;

N/A

- (k) Measures employed to: (1) reduce duplication of efforts, (2) ensure that funds were used for authorized purposes, and (3) prevent cost overruns, fraud, waste, error, and abuse;

N/A

- (l) Current status of the program/project, including percentage of awarded funds that have been obtained, percentage of awarded funds encumbered and/or expended, and what part(s) of program/project have been completed; and

As of June 30, 2010 - a total of \$21,653.04

- (m) Actual or anticipated economic impact to the State of the program/project, including the number of jobs saved/created and the long-term public benefits of the program/project.

The contract Process Server was hired at a 1.00 FTE from February 1, 2010 to February 28, 2010. During the months of March 1, 2010 to June 30, 2010 the contract Process Server worked as a 0.75 FTE.

2. For other programs/projects, if ARRA funds, such as competitive grants, were available for a program/project but were not sought or were denied, please briefly describe why the funds were not sought or why they were denied.

N/A

3. Please describe:

- (a) Any legal/operational barriers/constraints encountered in the award, receipt, encumbrance, or expenditure of funds, including procurement, late/delayed federal guidance, and reporting requirements;

N/A

- (b) The effect of those barriers/constraints; and

N/A

LEGISLATIVE FEDERAL ECONOMIC STIMULUS PROGRAM OVERSIGHT COMMISSION  
ACT 150, SESSION LAWS OF HAWAII 2009

(c) If and how they were mitigated.

N/A

LEGISLATIVE FEDERAL ECONOMIC STIMULUS PROGRAM OVERSIGHT COMMISSION  
ACT 150, SESSION LAWS OF HAWAII 2009

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1. For each group/category or program/project for which ARRA funds have been obtained, please provide the following information:

(a) A brief summary of the program/project, including goals:

The Drug Prosecution Program's goal is to improve public safety in the County of Kaua'i through efficient and effective prosecution of those who commit drug crimes, and to build relationships with the community on drug prevention and awareness through public presentations.

(b) Whether funds were appropriated for expenditure by a federal agency, were awarded as a formula/block grant to a State or county agency, or were awarded on a competitive grant basis;

Funds were awarded through the State of Hawai'i, Department of the Attorney General's Crime Prevention & Justice Assistance Division on a competitive grant basis.

(c) Whether matching funds are required, and, if so:

- (i) Are they available;
- (ii) Have they been secured;
- (iii) If they have not been secured, why not; and
- (iv) Will the State be required to continue that match or provide increased/full funding in the future;

No matching funds were needed.

(d) If there are additional requirements to receive funds, what are they;

LEGISLATIVE FEDERAL ECONOMIC STIMULUS PROGRAM OVERSIGHT COMMISSION  
ACT 150, SESSION LAWS OF HAWAII 2009

N/A

- (e) The amount of funds involved and the state/federal fiscal year within which the funds must be expended (e.g. SFY 2009-2010 or FFY 2009-2010);

Funds in the amount of \$117,000.00 were awarded and is scheduled to be expended from September 1, 2009 to October 31, 2010.

- (f) What criteria were used to identify the program/project as a priority and how does the program/project meet them;

Funds were used to continue and support the Drug Prosecution Unit which consisted of a Special Prosecuting Attorney.

- (g) Efforts undertaken to coordinate application for funds and administration of the program/project, including expenditure of funds, with other federal, state, and county agencies;

N/A

- (h) The criteria used to select activities for the program/project;

N/A

- (i) Efforts made to provide public notice and seek public comment/input or, if public comment/input was not sought, why;

The grant application was submitted for review to the Kaua'i County Council and was reviewed and open to the public for comment/input on May 13, 2009.

- (j) Efforts made during the bidding/award process to ensure that it was transparent and that the funds were awarded based on merit and in a prompt, fair, and reasonable manner;

N/A

- (k) Measures employed to: (1) reduce duplication of efforts, (2) ensure that funds were used for authorized purposes, and (3) prevent cost overruns, fraud, waste, error, and abuse;

N/A

LEGISLATIVE FEDERAL ECONOMIC STIMULUS PROGRAM OVERSIGHT COMMISSION  
ACT 150, SESSION LAWS OF HAWAII 2009

- (l) Current status of the program/project, including percentage of awarded funds that have been obtained, percentage of awarded funds encumbered and/or expended, and what part(s) of program/project have been completed; and

As of June 30, 2010 – a total of \$54,845.34 has been expended.

- (m) Actual or anticipated economic impact to the State of the program/project, including the number of jobs saved/created and the long-term public benefits of the program/project.

The Special Prosecuting Attorney position (0.90 from 9/1/09 to 1/15/10; 0.9375 FTE from 1/16/10 to 10/31/10) had been created using funds from the ARRA grant.

2. For other programs/projects, if ARRA funds, such as competitive grants, were available for a program/project but were not sought or were denied, please briefly describe why the funds were not sought or why they were denied.

N/A

3. Please describe:

- (a) Any legal/operational barriers/constraints encountered in the award, receipt, encumbrance, or expenditure of funds, including procurement, late/delayed federal guidance, and reporting requirements;

N/A

- (b) The effect of those barriers/constraints; and

N/A

- (c) If and how they were mitigated.

N/A

LEGISLATIVE FEDERAL ECONOMIC STIMULUS PROGRAM OVERSIGHT COMMISSION  
ACT 150, SESSION LAWS OF HAWAII 2009

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1. For each group/category or program/project for which ARRA funds have been obtained, please provide the following information:

(a) A brief summary of the program/project, including goals:

The Property Crime Prosecution Unit's goal is to improve public safety in the County of Kaua'i through efficient and effective prosecution of those who commit property crimes, with priority given to the offenses of white collar crimes.

(b) Whether funds were appropriated for expenditure by a federal agency, were awarded as a formula/block grant to a State or county agency, or were awarded on a competitive grant basis;

Funds were awarded through the State of Hawai'i, Department of the Attorney General's Crime Prevention & Justice Assistance Division on a competitive grant basis.

(c) Whether matching funds are required, and, if so:

- (i) Are they available;
- (ii) Have they been secured;
- (iii) If they have not been secured, why not; and
- (iv) Will the State be required to continue that match or provide increased/full funding in the future;

No matching funds were needed.

(d) If there are additional requirements to receive funds, what are they;

N/A



LEGISLATIVE FEDERAL ECONOMIC STIMULUS PROGRAM OVERSIGHT COMMISSION  
ACT 150, SESSION LAWS OF HAWAII 2009

- (e) The amount of funds involved and the state/federal fiscal year within which the funds must be expended (e.g. SFY 2009-2010 or FFY 2009-2010);

Funds in the amount of \$122,000.00 were awarded and is to be expended from January 1, 2010 to December 31, 2010.

- (f) What criteria were used to identify the program/project as a priority and how does the program/project meet them;

Funds were used to continue and support the Property Crime Prosecution Unit which consisted of a Special Prosecuting Attorney and a Special Investigator.

- (g) Efforts undertaken to coordinate application for funds and administration of the program/project, including expenditure of funds, with other federal, state, and county agencies;

N/A

- (h) The criteria used to select activities for the program/project;

N/A

- (i) Efforts made to provide public notice and seek public comment/input or, if public comment/input was not sought, why;

The grant application was submitted for review to the Kaua'i County Council and was reviewed and open to the public for comment/input on May 13, 2009.

- (j) Efforts made during the bidding/award process to ensure that it was transparent and that the funds were awarded based on merit and in a prompt, fair, and reasonable manner;

N/A

- (k) Measures employed to: (1) reduce duplication of efforts, (2) ensure that funds were used for authorized purposes, and (3) prevent cost overruns, fraud, waste, error, and abuse;

N/A

- (l) Current status of the program/project, including percentage of awarded funds that have been obtained, percentage of awarded funds encumbered and/or expended, and what part(s) of program/project have been completed; and

LEGISLATIVE FEDERAL ECONOMIC STIMULUS PROGRAM OVERSIGHT COMMISSION  
ACT 150, SESSION LAWS OF HAWAII 2009

As of June 30, 2010 – a total of \$43,817.95 has been expended.

- (m) Actual or anticipated economic impact to the State of the program/project, including the number of jobs saved/created and the long-term public benefits of the program/project.

The Special Prosecuting Attorney (0.90 FTE from 1/1/10 to 3/10/10; 0.78 FTE from 4/01/10 to 12/31/10) and the Special Investigator position (0.50 FTE) have been saved because of funding from this grant.

2. For other programs/projects, if ARRA funds, such as competitive grants, were available for a program/project but were not sought or were denied, please briefly describe why the funds were not sought or why they were denied.

N/A

3. Please describe:

- (a) Any legal/operational barriers/constraints encountered in the award, receipt, encumbrance, or expenditure of funds, including procurement, late/delayed federal guidance, and reporting requirements;

N/A

- (b) The effect of those barriers/constraints; and

N/A

- (c) If and how they were mitigated.

N/A

LEGISLATIVE FEDERAL ECONOMIC STIMULUS PROGRAM OVERSIGHT COMMISSION  
ACT 150, SESSION LAWS OF HAWAII 2009

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1. For each group/category or program/project for which ARRA funds have been obtained, please provide the following information:

(a) A brief summary of the program/project, including goals:

The Domestic Violence Prosecution Unit's goal is to improve public safety on the County of Kaua'i through efficient and effective prosecution of those who commit crimes of domestic violence including the violation of restraining/protection orders.

(b) Whether funds were appropriated for expenditure by a federal agency, were awarded as a formula/block grant to a State or county agency, or were awarded on a competitive grant basis;

Funds were awarded through the State of Hawai'i, Department of the Attorney General's Crime Prevention & Justice Assistance Division on a competitive grant basis.

(c) Whether matching funds are required, and, if so:

- (i) Are they available;
- (ii) Have they been secured;
- (iii) If they have not been secured, why not; and
- (iv) Will the State be required to continue that match or provide increased/full funding in the future;

No matching funds were needed.

(d) If there are additional requirements to receive funds, what are they;

LEGISLATIVE FEDERAL ECONOMIC STIMULUS PROGRAM OVERSIGHT COMMISSION  
ACT 150, SESSION LAWS OF HAWAII 2009

N/A

- (e) The amount of funds involved and the state/federal fiscal year within which the funds must be expended (e.g. SFY 2009-2010 or FFY 2009-2010);

Funds in the amount of \$72,000.00 were awarded and expended during the time period of September 16, 2009 to March 31, 2010.

- (f) What criteria were used to identify the program/project as a priority and how does the program/project meet them;

Funds were used to continue and support the Domestic Violence Prosecution Unit which consisted of a Special Prosecuting Attorney and a Legal Clerk.

- (g) Efforts undertaken to coordinate application for funds and administration of the program/project, including expenditure of funds, with other federal, state, and county agencies;

N/A

- (h) The criteria used to select activities for the program/project;

N/A

- (i) Efforts made to provide public notice and seek public comment/input or, if public comment/input was not sought, why;

The grant application was submitted for review to the Kaua'i County Council and was reviewed and open to the public for comment/input on May 13, 2009.

- (j) Efforts made during the bidding/award process to ensure that it was transparent and that the funds were awarded based on merit and in a prompt, fair, and reasonable manner;

N/A

- (k) Measures employed to: (1) reduce duplication of efforts, (2) ensure that funds were used for authorized purposes, and (3) prevent cost overruns, fraud, waste, error, and abuse;

N/A

LEGISLATIVE FEDERAL ECONOMIC STIMULUS PROGRAM OVERSIGHT COMMISSION  
ACT 150, SESSION LAWS OF HAWAII 2009

- (l) Current status of the program/project, including percentage of awarded funds that have been obtained, percentage of awarded funds encumbered and/or expended, and what part(s) of program/project have been completed; and

As of March 31, 2010 – a total of \$72,000.00

- (m) Actual or anticipated economic impact to the State of the program/project, including the number of jobs saved/created and the long-term public benefits of the program/project.

The Special Prosecuting Attorney and the Legal Clerk II positions were retained utilizing grant funds.

2. For other programs/projects, if ARRA funds, such as competitive grants, were available for a program/project but were not sought or were denied, please briefly describe why the funds were not sought or why they were denied.

N/A

3. Please describe:

- (a) Any legal/operational barriers/constraints encountered in the award, receipt, encumbrance, or expenditure of funds, including procurement, late/delayed federal guidance, and reporting requirements;

N/A

- (b) The effect of those barriers/constraints; and

N/A

- (c) If and how they were mitigated.

N/A

LEGISLATIVE FEDERAL ECONOMIC STIMULUS PROGRAM OVERSIGHT COMMISSION  
ACT 150, SESSION LAWS OF HAWAII 2009

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1. For each group/category or program/project for which ARRA funds have been obtained, please provide the following information:

(a) A brief summary of the program/project, including goals;

***ENERGY EFFICIENCY AND CONSERVATION BLOCK GRANT PROGRAM***

***(EECBG): The EECBG program assists eligible entities to implement energy efficiency and conservation strategies, including installing onsite renewable energy technologies that generate electricity from renewable sources***

***The EECBG funds will be used for Planning/Design and Purchase/Installation of a 25-30 kW Photovoltaic Power System for the Kaiakea Fire Station, Kapa'a, Kaua'i, Hawai'i.***

(b) Whether funds were appropriated for expenditure by a federal agency, were awarded as a formula/block grant to a State or county agency, or were awarded on a competitive grant basis;

***EECBG: Formula / Block grant.***

(c) Whether matching funds are required, and, if so:

***EECBG grant was a direct formula (population) grant. No matching funds required.***

(i) Are they available;

LEGISLATIVE FEDERAL ECONOMIC STIMULUS PROGRAM OVERSIGHT COMMISSION  
ACT 150, SESSION LAWS OF HAWAII 2009

- (ii) Have they been secured;
  - (iii) If they have not been secured, why not; and
  - (iv) Will the State be required to continue that match or provide increased/full funding in the future;
- (d) If there are additional requirements to receive funds, what are they;  
**EECBG: No additional requirements.**
- (e) The amount of funds involved and the state/federal fiscal year within which the funds must be expended (e.g. SFY 2009-2010 or FFY 2009-2010);  
**EECBG: \$267,900 expended by September 28, 2012 (CFY2012-2013)**
- (f) What criteria were used to identify the program/project as a priority and how does the program/project meet them;  
**EECBG: The County determined the use of the funds upon consultation with the Mayor's office and county staff.**
- (g) Efforts undertaken to coordinate application for funds and administration of the program/project, including expenditure of funds, with other federal, state, and county agencies;  
**EECBG: The county shared its proposal and plans for use of the funds with the counties of Maui, Hawai'i and City and County of Honolulu, as well as the State Energy Office.**
- (h) The criteria used to select activities for the program/project;  
**EECBG: Use of the block grant were determined by: 1) feasibility of project and benefits to the county facility 2) the receptiveness of the project by the agency; and 3) the economic and environmental benefits to the County.**
- (i) Efforts made to provide public notice and seek public comment/input or, if public comment/input was not sought, why;  
**The County Council approved receiving and expending the direct allocation block grant. This process allowed for public notice and hearing.**
- (j) Efforts made during the bidding/award process to ensure that it was transparent and that the funds were awarded based on merit and in a prompt, fair, and reasonable manner;  
**EECBG: The procurement for this project is divided into two parts. The first part was the issuance of a Professional Services solicitation to secure**

LEGISLATIVE FEDERAL ECONOMIC STIMULUS PROGRAM OVERSIGHT COMMISSION  
ACT 150, SESSION LAWS OF HAWAII 2009

*the services of an engineering design firm to plan and design the PV system and to produce specifications that can be used in a follow up bid solicitation. Three companies submitted qualifications and a 3 member county evaluation and selection committee ranked the 3 submittals. Negotiations were conducted with the first-ranked company and a contract was developed by the county. That contract was executed by the contractor but the county is waiting for the required tax clearance and certificate of compliance documents to arrive.*

- (k) Measures employed to: (1) reduce duplication of efforts, (2) ensure that funds were used for authorized purposes, and (3) prevent cost overruns, fraud, waste, error, and abuse;

***EECBG: The County has been assigned a Dept. of Energy project manager and the County's Energy Coordinator has been working closely with DOE to assure compliance to the block grant rules and regulations. The Finance Dept. also has a Grants Manager that oversees the EECBG and other ARRA grants to assure compliance. The County has been current is all reporting requirements for the EECBG grant.***

- (l) Current status of the program/project, including percentage of awarded funds that have been obtained, percentage of awarded funds encumbered and/or expended, and what part(s) of program/project have been completed; and

***EECBG:***

***(a) % of awarded funds obtained: 0%***

***(b) % of awarded funds expended: 0%***

***(c) Contract execution process for the first stage planning and design contract is still underway and is expected to be completed by the end of June 2010. At that time, we expect to drawdown \$25,000 to cover the planning and design contract amount. Bid for the construction of the PV system will follow.***

- (m) Actual or anticipated economic impact to the State of the program/project, including the number of jobs saved/created and the long-term public benefits of the program/project.

***EECBG: The County of Kauai anticipates the economic impact from this program to include:***



LEGISLATIVE FEDERAL ECONOMIC STIMULUS PROGRAM OVERSIGHT COMMISSION  
ACT 150, SESSION LAWS OF HAWAII 2009

- ***One planning and design job retained and 8 construction-related jobs created in the installation of the PV system.***

- ***The 25-30 kW PV system is expected to produce approximately 40,300 kWh of clean energy annually, thus eliminating that energy requirement from the local utility's oil-fired generation.***

2. For other programs/projects, if ARRA funds, such as competitive grants, were available for a program/project but were not sought or were denied, please briefly describe why the funds were not sought or why they were denied.

***The Energy section of the Office of Economic Development has been tracking additional ARRA funding announcement via the federal register, grants.gov website and through e-mail announcements from the U.S. Dept. of Energy but have not found additional solicitations where the county is eligible or that the county has a qualifying project or program.***

3. Please describe:

- (a) Any legal/operational barriers/constraints encountered in the award, receipt, encumbrance, or expenditure of funds, including procurement, late/delayed federal guidance, and reporting requirements;
- (b) The effect of those barriers/constraints; and
- (c) If and how they were mitigated.

***EECBG: The Office of Economic Development has experienced a delay in contract execution but it is due to contractor not filing the proper fiscal reports with the state tax office. Contractor is producing those reports and should have the reporting requirements fulfilled soon, leading to the issuance of the tax clearance required for contract execution.***

LEGISLATIVE FEDERAL ECONOMIC STIMULUS PROGRAM OVERSIGHT COMMISSION  
ACT 150, SESSION LAWS OF HAWAII 2009

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1. For each group/category or program/project for which ARRA funds have been obtained, please provide the following information:

- (a) A brief summary of the program/project, including goals; American Recovery and Reinvestment Act of 2009 (ARRA) for “Training and Employment Services” under the Workforce Investment Act (WIA).

The goal is to have education and training closely aligned with jobs and industries important to our local economy. Every level of education and training should afford students and trainees the ability to advance in school or at work, with assessments and certifications linked to the requirements of the next level of education and employment.

- (b) Whether funds were appropriated for expenditure by a federal agency, were awarded as a formula/block grant to a State or county agency, or were awarded on a competitive grant basis;

Funds were appropriated by the US Department of Labor, Employment and Training Administration based on a formula defined specifically for the ARRA – WIA funds.

- (c) Whether matching funds are required, and, if so: NO  
(i) Are they available;  
(ii) Have they been secured;  
(iii) If they have not been secured, why not; and  
(iv) Will the State be required to continue that match or provide increased/full funding in the future;

- (d) If there are additional requirements to receive funds, what are they; N/A

LEGISLATIVE FEDERAL ECONOMIC STIMULUS PROGRAM OVERSIGHT COMMISSION  
ACT 150, SESSION LAWS OF HAWAII 2009

- (e) The amount of funds involved and the state/federal fiscal year within which the funds must be expended (e.g. SFY 2009-2010 or FFY 2009-2010); **\$319,667**  
Funds are considered by the USDOL as Program Year 2008 [FY 2009] funds through June 30, 2011. State of Hawai'i initially directed Counties to expend these funds by June 30, 2010. However, contract amendments were recently executed extending the end date of these contracts to December 31, 2010.
- (f) What criteria were used to identify the program/project as a priority and how does the program/project meet them; Predetermined by Congress/USDOL
- (g) Efforts undertaken to coordinate application for funds and administration of the program/project, including expenditure of funds, with other federal, state, and county agencies;  
USDOL directed that funds be used in conjunction with regular WIA formula funds; so they are being used concurrently. The ARRA Adult program funds were fully expended by early quarter 4 so the amendment to extend the contract also included reallocating excess Dislocated Worker funds to the Adult program.  
Initially a temporary staff person was hired to oversee both ARRA funded programs while the regular staff maintained the formula funded programs. However after two months, the Branch Manager and the County's WIA Administrator, in conjunction with feedback from a consultant, determined that better coordination of funds would be achieved by reallocating resources by program. So one staff person was assigned to handle all Adult Program participants and the other to handle all Dislocated Worker participants. This better ensures the concurrent use of formula and ARRA funds.
- (h) The criteria used to select activities for the program/project;  
Requirements were predetermined for the Adult and Dislocated Worker programs. For the summer youth employment program, the Kaua'i Workforce Investment Board sought input from members and other youth program providers in determining how to enhance the basic program with other components from the regular WIA program.
- (i) Efforts made to provide public notice and seek public comment/input or, if public comment/input was not sought, why;  
Predetermined use although the Kaua'i Workforce Investment Board established the parameters for the ARRA summer youth employment program.
- (j) Efforts made during the bidding/award process to ensure that it was transparent and that the funds were awarded based on merit and in a prompt, fair, and reasonable manner;

LEGISLATIVE FEDERAL ECONOMIC STIMULUS PROGRAM OVERSIGHT COMMISSION  
ACT 150, SESSION LAWS OF HAWAII 2009

Both contracts were initially awarded to our existing formula program providers, using the “government-to-government” exemption. Subsequently, the DOD requested to withdraw from the youth program services contract.

Due to the limited time remaining to execute the 2009 summer youth program, an exemption was requested and approved to contract directly with the subcontractor which delivers the DOD’s formula program since it was the only qualified provider able, within the pre-defined time frame, to fulfill the full scope of services required for the contract.

- (k) Measures employed to: (1) reduce duplication of efforts, (2) ensure that funds were used for authorized purposes, and (3) prevent cost overruns, fraud, waste, error, and abuse;

The contracted service providers, well-versed in WIA rules and regulations, were advised of KWIB’s desire to serve an expanded participant base with the ARRA funds rather than dual enrollment. Since they administer both the formula and ARRA programs, there is no redundancy or duplication of efforts.

- (l) Current status of the program/project, including percentage of awarded funds that have been obtained, percentage of awarded funds encumbered and/or expended, and what part(s) of program/project have been completed; and

See attached spreadsheet

- (m) Actual or anticipated economic impact to the State of the program/project, including the number of jobs saved/created and the long-term public benefits of the program/project.

*Jobs saved/created data will be available after reporting done on 7/7.*

2. For other programs/projects, if ARRA funds, such as competitive grants, were available for a program/project but were not sought or were denied, please briefly describe why the funds were not sought or why they were denied.

3. Please describe:

- (a) Any legal/operational barriers/constraints encountered in the award, receipt, encumbrance, or expenditure of funds, including procurement, late/delayed federal guidance, and reporting requirements;

Methodology for calculating “jobs” seemed to change each quarter.

- (b) The effect of those barriers/constraints; and

Re-work - having to recalculate numbers based on new methodology.

- (c) If and how they were mitigated.

Hopefully “Final instructions” issued are exactly that.

LEGISLATIVE FEDERAL ECONOMIC STIMULUS PROGRAM OVERSIGHT COMMISSION  
ACT 150, SESSION LAWS OF HAWAII 2009

**DEPARTMENT/AGENCY QUESTIONNAIRE**

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If a question is not applicable please indicate N/A. The information requested under question 1 should be answered under each item for each group/category or program/project. However, Questions 2 and 3 need not be answered for each group/category or program/project.

1. For each group/category or program/project for which ARRA funds have been obtained, please provide the following information:
  - (a) A brief summary of the program/project, including goals; To provide home-delivered meals to older adults aged sixty and over.
  - (b) Whether funds were appropriated for expenditure by a federal agency, were awarded as a formula/block grant to a State or county agency, or were awarded on a competitive grant basis; Funds were awarded to AEA by the State Executive Office on Aging.
  - (c) Whether matching funds are required, and, if so: No
    - (i) Are they available;
    - (ii) Have they been secured;
    - (iii) If they have not been secured, why not; and
    - (iv) Will the State be required to continue that match or provide increased/full funding in the future;
  - (d) If there are additional requirements to receive funds, what are they; No
  - (e) The amount of funds involved and the state/federal fiscal year within which the funds must be expended (e.g. SFY 2009-2010 or FFY 2009-2010);  
  
\$11,313 FFY2009-2010
  - (f) What criteria were used to identify the program/project as a priority and how does the program/project meet them;

Criteria was chosen by the State Executive Office on Aging.

LEGISLATIVE FEDERAL ECONOMIC STIMULUS PROGRAM OVERSIGHT COMMISSION  
ACT 150, SESSION LAWS OF HAWAII 2009

- (g) Efforts undertaken to coordinate application for funds and administration of the program/project, including expenditure of funds, with other federal, state, and county agencies;

Funds were integrated into a current contract and expended on time.

- (h) The criteria used to select activities for the program/project;

Criteria was chosen by State Executive Office on Aging.

- (i) Efforts made to provide public notice and seek public comment/input or, if public comment/input was not sought, why;

N/A

- (j) Efforts made during the bidding/award process to ensure that it was transparent and that the funds were awarded based on merit and in a prompt, fair, and reasonable manner;

Efforts were made by State Executive Office on Aging.

- (k) Measures employed to: (1) reduce duplication of efforts, (2) ensure that funds were used for authorized purposes, and (3) prevent cost overruns, fraud, waste, error, and abuse;

Funds were integrated into a current contract. Procurement process had been previously done.

- (l) Current status of the program/project, including percentage of awarded funds that have been obtained, percentage of awarded funds encumbered and/or expended, and what part(s) of program/project have been completed; and

Expenditures have been completed and funds have been requested.

- (m) Actual or anticipated economic impact to the State of the program/project, including the number of jobs saved/created and the long-term public benefits of the program/project.

Aided our current program. Small amount of funds did not save/create jobs.

2. For other programs/projects, if ARRA funds, such as competitive grants, were available for a program/project but were not sought or were denied, please briefly describe why the funds were not sought or why they were denied.

LEGISLATIVE FEDERAL ECONOMIC STIMULUS PROGRAM OVERSIGHT COMMISSION  
ACT 150, SESSION LAWS OF HAWAII 2009

We received funds as a sub-grantee, through State Executive Office on Aging,

3. Please describe:

(a) Any legal/operational barriers/constraints encountered in the award, receipt, encumbrance, or expenditure of funds, including procurement, late/delayed federal guidance, and reporting requirements;

None

(b) The effect of those barriers/constraints; and

(c) If and how they were mitigated.

LEGISLATIVE FEDERAL ECONOMIC STIMULUS PROGRAM OVERSIGHT COMMISSION  
ACT 150, SESSION LAWS OF HAWAII 2009

**DEPARTMENT/AGENCY QUESTIONNAIRE**

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If a question is not applicable please indicate N/A. The information requested under question 1 should be answered under each item for each group/category or program/project. However, Questions 2 and 3 need not be answered for each group/category or program/project.

1. For each group/category or program/project for which ARRA funds have been obtained, please provide the following information:
  - (a) A brief summary of the program/project, including goals; To provide congregate meals to older adults aged sixty and over.
  - (b) Whether funds were appropriated for expenditure by a federal agency, were awarded as a formula/block grant to a State or county agency, or were awarded on a competitive grant basis; Funds were awarded to AEA by the State Executive Office on Aging.
  - (c) Whether matching funds are required, and, if so: No
    - (i) Are they available;
    - (ii) Have they been secured;
    - (iii) If they have not been secured, why not; and
    - (iv) Will the State be required to continue that match or provide increased/full funding in the future;
  - (d) If there are additional requirements to receive funds, what are they; No
  - (e) The amount of funds involved and the state/federal fiscal year within which the funds must be expended (e.g. SFY 2009-2010 or FFY 2009-2010);  
  
\$22,979 FFY2009-2010
  - (f) What criteria were used to identify the program/project as a priority and how does the program/project meet them;

Criteria was chosen by the State Executive Office on Aging.



LEGISLATIVE FEDERAL ECONOMIC STIMULUS PROGRAM OVERSIGHT COMMISSION  
ACT 150, SESSION LAWS OF HAWAII 2009

We received funds as a sub-grantee, through State Executive Office on Aging,

3. Please describe:

(a) Any legal/operational barriers/constraints encountered in the award, receipt, encumbrance, or expenditure of funds, including procurement, late/delayed federal guidance, and reporting requirements;

None

(b) The effect of those barriers/constraints; and

(c) If and how they were mitigated.

LEGISLATIVE FEDERAL ECONOMIC STIMULUS PROGRAM OVERSIGHT COMMISSION  
ACT 150, SESSION LAWS OF HAWAII 2009

**DEPARTMENT/AGENCY QUESTIONNAIRE**

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If a question is not applicable please indicate N/A. The information requested under question 1 should be answered under each item for each group/category or program/project. However, Questions 2 and 3 need not be answered for each group/category or program/project.

1. For each group/category or program/project for which ARRA funds have been obtained, please provide the following information:

- (a) A brief summary of the program/project, including goals;  
**Title XII of the American Recovery & Reinvestment Act of 2009 authorized additional funding for the Community Development Block Grant (CDBG) Program. The County of Kaua'i, through HUD, received \$215,156 under the Community Development Block Grant Program- Recovery Act (CDBG-R). CDBG-R funds are intended to stimulate the economy through measures that modernize the Nation's infrastructure, improve energy efficiency, and expand educational opportunities and access to health care. While the full range of CDBG activities was eligible for CDBG-R funds, HUD strongly urged grantees to use CDBG-R funds for hard development costs associated with infrastructure activities that provide basic services to residents or activities that promote energy efficiency and conservation through rehabilitation or retrofitting of existing buildings.**

**The following projects were funded with CDBG-R funds:**

**Kaua'i Head Start Improvement Project (\$20,100): Child & Family Service will conduct facility improvements to address health and safety issues at Koloa (change pitch of the slope of roof; replace fall surface under playground equipment and maintain ADA accessibility on play structure) and Kilauea (construct bike path to increase access to outside physical activities) Head Start Centers.**

**Kapa'a Infrastructure Improvements (\$195,056): County Department of Parks and Recreation will make ADA improvements for Kapa'a New Park. Work on the park will ensure compliance with ADA requirements and standards for the park. It will provide access routes to all amenities for disabled and elderly individuals.**

LEGISLATIVE FEDERAL ECONOMIC STIMULUS PROGRAM OVERSIGHT COMMISSION  
ACT 150, SESSION LAWS OF HAWAII 2009

- (b) Whether funds were appropriated for expenditure by a federal agency, were awarded as a formula/block grant to a State or county agency, or were awarded on a competitive grant basis;  
**To receive CDBG-R funds, the Kaua'i County Housing Agency (KCHA) submitted for HUD's approval a substantial amendment to the County's Consolidated Plan, Action Plan for program year 2008-2009. Funds were awarded to the County based on a formula as supplemental funds to the CDBG Program.**
- (c) Whether matching funds are required, and, if so: N/A  
(i) Are they available;  
(ii) Have they been secured;  
(iii) If they have not been secured, why not; and  
(iv) Will the State be required to continue that match or provide increased/full funding in the future;
- (d) If there are additional requirements to receive funds, what are they; N/A
- (e) The amount of funds involved and the state/federal fiscal year within which the funds must be expended (e.g. SFY 2009-2010 or FFY 2009-2010);  
**Amount of funds: \$215,156.**  
**Expenditure Deadline: September 30, 2012.**
- (f) What criteria were used to identify the program/project as a priority and how does the program/project meet them;  
**In order to meet the intent of the Act, HUD strongly urged grantees to use CDBG-R funds for hard development costs associated with infrastructure activities that provide basic services to residents or activities that promote energy efficiency and conservation through rehabilitation or retrofitting of existing buildings. The two projects that were awarded CDBG-R funds were facility improvements which are considered infrastructure improvements and involves rehabilitation of Kapa'a New Park and the Head Start Centers.**
- (g) Efforts undertaken to coordinate application for funds and administration of the program/project, including expenditure of funds, with other federal, state, and county agencies;  
**Guidance & technical assistance is provided by HUD Honolulu Field Office. At County level, Ann Wooten is available for questions and disseminates any information that she receives.**
- (h) The criteria used to select activities for the program/project;  
**Activities (projects) were selected based on HUD's guidance to use CDBG-R funds for hard development costs associated with infrastructure activities that provide basic services to residents or activities that promote energy**

LEGISLATIVE FEDERAL ECONOMIC STIMULUS PROGRAM OVERSIGHT COMMISSION  
ACT 150, SESSION LAWS OF HAWAII 2009

**efficiency and conservation through rehabilitation or retrofitting of existing buildings. The two projects also involve job creation/retention.**

- (i) Efforts made to provide public notice and seek public comment/input or, if public comment/input was not sought, why;  
**A public notice was published in *The Garden Island* newspaper and posted on the County's website on May 11, 2009 inviting citizens to provide written comment on the proposed projects by May 18, 2009. No comments were received.**
- (j) Efforts made during the bidding/award process to ensure that it was transparent and that the funds were awarded based on merit and in a prompt, fair, and reasonable manner;  
**At the time, HUD provided preliminary guidance as to time constraints associated with ARRA CDBG, what was to be as little as 4 weeks to identify our planned uses for the funds. Given the impetus to move quickly in putting ARRA funds to use, on March 16, 2009, the Housing Agency published a "Request for Interest" in *The Garden Island Newspaper* to invite applications for the use of ARRA CDBG funds. Based on HUD's anticipated submission deadline at the time, the "Request for Interest" utilized a streamlined application process and notified potential applicants of the anticipated availability of ARRA funds, directing them to additional information posted at the County's website. Additionally, the Housing Agency mailed the "Request for Interest" notice to over 130 private organizations and County Departments. Sixteen applications were received by the deadline for submission.**

**In compliance with Sec. 1602 of the Recovery Act, two primary projects were selected for CDBG-R funds based on HUD's guidance that these funds be used for job creation and infrastructure projects. The two projects proposed to retain or create jobs, both permanent and temporary (construction). These projects were also selected because of their ability to be implemented and completed expeditiously. CDBG-R funds are not being spent on administration, planning or public service projects.**

**Two alternate projects were identified based on their ability to create jobs, both permanent and temporary (construction). However, these projects were informed of the need to address floodplain issues before they could be funded. An additional alternate project was identified which would assist those most impacted by the recession by making homeownership affordable to low and moderate income households who have fixed and limited incomes. Funds are not available at this time to fund these alternate projects.**

LEGISLATIVE FEDERAL ECONOMIC STIMULUS PROGRAM OVERSIGHT COMMISSION  
ACT 150, SESSION LAWS OF HAWAII 2009

- (k) Measures employed to: (1) reduce duplication of efforts, (2) ensure that funds were used for authorized purposes, and (3) prevent cost overruns, fraud, waste, error, and abuse;

**This is the responsibility of CDBG staff. Procedures were already in place to address these issues in the course of administering our regular CDBG program.**

- (l) Current status of the program/project, including percentage of awarded funds that have been obtained, percentage of awarded funds encumbered and/or expended, and what part(s) of program/project have been completed; and **Kaua'i Head Start Improvement Project: The Kōloa Center is substantially complete. The Kīlauea Center is just beginning but will not take long to complete. To date, 30% (\$6,084) of funds have been billed. Delays in the project are related to the need for the contractors to work only during the children's vacation periods. Project is expected to be fully complete by August 2010.**

**Kapa'a Infrastructure Improvements: The work is substantially complete. Delays in the project are due to the need for the contractors to stop work to accommodate the scheduled use of the fields by sports teams. To date, 3% (\$6,293) of the funds have been billed. Expected date of completion is August 2010.**

- (m) Actual or anticipated economic impact to the State of the program/project, including the number of jobs saved/created and the long-term public benefits of the program/project.

**Kaua'i Head Start Improvement Project: To date, 4 construction-related jobs have been retained as a result of this project. The continued funding of the Head Start program on Kaua'i is contingent on the program and facilities remaining in compliance with federal program standards as well as state and county licensing requirements. The identified improvements at the two centers will help CFS address current center compliance with ADA accessibility and health and safety licensing standards. As a result, CFS will ensure continuation of funding and retention of Head Start employees. Jobs retained specifically at the Kōloa (3.5) and Kīlauea (3.5) centers total 6 full-time and 2 part-time positions.**

**The Kōloa and Kīlauea Head Start Centers are located in low- and moderate-income (LMI) communities, 60% and 53.8% respectively. Head Start parents must meet federal poverty guidelines. Each center has a maximum of 20 children. The LMI families being served by the Head Start Centers are among the most impacted by the recession because of their limited and low-income levels.**

LEGISLATIVE FEDERAL ECONOMIC STIMULUS PROGRAM OVERSIGHT COMMISSION  
ACT 150, SESSION LAWS OF HAWAII 2009

**Long-term benefits to the community: retention of Head Start employees and continued availability of services provided at the Head Start centers.**

**Kapa‘a Infrastructure Improvements Project: To date, 9 construction-related jobs have been retained. In addition, the Kapa‘a New Park supports 3 full-time Park Caretaker positions. If ADA improvements are not completed, the County could face severe fines and/or park closure until the facility is brought into compliance with ADA access guidelines.**

**This 30+ year-old, heavily used park is located in a low- and moderate-income (LMI) community (56.9%). Elderly, disabled and LMI individuals are among the most impacted by the recession because of their generally fixed and limited income.**

**Long-term benefits to the community: retention of park workers and continued use of an ADA accessible park in a LMI community.**

2. For other programs/projects, if ARRA funds, such as competitive grants, were available for a program/project but were not sought or were denied, please briefly describe why the funds were not sought or why they were denied. N/A
3. Please describe:
  - (a) Any legal/operational barriers/constraints encountered in the award, receipt, encumbrance, or expenditure of funds, including procurement, late/delayed federal guidance, and reporting requirements;  
**Late/Delayed federal guidance: HUD regulations were late in coming.**
  - (b) The effect of those barriers/constraints; and  
**Public notice periods were extremely abbreviated.**
  - (c) If and how they were mitigated.  
**HUD Honolulu Field office kept in close contact with us. Their guidance and direction based on the limited information provided to them enabled us to stay ahead of impending deadlines.**

County of Kaua'i - Transportation Agency  
ARRA Funding Agency Questionnaire Responses  
June 30, 2010

1. For each group/category or program/project for which ARRA funds have been obtained, please provide the following information:
  - (a) A brief summary of the program/project, including goals;  
*Purchase 8 buses for fleet expansion and replacement.*
  - (b) Whether funds were appropriated for expenditure by a federal agency, were awarded as a formula/block grant to a State or county agency, or were awarded on a competitive grant basis;  
*Formula Grant from Federal Transit Administration to Hawai'i Department of Transportation. Kaua'i County is the Sub-Recipient.*
  - (c) Whether matching funds are required, and, if so:
    - (i) Are they available;
    - (ii) Have they been secured;
    - (iii) If they have not been secured, why not; and
    - (iv) Will the State be required to continue that match or provide increased/full funding in the future;*No Matching Funds Required*
  - (d) If there are additional requirements to receive funds, what are they;  
*Project must be included in the STIP*
  - (e) The amount of funds involved and the state/federal fiscal year within which the funds must be expended (e.g. SFY 2009-2010 or FFY 2009-2010);  
*\$977,812 / Project End Date: June 5, 2010 (State & Federal FYE 2010)*
  - (f) What criteria were used to identify the program/project as a priority and how does the program/project meet them;  
*Number of vehicles in fleet beyond recommended service life.*
  - (g) Efforts undertaken to coordinate application for funds and administration of the program/project, including expenditure of funds, with other federal, state, and county agencies;  
*None.*
  - (h) The criteria used to select activities for the program/project;  
*Vehicle procurement was determined to be the highest capital priority at this point, along with ability to procure in a timely manner.*

- (i) Efforts made to provide public notice and seek public comment/input or, if public comment/input was not sought, why;  
*Placed on County Council Agenda in March 2009 to allow an opportunity for public comment/input.*
  - (j) Efforts made during the bidding/award process to ensure that it was transparent and that the funds were awarded based on merit and in a prompt, fair, and reasonable manner;  
*Compliance with Hawai'i Public Procurement Code (HRS 103D).*
  - (k) Measures employed to: (1) reduce duplication of efforts, (2) ensure that funds were used for authorized purposes, and (3) prevent cost overruns, fraud, waste, error, and abuse;  
*Compliance with Hawai'i Public Procurement Code (HRS 103D).*
  - (l) Current status of the program/project, including percentage of awarded funds that have been obtained, percentage of awarded funds encumbered and/or expended, and what part(s) of program/project have been completed; and  
*Project complete, pending State D.O.T. reimbursement of expense for reimbursement request letter submitted in April 2010.*
  - (m) Actual or anticipated economic impact to the State of the program/project, including the number of jobs saved/created and the long-term public benefits of the program/project.  
*ARRA funds allowed the purchase of new vehicles, which will reduce the higher operating expense of repairing and maintaining older vehicles. The number of jobs saved/created for Hawaii is estimated at 1.*
2. For other programs/projects, if ARRA funds, such as competitive grants, were available for a program/project but were not sought or were denied, please briefly describe why the funds were not sought or why they were denied.  
*Not aware of any.*
3. Please describe:
- (a) Any legal/operational barriers/constraints encountered in the award, receipt, encumbrance, or expenditure of funds, including procurement, late/delayed federal guidance, and reporting requirements;  
*None*
  - (b) The effect of those barriers/constraints; and  
*N/A*
  - (c) If and how they were mitigated.  
*N/A*



LEGISLATIVE FEDERAL ECONOMIC STIMULUS PROGRAM OVERSIGHT COMMISSION  
ACT 150, SESSION LAWS OF HAWAII 2009

DEPARTMENT/AGENCY QUESTIONNAIRE

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If a question is not applicable please indicate N/A. The information requested under question 1 should be answered under each item for each group/category or program/project. However, Questions 2 and 3 need not be answered for each group/category or program/project.

1. For each group/category or program/project for which ARRA funds have been obtained, please provide the following information:

(a) A brief summary of the program/project, including goals;

The Waimea Wastewater Treatment Plant Expansion, Phase I project is for a design-build construction project that includes design, permitting and construction of all improvements necessary including upgrades to the associated process equipment and facilities.

(b) Whether funds were appropriated for expenditure by a federal agency, were awarded as a formula/block grant to a State or county agency, or were awarded on a competitive grant basis;

The ARRA funds were distributed by the State of Hawai'i Department of Health through the SRF funding process.

(c) Whether matching funds are required, and, if so: Matching funds were not required.

(i) Are they available;

(ii) Have they been secured;

(iii) If they have not been secured, why not; and

(iv) Will the State be required to continue that match or provide increased/full funding in the future;

(d) If there are additional requirements to receive funds, what are they: No additional requirements.

LEGISLATIVE FEDERAL ECONOMIC STIMULUS PROGRAM OVERSIGHT COMMISSION  
ACT 150, SESSION LAWS OF HAWAII 2009

- (e) The amount of funds involved and the state/federal fiscal year within which the funds must be expended (e.g. SFY 2009-2010 or FFY 2009-2010);

ARRA Funds allotted: \$7,438,075

- (f) What criteria were used to identify the program/project as a priority and how does the program/project meet them;

- (f) Efforts undertaken to coordinate application for funds and administration of the program/project, including expenditure of funds, with other federal, state, and county agencies;

Project coordinated with HDOH

- (g) The criteria used to select activities for the program/project;

The project was listed as a priority project in the SRF program.

- (h) Efforts made to provide public notice and seek public comment/input or, if public comment/input was not sought, why;

Public notice & comment sought through HDOH efforts.

- (i) Efforts made during the bidding/award process to ensure that it was transparent and that the funds were awarded based on merit and in a prompt, fair, and reasonable manner;

Project was bid on a competitive basis following State procurement laws.

- (j) Measures employed to: (1) reduce duplication of efforts, (2) ensure that funds were used for authorized purposes, and (3) prevent cost overruns, fraud, waste, error, and abuse;

Project will be managed by the County of Kaua'i with oversight by the State Department of Health.

- (k) Current status of the program/project, including percentage of awarded funds that have been obtained, percentage of awarded funds encumbered and/or expended, and what part(s) of program/project have been completed; and

Project is under construction. Minimal ARRA funds have been expended to date (.0047%)

LEGISLATIVE FEDERAL ECONOMIC STIMULUS PROGRAM OVERSIGHT COMMISSION  
ACT 150, SESSION LAWS OF HAWAII 2009

- (m) Actual or anticipated economic impact to the State of the program/project, including the number of jobs saved/created and the long-term public benefits of the program/project.
- 2. For other programs/projects, if ARRA funds, such as competitive grants, were available for a program/project but were not sought or were denied, please briefly describe why the funds were not sought or why they were denied.
- 3. Please describe:
  - (a) Any legal/operational barriers/constraints encountered in the award, receipt, encumbrance, or expenditure of funds, including procurement, late/delayed federal guidance, and reporting requirements;  
  
No known barriers.
  - (b) The effect of those barriers/constraints; and
  - (c) If and how they were mitigated.

LEGISLATIVE FEDERAL ECONOMIC STIMULUS PROGRAM OVERSIGHT COMMISSION  
ACT 150, SESSION LAWS OF HAWAII 2009

DEPARTMENT/AGENCY QUESTIONNAIRE

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If a question is not applicable please indicate N/A. The information requested under question 1 should be answered under each item for each group/category or program/project. However, Questions 2 and 3 need not be answered for each group/category or program/project.

1. For each group/category or program/project for which ARRA funds have been obtained, please provide the following information:

- (a) A brief summary of the program/project, including goals;

The Lydgate-Kapa'a Bicycle and Pedestrian Path Phase A project is for construction of a shared-use path which will provide a non-motorized transportation corridor parallel to the Kūhi'ō Highway with eventual connection of the existing Lydgate Park shared-use Path to the Kapa'a-Keālia shared-use path.

- (b) Whether funds were appropriated for expenditure by a federal agency, were awarded as a formula/block grant to a State or county agency, or were awarded on a competitive grant basis;

The ARRA funds were distributed by the Hawai'i Department of Transportation

- (c) Whether matching funds are required, and, if so:

- (i) Are they available;

Funds were matched by value of a land match.

- (ii) Have they been secured;  
(iii) If they have not been secured, why not; and  
(iv) Will the State be required to continue that match or provide increased/full funding in the future;

- (c) If there are additional requirements to receive funds, what are they;

No additional requirements.

LEGISLATIVE FEDERAL ECONOMIC STIMULUS PROGRAM OVERSIGHT COMMISSION  
ACT 150, SESSION LAWS OF HAWAII 2009

- (e) The amount of funds involved and the state/federal fiscal year within which the funds must be expended (e.g. SFY 2009-2010 or FFY 2009-2010);
- (f) What criteria were used to identify the program/project as a priority and how does the program/project meet them;

This project was on the current STIP.

- (g) Efforts undertaken to coordinate application for funds and administration of the program/project, including expenditure of funds, with other federal, state, and county agencies;

Project coordinated with HDOT

- (h) The criteria used to select activities for the program/project;

This project was listed in the Bike Plan Hawai'i, Hawai'i State Master Plan.

- (i) Efforts made to provide public notice and seek public comment/input or, if public comment/input was not sought, why;

Many public meetings were conducted during the planning and design stages of the project.

- (j) Efforts made during the bidding/award process to ensure that it was transparent and that the funds were awarded based on merit and in a prompt, fair, and reasonable manner;

Project will be bid per State procurement law on a competitive basis.

- (k) Measures employed to: (1) reduce duplication of efforts, (2) ensure that funds were used for authorized purposes, and (3) prevent cost overruns, fraud, waste, error, and abuse;

Project will be managed by the County of Kaua'i with oversight by the Hawai'i Department of Transportation

- (l) Current status of the program/project, including percentage of awarded funds that have been obtained, percentage of awarded funds encumbered and/or expended, and what part(s) of program/project have been completed; and

LEGISLATIVE FEDERAL ECONOMIC STIMULUS PROGRAM OVERSIGHT COMMISSION  
ACT 150, SESSION LAWS OF HAWAII 2009

Final design plans are being signed off by utilities and public agencies. No ARRA funds have been spent.

- (m) Actual or anticipated economic impact to the State of the program/project, including the number of jobs saved/created and the long-term public benefits of the program/project.

I forget how many jobs we predicted.

- 2. For other programs/projects, if ARRA funds, such as competitive grants, were available for a program/project but were not sought or were denied, please briefly describe why the funds were not sought or why they were denied.

- 3. Please describe:

- (a) Any legal/operational barriers/constraints encountered in the award, receipt, encumbrance, or expenditure of funds, including procurement, late/delayed federal guidance, and reporting requirements;

No known legal/operational barriers.

- (b) The effect of those barriers/constraints; and
- (c) If and how they were mitigated.