

JOINT SENATE COMMITTEE ON WAYS AND MEANS AND HOUSE COMMITTEE ON FINANCE  
SUPPLEMENTAL BUDGET REQUESTS FOR FISCAL YEAR 2017

JANUARY 4, 2016

TESTIMONY OF THE DEPARTMENT OF BUDGET AND FINANCE

**A. OVERVIEW**

**MISSION STATEMENT**

The Department of Budget and Finance's (Department) mission is to enhance long-term productivity and efficiency in government operations by providing quality budget and financial services that prudently allocate and effectively manage and maximize available resources.

**ECONOMIC AND FISCAL IMPACTS AFFECTING THE BUDGET**

Hawaii's economy continues to show positive growth for FY 2016 and FY 2017. While the State's current economic conditions are favorable – with the lowest unemployment rate since February 2008 and the visitor and construction industries leading the way – we remain cautious as conditions can change dramatically from one year to another. Furthermore, there are large unfunded pension (\$8.6 billion as of June 30, 2014) and health benefit (\$8.5 billion as of June 30, 2013) liabilities that will affect the current and future budgets. Moreover, Social Security and Medicare contributions continue to grow as the State's payroll increases (to over \$3 billion) and debt service payments are projected to remain high (about \$690 million of principal and interest) over the next few years. Continued focus and discipline in dealing with these commitments and making the necessary investments now will better ensure the future fiscal viability of the State's finances and also protects the financial future of generations to come.

**B. FEDERAL FUNDS**

The Department does not have any identified programs which may lose federal funds for the current fiscal year (FY 2016) and the upcoming years.

**C. BUDGET REQUESTS DEVELOPMENT AND PRIORITIZATION**

The overall approach utilized in the development of the departmental Supplemental Budget requests focused on critically necessary adjustments in the funding levels for the fixed cost programs (debt service, retirement benefits, and the employer's share of health premium payments). Priority requests for additional staffing and funds that were deemed necessary to support the core departmental functions and initiatives were also included for the following specific programs: Departmental Administration; Budget Program Planning, and Management; Financial Administration Division; Employees' Retirement System of the State of Hawaii; and Office of the Public Defender. Finally, necessary re-authorizations for the State Educational Facilities Improvement (SEFI) special fund is also included for our one Capital Improvement Project request.

The Department's Supplemental operating budget request (all MOF) represents a net increase of \$ 147.7 million in FY 2017 compared against the FY 2017 appropriated funding levels in Act 119, SLH 2015.

In FY 2017, General funds are increased by \$136.29 million, Special funds are not adjusted, Trust funds are increased by \$4.5 million and Other funds are increased by \$6.95 million as compared to the FY 2017 appropriations.

Requested funding levels for the supplemental are as reflected in the following table:  
 Budget Request  
 FY 2016-2017

(Pos. Count)	(317.50)
Personnel Services	\$69,594,884
Current Expenses:	2,631,393,060
Equipment	761,200
Total	\$2,701,749,144
(Pos. Count)	(144.75)
General Funds	\$2,654,532,421
(Pos. Count)	0.00
Special Fund	\$2,854,560
(Pos. Count)	(65.00)
Trust Fund	\$18,729,580
(Pos. Count)	(1.75)
Interdepart'l Fund	\$4,147,627
(Pos. Count)	(106.00)
Other Funds	\$20,418,249
Federal Fund	\$841,250
Other Federal Fund	\$12,196
Revolving Fund	\$213,261

Please note that 97% of the budget (\$2.6 billion) all means of financing is for non-discretionary statewide expenses (debt service, retirement system, health fund, witness fees, court-appointed counsel, and the Office of the Public Defender), and \$86.9 million or 3% is for expenses associated with direct departmental operations.

**This concludes the department-wide budget overview. Specific additional information requested in the 2016 Budget Briefing Testimony Instructions are provided in the attached Tables (1 through 26).**

The following are more detailed descriptions of the fifteen programs in the Department. Each Administratively Attached Agency is present to elaborate on their individual budgets should there be questions on details or operations. The nine (9) programs covering fixed cost and entitlement payments (BUF 721, BUF 725, and BUF 728 -Debt Service Payments; BUF 741, BUF 745, and BUF 748 -Retirement Benefit Payments; and BUF 761, BUF 765, and BUF 768 - Health Premium Payments), will be discussed in conjunction with related core programs. The program sequencing has been grouped as follows:

The Department's fifteen programs are aligned functionally, as follows:

- BUF 101, Departmental Administration and Budget Division program;
- BUF 102, Collective Bargaining – Statewide;
- BUF 115, Financial Administration program;
- \*BUF 141, the Employees' Retirement System;
- \*BUF 143, the Hawaii Employer-Union Trust Fund; and
- \*BUF 151, the Office of the Public Defender;

Fixed Costs:

- BUF 721, Debt Service Payments;
- BUF 725, Debt Service Payments-DOE;
- BUF 728, Debt Service Payments-UH;
  
- BUF 741, Retirement Benefits Payments;
- BUF 745, Retirement Benefits Payments-DOE;
- BUF 748, Retirement Benefits Payments-UH;
  
- BUF 761, Health Premium Payments;
- BUF 765, Health Premium Payments-DOE;
- BUF 768, Health Premium Payments-UH

\*Administratively Attached Agencies/Programs

**The first three (3) programs are the Department's direct core programs. These are comprised of the Director's Office, the Administrative and Research Office, the Budget, Program Planning and Management Division, and the Office of Federal Awards Management (collectively BUF 101), Collective Bargaining - Statewide (BUF 102); and the Financial Administration Division (BUF 115). The next three (3) programs, annotated with asterisks, are agencies that are administratively attached to the Department.**

There are also nine (9) programs which cover payments for non-discretionary cost items which are placed under separate program designations.

#### BUF 101, DEPARTMENTAL ADMINISTRATION AND BUDGET DIVISION PROGRAM

##### I. Introduction

- A. The objective of this program is to facilitate and improve the executive resource allocation process by undertaking planning, programming, and budgeting, through sound recommendations on all phases of program scope and funding, and by efforts to simplify and more directly tie program performance with resource allocation decisions.
- B. This program includes: 1) Departmental Administration; 2) the Budget, Program Planning and Management Division; and 3) the Office of Federal Awards Management.

II. The major activities undertaken by this program to meet program objectives are as follows:

Program ID Listing of Major Activities

<u>Prog ID/Org</u>	<u>Major Activity or Activities performed</u>	<u>Priority #</u>
DEPARTMENTAL ADMINISTRATION AND BUDGET DIVISION		
BUF 101BA	Conduct continuous review and advise the Director and Governor on the financial condition of the State and on State budget policies;	2
BUF 101BA	Assist in the preparation, explanation, and administration of the State's proposed six-year program and financial plan and the State budget;	1
BUF 101BA	Advise and monitor State agencies for compliance with budget execution policies and procedures;	3
BUF 101BA	Perform analysis of State programs, operations, problems, and issues to provide management with a sound, rational basis for decision making.	3
BUF 101BA	Provide staff services for the Governor as required;	2
BUF 101AA	Provide administrative support activities to the Department;	2
BUF 761, BUF 765, BUF 768	Makes employer contributions for health benefit premiums for State employees, retirees and their dependents.	1

The FY 17 Supplemental Budget request for BUF 101 includes the following:

- 1.00 FTE Permanent Information Technology Specialist IV position and \$27,388 in general funds for the Departmental Administrative and Research Office.
- 2.00 FTE Permanent [Program](#) Budget Analyst V positions and \$60,716 in general funds for the Budget Program Planning and Management Division (BPPM).
- 2.00 FTE Permanent civil service position counts for the Office of Federal Awards Management program.
- \$600,000 in general funds for the BPPM to comply with statutory requirements that are included in Act 160, SLH 2015 relating to Budgeting.
- \$142,500 in general funds for the annual recurring subscription based costs for the departmental Office 365 Licenses (these costs will be transferred to the Office of Enterprise Technology Services to pay for these annual software licensing costs).

**Capital Improvement Request:**

A. Program ID: BUF 101

Project Title and Description: State Educational Facilities Improvement (SEFI) Special Fund, Statewide - To authorize the transfer of G.O. bond funds to the SEFI special fund.

Financial Requirements:

<u>Cost Element</u>	<u>MOF</u>	<u>FY 17 Request</u>
Construction	C	\$125,396,000

Explanation and Scope of Project:

To provide G.O. bond fund authorization for the SEFI special fund. The FY 17 Supplemental Budget request includes \$125.4 million which is necessary for SEFI re-authorizations based on the most current information on balances and Department of Education (DOE) actual and planned draw downs. The amount will be lapsed from the FY 15 SEFI authorization and re-authorized in FY 17.

**The next three programs are BUF 761, BUF 765, and BUF 768, HEALTH PREMIUM PAYMENTS PROGRAMS.**

I. Introduction

A. These programs were established by the Legislature to separately identify funds available for the payment of employer contributions for State government employee health benefits.

Cost requirements for active employees are based on current benefit plan premiums and projected eligible employees. Premium rates for retirees are based on

rates projected by the EUTF benefit consultant and anticipated enrollment levels.

Projected enrollments for State active employees and retirees are based on actual 2015 enrollments with an annual growth factor of 2%.

The Supplemental Budget request also includes a separate request item to increase the State employer's contribution for Other Post-Employment Benefits (OPEB) to fund the obligation at 100 percent of the Annual Required Contribution (ARC) starting from FY 2017. This is necessary to keep the State on the right path towards reducing its unfunded OPEB liability which is critical to ensure both the future fiscal stability and viability of the State's finances.

The FY 17 Supplemental Budget requests for employer health benefits includes the following:

- BUF 761 Health Premium Payments (State) increase of \$2,977,843 in general funds based on October 12, 2015 cost re-projections.
- BUF 761 Health Premium Payments (State) increase of \$163,875,000 in general funds to enable meeting 100 percent of the ARC pertaining to the OPEB obligation for State employees.
- BUF 765 Health Premium Payments (DOE) increase of \$5,364,381 in general funds based on October 12, 2015 cost re-projections.
- BUF 768 Health Premium Payments (UH) increase of \$97,417, in general funds based on October 12, 2015 cost re-projections.

**The next program is the BUF 115, FINANCIAL ADMINISTRATION PROGRAM.**

I. Introduction

- A. The objective of this program is to maximize the value, investment, and use of State funds through proactive planning, the development of prudent statewide financial policies, the timely scheduling of State bond financing, and the establishment of appropriate cash management controls and procedures. In



addition, the objective of the Unclaimed Property Program is to maximize the receipt of unclaimed property or funds from holders and to return the property or funds to their rightful owners.

II. The major activities undertaken by this program to meet program objectives are as follows:

Program ID Listing of Major Activities

<u>Prog ID/Org</u>	<u>Major Activity or Activities performed</u>	<u>Priority #</u>
FINANCIAL ADMINISTRATION		
BUF 115CA	Receive, safeguard, and disburse funds to meet State funding obligations in the State Treasury;	1
BUF 115CA	Maximize investment of funds;	2
BUF 115CA	Plan, direct, and coordinate the issuance and marketing of bonds.	2
BUF 115CA	Receives unclaimed property or funds from holders and holds them in trust until they are returned to their rightful owner;	3
BUF 721, BUF 725, BUF 728	Makes debt service payments associated with G.O. and G.O. Reimbursable Bonds issued by the State.	1

The FY 17 Supplemental Budget request for the BUF 115 program includes the following:

- 1.00 FTE Permanent Accountant III position and \$25,414 in general funds for the Fiscal Office in the Financial Administration Division (FAD).
- \$4,500,000 in Trust funds for Unclaimed Property claim payments. Higher levels of claims payments are anticipated based on an increasing growth trend in the rate of the actual claims payments over the past several years.

**The next three (3) programs are the BUF 721, BUF 725, and BUF 728, DEBT SERVICE PAYMENTS programs, which are also administered by the Financial Administration Division.**

I. Introduction

- A. The programs were established by the Legislature to separately identify funds available for the State's debt service payments.
- B. The programs include principal and interest payments associated with G.O. Bonds and G.O. Reimbursable Bonds issued by the State.
- Appropriations and expenditures for the Department of Education and the University of Hawaii are included and debt service is paid centrally by the Financial Administration Division.

**The FY 17 Supplemental Budget requests for debt service includes the following:**

- BUF 721 Debt Service (State) reduction of \$10,632,450 in general funds based on December 1, 2015 re-projections.
- BUF 725 Debt Service (DOE) reduction of \$9,168,863 in general funds based on December 1, 2015 re-projections.
- BUF 728 Debt Service (UH) reduction of \$3,393,384 in general funds based on December 1, 2015 re-projections.

The lower total G.O. bond debt service funding requirements for FY 2017 are based on factors that include the following: proposed bond issuances of \$1.2 billion in each of FY 17 through FY 19, and \$600 million in FY 20, a deferral of principal for 3 years, and an interest rate assumption based on 6 percent.

**The next program is the BUF 141, EMPLOYEES' RETIREMENT SYSTEM OF THE STATE OF HAWAII (ERS).**

I. Introduction

A. The ERS' program objectives are to provide retirement and survivor benefits to State and county employees, retirees, and inactive vested members and to prudently maximize the return on its investment portfolio.

II. The major activities undertaken by this program to meet program objectives are as follows:

Program ID Listing of Major Activities

<u>Prog ID/Org</u>	<u>Major Activity or Activities performed</u>	<u>Priority #</u>
EMPLOYEES' RETIREMENT SYSTEM		
BUF 141FA	Provides various membership services including pre-retirement counseling; computation of retirement, disability and death benefits; enrollment of new State and county employees into the appropriate retirement plan; and conducting disability hearings and appeals;	3
BUF 141FA	Budgets, accounts for and safeguards all assets of the ERS; ensures tax qualified status of the fund; and processes retirement benefit payments;	1
BUF 141FA	Plan, administer and oversee investments of the ERS' portfolio including stocks, bonds, foreign securities, mortgage loans, real estate, alternative investments, and short-term instruments;	2
BUF 741, BUF 745, BUF 748	Makes payments for employer contributions towards State government employee retirement benefits including pension accumulation and Social Security/Medicare.	1

The FY 17 Supplemental Budget request for the BUF 141 program includes the following:

- \$850,000 in non-recurring other funds to implement critical security features and equipment to protect the ERS' computer system.
- \$600,000 in non-recurring other funds for conversion of employer provided personnel data for loading into the ERS' V3 Pension Management Information System (PMIS).
- \$500,000 in non-recurring other funds for Internal Audit plan functions approved by the ERS Board of Trustees.
- \$5,000,000 in non-recurring other funds to upgrade the ERS' V3 PMIS computer system from version 8.7 to version 10.
- 1.00 FTE Permanent Office Assistant III position count (to convert a temporary position to permanent).

**The next three (3) programs are BUF 741, BUF 745, and BUF 748, RETIREMENT BENEFIT**

**PAYMENTS.**

I. Introduction

- A. The programs were established by the Legislature to separately identify funds available for the payment of employer contributions for State government employee retirement benefits.
- B. The programs includes employer contributions for Pension Accumulation (PA) and Social Security/Medicare (SS/Medicare) as general fund appropriations for State employees, the DOE, and UH. PA contributions are based on a percentage of payroll as stipulated in Chapter 88, HRS. SS/Medicare contributions are federally mandated and are based on assessment rates of 6.2% for Social Security and 1.45% for Medicare.  
  
Employer contributions for Pension Accumulation are based on statutorily set percentages of payroll — 25% of the member's compensation for police and

firefighters and 17% of the member's compensation for all other employees.

Payroll projections for PA and SS/Medicare are based on actual payroll expenditures through October 1, 2015.

FY 17 Supplemental Budget requests for Retirement Benefits Payments includes the following:

- BUF 741 Retirement Benefits (State) reduction of \$2,710,622 in general funds are based on October 15, 2015 re-projections. This includes PA, SS/Medicare, and anti-spiking cost requirements. Assumptions include: annual growth rate of 2 percent; adjustments are also made for true ups at the end of FY 15 based on ERS' estimates; PA rates are per Act 163, SLH 2011; PA is reduced by 0.5 percent to approximate FY 15 actuals; and Social Security/Medicare is also reduced by 0.9 percent to approximate FY 15 actuals paid. Amounts for anti-spiking are based on ERS' estimated cost requirements for FY 17.
- BUF 745 Retirement Benefits (DOE) reduction of \$5,626,292 in general funds are based on October 15, 2015 re-projections. Assumptions include: annual growth rate of 2 percent; adjustments are made for true ups at the end of FY 15 based on ERS' estimates; Pension Accumulation (PA) rates are per Act 163, SLH 2011; PA is increased by 2.6 percent based on re-projected requirements; and Social Security/Medicare is also increased by 4.8 percent to approximate FY 15 actuals paid.
- BUF 748 Retirement Benefits (UH) reduction of \$5,374,362 in general funds based on October 15, 2015 re-projections. Assumptions include: annual growth rate of 2 percent; adjustments are made for true ups at the end of FY 15 based on ERS' estimates; Pension Accumulation (PA) rates per Act 163, SLH 2011; PA is reduced by 0.6 percent to approximate FY 15 actuals paid; and Social Security/Medicare is increased by 0.7 percent to approximate FY 15 actuals paid.

**The last Government-Wide support program is the BUF 143, the HAWAII EMPLOYER-UNION TRUST Fund (EUTF) PROGRAM.**

I. Introduction

A. The objective of this program is to administer health and life insurance benefits for eligible active and retired State and county public employees and their eligible dependents by providing quality service levels to employee-beneficiaries and dependent-beneficiaries and complying with federal and State legal requirements.

II. The major activities undertaken by this program to meet program objectives are as follows:

Program ID Listing of Major Activities

<u>Prog ID/Org</u>	<u>Major Activity or Activities performed</u>	<u>Priority #</u>
HAWAII EMPLOYER-UNION HEALTH BENEFITS TRUST FUND		
BUF 143EU	EUTF Board of Trustees determine the nature and scope of the benefit plans offered, negotiate and enter into contracts with insurance carriers, administer self-funded plans, establish eligibility and management policies for the Trust Fund, and oversee all Trust Fund activities;	1
BUF 143EU	EUTF staff train State and county personnel and fiscal officers on enrollment procedures, collect employer and employee contributions, remit premiums to insurance carriers, provide enrollment services to State and county retirees, administer the federal COBRA Law, assist the Department of Human Resources Development in administration of the State of Hawaii's Premium Conversion Plan and comply with HIPAA privacy and security regulations and policies related to enrollment information.	2

No additional funding requirements have been submitted for this program.

The next administratively attached program is under the Individual Rights major program area.

**The BUF 151, OFFICE OF THE PUBLIC DEFENDER PROGRAM.**

I. Introduction

A. The objective of this program is to safeguard the rights of individuals in need of assistance in criminal, mental commitment and family cases by providing constitutionally and statutorily entitled legal services.

II. The major activities undertaken by this program to meet program objectives are as follows:

Program ID Listing of Major Activities

<u>Prog ID/Org</u>	<u>Major Activity or Activities performed</u>	<u>Priority #</u>
OFFICE OF THE PUBLIC DEFENDER		
BUF 151HA	Provide comprehensive legal and other necessary services to the indigent in criminal, mental commitment and family cases as required by federal and State constitutions, State statutes, judicial rules and decisions and opinions, and the canons of professional ethics.	1

The FY 17 Supplemental Budget request for the BUF 151 program includes the following:

- 1.00 FTE Permanent exempt Clerical Supervisor position and \$22,526 in general funds for the Office of the Public Defender (OPD) to enable better coordination and management of the OPD’s Oahu office clerical support staff. This will enable the Chief Deputy Public Defender and the Supervising Deputy Public Defenders to focus more of their attention on the many legal cases that the OPD must handle.

**This concludes the budget testimony for the Department of Budget and Finance.**

Department of Budget and Finance  
Prioritized List of Functions

Table 1

Priority	Description of Function	Activities	Prog ID(s)	Statutory Reference
1	Statewide financial planning and budgeting- Executive Branch	Assist in the preparation, explanation, and administration of the State's proposed six year program and financial plan and the State budget	101/BA	Chapter 26 and 37, HRS
1	State employer contributions for Health Premiums	Make employer contributions for health benefit premiums for State employees, retirees, and their dependents	BUF 761, 765 & 768	Chapter 26 and 37, HRS
2	Statewide analysis, review, and budget policy Executive Branch	Conduct continuous reviews and advises the Director and Governor on the financial condition of the State and on State Budget Policies	101/BA	Chapter 26 and 37, HRS
2	Staff services to the Governor	Provide staff services for the Governor as required	101/BA	Chapter 26-8, HRS
2	Departmental Administration	Provide administrative support activities to the Department	101/AA	Chapter 26-8, HRS
1	Collective bargaining Statewide	Collective bargaining	102/CB	Chapter 26-8, HRS
1	Collective bargaining Statewide	Collective bargaining	102/CC	Chapter 26-8, HRS
3	Statewide budget execution implementation Executive Branch	Advise and monitoring of State agencies for compliance with budget execution policies and procedures	101/BA	Chapter 26 and 37, HRS
3	Statewide analysis and reviews of operations and management - Executive Branch	Perform analysis of State programs, operations, problems, and issues to provide management with a sound, rational basis for decision making	101/BA	Chapter 26 and 37, HRS
1	State Treasury operations	Receive, safeguard, and disburse funds to meet State funding obligations in the State Treasury	BUF 115	Chapter 36, 38, and 39, HRS
1	State Debt Service payments	Make debt service payments associated with General Obligation and General Obligation Reimbursable Bonds issued by the State	BUF 721, 725 & 728	Chapter 36 and 39, HRS
2	State Treasury Investments	Maximize the investment of funds as allowable by statute	BUF 115	Chapter 36 and 38, HRS
2	State Bond Issuances	Plan, direct, and coordinate the issuance and marketing of bonds	BUF 115	Chapter 36 and 39, HRS
3	State Unclaimed Property	Receive unclaimed property of funds from holders and safeguards them in trust until they are returned to their rightful owners	BUF 115	Chapter 523A, HRS
1	Employees' Retirement System (ERS's) Accounting and Financial operations	Budget, account, and safeguard all assets of the ERS; ensure tax qualified status of the fund; and process retirement benefit payments	BUF 141	Chapter 88, HRS



Department of Budget and Finance  
 Prioritized List of Functions

Table 1

Priority	Description of Function	Activities	Prog ID(s)	Statutory Reference
1	State employer contributions for Retirement Benefits	Make payments for State employer contributions towards State government employee retirement benefits including pension accumulation and social security/Medicare	BUF 741, 745 & 748	Chapter 26 and 37, HRS
2	ERS's Investment operations	Plan, administer, and oversee investment of the ERS' portfolio including stocks, bonds, foreign securities, mortgage loans, real estate, alternative investments, and short term instruments	BUF 141	Chapter 88, HRS
3	ERS's membership services	Provide various membership services including pre-retirement counselling; computation of retirement; disability and death benefits; enrollment of new State and county employees into the appropriate retirement plan; conducting disability hearings and appeals	BUF 141	Chapter 88, HRS
1	Hawaii Employer Union Trust Fund (EUTF) - Support of Board of Trustee and management level functions	The EUTF Board determines the nature and scope of the benefit plans offered, negotiates and enters into contracts with insurance carriers, administers self funded plans, establishes eligibility and management policies for the Trust Fund, and oversees all Trust Fund activities.	BUF 143	Chapter 87A, HRS
2	EUTF - support of customer service and enrollment, accounting, financial management, and regulatory compliance functions	Enrollment, customer service, accounting/financial management, regulatory compliance, and IT support related activities	BUF 143	Chapter 87A, HRS
1	Legal and other necessary services to the indigents as required by federal and State constitutions	Legal representation of indigent individuals charged with criminal offenses.	BUF 151	Chapter 802, HRS

Department of Budget and Finance  
Department-Wide Totals

Table 2

Fiscal Year 2016				
Act 119/15 Appropriation	Restriction	Emergency Appopriations	Total FY16	MOF
\$ 2,283,242,313.00	\$ (564,450.00)		\$ 2,282,677,863.00	A
\$ 1,547,739.00			\$ 1,547,739.00	B
\$ 478,486.00			\$ 478,486.00	N
\$ 5,675.00			\$ 5,675.00	P
\$ 14,051,335.00			\$ 14,051,335.00	T
\$ 4,140,882.00			\$ 4,140,882.00	U
\$ 102,919.00			\$ 102,919.00	W
\$ 13,014,314.00			\$ 13,014,314.00	X
<b>\$ 2,316,583,663.00</b>	<b>\$ (564,450.00)</b>	<b>\$ -</b>	<b>\$ 2,316,019,213.00</b>	<b>Total</b>
Fiscal Year 2017				
Act 119/15 Appropriation	Reductions	Additions	Total FY17	MOF
\$ 2,518,245,209.00	\$ (36,905,973.00)	\$ 173,193,185.00	\$ 2,654,532,421.00	A
\$ 2,854,560.00			\$ 2,854,560.00	B
\$ 841,250.00			\$ 841,250.00	N
\$ 12,196.00			\$ 12,196.00	P
\$ 14,229,580.00		\$ 4,500,000.00	\$ 18,729,580.00	T
\$ 4,147,627.00			\$ 4,147,627.00	U
\$ 213,261.00			\$ 213,261.00	W
\$ 13,468,249.00		\$ 6,950,000.00	\$ 20,418,249.00	X
			\$ -	
<b>\$ 2,554,011,932.00</b>	<b>\$ (36,905,973.00)</b>	<b>\$ 184,643,185.00</b>	<b>\$ 2,701,749,144.00</b>	<b>Total</b>

Department of Budget and Finance  
Program ID Totals

Table 3

Prog ID	Program Title	MOF	As budgeted by Act 119/15 (FY16)			Governor's Submittal (FY17)			Percent Change of \$\$\$
			Pos (P)	Pos (T)	\$\$\$	Pos (P)	Pos (T)	\$\$\$	
BUF 101	Departmental Administration and Budget Division	A	41.25	12.00	\$ 11,766,302	46.25	12.00	\$ 12,704,973	7.98%
BUF 101	Departmental Administration and Budget Division	U	0.75		\$ 42,554	0.75		\$ 42,554	0.00%
BUF 102	Collective Bargaining - Statewide	A			\$ 18,790,387			\$ 36,045,294	91.83%
BUF 102	Collective Bargaining - Statewide	B			\$ 1,547,739			\$ 2,854,560	84.43%
BUF 102	Collective Bargaining - Statewide	N			\$ 478,486			\$ 841,250	75.81%
BUF 102	Collective Bargaining - Statewide	P			\$ 5,675			\$ 12,196	114.91%
BUF 102	Collective Bargaining - Statewide	W			\$ 102,919			\$ 213,261	107.21%
BUF 115	Financial Administration Division	A	13.00		\$ 1,977,318	14.00		\$ 2,024,205	2.37%
BUF 115	Financial Administration Division	T	9.00		\$ 7,148,438	9.00		\$ 11,674,867	63.32%
BUF 115	Financial Administration Division	U	1.00		\$ 98,328	1.00		\$ 105,073	6.86%
BUF 141	Employees Retirement System	X	105.00	1.00	\$ 13,014,314	106.00		\$ 20,418,249	56.89%
BUF 143	EUTF-Employer Union Trust Fund	T	56.00		\$ 6,902,897	56.00		\$ 7,054,713	2.20%
BUF 151	Office of the Public Defender	A	83.50	50.00	\$ 10,762,354	84.50	50.00	\$ 11,114,332	3.27%
BUF 721	Debt Service Payments - State	A			\$ 334,601,504			\$ 348,779,569	4.24%
BUF 725	Debt Service Payments - DOE	A			\$ 288,542,702			\$ 300,769,119	4.24%
BUF 728	Debt Service Payments - UH	A			\$ 106,789,267			\$ 111,314,248	4.24%
BUF 741	Retirement Benefits Payments - State	A			\$ 324,178,407			\$ 337,213,979	4.02%
BUF 741	Retirement Benefits Payments - State	U			\$ 4,000,000			\$ 4,000,000	0.00%
BUF 745	Retirement Benefits Payments - DOE	A			\$ 311,975,236			\$ 321,869,442	3.17%
BUF 748	Retirement Benefits Payments - UH	A			\$ 143,117,530			\$ 140,814,522	-1.61%
BUF 761	Health Premium Payments - State	A			\$ 394,070,109			\$ 660,866,959	67.70%
BUF 765	Health Premium Payments - DOE	A			\$ 245,577,984			\$ 274,005,393	11.58%
BUF 768	Health Premium Payments - UH	A			\$ 91,093,213			\$ 97,010,386	6.50%

Department of Budget and Finance  
Budget Decisions

Table 4

Prog ID	Sub-Org	Description of Request	MOF	Initial Department Request FY17			Budget & Finance Recommendation FY17			Governor's Decision FY17		
				Pos (P)	Pos (T)	\$\$\$	Pos (P)	Pos (T)	\$\$\$	Pos (P)	Pos (T)	\$\$\$
BUF 101	AA	Add 1.00 FTE Permanent ITS IV Civil Service Position and funds for the Departmental Administration Program	A	1.00		\$ 27,388	1.00		\$ 27,388	1.00		\$ 27,388
BUF 101	AA	Add funds for the purchase of Office 365 licenses	A						\$ 142,500			\$ 142,500
BUF 101	BA	Add funds for Other Current Expenses to Comply with Statutory Requirements Included in Act 160, SLH 2015 Relating to Budgeting. Converts budget reports and documents currently produced on the State's legacy system to a new budget report writing system	A			\$ 600,000			\$ 600,000			\$ 600,000
BUF 101	BA	Add 2.00 FTE Permanent Civil Service position counts for the Office of Federal Awards Management (OFAM) the department will utilize existing amounts that are currently budgeted for 2.00 FTE temporary positions for the OFAM	A	2.00			2.00			2.00		
BUF 101	BA	Add 2.00 permanent Program Budget Analyst V positions and funds for the Budget, Program Planning and Management Division (BPPM).	A	2.00		\$ 60,716	2.00		\$ 60,716	2.00		\$ 60,716
BUF 115	CA	Add 1.00 FTE Permanent Accountant III Position and funds in Fiscal Office for FAD	A	1.00		\$ 25,414	1.00		\$ 25,414	1.00		\$ 25,414
BUF 115	CA	Add funds for unclaimed property payouts	T						\$ 4,500,000			\$ 4,500,000
BUF 141	FA	Add funds for the Implementation of Critical Security Features and Equipment to protect ERS Computer System. Purchase of computer hardware, software and related services for ERS to implement data encryption, address security risk assessment and internal audit findings, purchase a replacement back-up tape system; and develop disaster recovery plan.	X			\$ 850,000			\$ 550,000			\$ 850,000
BUF 141	FA	Add funds for Conversion of Employer Provided Personnel Data for Loading into ERS V3 Pension System.	X			\$ 600,000			\$ 600,000			\$ 600,000
BUF 141	FA	Add funds for Internal Audit plan functions approved by the Board of Trustees.	X			\$ 500,000			\$ 500,000			\$ 500,000
BUF 141	FA	Add funds to Upgrade the ERS Pension System (to Version 10)	X			\$ 5,000,000			\$ 5,000,000			\$ 5,000,000
BUF 141	FA	Convert Temp Office Assistant III to Permanent Office Assistant III	X	1.00	(1.00)		1.00	(1.00)		1.00	(1.00)	
BUF 151	HA	Add 2.00 Permanent Positions and Funds for Salary, Others and Equipment (Clerical Supervisor and Clerk III)	A	2.00		\$ 38,656	1.00		\$ 22,526	1.00		\$ 22,526
BUF 721	ST	Debt service funding for the State (Except DOE and UH)	A			\$ (11,320,050)			\$ (11,320,050)			\$ (10,632,450)

Department of Budget and Finance  
Budget Decisions

Table 4

Prog ID	Sub-Org	Description of Request	MOF	Initial Department Request FY17			Budget & Finance Recommendation FY17			Governor's Decision FY17		
				Pos (P)	Pos (T)	\$\$\$	Pos (P)	Pos (T)	\$\$\$	Pos (P)	Pos (T)	\$\$\$
BUF 725	LE	Debt service funding for the Department of Education (DOE)	A			\$ (9,761,813)			\$ (9,761,813)			\$ (9,168,863)
BUF 728	HE	Debt service funding for the University of Hawaii (UH)	A			\$ (3,612,834)			\$ (3,612,834)			\$ (3,393,384)
BUF 741	ST	Retirement benefits payments for the State (except DOE and UH) and anti-spiking	A			\$ 3,198,841			\$ (2,710,622)			\$ (2,710,622)
BUF 745	LE	Retirement benefits payments for the Department of Education (DOE)	A			\$ 872,584			\$ (5,626,292)			\$ (5,626,292)
BUF 748	HE	Retirement benefits payments for the University of Hawaii (UH)	A			\$ 2,138,654			\$ (5,374,362)			\$ (5,374,362)
BUF 761	ST	Health premium payments for the State (except the DOE and UH)	A			\$ 172,665,973			\$ 2,977,843			\$ 2,977,843
BUF 761	ST	Increase OPEB funding to 100% in FY 17	A						\$ 163,875,000			\$ 163,875,000
BUF 765	LE	Health premium payments for the Department of Education (DOE)	A			\$ 8,501,716			\$ 5,364,381			\$ 5,364,381
BUF 768	HE	Health premium payments for the University of Hawaii (UH)	A			\$ 5,158,941			\$ 97,417			\$ 97,417

Department of Budget and Finance  
Proposed Budget Reductions

Table 5

Prog ID	Sub-Org	Description of Reduction	Impact of Reduction	MOF	FY17			FY16 Restriction (Y/N)
					Pos (P)	Pos (T)	\$\$\$	
BUF 721	ST	Debt service funding for the State (Except DOE and UH)	N/A	A			(10,632,450)	N
BUF 725	LE	Debt service funding for the Department of Education (DOE)	N/A	A			(9,168,863)	N
BUF 728	HE	Debt service funding for the University of Hawaii (UH)	N/A	A			(3,393,384)	N
BUF 741	ST	Retirement benefits payments for the State (except DOE and UH) and anti-spiking	N/A	A			(2,710,622)	N
BUF 745	LE	Retirement benefits payments for the Department of Education (DOE)	N/A	A			(5,626,292)	N
BUF 748	HE	Retirement benefits payments for the University of Hawaii (UH)	N/A	A			(5,374,362)	N
BUF 141	FA	Covert Temporary Office Assistant III to Permanent Office Assistant III	N/A	A		(1.00)		N

Department of Budget and Finance  
Proposed Budget Additions

Table 6

Prog ID	Sub-Org	Addition Type	Prog ID Priority	Dept-Wide Priority	Description of Addition	Justification	MOF	FY17		
								Pos (P)	Pos (T)	\$\$\$
BUF 101	AA	AR	1	5	Add 1.00 FTE Permanent Information technology Specialist IV Civil Service position and funds for the Departmental Administration Program	The additional ITS IV position will serve multiple purposes by enabling a more stable staffing level that will better support the department and will also enable the department to more effectively implement IT improvement initiatives and efforts. These types of efforts requires in house IT support that can work closely with the non-technical business users to effectively accomplish what needs to be done. Lack of the required IT staffing level will result in the inability of the department to effectively execute IT improvements and initiatives and will also continue to jeopardize support for the existing mission critical departmental operations due to chronic IT staffing shortfalls which currently occurs with the existing 2.00 departmental IT staff.	A	1.00		\$ 27,388
BUF 101	AA	AR	2	13	Add funds for the purchase of Office 365 licenses	The State's Office of Information, Management, and Technology (OIMT) has been working to phase out the existing Statewide IBM Lotus Notes e-mail system and migrate to the Microsoft Office 365 and Outlook e-mail product. This request is to include the re-recurring annual per user license costs for the new subscription based Office 365/Outlook. The B&F departmental recurring annual costs will be centralized in the BUF 101AA Departmental Administration program.	A			\$ 142,500
BUF 101	BA	NR	1	1	Add funds for Other Current Expenses to Comply with Statutory Requirements Included in Act 160, SLH 2015 Relating to Budgeting. Converts budget reports and documents currently produced on the State's legacy system to a new budget report writing system.	This request funds a consultant services contract to install a new Budget Report Writing System for the Budget Program Planning and Management Division (BPPMD) at B&F. Act 160, SLH 2015, requires the executive budget and related documents to identify position ceiling and to separately identify the maximum numbers of authorized permanent and temporary full-time equivalent positions starting July 1, 2016. Currently, the budget documents are produced using a legacy mainframe system that is approximately 40 years old and will not be feasible to update and maintain in the long term. Installing a new budget report writing system allows B&F to comply with the requirements of Act 160 and provides more flexibility to BPPMD's budget reporting functions.	A			\$ 600,000

Department of Budget and Finance  
Proposed Budget Additions

Table 6

Prog ID	Sub-Org	Addition Type	Prog ID Priority	Dept-Wide Priority	Description of Addition	Justification	MOF	Pos (P)	Pos (T)	\$\$\$
BUF 101	BA	AR	2	3	Add 2.00 permanent Program Budget Analyst V positions and funds for the Budget, Program Planning and Management Division (BPPM).	The BPPM Division is comprised of two (2) Program Budget Analysis and Evaluation Branches. Within the two Branches, there are 11.00 PBA positions to oversee the Executive budget and operations for 17 departments, the University of Hawaii and two Administrative offices (Office of the Governor and the Lieutenant Governor). Through the last reduction-in-force in 2010, BPPM lost three (3.00) positions (2 PBA V positions and 1 manager position). While BPPM was restored 1.00 PBA V position in FY12, the division remains short staffed. Restoration of 2.00 PBA V positions is necessary to provide a thorough, detailed and systematic analysis of programs and requests, particularly for the highly complex program areas with both large budgetary and statewide public impacts.	A	2		\$ 60,716
BUF 115	CA	AR	1	6	Add 1.00 FTE Permanent Accountant III Position and funds in Fiscal Office for FAD.	The FAD Fiscal Office is responsible for fiscal related Statewide functions pertaining to FAD. There currently is one (1) Accountant IV position responsible for these and other fiscal functions who is assisted by an Account Clerk IV. The functions, workload and responsibilities of the Fiscal Office have increased due to a variety of factors. The Fiscal Office previously had an additional Accountant III position to share responsibility for these essential functions, however, this position was eliminated during a Reduction in Force.	A			\$ 25,414



Department of Budget and Finance  
Proposed Budget Additions

Table 6

Prog ID	Sub-Org	Addition Type	Prog ID Priority	Dept-Wide Priority	Description of Addition	Justification	MOF	Pos (P)	Pos (T)	\$\$\$
BUF 115	CA	AR	2	14	Add funds for unclaimed property payouts.	The Unclaimed Property Program previously undertook efforts to increase the awareness of the Program to 1) provide owners of unclaimed funds with additional information and access to claim their funds; and 2) increase awareness to holders of the unclaimed properties to submit the funds to the Unclaimed Property Program to allow the funds to be returned to their rightful owners. The number of claims paid and dollar amount paid to the owners of these funds which are held in trust by the Program have been steadily increasing each year. The Program paid out approximately \$7.3 million in fiscal year 2014 and approximately \$10.4 million in FY2015. The Program is requesting an increase in the claims payment budget to \$11 million which will allow for anticipated increased levels of payments in fiscal year 2017 based on the increasing trend of the actual payouts.	T			\$ 4,500,000
BUF 141	FA	NR	1	4	Add funds for the Implementation of Critical Security Features and Equipment to protect ERS Computer System. Purchase of computer hardware, software and related services for ERS to implement data encryption, address security risk assessment and internal audit findings, purchase a replacement back-up tape system; and develop disaster recovery plan.	To be proactive against increased threats to ERS' computer systems and the protection of personal data for over 126,000 members, retirees and beneficiaries and to address findings of security risk assessment and internal audit this request will purchase computer hardware, software and services to: (a) encrypt ERS's financial and personally identified data maintained in the ERS pension and accounting systems; (b) to improve network monitoring, and detecting security threats (i.e. hackers and malware); (c) to replace the tape backup system for ERS computer system that is at end of life; and (d) hire an external consultant to develop ERS' disaster recovery plan.	X			\$ 850,000

Department of Budget and Finance  
Proposed Budget Additions

Table 6

Prog ID	Sub-Org	Addition Type	Prog ID Priority	Dept-Wide Priority	Description of Addition	Justification	MOF	Pos (P)	Pos (T)	\$\$\$
BUF 141	FA	NR	2	8	Add funds for Conversion of Employer Provided Personnel Data for Loading into ERS V3 Pension System.	As a defined benefit plan, the ERS pension and all other payments (refunds, active deaths) are based on the membership service credit (personnel) and salary (payroll) data. ERS however, has not received the personnel information in a consistent "single" format that is usable from most employers which is necessary to allow for straight-through processing (i.e. little manual adjustments). As a result, we are currently unable to proceed in processing the data if personnel information is missing unless a "manual workaround" procedure is completed. This request will enable the conversion of the existing backlog of employer PHIF files to help ERS reduce the manual processing that is currently required.	X			\$ 600,000
BUF 141	FA	NR	3	9	Add funds for Internal Audit plan functions approved by the Board of Trustees.	The ERS Board of Trustees authorized an internal audit of the ERS and contracted with a CPA firm to complete a basic internal audit plan to assist with the development of an internal audit function within the ERS. This funding will allow the ERS to complete the current basic internal audit plan for high risk operational areas (ex. the ERS' \$14 billion in investment assets, computer systems, and \$1.1 billion pension benefit payments processing operations). The use of specialized consultants is needed to help assess the increasing threats pertinent to the ERS' computer system but are not currently included in the scope of the annual financial audits of the ERS.	X			\$ 500,000

Department of Budget and Finance  
Proposed Budget Additions

Table 6

Prog ID	Sub-Org	Addition Type	Prog ID Priority	Dept-Wide Priority	Description of Addition	Justification	MOF	Pos (P)	Pos (T)	\$\$\$
BUF 141	FA	NR	4	10	Add funds to Upgrade the ERS Pension System (to Version 10)	ERS originally purchased the Vitech System V3 pension benefits computer system in 2005 and the system was upgraded to version 8.7 in 2011. Upgrading the ERS' pension system (V3) from version 8.7 to 10 is now required because version 8.7 has reached its end of life. ERS also needs to take advantage of future upgrades and patches. The envisioned conversion is a two-year project and is being requested now because ERS will need to implement significant changes passed in 2015 legislation by FY 2020	X			\$ 5,000,000
BUF 151	HA	AR	1	7	Add 1.00 FTE Permanent Clerical Supervisor position and Funds for the Office of the Public Defender	The previous Clerical Supervisor position was abolished from the OPD budget in 2004. This position formerly handled daily staffing issues among the clerical support staff as well as coordination and approval of all leaves. In addition the Clerical Supervisor coordinated training of the support staff and worked with office administration to resolve disputes and conflicts within the support staff. Currently, all of these duties along with the myriad of other administrative duties are handled by the Chief Deputy Public Defender and division supervisors. The performance of these duties take away from the ability of these supervisors to devote much needed time to the supervision of attorney staff and the administration of the many legal issues which the OPD handles.	A	1		\$ 22,526
BUF 761	ST	FC	1	1	Health premium payments for the State (except the DOE and UH)	Fixed Cost	A			\$ 2,977,843
BUF 761	ST	AR	1	1	Increase OPEB funding to 100% in FY 17	To provide funding for 100% of OPEB in FY17. (To comply with statutory requirements in Act 268, SLH 2013 of which to reach a goal of 100% funding by FY 2020).	A			\$ 163,875,000
BUF 765	LE	FC	1	1	Health premium payments for the Department of Education (DOE)	Fixed Cost	A			\$ 5,364,381

Department of Budget and Finance  
Proposed Budget Additions

Table 6

Prog ID	Sub-Org	Addition Type	Prog ID Priority	Dept-Wide Priority	Description of Addition	Justification	MOF	Pos (P)	Pos (T)	\$\$\$
BUF 768	HE	FC	1	1	Health premium payments for the University of Hawaii (UH)	Fixed Cost	A			\$ 97,417

Department of Budget and Finance  
FY16 Restrictions

Table 7

<u>Prog ID</u>	<u>Sub-Org</u>	<u>MOF</u>	<u>Budgeted by Dept</u>	<u>Restriction</u>	<u>Difference Between Budgeted &amp; Restricted</u>	<u>Percent Difference</u>	<u>Impact</u>
BUF101	AA	A	\$ 9,284,198.00	\$ 201,710.00	\$ 9,082,488.00	2.17%	The program will need to closely monitor its expenditures for other current expenses.
BUF101	BA	A	\$ 2,482,104.00	\$ 234,531.00	\$ 2,247,573.00	9.45%	Savings will most likely come from funds budgeted for backfill support (temporary positions for OMIT) due to the reassessment of the ERP Project.
BUF115	CA	A	\$ 1,977,318.00	\$ 128,209.00	\$ 1,849,109.00	6.48%	BUF 115 Bond Counsel – Services are used to respond to inquiries by the Legislature and are also utilized by the Department of the Attorney General. The department is billed on an hourly basis which results in fluctuations based on the number and complexity of assignments made to the General Advice Counsel. Efforts are made to control expenditures, however, flexibility in the budgeting of funds for this purpose is essential to provide the State with sufficient legal advice with respect to the use of tax-exempt General Obligation and Revenue Bonds, Certificates of Participation, Municipal Lease Financing Agreements, and any other financing scenarios where tax exempt financing is contemplated or utilized.

Department of Budget and Finance  
 Emergency Appropriation Requests

Table 8

<u>Prog ID</u>	<u>Description of Request</u>	<u>Explanation of Request</u>	<u>MOF</u>	<u>Pos (P)</u>	<u>Pos (T)</u>	<u>\$\$\$</u>
None.						

Department of Budget and Finance  
Expenditures Exceeding Appropriation Ceilings in FY15 and FY16

Table 9

<u>Prog ID</u>	<u>MOF</u>	<u>Date</u>	<u>Appropriation</u>	<u>Amount Exceeding Appropriation</u>	<u>Percent Exceeded</u>	<u>Reason for Exceeding Ceiling</u>	<u>Legal Authority</u>	<u>Recurring (Y/N)</u>	<u>GF Impact (Y/N)</u>
None.									

Department of Budget and Finance  
 Intradepartmental Transfers in FY15 and FY16

Table 10

<u>Actual or Anticipated Date of Transfer</u>	<u>MOF</u>	<u>Pos (P)</u>	<u>Pos (T)</u>	<u>\$\$\$</u>	<u>From Prog ID</u>	<u>Percent of Program ID Appropriation Transferred From</u>	<u>To Prog ID</u>	<u>Percent of Receiving Program ID Appropriation</u>	<u>Reason for Transfer</u>	<u>Recurring (Y/N)</u>
None.										





Department of xxx  
Table 11 Instructions

Table 11

COLUMN	DATA ELEMENT	DEFINITION
A	State Expending Agency	State entity authorized to expend the funds as authorized by the appropriation or as delegated by the Governor. Select from drop-down menu. See legend below.
B	Program I.D.	The unique identifier for the specific program responsible for carrying out the program consisting of the abbreviation for the State entity followed by the organization number for the program. <b>Format: XXX###</b>
C	Award Number	The identifying number assigned by the awarding Federal Agency, such as the federal grant number, federal contract number or the federal loan number that appears in the federal award document.
D	CFDA No.	The number associated with the published description of Federal assistance program in the Catalog of Federal Domestic Assistance. Only applies to grants and loans. A list of CFDA codes can be searched at: <a href="https://www.cfda.gov/index?s=program&amp;tab=list&amp;mode=list">https://www.cfda.gov/index?s=program&amp;tab=list&amp;mode=list</a> <b>Format: ##.###.</b>
E	Award Description	Award title and description with purpose of funding action.
F	Awarding Federal Agency	Name of the federal agency that awarded and administers the award on behalf of the funding agency. Select from drop-down menu. See agency list below.
G	Award Amount	For Grants: The total amount of the award. For Loans: The total amount of the loan obligated by the Federal Agency; the face value of the loan. For Contracts: The total amount obligated by the Federal Agency.
H	Award Amount Allocated to the Program ID in Column B	Enter the portion of the total award amount allocated to this Program ID. For example, if a federal award in the amount of \$500 is allocated to only AGR100, the "Award Amount" and the "Award Amount Allocated to the Pgm ID in Column B" would both be \$500. However, if the \$500 will be allocated to AGR100, AGR200 and AGR300, in the amounts of \$100, \$250 and \$150, respectively, the "Award Amount" would be the \$500 for all three program IDs but the "Award Amount Allocated to the Pgm ID in Column B" would be \$100 for AGR100, \$250 for AGR200 and \$150 for AGR300.
I	State Appropriation Symbol	The account number established in FAMIS. The appropriation account is a combination of 4 fields: Fund Type, Fiscal Year, Appropriation Account Number, and Dept. Code. If an award has appropriation symbols for multiple fiscal years, enter the symbol for the first fiscal year. <b>Format: X-YY-###-X</b>
J	State Fund Match (If Any)	The amount of state funds necessary to match the award.
K	Contact Name	Name of the individual at the program level responsible for performance of the award.
L	Contact Phone No.	Phone no. of the individual at the program level responsible for performance of the award.
M	Contact Email	Email of the individual at the program level responsible for performance of the award.
	<b>LEGEND:</b>	
	<b>State Expending Agency</b>	
A (AGR)	Department of Agriculture	
B (BED)	Department of Business, Economic Dev & Tourism	
C (LNR)	Department of Land and Natural Resources	
D (TRN)	Department of Transportation	
E (EDN)	Department of Education	
F (UOH)	University of Hawaii	

Department of xxx  
Table 11 Instructions

Table 11

G (DEF)	Department of Defense	
H (HTH)	Department of Health	
I (HHL)	Department of Hawaiian Home Lands	
J (JUD)	Judiciary	
K (HMS)	Department of Human Services	
L (LBR)	Department of Labor and Industrial Relations	
M (AGS)	Department of Accounting and General Services	
N (ATG)	Department of Attorney General	
O (BUF)	Department of Budget and Finance	
P (HRD)	Department of Human Resources Development	
Q (GOV)	Office of the Governor	
R (CCA)	Department of Commerce and Consumer Affairs	
S (LTG)	Office of the Lieutenant Governor	
T (TAX)	Department of Taxation	
V1 (PSD)	Department of Public Safety	
Z1 (OHA)	Office of Hawaiian Affairs	
	<b>Federal Agency</b>	
	Dept. of Agriculture	
	Dept. of Commerce	
	Dept. of Defense	
	Dept. of Education	
	Dept. of Energy	
	Dept. of Health and Human Services	
	Dept. of Homeland Security	
	Dept. of Housing and Urban Development	
	Dept. of Justice	
	Dept. of Labor	
	Dept. of State	
	Dept. of the Interior	
	Dept. of the Treasury	
	Dept. of Transportation	
	Dept. of Veterans Affairs	
	Corporation for National & Community Svc	
	Environmental Protection Agency	
	General Services Administration	
	NASA	
	National Science Foundation	
	Nuclear Regulatory Commission	
	Office of Personnel Management	
	Small Business Administration	
	Social Security Administration	
	U.S. Agency for International Development	

Department of Budget and Finance  
Non-General Funds

Table 12

Name of Fund	Purpose	Statutory Reference	MOF	Beginning FY16 Unencumbered Cash Balance	Estimated FY16 Revenues	Estimated FY16 Expenditures and Encumbrances	Estimated FY16 Net Transfers	Estimated FY16 Ending Unencumbered Cash Balance	Balance in Excess of Program Needs
Emergency and Budget Reserve Fund	Temporary supplemental source of funding for the State during times of emergency, economic downturn, or unforeseen reduction in revenues.	328L-3, HRS	B	\$ 90,194,986.00	\$ 17,850,700.00	\$ -	\$ -	\$ 108,045,686.00	\$ -
Interest Earned-Investment Pool	Account was established as holding account to deposit interest earned from the State Treasury centralized pool investments before distribution to participating accounts.	Administratively established.	T	\$ 95,012.00	\$ 15,134,297.00	\$ -	\$ (15,228,249.00)	\$ 1,060.00	\$ -
Interest Earned-Bond Investment Pool	Account was established as holding account to deposit interest earned from the State Treasury centralized Bond Investment Pool before distribution to participating accounts.	Administratively established.	T	\$ 25,395.00	\$ 464,018.00	\$ -	\$ (487,403.00)	\$ 2,010.00	\$ -
Temporary Deposits-Special Purpose Revenue Bond-Security Deposit	To record non-refundable security deposits from project parties for the purpose of reimbursing the State for all the costs and expenses incurred relating to the issuance of special purpose revenue bonds.	Administratively established.	T	\$ -	\$ 2,000.00	\$ 2,000.00		\$ -	\$ -
Taxes Payable to Other State Agencies	Account was established to enable B&F to generate Summary Warrant Vouchers on-line for transmittal of tobacco tax collected by DoTax and distributed per statute to UH per Act 316/SLH2006. It serves as a clearing account for fuel tax revenues pending distribution.	Administratively established.	T	\$ 222,967.00	\$ 61,668,585.00	\$ 61,891,552.00	\$ -	\$ -	\$ -
Taxes Payable to Counties/HTA-TAT	Account was established to enable B&F to generate Summary Warrant Vouchers on-line for transmittal of TAT distributed monthly to HTA and semi-annually to the counties. It serves as a clearing account for TAT revenues pending distribution per statute.	Administratively established.	T	\$ -	\$ 200,879,163.00	\$ 200,879,163.00	\$ -	\$ -	\$ -
Taxes Payable to Counties-Fuel Tax	Account was established to enable B&F to generate Summary Warrant Vouchers on-line for transmittal of liquid fuel tax distributed monthly to the counties. It serves as a clearing account for fuel tax revenues pending distribution per statute.	Administratively established.	T	\$ -	\$ 74,209,386.00	\$ 74,209,386.00	\$ -	\$ -	\$ -

Department of Budget and Finance  
Non-General Funds

Table 12

Name of Fund	Purpose	Statutory Reference	MOF	Beginning FY16 Unencumbered Cash Balance	Estimated FY16 Revenues	Estimated FY16 Expenditures and Encumbrances	Estimated FY16 Net Transfers	Estimated FY16 Ending Unencumbered Cash Balance	Balance in Excess of Program Needs
Taxes Payable to Counties-GETax surcharge	Account was established to enable B&F to generate SWV's on-line for transmittal of county GET surcharge collected by DoTax and distributed per statute HRS 248-2.6 to the City & County of Honolulu. It serves as a clearing account for the surcharge pending distribution.	Administratively established.	T	\$ -	\$ 263,429,247.00	\$ 263,429,247.00	\$ -	\$ -	\$ -
Hawaii Children's Trust Fund	Account was established to enable B&F to generate SWV's on-line for transmittal of taxes collected by DoTax and distributed per statute Act 228/SLH2004 to the Hawaii Children's Trust Fund at the Hawaii Community Foundation.	Administratively established.	T	\$ -	\$ 152,743.00	\$ 152,743.00	\$ -	\$ -	\$ -
Unclaimed Property Trust Fund	Account was established to deposit and disburse moneys in Unclaimed Property Program. All funds in excess of \$1.3 million remaining on balance at the end of the fiscal year will be transferred to the general fund.	Administratively established.	T	\$ 20,214,660.00	\$ 20,000,000.00	\$ 10,000,000.00	\$ (20,119,564.00)	\$ 1,095,095.00	\$ -
College Savings Program Trust Fund	The College Savings Program Trust Fund was established in 2002 with the commencement of Hawaii's 529 program. Funds are maintained in the Trust Fund on behalf of participants or account owners, and are invested as directed by the participants according to program rules.	256, HRS	T	\$ 69,607,347.00	\$ -	\$ -	\$ 4,000,000.00	\$ 73,607,347.00	\$ -
Hawaii Employer-Union Health Benefits Trust Fund	To administer health and life insurance benefits for eligible active and retired State and county public employees and their eligible dependents by providing quality service levels to employee-beneficiaries and dependent-beneficiaries and complying with federal and State legal requirements.	87A, HRS	T	\$ 164,586,104.00	\$ 981,155,000.00	\$ 992,000,000.00	\$ -	\$ 153,741,104.00	\$ -

Department of Budget and Finance  
Non-General Funds

Table 12

Name of Fund	Purpose	Statutory Reference	MOF	Beginning FY16 Unencumbered Cash Balance	Estimated FY16 Revenues	Estimated FY16 Expenditures and Encumbrances	Estimated FY16 Net Transfers	Estimated FY16 Ending Unencumbered Cash Balance	Balance in Excess of Program Needs
Hawaii Employer-Union Health Benefits Trust Fund	To administer health and life insurance benefits for eligible active and retired State and county public employees and their eligible dependents by providing quality service levels to employee-beneficiaries and dependent-beneficiaries and complying with federal and State legal requirements. During 2007, the Trust Fund adopted Governmental Accounting Standards Board ("GASB") Statement No. 43, Financial Reporting for Postemployment Benefit Plans Other than Pensions.	87A, HRS	T	\$ 843,766,582.00	\$ 276,466,016.00	\$ 1,000,000.00	\$ -	\$ 1,119,232,598.00	\$ -
Hawaii Employer-Union Health Benefits Trust Fund	The fund was created to administer health and life insurance benefits for eligible active and retired State and county public employees and their eligible dependents by providing quality service levels to employee-beneficiaries and dependent-beneficiaries and complying with federal and State legal requirements.	87A, HRS	T	\$ 672,080.00	\$ 1,400,000.00	\$ 6,900,000.00	\$ -	\$ (4,827,920.00)	\$ -
Expense Fund	The fund which all money shall be credited to apyt for the administrative and operational expenses of the ERS.	88-116 HRS	X	\$ -	\$ 12,911,965	\$ 12,911,965	\$ -	\$ -	\$ -
<p><b>Note: Per Act 108, SLH 2014, Public Utilities commission Special Fund (PUC) moved to DCCA effective July 1, 2015. Therefore, this report does not include PUC's data.</b></p>									

Department of Budget and Finance  
Vacancy Report as of November 30, 2015

Table 13

Prog ID	Sub-Org	Date of Vacancy	Expected Fill Date	Position Number	Position Title	Budgeted Amount	Actual Salary Last Paid	Authority to Hire (Y/N)	Occupied by 89 Day Hire (Y/N)	# of 89 Hire Appts	Describe if Filled by other Means	Priority # to Retain
BUF101	AA	7/31/2015	Recruitment in progress	00049343	Information Technol Spclt V	\$ 66,054	\$ 62,424	Y	Y	2		
BUF101	BA	12/31/2014	Recruitment in progress	00011302	Program Budget Analyst V	\$ 63,516	\$ 54,194	Y	N	0		
BUF101	BA	To be established	As needed for ETS	00940120	General Professional	\$ 40,000	\$ -	N	N	0		
BUF101	BA	To be established	As needed for ETS	00940140	General Professional	\$ 40,000	\$ -	N	N	0		
BUF101	BA	To be established	As needed for ETS	00940150	General Professional	\$ 40,000	\$ -	N	N	0		
BUF101	BA	To be established	As needed for ETS	00940160	General Professional	\$ 40,000	\$ -	N	N	0		
BUF101	BA	To be established	As needed for ETS	00940170	General Professional	\$ 40,000	\$ -	N	N	0		
BUF101	BA	To be established	As needed for ETS	00940180	General Professional	\$ 40,000	\$ -	N	N	0		
BUF101	BA	To be established	As needed for ETS	00940190	General Professional	\$ 40,000	\$ -	N	N	0		
BUF101	BA	To be established	As needed for ETS	00940200	General Professional	\$ 40,000	\$ -	N	N	0		
BUF101	BA	To be established	As needed for ETS	00940210	General Professional	\$ 40,000	\$ -	N	N	0		
BUF115	CA	Pending DHRD	3/1/2016	00940040	Public Debt Compliance spec	\$ 65,000	\$ -	N	N	0		
BUF115	CA	10/16/2015	Recruitment in progress	00019540	Account Clerk V	\$ 39,492	\$ 42,684	Y	N	0		
BUF115	CA	5/1/2015	Recruitment in progress	00027104	Accountant IV	\$ 56,460	\$ 62,424	Y	N	0		
BUF141	FA	5/16/2014	Recruitment in progress	00003278 <sup>1</sup>	Staff Services Supvr II	\$ 46,770	\$ 42,168	Y	N	0		
BUF141	FA	5/1/2015	12/1/2015	00035483	Office Assistant III	\$ 36,468	\$ 41,064	Y	N	0		
BUF141	FA	8/13/2015	12/7/2015	00116681	Office Assistant III	\$ 26,700	\$ 26,700	Y	N	0		
BUF141	FA	9/1/2015	Recruitment in progress	00116869	Retirement Claims Examiner III	\$ 63,516	\$ 44,479	Y	Y	1		
BUF141	FA	7/15/2015	Recruitment in progress	00118201	Information Technol Spclt II	\$ 52,200	\$ 40,548	Y	N	0		
BUF141	FA	9/5/2015	Recruitment in progress	00120772	Accountant IV	\$ 48,228	\$ 47,400	Y	N	0		
BUF141	FA	7/1/2015	12/1/2015	00121778 <sup>2</sup>	Retirement Claims Examiner III	\$ 44,580	\$ -	Y	N	0		
BUF141	FA	7/1/2015	12/1/2015	00121779 <sup>2</sup>	Retirement Claims Examiner III	\$ 44,580	\$ -	Y	N	0		
BUF141	FA	7/1/2015	12/1/2015	00121780 <sup>2</sup>	Retirement Claims Examiner III	\$ 44,580	\$ -	Y	N	0		
BUF143	EU	9/2/2015	Recruitment in progress	00117599	EUTF Systems Supervisor	\$ 56,199	\$ 53,364	Y	N	0		
BUF143	EU	6/14/2014	Recruitment in progress	00118999	Enrollment Technician	\$ 27,756	\$ 27,756	Y	N	0		
BUF143	EU	9/26/2015	Recruitment in progress	00120119	Enrollment Technician	\$ 28,872		Y	N	0		
BUF143	EU	11/13/2015	Recruitment in progress	00121858 <sup>3</sup>	EUTF Investment Officer	\$ 100,000	\$ -	Y	N	0		
BUF151	HA	11/28/2015	Recruitment in progress	00100548	Deputy Public Defender III	\$ 85,745	\$ 81,420	Y	N	0		
BUF151	HA	10/1/2015	1/1/2016	00101675	Deputy Public Defender III	\$ 85,745	\$ 81,420	Y	N	0		
BUF151	HA	11/7/2015	12/1/2015	00101700	Pub Def Ofc Asst I	\$ 26,700	\$ 18,792	Y	Y	1		
BUF151	HA	8/8/2015	Recruitment in progress	00101996	Deputy Public Defender III	\$ 85,745	\$ 81,420	Y	N	0		
BUF151	HA	11/7/2015	Recruitment in progress	00104367	Deputy Public Defender III	\$ 85,745	\$ 81,420	Y	N	0		
BUF151	HA	10/20/2015	12/1/2015	00121845 <sup>4</sup>	Information Technol Spclt IV	\$ 22,788	\$ -	Y	N	0		
<b>Footnote:</b>												
* Governor approval dated October 12, 2013.												
<sup>1</sup> PN 3278 Staff Services Suprv III was reallocated to Staff Services Suprv II effective 5/27/2015.												
<sup>2</sup> PN 121778, 121779 and 121780, Retirement Claims Examiner IIIs were established effective 7/1/2015.												
<sup>3</sup> PN 121858 EUTF Investment Officer was established effective 11/13/2015.												
<sup>4</sup> PN 121845 Information Technol Spclt IV was established effective 10/20/2015.												
Actual Salary Last Paid is based on the last incumbent or the last 89-day incumbent in the position.												
PUC (BUF901MA) is not reflected in this table because they transferred to DCCA effective 7/1/2015 pursuant to Act 108, SLH 2014.												

Department of Budget and Finance  
Personnel Separations from July 1, 2014 to November 30, 2015

Table 14

<u>Prog ID</u>	<u>Sub-Org</u>	<u>Separation Date</u>	<u>Position Number</u>	<u>Position Title</u>	<u>Exempt (Y/N)</u>	<u>SR Level</u>	<u>BU Code</u>	<u>T/P</u>	<u>MOF</u>	<u>Budgeted FTE</u>	<u>Budgeted Salary</u>	<u>Actual FTE</u>	<u>Actual Salary</u>
BUF101	AA	12/1/2014	00000097	Management Analyst I	N	SR16	73	P	A	1.00	\$ 48,228	1.00	\$ 37,464
BUF101	AA	9/17/2014	00043644	Personnel Mgmt Specialist II	N	SR16	73	P	A	1.00	\$ 42,244	1.00	\$ 40,548
BUF101	AA	7/31/2015	00049343	Information Technol Spclt V	N	SR24	73	P	A	1.00	\$ 66,054	1.00	\$ 62,424
BUF101	AA	1/1/2015	00100037	Private Secretary III	Y	SR24	63	P	A	1.00	\$ 68,412	1.00	\$ 65,736
BUF101	AA	7/31/2015	00100037	Private Secretary III	Y	SR24	63	P	A	1.00	\$ 68,412	1.00	\$ 68,412
BUF101	AA	11/29/2014	00100056	Private Secretary II	Y	SR22	63	P	A	1.00	\$ 60,780	1.00	\$ 58,440
BUF101	AA	12/27/2014	00100131	Director Of Finance	Y	SRNA	00	P	A	1.00	\$ 145,884	1.00	\$ 140,220
BUF101	AA	12/26/2014	00100150	Deputy Director	Y	SRNA	00	P	A	1.00	\$ 130,564	1.00	\$ 128,004
BUF101	AA	9/1/2014	00120918	Personnel Clerk IV	N	SR11	63	P	A	1.00	\$ 29,988	1.00	\$ 28,836
BUF101	BA	12/31/2014	00011302	Program Budget Analyst V	N	SR24	73	P	A	1.00	\$ 63,516	1.00	\$ 60,012
BUF115	CA	5/1/2015	00000127	Treasury Cashier	N	SR19	03	P	A	1.00	\$ 56,172	1.00	\$ 56,172
BUF115	CA	4/16/2015	00000130	Account Clerk IV	N	SR13	03	P	A	1.00	\$ 37,980	1.00	\$ 37,980
BUF115	CA	5/1/2015	00027104	Accountant IV	N	SR24	73	P	A	1.00	\$ 56,460	1.00	\$ 62,424
BUF115	CA	1/16/2015	00028018	Accountant II	N	SR18	13	P	A	1.00	\$ 42,096	1.00	\$ 40,548
BUF141	FA	9/1/2015	00116869	Retirement Claims Examiner III	N	SR20	13	P	X	1.00	\$ 63,516	1.00	\$ 62,424
BUF141	FA	10/1/2014	00016005	Retirement Claims Examiner V	N	SR24	23	P	X	1.00	\$ 63,516	1.00	\$ 78,996
BUF141	FA	5/1/2015	00035483	Office Assistant III	N	SR08	03	P	X	1.00	\$ 36,468	1.00	\$ 41,064
BUF141	FA	3/1/2015	00043506	Office Assistant IV	N	SR10	03	P	X	1.00	\$ 36,468	1.00	\$ 36,468
BUF141	FA	12/27/2014	00107820	Retirement Sys Exec Director	Y	SRNA	93	P	X	1.00	\$ 189,560	1.00	\$ 180,000
BUF141	FA	12/1/2014	00113174	Retirement Claims Examiner I	N	SR20	13	P	X	1.00	\$ 66,054	1.00	\$ 64,920
BUF141	FA	1/3/2015	00113175	Retirement Claims Examiner I	N	SR16	13	P	X	1.00	\$ 38,118	1.00	\$ 37,464
BUF141	FA	8/13/2015	00116681	Office Assistant III	N	SR08	03	P	X	1.00	\$ 26,700	1.00	\$ 26,700
BUF141	FA	11/21/2014	00116681	Office Assistant III	N	SR08	03	P	X	1.00	\$ 26,700	1.00	\$ 26,700
BUF141	FA	12/31/2014	00116815	Retirement Claims Examiner I	N	SR20	13	P	X	1.00	\$ 56,460	1.00	\$ 55,488
BUF141	FA	9/17/2014	00117312	Office Assistant III	N	SR08	03	T	X	1.00	\$ 26,700	1.00	\$ 26,700
BUF141	FA	3/1/2015	00117312	Office Assistant III	N	SR08	03	T	X	1.00	\$ 26,700	1.00	\$ 37,464
BUF141	FA	4/16/2015	00118189	Retirement Claims Examiner I	N	SR16	13	P	X	1.00	\$ 44,580	1.00	\$ 37,464
BUF141	FA	9/16/2014	00118189	Retirement Claims Examiner I	N	SR18	13	P	X	1.00	\$ 44,580	1.00	\$ 43,812
BUF141	FA	3/1/2015	00118191	Retirement Claims Examiner I	N	SR16	13	P	X	1.00	\$ 50,166	1.00	\$ 53,364
BUF141	FA	9/27/2014	00118201	Information Technol Spclt II	N	SR22	13	P	X	1.00	\$ 52,200	1.00	\$ 51,300
BUF141	FA	7/15/2015	00118201	Information Technol Spclt II	N	SR18	13	P	X	1.00	\$ 52,200	1.00	\$ 40,548
BUF141	FA	9/5/2015	00120772	Accountant IV	N	SR22	13	P	X	1.00	\$ 48,228	1.00	\$ 48,228
BUF143	EU	7/16/2015	00014960	Account Clerk III	Y	SR11	03	P	T	1.00	\$ 29,988	1.00	\$ 32,460
BUF143	EU	2/26/2015	00121119	EUTF Applications Supervisor	Y	SRNA	13	P	T	1.00	\$ 60,785	1.00	\$ 57,720
BUF143	EU	4/1/2015	00120123	EUTF Applications Specialist	Y	SRNA	13	P	T	1.00	\$ 54,025	1.00	\$ 57,720
BUF143	EU	12/1/2014	00017470	Account Clerk III	Y	SR11	03	P	T	1.00	\$ 31,236	1.00	\$ 33,720
BUF143	EU	2/24/2015	00113103	EUTF Member Svcs Branch Mgr	Y	SRNA	93	P	T	1.00	\$ 63,199	1.00	\$ 60,012
BUF143	EU	11/15/2014	00116356	EUTF Customer Svc Rep	Y	SRNA	03	P	T	1.00	\$ 28,872	1.00	\$ 28,872



Department of Budget and Finance  
Personnel Separations from July 1, 2014 to November 30, 2015

Table 14

Prog ID	Sub-Org	Separation Date	Position Number	Position Title	Exempt (Y/N)	SR Level	BU Code	T/P	MOF	Budgeted FTE	Budgeted Salary	Actual FTE	Actual Salary
BUF143	EU	6/3/2015	00116735	EUTF Customer Svc Rep	Y	SRNA	03	P	T	1.00	\$ 28,872	1.00	\$ 28,872
BUF143	EU	12/20/2014	00116735	EUTF Customer Svc Rep	Y	SRNA	03	P	T	1.00	\$ 28,872	1.00	\$ 28,872
BUF143	EU	9/27/2014	00117590	EUTF Customer Svc Rep	Y	SRNA	03	P	T	1.00	\$ 28,872	1.00	\$ 28,872
BUF143	EU	9/2/2015	00117599	EUTF Systems Supervisor	Y	SRNA	13	P	T	1.00	\$ 56,199	1.00	\$ 53,364
BUF143	EU	6/6/2015	00119002	EUTF Systems Specialist	Y	SRNA	13	P	T	1.00	\$ 49,919	1.00	\$ 49,919
BUF143	EU	8/29/2014	00119018	EUTF Accountant	Y	SRNA	13	P	T	1.00	\$ 51,927	1.00	\$ 49,308
BUF143	EU	9/26/2015	00120119	Enrollment Technician	Y	SRNA	03	P	T	1.00	\$ 28,872	1.00	\$ 28,872
BUF143	EU	1/1/2015	00120120	Enrollment Technician	Y	SRNA	03	P	T	1.00	\$ 28,872	1.00	\$ 28,872
BUF143	EU	7/28/2015	00120120	Enrollment Technician	Y	SRNA	03	P	T	1.00	\$ 28,872	1.00	\$ 28,872
BUF143	EU	12/27/2014	00120121	Enrollment Technician	Y	SRNA	03	P	T	1.00	\$ 28,872	1.00	\$ 28,872
BUF143	EU	12/18/2014	00120193	EUTF Member Svcs Spclt	Y	SRNA	13	P	T	1.00	\$ 39,455	1.00	\$ 47,400
BUF151	HA	1/3/2015	00100404	Deputy Public Defender III	Y	SRNA	73	T	A	1.00	\$ 85,745	1.00	\$ 81,420
BUF151	HA	8/2/2014	00100546	Deputy Public Defender III	Y	SRNA	73	T	A	1.00	\$ 85,745	1.00	\$ 81,408
BUF151	HA	11/28/2015	00100548	Deputy Public Defender III	Y	SRNA	73	P	A	1.00	\$ 85,745	1.00	\$ 81,420
BUF151	HA	1/24/2015	00100586	Public Defender Clerk	Y	SRNA	03	P	A	0.50	\$ 26,700	0.50	\$ 13,350
BUF151	HA	6/2/2015	00100884	Deputy Public Defender I	Y	SRNA	73	P	A	1.00	\$ 62,505	1.00	\$ 59,352
BUF151	HA	8/15/2014	00101090	Deputy Public Defender III	Y	SRNA	73	P	A	1.00	\$ 81,420	1.00	\$ 81,420
BUF151	HA	9/1/2015	00101243	Deputy Public Defender I	Y	SRNA	73	P	A	1.00	\$ 62,505	1.00	\$ 59,352
BUF151	HA	10/1/2015	00101675	Deputy Public Defender III	Y	SRNA	73	T	A	1.00	\$ 85,745	1.00	\$ 81,420
BUF151	HA	12/16/2014	00101700	Pub Def Ofc Asst I	Y	SRNA	03	P	A	1.00	\$ 26,700	1.00	\$ 26,700
BUF151	HA	7/21/2015	00101700	Pub Def Ofc Asst I	Y	SRNA	03	P	A	1.00	\$ 26,700	1.00	\$ 26,700
BUF151	HA	7/12/2014	00101992	Deputy Public Defender II	Y	SRNA	73	T	A	1.00	\$ 71,490	1.00	\$ 67,884
BUF151	HA	8/8/2015	00101996	Deputy Public Defender III	Y	SRNA	73	T	A	1.00	\$ 85,745	1.00	\$ 81,420
BUF151	HA	8/28/2014	00102003	PD Legal Office Assistant	Y	SR14	03	T	A	1.00	\$ 35,112	1.00	\$ 33,756
BUF151	HA	11/7/2015	00104367	Deputy Public Defender III	Y	SRNA	73	P	A	1.00	\$ 85,745	1.00	\$ 85,745
BUF151	HA	11/6/2014	00107817	Deputy Public Defender III	Y	SRNA	73	T	A	1.00	\$ 85,745	1.00	\$ 85,745
BUF151	HA	9/11/2014	00107818	Deputy Public Defender III	Y	SRNA	73	T	A	1.00	\$ 85,745	1.00	\$ 81,420
BUF151	HA	7/18/2015	00107819	Deputy Public Defender III	Y	SRNA	73	T	A	1.00	\$ 85,745	1.00	\$ 81,420
BUF151	HA	7/1/2014	00118295	Deputy Public Defender V	Y	SRNA	93	P	A	1.00	\$ 112,194	1.00	\$ 106,536
*BUF901	MA	8/1/2014	00042785	Secretary III	N	SR16	63	P	B	-	\$ -	1.00	NA
*BUF901	MA	8/1/2014	00044862	PUC District Representative	N	SR22	13	P	B	-	\$ -	1.00	NA
*BUF901	MA	11/15/2014	00100958	PUC Admr	Y	SRNA	93	P	B	-	\$ -	1.00	NA
*BUF901	MA	11/1/2014	00117634	PUC Attorney (Gas Cap)	Y	SRNA	73	P	B	-	\$ -	1.00	NA
*BUF901	MA	3/16/2015	00117643	Office Assistant III	N	SR08	03	P	B	-	\$ -	1.00	NA
*BUF901	MA	12/16/2014	00102680	PUC Research Assistant	Y	SRNA	13	P	B	-	\$ -	1.00	NA
*BUF901	MA	1/16/2015	00102102	PUC Research Assistant	Y	SRNA	13	P	B	-	\$ -	1.00	NA
*BUF901	MA	1/3/2015	00036923	Engineer IV	N	SR24	13	P	B	-	\$ -	1.00	NA
*BUF901	MA	1/31/2015	00029556	Office Assistant IV	N	SR10	03	P	B	-	\$ -	1.00	NA

Department of Budget and Finance  
 Personnel Separations from July 1, 2014 to November 30, 2015

Table 14

<u>Prog ID</u>	<u>Sub-Org</u>	<u>Separation Date</u>	<u>Position Number</u>	<u>Position Title</u>	<u>Exempt (Y/N)</u>	<u>SR Level</u>	<u>BU Code</u>	<u>T/P</u>	<u>MOF</u>	<u>Budgeted FTE</u>	<u>Budgeted Salary</u>	<u>Actual FTE</u>	<u>Actual Salary</u>
*BUF901	MA	1/21/2015	00100953	PUC Commission Chair	Y	SRNA	00	P	B	-	\$ -	1.00	NA
*BUF901	MA	2/21/2015	00100540	PUC Attorney	Y	SRNA	73	P	B	-	\$ -	1.00	NA
*BUF901	MA	6/22/2015	00117097	Information Technol Spclt IV	N	SR22	13	P	B	-	\$ -	1.00	NA
<b>Footnotes:</b>													
Budgeted FTE and Salary reflect figures shown on Table BJ-1 and BT-1 (run date 7/10/15) for FY 15-16.													
Actual Salary reflects regular salary only, i.e., excludes premium pay such as overtime, temporary assignment.													
*PUC, BUF901MA, transferred to DCCA effective 7/1/2015 pursuant to Act 108, SLH 2014. Budgeted FTE and Budgeted Salary are shown as "0" because they are not shown on the FY15-16 Table BJ-1 (run date 7/10/15).													
Actual Salary reflects regular salary only from 7/1/14 to 6/30/15													

Department of Budget and Finance  
Positions Filled from July 1, 2014 to November 30, 2015

Table 15

<u>Prog ID</u>	<u>Sub-Org</u>	<u>Effective Date</u> <u>Position Filled</u>	<u>Position</u> <u>Number</u>	<u>Position Title</u>	<u>Exempt</u> <u>(Y/N)</u>	<u>SR Level</u>	<u>BU</u> <u>Code</u>	<u>T/P</u>	<u>MOF</u>	<u>Budgeted</u> <u>FTE</u>	<u>Budgeted</u> <u>Salary</u>	<u>Actual FTE</u>	<u>Actual</u> <u>Salary</u>	<u>Occupied</u> <u>by 89 Day</u> <u>Hire (Y/N)</u>
BUF101	AA	4/16/2015	00000097	Management Analyst I	N	SR16	73	P	A	1.00	\$ 48,228	1.00	\$ 37,464	Y
BUF101	AA	9/18/2014	00043644	Personnel Mgmt Specialist II	N	SR18	73	P	A	1.00	\$ 42,244	1.00	\$ 40,548	
BUF101	AA	1/1/2015	00100037	Private Secretary III	Y	SR24	63	P	A	1.00	\$ 68,412	1.00	\$ 41,489	
BUF101	AA	7/31/2015	00100037	Private Secretary III	Y	SR24	63	P	A	1.00	\$ 68,412	1.00	\$ 21,058	
BUF101	AA	1/20/2015	00100056	Private Secretary II	Y	SR22	63	P	A	1.00	\$ 60,780	1.00	\$ 60,780	
BUF101	AA	12/27/2014	00100131	Director of Finance	Y	SRNA	00	P	A	1.00	\$ 145,884	1.00	\$ 145,884	
BUF101	AA	12/27/2014	00100150	Deputy Director	Y	SRNA	00	P	A	1.00	\$ 130,564	1.00	\$ 133,128	
BUF101	AA	11/5/2014	00120918	Personnel Clerk IV	N	SR09	63	P	A	1.00	\$ 29,988	1.00	\$ 12,816	Y
BUF101	AA	5/21/2015	00120918	Personnel Clerk IV	N	SR11	63	P	A	1.00	\$ 29,988	1.00	\$ 14,994	
BUF101	BA	7/1/2015	00012976	Prgm & Budget Analysis Mgr II	N	EM07	35	P	A	1.00	\$ 87,485	1.00	\$ 90,816	
BUF115	CA	10/16/2015	00000127	Treasury Cashier	N	SR19	03	P	A	1.00	\$ 56,172	1.00	\$ 48,024	
BUF115	CA	7/16/2015	00000130	Account Clerk IV	N	SR13	03	P	A	1.00	\$ 37,980	1.00	\$ 33,720	Y
BUF115	CA	4/16/2015	00028018	Accountant II	N	SR18	13	P	A	1.00	\$ 42,096	1.00	\$ 40,548	
BUF115	CA	10/1/2015	00120196	Professional Trainee I	N	SR16	13	P	A	1.00	\$ 44,580	1.00	\$ 37,464	
BUF141	FA	1/2/2015	00016005	Retirement Claims Examiner V	N	SR24	23	P	X	1.00	\$ 63,516	1.00	\$ 62,424	
BUF141	FA	12/22/2014	00019501	Information Technol Spclt IV	N	SR22	13	P	X	1.00	\$ 56,460	1.00	\$ 53,176	
BUF141	FA	3/25/2015	00039683	Procurement & Supply Spclt IV	N	SR18	73	P	X	1.00	\$ 48,228	1.00	\$ 7,796	Y
BUF141	FA	6/8/2015	00039683	Procurement & Supply Spclt IV	N	SR20	13	P	X	1.00	\$ 48,228	1.00	\$ 23,120	
BUF141	FA	5/1/2015	00043506	Office Assistant IV	N	SR10	03	P	X	1.00	\$ 36,468	1.00	\$ 41,064	
BUF141	FA	12/29/2014	00107820	Retirement Sys Exec Director	Y	SRNA	93	P	X	1.00	\$ 189,560	1.00	\$ 165,000	
BUF141	FA	11/2/2015	00107820	Retirement Sys Exec Director	Y	SRNA	93	P	X	1.00	\$ 189,560	1.00	\$ 18,750	
BUF141	FA	3/1/2015	00113173	Retirement Claims Examiner IV	N	SR22	13	P	X	1.00	\$ 44,580	1.00	\$ 53,364	
BUF141	FA	6/1/2015	00113174	Retirement Claims Examiner I	N	SR16	13	P	X	1.00	\$ 66,054	1.00	\$ 37,464	
BUF141	FA	7/16/2014	00113175	Retirement Claims Examiner I	N	SR16	13	P	X	1.00	\$ 38,118	1.00	\$ 17,171	
BUF141	FA	6/1/2015	00113175	Retirement Claims Examiner I	N	SR16	13	P	X	1.00	\$ 38,118	1.00	\$ 18,732	
BUF141	FA	8/13/2014	00116676	Information Technol Spclt IV	N	SR22	13	P	X	1.00	\$ 66,054	1.00	\$ 47,400	
BUF141	FA	2/2/2015	00116681	Office Assistant III	N	SR08	03	P	X	1.00	\$ 26,700	1.00	\$ 16,688	
BUF141	FA	5/1/2015	00116815	Retirement Claims Examiner I	N	SR16	13	P	X	1.00	\$ 56,460	1.00	\$ 37,464	
BUF141	FA	9/8/2015	00116869	Retirement Claims Examiner III	N	SR20	73	P	X	1.00	\$ 63,516	1.00	\$ 44,479	Y
BUF141	FA	6/1/2015	00116870	Retirement Claims Examiner I	N	SR16	13	P	X	1.00	\$ 56,460	1.00	\$ 37,464	
BUF141	FA	8/24/2015	00117312	Office Assistant III	N	SR08	03	T	X	1.00	\$ 36,700	1.00	\$ 26,700	
BUF141	FA	12/4/2014	00118189	Retirement Claims Examiner I	N	SR16	13	P	X	1.00	\$ 44,580	1.00	\$ 15,610	
BUF141	FA	6/1/2015	00118189	Retirement Claims Examiner I	N	SR16	13	P	X	1.00	\$ 44,580	1.00	\$ 18,732	
BUF141	FA	4/8/2015	00118190	Retirement Claims Examiner IV	N	SR22	13	P	X	1.00	\$ 55,929	1.00	\$ 60,012	
BUF141	FA	6/1/2015	00118191	Retirement Claims Examiner I	N	SR16	13	P	X	1.00	\$ 50,166	1.00	\$ 40,548	
BUF141	FA	2/23/2015	00118201	Information Technol Spclt II	N	SR18	13	P	X	1.00	\$ 52,200	1.00	\$ 16,900	
BUF141	FA	12/1/2014	00120772	Accountant IV	N	SR22	13	P	X	1.00	\$ 48,228	1.00	\$ 39,500	
BUF143	EU	7/8/2014	00013067	Account Clerk IV	N	SR13	63	P	T	1.00	\$ 33,720	1.00	\$ 7,493	Y
BUF143	EU	12/1/2014	00013067	Account Clerk IV	N	SR13	03	P	T	1.00	\$ 33,720	1.00	\$ 36,468	
BUF143	EU	9/8/2014	00014960	Account Clerk III	N	SR11	03	P	T	1.00	\$ 29,988	1.00	\$ 37,485	
BUF143	EU	8/25/2015	00014960	Account Clerk III	N	SR11	03	P	T	1.00	\$ 29,988	1.00	\$ 8,747	
BUF143	EU	1/26/2015	00015707	Accountant V	N	SR22	13	P	T	1.00	\$ 54,300	1.00	\$ 62,424	

Department of Budget and Finance  
Positions Filled from July 1, 2014 to November 30, 2015

Table 15

<u>Prog ID</u>	<u>Sub-Org</u>	<u>Effective Date</u> <u>Position Filled</u>	<u>Position</u> <u>Number</u>	<u>Position Title</u>	<u>Exempt</u> <u>(Y/N)</u>	<u>SR Level</u>	<u>BU</u> <u>Code</u>	<u>T/P</u>	<u>MOF</u>	<u>Budgeted</u> <u>FTE</u>	<u>Budgeted</u> <u>Salary</u>	<u>Actual FTE</u>	<u>Actual</u> <u>Salary</u>	<u>Occupied</u> <u>by 89 Day</u> <u>Hire (Y/N)</u>
BUF143	EU	12/1/2014	00017470	Account Clerk III	N	SR11	03	P	T	1.00	\$ 31,236	1.00	\$ 32,460	
BUF143	EU	3/12/2015	00113103	EUTF Member Svcs Branch Mgr	Y	SRNA	13	P	T	1.00	\$ 63,199	1.00	\$ 70,404	
BUF143	EU	10/20/2014	00116356	EUTF Customer Svc Rep	Y	SRNA	03	P	T	1.00	\$ 28,872	1.00	\$ 2,406	
BUF143	EU	6/1/2015	00116356	EUTF Customer Svc Rep	Y	SRNA	03	P	T	1.00	\$ 28,872	1.00	\$ 16,842	
BUF143	EU	12/29/2014	00116357	Member Services Clerk	Y	SRNA	03	P	T	1.00	\$ 26,700	1.00	\$ 26,700	
BUF143	EU	5/26/2015	00116735	EUTF Customer Svc Rep	Y	SRNA	03	P	T	1.00	\$ 28,872	1.00	\$ 1,203	
BUF143	EU	6/15/2015	00116735	EUTF Customer Svc Rep	Y	SRNA	03	P	T	1.00	\$ 28,872	1.00	\$ 13,233	
BUF143	EU	3/6/2015	00117590	EUTF Customer Svc Rep	Y	SRNA	03	P	T	1.00	\$ 28,872	1.00	\$ 28,872	
BUF143	EU	10/27/2015	00117591	EUTF Customer Svc Rep	Y	SRNA	63	P	T	1.00	\$ 27,756	1.00	\$ 9,861	Y
BUF143	EU	9/1/2015	00119002	EUTF Systems Specialist	Y	SRNA	13	P	T	1.00	\$ 49,919	1.00	\$ 47,400	
BUF143	EU	1/12/2015	00119018	EUTF Accountant	Y	SRNA	13	P	T	1.00	\$ 51,927	1.00	\$ 43,812	
BUF143	EU	10/29/2014	00120119	Enrollment Technician	Y	SRNA	03	P	T	1.00	\$ 28,872	1.00	\$ 26,466	
BUF143	EU	6/1/2015	00120120	Enrollment Technician	Y	SRNA	03	P	T	1.00	\$ 28,872	1.00	\$ 4,812	
BUF143	EU	11/23/2015	00120120	Enrollment Technician	Y	SRNA	03	P	T	1.00	\$ 28,872	1.00	\$ 1,203	
BUF143	EU	5/18/2015	00120121	Enrollment Technician	Y	SRNA	03	P	T	1.00	\$ 28,872	1.00	\$ 16,244	
BUF143	EU	6/15/2015	00120123	EUTF Applications Specialist	Y	SRNA	13	P	T	1.00	\$ 54,025	1.00	\$ 47,400	
BUF143	EU	4/20/2015	00120193	EUTF Member Svcs Specialist	Y	SRNA	13	P	T	1.00	\$ 39,455	1.00	\$ 43,812	
BUF143	EU	8/19/2014	00120340	Outreach & Training Specialist	Y	SRNA	73	P	T	1.00	\$ 49,819	1.00	\$ 14,586	Y
BUF143	EU	12/18/2014	00120340	Outreach & Training Specialist	Y	SRNA	13	P	T	1.00	\$ 49,819	1.00	\$ 47,012	
BUF143	EU	4/29/2015	00121033	EUTF Program Specialist	Y	SRNA	13	P	T	1.00	\$ 56,199	1.00	\$ 62,424	
BUF143	EU	4/1/2015	00121119	EUTF Applications Supervisor	Y	SRNA	13	P	T	1.00	\$ 60,785	1.00	\$ 57,720	
BUF143	EU	2/26/2015	00121402	EUTF Outreach & Training Supvr	Y	SRNA	13	P	T	1.00	\$ 48,748	1.00	\$ 57,720	
BUF143	EU	9/23/2014	00121420	EUTF Accountant	Y	SRNA	13	P	T	1.00	\$ 46,140	1.00	\$ 43,812	
BUF143	EU	10/1/2014	00121456	EUTF Account Clerk	Y	SRNA	03	P	T	1.00	\$ 32,460	1.00	\$ 32,460	
BUF143	EU	12/29/2014	00121541	EUTF Member Services Clerk	Y	SRNA	03	P	T	1.00	\$ 28,872	1.00	\$ 26,700	
BUF151	HA	3/2/2015	00100404	Deputy Public Defender III	Y	SRNA	73	T	A	1.00	\$ 85,745	1.00	\$ 81,420	
BUF151	HA	12/8/2014	00100534	Deputy Public Defender II	Y	SRNA	73	P	A	1.00	\$ 71,490	1.00	\$ 67,884	
BUF151	HA	8/22/2014	00100546	Deputy Public Defender III	Y	SRNA	73	T	A	1.00	\$ 85,745	1.00	\$ 122,130	
BUF151	HA	9/22/2014	00100566	PD Office Assistant	Y	SRNA	63	P	A	1.00	\$ 26,700	1.00	\$ 32,263	Y
BUF151	HA	10/13/2014	00100566	PD Office Assistant	Y	SRNA	03	P	A	1.00	\$ 26,700	1.00	\$ 26,700	
BUF151	HA	4/1/2015	00100586	Public Defender Clerk	Y	SRNA	03	P	A	0.50	\$ 26,700	0.50	\$ 13,350	
BUF151	HA	1/5/2015	00100588	Deputy Public Defender II	Y	SRNA	73	P	A	1.00	\$ 71,490	1.00	\$ 67,884	
BUF151	HA	8/22/2014	00100884	Deputy Public Defender I	Y	SRNA	73	P	A	1.00	\$ 62,505	1.00	\$ 46,987	
BUF151	HA	6/2/2015	00100884	Deputy Public Defender I	Y	SRNA	73	P	A	1.00	\$ 62,505	1.00	\$ 29,676	
BUF151	HA	9/1/2015	00100947	Deputy Public Defender II	Y	SRNA	73	P	A	1.00	\$ 71,490	1.00	\$ 67,884	
BUF151	HA	12/1/2014	00100949	Public Defender Clerk Typist	Y	SRNA	03	P	A	1.00	\$ 28,872	1.00	\$ 28,872	
BUF151	HA	8/19/2014	00101084	Deputy Public Defender IV	Y	SRNA	73	P	A	1.00	\$ 98,115	1.00	\$ 93,168	
BUF151	HA	6/2/2015	00101085	Deputy Public Defender II	Y	SRNA	73	P	A	1.00	\$ 71,490	1.00	\$ 67,884	
BUF151	HA	9/16/2014	00101086	Deputy Public Defender II	Y	SRNA	73	P	A	1.00	\$ 71,490	1.00	\$ 82,027	
BUF151	HA	6/2/2015	00101090	Deputy Public Defender III	Y	SRNA	73	P	A	1.00	\$ 81,420	1.00	\$ 81,420	
BUF151	HA	12/16/2014	00101092	Public Defender Clerk	Y	SRNA	03	P	A	1.00	\$ 26,700	1.00	\$ 26,700	
BUF151	HA	8/6/2014	00101243	Deputy Public Defender I	Y	SRNA	73	T	A	1.00	\$ 62,505	1.00	\$ 7,419	



Department of Budget and Finance  
Positions Filled from July 1, 2014 to November 30, 2015

Table 15

<u>Prog ID</u>	<u>Sub-Org</u>	<u>Effective Date</u> <u>Position Filled</u>	<u>Position</u> <u>Number</u>	<u>Position Title</u>	<u>Exempt</u> <u>(Y/N)</u>	<u>SR Level</u>	<u>BU</u> <u>Code</u>	<u>T/P</u>	<u>MOF</u>	<u>Budgeted</u> <u>FTE</u>	<u>Budgeted</u> <u>Salary</u>	<u>Actual FTE</u>	<u>Actual</u> <u>Salary</u>	<u>Occupied</u> <u>by 89 Day</u> <u>Hire (Y/N)</u>
-	PN 121638	Accountant V												
-	PN 117632	Secretary I												
-	PN 121637	Personnel Mgmt Spclt V												
-	PN 121748	PUC Economist												
-	PN 121601	PUC Executive Officer												
Actual Salary column mainly use November 20, 2015 pay day to obtain the figures.														

Department of Budget and Finance  
 Unauthorized Positions as of November 30, 2015

Table 16

<u>Prog ID</u>	<u>Sub-Org</u>	<u>Date Established</u>	<u>Legal Authority</u>	<u>Position Number</u>	<u>Position Title</u>	<u>Exempt (Y/N)</u>	<u>SR Level</u>	<u>BU Code</u>	<u>T/P</u>	<u>MOF</u>	<u>FTE</u>	<u>Annual Salary</u>	<u>Filled (Y/N)</u>	<u>Occupied by 89 Day Hire (Y/N)</u>
None.														







Department of Budget and Finance  
Overpayments as of November 30, 2015

Table 19

<u>Name of Employee</u>	<u>Date of Over-payment</u>	<u>Gross Amount Overpaid</u>	<u>Amount Recovered</u>	<u>Balance</u>	<u>Category</u>				<u>Reason for Overpayment</u>	<u>Referred to Attorney General</u>
					<u>Employed Occurred &gt; 2 Years</u>	<u>Employed Occurred &lt; 2 Years</u>	<u>Not Employed Occurred &gt; 2 Years</u>	<u>Not Employed Occurred &lt; 2 Years</u>		
None.										

Department of Budget and Finance  
Active Contracts as of December 1, 2015

Table 20

Prog ID	MOF	Amount	Frequency (M/A/O)	Max Value	Outstanding Balance	Term of Contract			Entity	Contract Description	Explanation of How Contract is Monitored	POS Y/N	Category E/L/P/C/G/S
						Date Executed	From	To					
BUF101/BA	A	\$ 53,750	O	\$ 53,850.00	\$ 100.00	7/1/2008	7/1/2008	Until services completed	Maximus Consulting Services	Statewide Cost Allocation Plan FY10.	On-going communications with the consultant in providing deliverables pursuant to the provisions of the contract.	N	S
BUF101/BA	A	\$ 38,000	O	\$ 53,850.00	\$ 15,850.00	8/19/2009	8/19/2009	Until services completed	Maximus Consulting Services	Statewide Cost Allocation Plan FY11. Supplemental No. 2	On-going communications with the consultant in providing deliverables pursuant to the provisions of the contract.	N	S
BUF101/BA	A	\$ 38,000	O	\$ 53,850.00	\$ 100.00	3/30/2011	3/30/2011	Until services completed	Maximus Consulting Services	Statewide Cost Allocation Plan FY12.	On-going communications with the consultant in providing deliverables pursuant to the provisions of the contract.	N	S
BUF101/BA	A	\$ 45,840	O	\$ 50,120.00	\$ 4,280.00	6/24/2013	6/24/2013	Until services completed	Maximus Consulting Services	Statewide Cost Allocation Plan FY14.	On-going communications with the consultant in providing deliverables pursuant to the provisions of the contract.	N	S
BUF101/BA	A	\$ 45,840	O	\$ 50,120.00	\$ 4,280.00	6/24/2013	6/24/2013	Until services completed	Maximus Consulting Services	Statewide Cost Allocation Plan FY14	On-going communications with the consultant in providing deliverables pursuant to the provisions of the contract.	N	S
BUF101/BA	A	\$ -	O	\$ 50,120.00	\$ 50,120.00	6/24/2013	6/24/2013	Until services completed	Maximus Consulting Services	Statewide Cost Allocation Plan FY15. Supplemental No. 1	On-going communications with the consultant in providing deliverables pursuant to the provisions of the contract.	N	S
BUF115/CA	A	\$ 9,000	O	\$ 19,000.00	\$ 10,000.00	12/7/2012	12/7/2013	12/31/2015	BLX Group LLC	Bond Arbitrage Rebate Calculations Opt to extend supplemental contract No. 1	On-going communications with the consultant in providing deliverables pursuant to the provisions of the contract.	N	S
BUF115/CA	A	\$ 5,000	O	\$ 25,000.00	\$ 20,000.00	11/3/2008	11/3/2008	12/31/2010	BLX Group LLC	Bond Arbitrage Rebate Calculations Opt to extend supplemental contract No. 1	On-going communications with the consultant in providing deliverables pursuant to the provisions of the contract.	N	S
BUF115/CA	A	\$ -	O	\$ 15,000.00	\$ 15,000.00	10/27/2010	10/27/2010	12/31/2011	BLX Group LLC	Bond Arbitrage Rebate Calculations Opt to extend supplemental contract No. 2	On-going communications with the consultant in providing deliverables pursuant to the provisions of the contract.	N	S
BUF115/CA	A	\$ -	O	\$ 15,000.00	\$ 15,000.00	11/9/2011	11/9/2011	12/31/2012	BLX Group LLC	Bond Arbitrage Rebate Calculations Opt to extend supplemental contract No. 3	On-going communications with the consultant in providing deliverables pursuant to the provisions of the contract.	N	S

Department of Budget and Finance  
Active Contracts as of December 1, 2015

Table 20

<u>Prog ID</u>	<u>MOF</u>	<u>Amount</u>	<u>Frequency (M/A/O)</u>	<u>Max Value</u>	<u>Outstanding Balance</u>	<u>Date Executed</u>	<u>From</u>	<u>To</u>	<u>Entity</u>	<u>Contract Description</u>	<u>Explanation of How Contract is Monitored</u>	<u>POS Y/N</u>	<u>Category E/L/P/C/G/S</u>
BUF115/CA	A	\$ 14,000	O	\$ 34,000.00	\$ 20,000.00	12/7/2012	12/7/2012	12/31/2014	BLX Group LLC	Bond Arbitrage Rebate Calculations	On-going communications with the consultant in providing deliverables pursuant to the provisions of the contract.	N	S
BUF115/CA	A	\$ 29,998.95	O	\$ 32,000.00	\$ 2,001.05	8/2/2011	8/2/2011	6/30/2012	Kutak Rock LLP	Public Finance General Advice Counsel FY12	On-going communications with the consultant in providing deliverables pursuant to the provisions of the contract.	N	S
BUF115/CA	A	\$ 66,410	O	\$ 93,000.00	\$ 26,590.50	7/1/2012	7/1/2012	6/30/2014	Kutak Rock LLP	Public Finance General Advice Counsel FY13	On-going communications with the consultant in providing deliverables pursuant to the provisions of the contract.	N	S
BUF115/CA	A	\$ -	O	\$ 5,400.00	\$ 5,400.00	6/30/2014	6/30/2014	6/30/2015	Public Financial Management, Inc.	Independent Registered Municipal Advisor (IRMA)	On-going communications with the consultant in providing deliverables pursuant to the provisions of the contract.	N	S
BUF115/CA	A	\$ 16,812	A	\$ 70,000.00	\$ 53,188.50	8/13/2014	8/13/2014	6/30/2015	Kutak Rock LLP	Public Finance General Advice Counsel FY15	On-going communications with the consultant in providing deliverables pursuant to the provisions of the contract.	N	S
BUF115/CA	T	\$ 20,000	A	\$ 90,000.00	\$ 70,000.00	8/1/2013	8/1/2013	12/31/2014	Wagers & Associates, Inc.	Integrated Unclaimed Property Management System	On-going communications with the consultant in providing deliverables pursuant to the provisions of the contract.	N	S
BUF143/EU	T	\$ 1,085	M			12/27/2011	12/27/2011	12/27/2016	Xerox	Copier Leases	EUTF staff reviews the monthly invoices from Xerox	N	E
BUF143/EU	T	\$ 801	M			7/5/2012	7/5/2012	7/5/2017	Pitney Bowes	Mailing Machine, Folding/Stuffer Machine	EUTF staff reviews the invoices from Pitney Bowes	N	E
BUF143/EU	T	\$ 117,554	O			9/1/2015	9/1/2015	8/31/2017	Aon Risk Services	Insurance Broker for Fiduciary Liability and Cyber Liability Insurance	On-going communications with the consultant in providing deliverables pursuant to the provisions of the contract	N	G/S
BUF143/EU	T		O	\$ 241,000.00	\$ 125,000.00	11/15/2013	11/15/2013	6/30/2016	Gabriel Roder Smith & Company	Actuarial Valuation Services	On-going communications with the consultant in providing deliverables pursuant to the provisions of the contract	N	S
BUF143/EU	T		O	\$ 500,000.00	\$ 375,000.00	7/1/2015	7/1/2015	6/30/2017	Segal Company	Benefits Consultant	On-going communications with the consultant in providing deliverables pursuant to the provisions of the contract	N	S

Department of Budget and Finance  
Active Contracts as of December 1, 2015

Table 20

<u>Prog ID</u>	<u>MOF</u>	<u>Amount</u>	<u>Frequency (M/A/Q)</u>	<u>Max Value</u>	<u>Outstanding Balance</u>	<u>Date Executed</u>	<u>From</u>	<u>To</u>	<u>Entity</u>	<u>Contract Description</u>	<u>Explanation of How Contract is Monitored</u>	<u>POS Y/N</u>	<u>Category E/L/P/C/G/S</u>
BUF143/EU	T			\$ 1,104,500.00	\$ 694,500.00	3/31/2008	3/31/2008	Upon completion of work	Vitech Systems Group	Computer System Programming for Benefits Administration System	On-going communications with the consultant in providing deliverables pursuant to the provisions of the contract	N	S

Department of Budget and Finance  
FY16 Appropriation Status

Table 21

Act/ Year	ProgID	Seq No.	Description	Comments	MOF	FY16 Appropriation			Amount used as of November 30			Comments
						Temp Pos.	Perm. Pos.	Amount	Temp Pos.	Perm. Pos.	Amount	
ACT119/15	BUF101	4-001	EXECUTIVE BUDGET REQUEST: ADD FUNDS FOR COLLECTIVE BARGAINING COSTS.	LEGISLATURE CONCURS.	A			374,210			155,921	
ACT119/15	BUF101	4-001	EXECUTIVE BUDGET REQUEST: ADD FUNDS FOR COLLECTIVE BARGAINING COSTS.	LEGISLATURE CONCURS.	U			2,526			1,053	
ACT119/15	BUF101	6-001	EXECUTIVE BUDGET REQUEST: REDUCE FUNDS FOR NON-RECURRING COSTS (BUF101/AA, BA, BB).	LEGISLATURE CONCURS.	A			(5,724,789)			(5,724,789)	
ACT119/15	BUF101	6-001	EXECUTIVE BUDGET REQUEST: REDUCE FUNDS FOR NON-RECURRING COSTS (BUF101/AA, BA, BB).	LEGISLATURE CONCURS.	B			(2,092,693)			(2,092,693)	
ACT119/15	BUF101	6-001	EXECUTIVE BUDGET REQUEST: REDUCE FUNDS FOR NON-RECURRING COSTS (BUF101/AA, BA, BB).	LEGISLATURE CONCURS.	N			(61,539)			(61,539)	
ACT119/15	BUF101	6-001	EXECUTIVE BUDGET REQUEST: REDUCE FUNDS FOR NON-RECURRING COSTS (BUF101/AA, BA, BB).	LEGISLATURE CONCURS.	U			(7,372)			(7,372)	
ACT119/15	BUF101	6-001	EXECUTIVE BUDGET REQUEST: REDUCE FUNDS FOR NON-RECURRING COSTS (BUF101/AA, BA, BB).	LEGISLATURE CONCURS.	W			(110,567)			(110,567)	
ACT119/15	BUF101	100-001	EXECUTIVE BUDGET REQUEST: ADD FUNDS FOR FRINGE BENEFIT RATE INCREASE (BUF101/AA).	LEGISLATURE CONCURS.	U			5,063			2,110	
ACT119/15	BUF102	100-001	EXECUTIVE BUDGET REQUEST: ADD FUNDS FOR COLLECTIVE BARGAINING INCREASES FOR UNIVERSITY OF HAWAII PROFESSIONAL ASSEMBLY MEMBERS (BUF101/CB).	LEGISLATURE CONCURS.	A			18,790,387			7,829,328	
ACT119/15	BUF102	100-002	EXECUTIVE BUDGET REQUEST: ADD FUNDS FOR COLLECTIVE BARGAINING INCREASES FOR UNIVERSITY OF HAWAII PROFESSIONAL ASSEMBLY MEMBERS (BUF101/CB).	LEGISLATURE CONCURS.	B			1,547,739			644,891	
ACT119/15	BUF102	100-003	EXECUTIVE BUDGET REQUEST: ADD FUNDS FOR COLLECTIVE BARGAINING INCREASES FOR UNIVERSITY OF HAWAII PROFESSIONAL ASSEMBLY MEMBERS (BUF101/CB).	LEGISLATURE CONCURS.	N			478,486			199,369	
ACT119/15	BUF102	100-004	EXECUTIVE BUDGET REQUEST: ADD FUNDS FOR COLLECTIVE BARGAINING INCREASES FOR UNIVERSITY OF HAWAII PROFESSIONAL ASSEMBLY MEMBERS (BUF101/CB).	LEGISLATURE CONCURS.	W			102,919			42,883	
ACT119/15	BUF102	100-005	EXECUTIVE BUDGET REQUEST: ADD FUNDS FOR COLLECTIVE BARGAINING INCREASES FOR UNIVERSITY OF HAWAII PROFESSIONAL ASSEMBLY MEMBERS (BUF101/CB).	LEGISLATURE CONCURS.	P			5,675			2,365	
ACT119/15	BUF115	4-001	EXECUTIVE BUDGET REQUEST: ADD FUNDS FOR COLLECTIVE BARGAINING COSTS.	LEGISLATURE CONCURS.	A			73,163			30,485	

Department of Budget and Finance  
FY16 Appropriation Status

Table 21

Act/ Year	ProgID	Seq No.	Description	Comments	MOF	FY16 Appropriation			Amount used as of November 30			Comments
						Temp Pos.	Perm. Pos.	Amount	Temp Pos.	Perm. Pos.	Amount	
ACT119/15	BUF115	4-001	EXECUTIVE BUDGET REQUEST: ADD FUNDS FOR COLLECTIVE BARGAINING COSTS.	LEGISLATURE CONCURS.	T			44,487			18,536	
ACT119/15	BUF115	4-001	EXECUTIVE BUDGET REQUEST: ADD FUNDS FOR COLLECTIVE BARGAINING COSTS.	LEGISLATURE CONCURS.	U			9,068			3,778	
ACT119/15	BUF115	100-01	EXECUTIVE BUDGET REQUEST: ADD FUNDS FOR FRINGE BENEFIT RATE INCREASE (BUF115/CA).	LEGISLATURE CONCURS.	T			84,967			35,403	
ACT119/15	BUF115	100-01	EXECUTIVE BUDGET REQUEST: ADD FUNDS FOR FRINGE BENEFIT RATE INCREASE (BUF115/CA).	LEGISLATURE CONCURS.	U			13,000			5,417	
ACT119/15	BUF141	4-001	EXECUTIVE BUDGET REQUEST: ADD FUNDS FOR COLLECTIVE BARGAINING COSTS.	LEGISLATURE CONCURS.	X			729,005			303,752	
ACT119/15	BUF141	100-001	EXECUTIVE BUDGET REQUEST: ADD FUNDS FOR FRINGE BENEFIT RATE INCREASE AND SALARY ADJUSTMENT FOR REIMBURSEMENT OF THE DEPARTMENT OF THE ATTORNEY GENERAL.	LEGISLATURE CONCURS. LEGAL FEE REIMBURSEMENT ADJUSTMENT.	X			59,000			24,583	
ACT119/15	BUF141	100-001	EXECUTIVE BUDGET REQUEST: ADD FUNDS FOR FRINGE BENEFIT RATE INCREASE.	LEGISLATURE CONCURS.	X			868,704			361,960	
ACT119/15	BUF141	210-001	GOVERNOR'S MESSAGE (2/10/15): ADD (3) POSITIONS AND FUNDS FOR WORKLOAD INCREASE SUPPORT.	LEGISLATURE CONCURS. (3) RETIREMENT CLAIMS EXAMINER III SR20 (#96002O, #96003O, #96004O). 6-MONTH DELAY IN HIRE.	X	3.00		101,642	3.00		42,351	Position #121778 (pseudo #96002O), #121779 (pseudo #96003O), 121780 (pseudo #96004O)
ACT119/15	BUF143	4-001	EXECUTIVE BUDGET REQUEST: ADD FUNDS FOR COLLECTIVE BARGAINING COSTS.	LEGISLATURE CONCURS.	T			128,405			53,502	
ACT119/15	BUF143	6-001	EXECUTIVE BUDGET PREP: REDUCE FUNDS FOR NON-RECURRING COSTS.	LEGISLATURE CONCURS.	T			(80,200)			(80,200)	
ACT119/15	BUF143	100-001	EXECUTIVE BUDGET REQUEST: ADD FUNDS FOR FRINGE BENEFIT RATE INCREASE.	LEGISLATURE CONCURS.	T			334,303			139,293	
ACT119/15	BUF143	101-001	EXECUTIVE BUDGET REQUEST: ADD FUNDS FOR FRINGE BENEFIT RATE INCREASE FOR REIMBURSEMENT OF THE DEPARTMENT OF THE ATTORNEY GENERAL.	LEGISLATURE CONCURS.	T			33,000			13,750	
ACT119/15	BUF143	210-002	GOVERNOR'S MESSAGE (2/10/15): ADD (1) POSITIONS AND FUNDS FOR INVESTMENT SUPPORT.	LEGISLATURE CONCURS. ADD (1) INVESTMENT OFFICER (#96005O).	T	1.00		78,580	1.00		32,742	Position #121858 (Psuedo #96005O)
ACT119/15	BUF151	4-001	EXECUTIVE BUDGET PREP: ADD FUNDS FOR COLLECTIVE BARGAINING COSTS.	LEGISLATURE CONCURS.	A			859,653			358,189	
ACT119/15	BUF151	210-001	GOVERNOR'S MESSAGE (2/10/15): ADD FUNDS FOR LEASE RENT FOR THE MAUI OFFICE.	LEGISLATURE CONCURS. INCREASE IN LEASE RENTAL.	A			18,800			7,833	

Department of Budget and Finance  
FY16 Appropriation Status

Table 21

Act/ Year	ProgID	Seq No.	Description	Comments	MOF	FY16 Appropriation			Amount used as of November 30			Comments
						Temp Pos.	Perm. Pos.	Amount	Temp Pos.	Perm. Pos.	Amount	
ACT119/15	BUF151	2000-001	LEGISLATIVE ADJUSTMENT: ADD (1) POSITION TO THE OFFICE OF THE PUBLIC DEFENDER FOR PROGRAM SUPPORT.	DETAIL OF LEGISLATIVE ADJUSTMENT: (1) INFORMATION TECHNOLOGY SPECIALIST IV SR22. SEE AGS130 SEQ. NO. 2000-001 AND BUF151 SEQ. NO. 2001-001.	A	1.00			1.00			Position #121845 (Pseudo #960060)
ACT119/15	BUF151	2001-001	LEGISLATIVE ADJUSTMENT: TRANSFER-IN FUNDS FROM OFFICE OF INFORMATION MANAGEMENT AND TECHNOLOGY (AGS130/AG) TO THE OFFICE OF THE UBLIC DEFENDER (BUF151/HA) FOR PROGRAM SUPPORT.	DETAIL OF LEGISLATIVE ADJUSTMENT: PERSONAL SERVICES (FY16:22,788). SEE AGS130 SWQ. NO. 2001-001 AND BUF151 SEQ. NO. 2000-001.	A			22,788			9,495	
ACT119/15	BUF721	90-001	EXECUTIVE REQUEST: ADD FUNDS FOR DEBT SERVICE PAYMENTS FOR THE STATE OTHER THAN THE DEPARTMENT OF EDUCATION AND UNIVERSITY OF HAWAII.	LEGISLATURE CONCURS. PRINCIPAL PAYMNETS: \$84,804. INTEREST PAYMENTS: \$2,043,284.	A			2,128,088			886,703	
ACT119/15	BUF725	90-001	EXECUTIVE REQUEST: ADD FUNDS FOR DEBT SERVICE PAYMENTS FOR THE DEPARTMENT OF EDUCATION.	LEGISLATURE CONCURS. PRINCIPAL PAYMNETS: \$73,131. INTEREST PAYMENTS: \$1,762,020.	A			1,835,151			764,646	
ACT119/15	BUF728	90-001	EXECUTIVE REQUEST: ADD FUNDS FOR DEBT SERVICE PAYMENTS FOR THE DEPARTMENT OF EDUCATION.	LEGISLATURE CONCURS. PRINCIPAL PAYMNETS: \$27,066. INTEREST PAYMENTS: \$652,121.	A			679,187			282,994	
ACT119/15	BUF741	90-001	EXECUTIVE REQUEST: ADD FUNDS FOR PENSION ACCUMULATION AND SOCIAL SECURITY/MEDICARE PAYMENTS FOR THE STATE OTHER THAN THE DEPARTMENT OF EDUCATION AND UNIVERSITY OF HAWAII.	LEGISLATURE CONCURS. PENSION ACCUMULATION: \$26,127,422. SOCIAL SECURITY/MEDICARE: \$8,070,837.	A			34,198,259			14,292,275	
ACT119/15	BUF745	90-001	EXECUTIVE REQUEST: ADD FUNDS FOR PENSION ACCUMULATION AND SOCIAL SECURITY/MEDICARE PAYMENTS FOR THE DEPARTMENT OF EDUCATION.	LEGISLATURE CONCURS. PENSION ACCUMULATION: \$19,328,697. SOCIAL SECURITY/MEDICARE: \$7,508,051.	A			26,836,748			11,181,978	
ACT119/15	BUF748	90-001	EXECUTIVE REQUEST: ADD FUNDS FOR PENSION ACCUMULATION AND SOCIAL SECURITY/MEDICARE PAYMENTS FOR THE UNIVERSITY OF HAWAII.	LEGISLATURE CONCURS. PENSION ACCUMULATION: \$3,800,440. SOCIAL SECURITY/MEDICARE: \$625,798.	A			4,426,238			1,844,265	
ACT119/15	BUF761	90-001	EXECUTIVE REQUEST: ADD FUNDS FOR ACTIVE EMPLOYEE AND RETIREE HEALTH PREMIUM PAYMENTS FOR THE STATE OTHER THAN THE DEPARTMENT OF EDUCATION AND UNIVERSITY OF HAWAII.	LEGISLATURE CONCURS. ACTIVE PREMIUM PAYMENTS: \$10,746,58. RETIREE PREMIUM PAYMENTS: \$7,273,916.	A			64,235,464			26,764,777	
ACT119/15	BUF765	90-001	EXECUTIVE REQUEST: ADD FUNDS FOR ACTIVE EMPLOYEE AND RETIREE HEALTH PREMIUM PAYMENTS FOR THE DEPARTMENT OF EDUCATION.	LEGISLATURE CONCURS. ACTIVE PREMIUM PAYMENTS: \$9,365,429. RETIREE PREMIUM PAYMENTS: \$7,883,099.	A			17,248,528			7,186,887	



Department of Budget and Finance  
FY16 Appropriation Status

Table 21

Act/ Year	ProgID	Seq No.	Description	Comments	MOF	FY16 Appropriation			Amount used as of November 30			Comments
						Temp Pos.	Perm. Pos.	Amount	Temp Pos.	Perm. Pos.	Amount	
ACT119/15	BUF768	90-001	EXECUTIVE REQUEST: ADD FUNDS FOR ACTIVE EMPLOYEE AND RETIREE HEALTH PREMIUM PAYMENTS FOR THE UNIVERSITY OF HAWAII.	LEGISLATURE CONCURS. ACTIVE PREMIUM PAYMENTS: \$3,275,772. RETIREE PREMIUM PAYMENTS: \$2,663,520.	A			5,939,292			2,474,705	
ACT119/15	BUF901	4-001	EXECUTIVE BUDGET PREP: ADD FUNDS FOR COLLECTIVE BARGAINING COSTS.	LEGISLATURE CONCURS.	B			340,264			141,776	
ACT119/15	BUF901	6-001	EXECUTIVE BUDGET PREP: REDUCE FUNDS FOR NON-RECURRING COSTS.	LEGISLATURE CONCURS.	B			(4,060,032)			(4,060,032)	
ACT119/15	BUF901	30-001	EXECUTIVE BUDGET PREP: TRANSFER-OUT (62) POSITIONS AND FUNDS FOR THE PUBLIC UTILITIES COMMISSION TRANSFER TO THE DEPARTMENT OF COMMERCE AND CONSUMER AFFAIRS.	LEGISLATURE CONCURS.	B	(62.00)		(12,452,628)			(12,452,628)	Per Act 108, SLH 2014, Public Utilities commission Special Fund (PUC) moved to DCCA effective July 1, 2015 (FY16).

Department of Budget and Finance  
Capital Improvements Program (CIP) Requests

Table 22

<u>Prog ID</u>	<u>Prog ID</u> <u>Priority</u>	<u>Dept- Wide</u> <u>Priority</u>	<u>Senate</u> <u>District</u>	<u>Rep.</u> <u>District</u>	<u>Project Title</u>	<u>MOF</u>	<u>FY17 \$\$\$</u>
BUF 101	1	1	00	000	State Educational Facilities Improvement Fund (SEFI), Statewide	C	\$ 125,396,000

Department of Budget and Finance  
CIP Lapses

Table 23

<u>Prog ID</u>	<u>Act/Year of Appropriation</u>	<u>Project Title</u>	<u>MOF</u>	<u>Lapse Amount</u> <u>\$\$\$\$</u>	<u>Reason</u>
BUF101	Act122/14	State Educational Facilities Improvement Fund (SEFI), Statewide	C	\$ 125,396,000.00	DOE needs additional time to complete its projects, therefore request to lapse FY15 SEFI appropriations re-authorize \$125,396,000 in FY17 is requested. See Table 22 for reference.

Department of Budget and Finance  
Division Resources

Table 24

<u>Division</u>	<u>Associated Program IDs</u>					
Departmental Administration and Budget Division			BUF 101/AA			
Budget Planning & Management Division			BUF101/BA			
Budget Planning & Management Division			BUF101/BB			
Collective Bargaining Statewide			BUF102/CB			
Collective Bargaining Statewide			BUF102/CC			
Financial Administration Division			BUF115/CA			
Employees' Retirement System			BUF141/FA			
Employer Union Trust Fund			BUF143/EU			
Office of the Public Defender			BUF151/HA			
Debt Service Payments (State)			BUF721/ST			
Debt Service Payments (DOE)			BUF725/LE			
Debt Service Payments (UH)			BUF728/HE			
Retirement Benefits Payments (State)			BUF741/ST			
Retirement Benefits Payments (DOE)			BUF745/LE			
Retirement Benefits Payments (UH)			BUF748/HE			
Health Premium Payments (State)			BUF761/ST			
Health Premium Payments (DOE)			BUF765/LE			
Health Premium Payments (UH)			BUF765/HE			

Program ID Sub-Organizations

<u>Program ID</u>	<u>Sub-Org Code</u>	<u>Name</u>	<u>Objective</u>
BUF101	AA / BA	Departmental Administration and Budget Division	To facilitate and improve the executive resource allocation process by thorough planning, programming, and budgeting and analyses, through sound recommendations on all phases of program scope and funding, and by efforts to simplify and more directly tie program performance with resource allocation decisions.
BUF102	CB	Collective Bargaining Statewide	To facilitate the allotment and disbursement of statewide collective bargaining appropriations.
BUF102	CC	Collective Bargaining Statewide	To facilitate the allotment and disbursement of statewide collective bargaining appropriations.
BUF115	CA	Financial Administration Division	To maximize the value, investment, and use of State funds through proactive planning, the development of prudent statewide planning policies, the timely scheduling of State bond financing and the establishment of appropriate cash management controls and procedures.
BUF141	FA	Employees' Retirement System	To administer the retirement and survivor benefits program for State and county members and to anticipate and exceed their needs; manage the retirement system's resources in a responsible and cost-effective manner; prudently manage investments in accordance with fiduciary standards; and to provide an open and participative work environment for staff.

Program ID Sub-Organizations

<u>Program ID</u>	<u>Sub-Org Code</u>	<u>Name</u>	<u>Objective</u>
BUF143	EU	Employer Union Trust Fund	To administer health and life insurance benefits for eligible active and retired State and county public employees and their dependents by: 1) providing quality service levels to employee-beneficiaries and their dependent-beneficiaries, and 2) complying with federal and state legal requirements.
BUF151	HA	Office of the Public Defender	To safeguard the rights of individuals by providing statutorily entitled and effective legal representation in criminal, mental commitment, and family cases in compliance with Chapter 802, Hawaii Revised Statutes, and the Hawaii Rules of Professional Conduct; prudently manage Deputy Public Defender and support service resources and caseloads; and maintain a quality training program for Deputy Public Defender staff.
BUF721	ST	Debt Service Payments - State	To make and record debt service payments for all Departments, except the Department of Education (DOE) and the University of Hawaii (UH), in a timely and accurate manner.
BUF725	LE	Debt Service Payments - DOE	To make and record debt service payments for the Department of Education (DOE) in a timely and accurate manner.
BUF728	HE	Debt Service Payments - UH	To make and record debt service payments for the University of Hawaii (UH) in a timely and accurate manner.

Program ID Sub-Organizations

<u>Program ID</u>	<u>Sub-Org Code</u>	<u>Name</u>	<u>Objective</u>
BUF741	ST	Retirement Benefits Payments - State	To provide employer contributions for Pension Accumulation and Social Security/Medicare to the Employees' Retirement System (ERS) for all Departments, except the Department of Education (DOE) and the University of Hawaii (UH) in an effective and timely manner.
BUF745	LE	Retirement Benefits Payments - DOE	To provide employer contributions for Pension Accumulation and Social Security/Medicare to the Employees' Retirement System (ERS) for the Department of Education (DOE) in an effective and timely manner.
BUF748	HE	Retirement Benefits Payments - UH	To provide employer contributions for Pension Accumulation and Social Security/Medicare to the Employees' Retirement System (ERS) for the University of Hawaii (UH) in an effective and timely manner.
BUF761	ST	Health Premium Payments - State	To provide employer contributions for health premiums to the Hawaii Employer-Union Health Benefits Trust Fund (EUTF) for all Departments, except the Department of Education (DOE) and the University of Hawaii (UH) in an effective and timely manner.
BUF765	LE	Health Premium Payments - DOE	To provide employer contributions for health premiums to the Hawaii Employer-Union Health Benefits Trust Fund (EUTF) for the Department of Education (DOE) in an effective and timely manner.

Program ID Sub-Organizations

<u>Program ID</u>	<u>Sub-Org Code</u>	<u>Name</u>	<u>Objective</u>
BUF768	HE	Health Premium Payments - UH	To provide employer contributions for health premiums to the Hawaii Employer-Union Health Benefits Trust Funds (EUTF) for the University of Hawaii (UH) in an effective and timely manner.



Department of Budget and Finance  
Organization Changes

Table 26

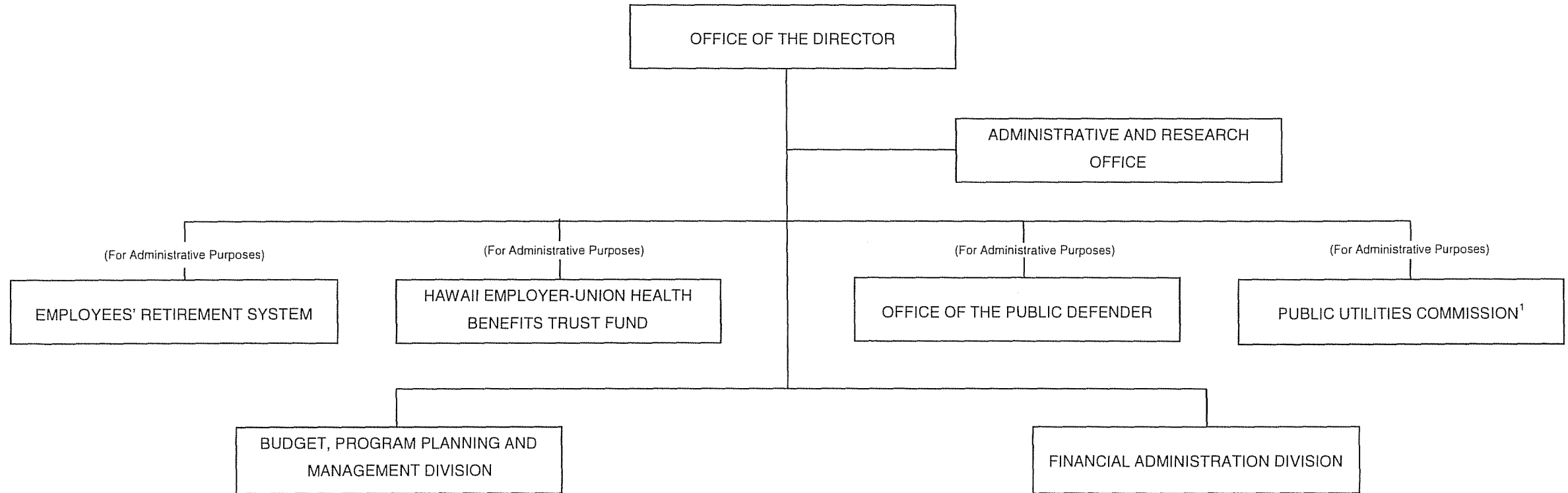
<u>Year of Change</u> <u>FY16/FY17</u>	<u>Page</u> <u>Number</u>	<u>Description of Change</u>
FY16	1	Public Utilities Commission transferred to DCCA effective July 1, 2015 pursuant to Act 108, SLH 2014
FY16	5	FAD's Bonds Administration Branch  1. Established PN 121828, General Professional V (Public Debt Compliance), Pseudo No. 940040, under the direct supervision of PN 10352, Public Debt Manager. Classification request pending with DHRD.
FY16	13	EUTF's Investment Office  1. Established the Investment Office under the direct supervision of PN 112874, Health Benefits Trust Fund Administrator.  2. Established PN 121858, Investment Officer, Pseudo No. 960050, authorized by Act 119, SLH 2015, in the Investment Office
FY16	13	EUTF's Benefits Office  1. Established Benefits Office under the direct supervision of PN 112874, Health Benefits Trust Fund Administrator.  2. Placed existing PN 120317, Senior Health Benefits Analyst and PN 121033, EUTF Program Specialist in the Benefits Office.
FY16	14	EUTF's Procurement Staff  1. Established the Procurement Staff office under the direct supervision of PN 113038, Health Benefit Trust Fund Assistant Administrator.  2. Placed existing PN 120769, EUTF Procurement Specialist, in the Procurement Staff office.
FY16	18	OPD's Information Technology Services  1. Established Information Technology Services under the direct supervision of PN 100545, Public Defender.  2. Placed PN 121845, Information Technology Specialist IV, established effective 10/20/15, in the Information Technology Services.
FY16	18	OPD's Fiscal Services

Department of Budget and Finance  
 Organization Changes

Table 26

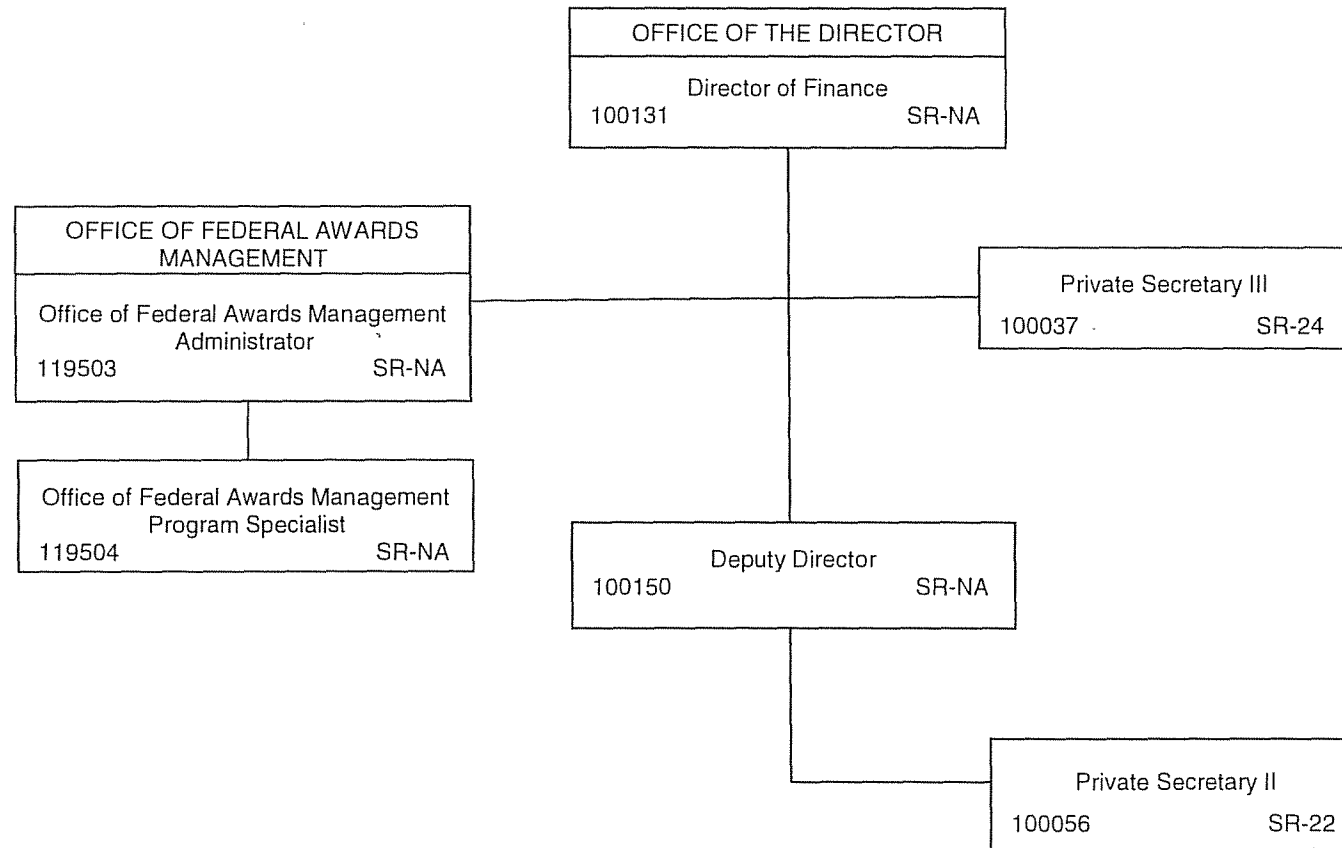
<u>Year of Change</u> FY16/FY17	<u>Page</u> <u>Number</u>	<u>Description of Change</u>
		1. Established Fiscal Services under the direct supervision of PN 100545, Public Defender.
		2. Placed existing PN 100590, Fiscal Clerk in the Fiscal Services.

STATE OF HAWAII  
DEPARTMENT OF BUDGET AND FINANCE  
ORGANIZATION CHART

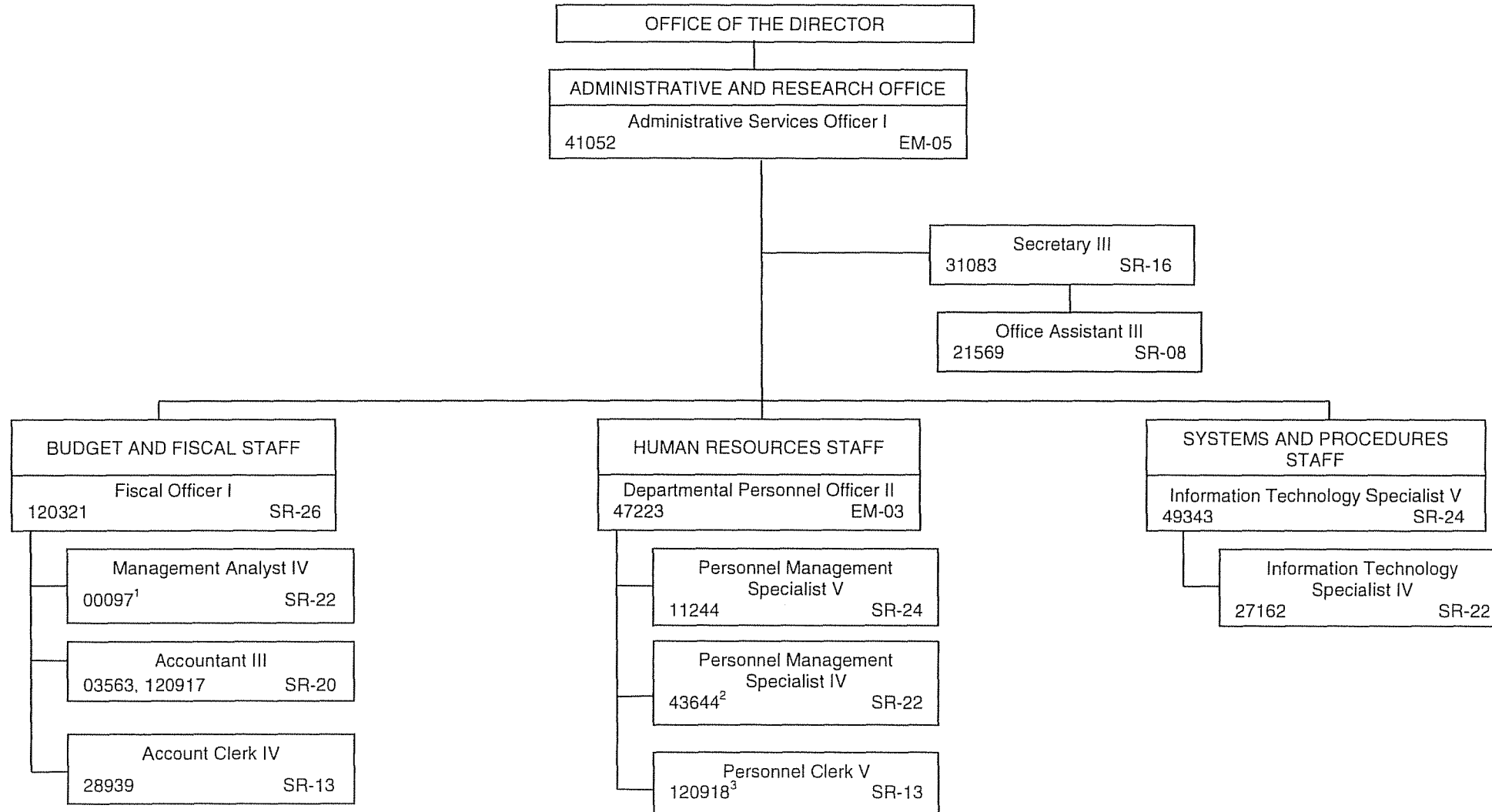


1. Pursuant to Act 108, SLH 2014, PUC to be transferred to DCCA effective July 1, 2015.

STATE OF HAWAII  
 DEPARTMENT OF BUDGET AND FINANCE  
 OFFICE OF THE DIRECTOR  
 POSITION ORGANIZATION CHART

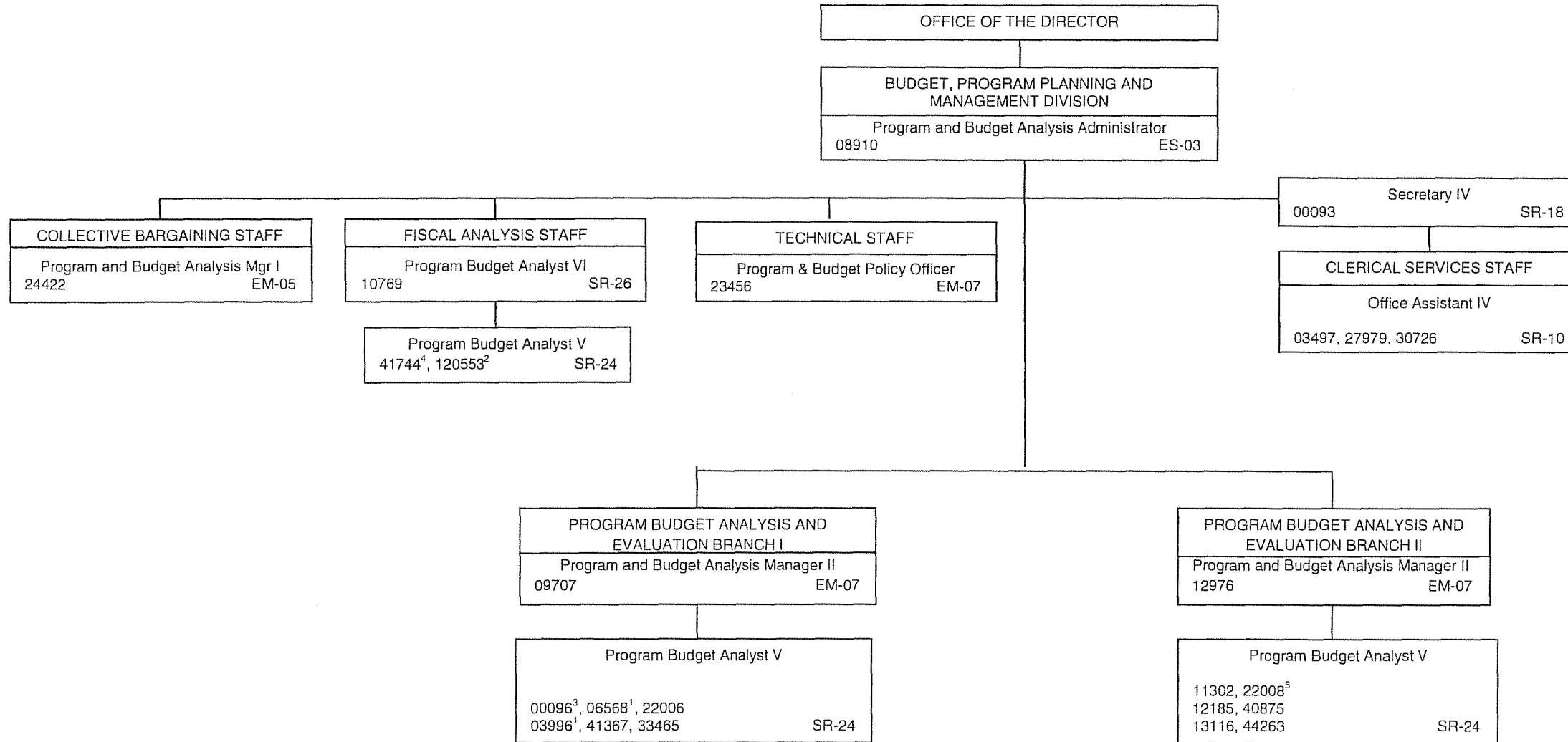


POSITION ORGANIZATION CHART



1. PN 97, Management Analyst IV, SR-22, temporarily reallocated to Management Analyst I, SR-16, effective 02/25/14.
2. PN 43644, Personnel Management Specialist IV, SR-22, was temporarily reallocated to Personnel Management Specialist II, SR-18, effective 04/01/15.
3. PN 120918, Personnel Clerk V, SR-13, temporarily reallocated to Personnel Clerk IV, SR-11, effective 05/13/15.

POSITION ORGANIZATION CHART



1. PNs 06568 and 03996, Program Budget Analyst V, SR-24, temporarily assigned to Fiscal Analysis Staff.
2. PN 120553, detailed to Branch I, effective April 18, 2012 and reallocated from PBA V, SR-24, to PBA IV, SR-22, effective 09/01/14.
3. PN 96, Program Budget Analyst V, SR-24, temporarily reallocated to PBA III, SR-20, effective 03/01/15.
4. PN 41744, Program Budget Analyst V, SR-24, temporarily reallocated to PBA III, SR-20, effective 06/01/15.
5. PN 22008, Program Budget Analyst V, SR-24, temporarily reallocated to PBA IV, SR-22, effective 02/01/15.

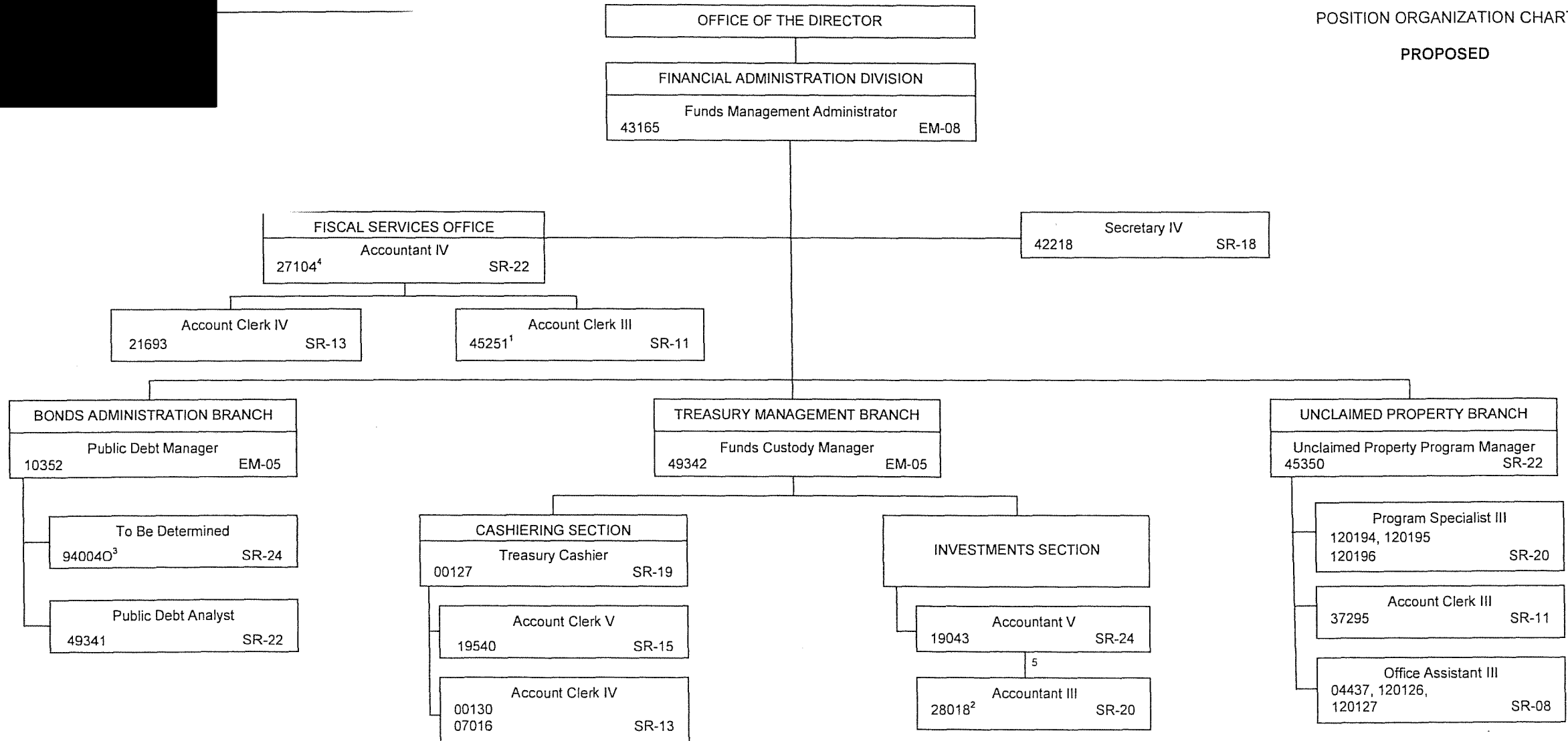
ACKNOWLEDGED:

SEP 10 2015

STATE OF HAWAII  
DEPARTMENT OF BUDGET AND FINANCE  
FINANCIAL ADMINISTRATION DIVISION

POSITION ORGANIZATION CHART

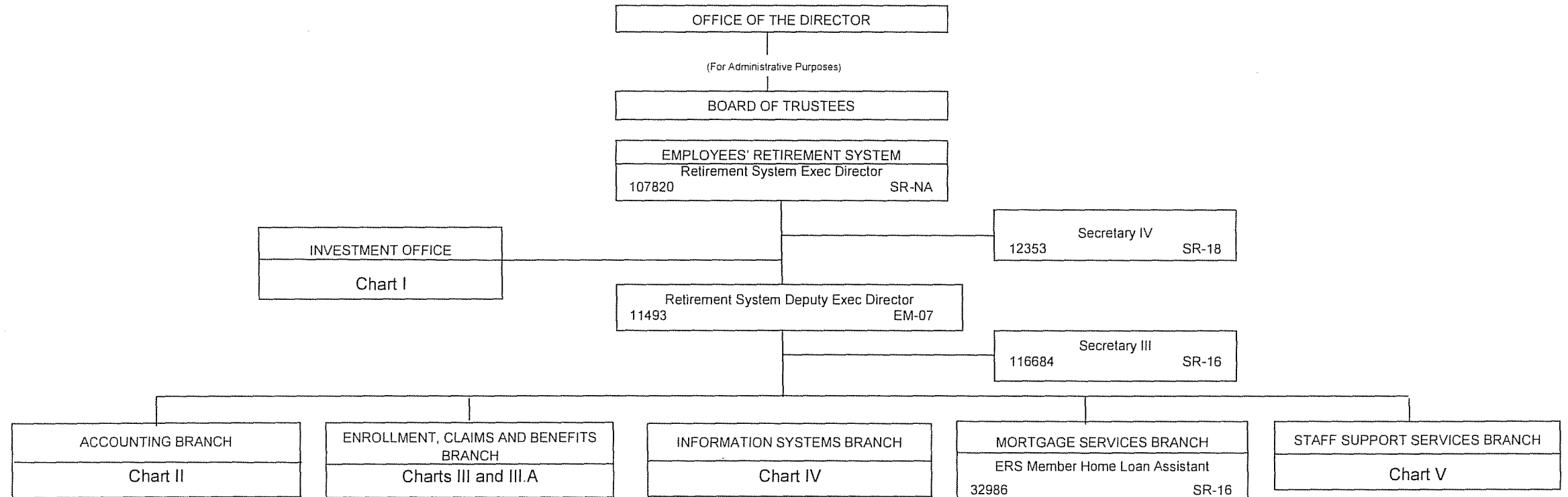
PROPOSED



1. PN 45251, Account Clerk III, SR-11, temporarily assigned to Unclaimed Property Branch.
2. PN 28018, Accountant III, SR-20, reallocated to Accountant II, SR-18, effective December 17, 2013.
3. Pseudo No. 94004O to be established per Act 134, SLH 2013. Class of work to be determined.
4. PN 27104, Accountant IV, SR-22, reallocated to Accountant II, SR-18, effective May 11, 2015.
5. Correct line of supervision between PN 19043, Accountant V, SR-24 and PN 28018, Accountant III, SR-20.

STATE OF HAWAII  
 DEPARTMENT OF BUDGET AND FINANCE  
 EMPLOYEES' RETIREMENT SYSTEM

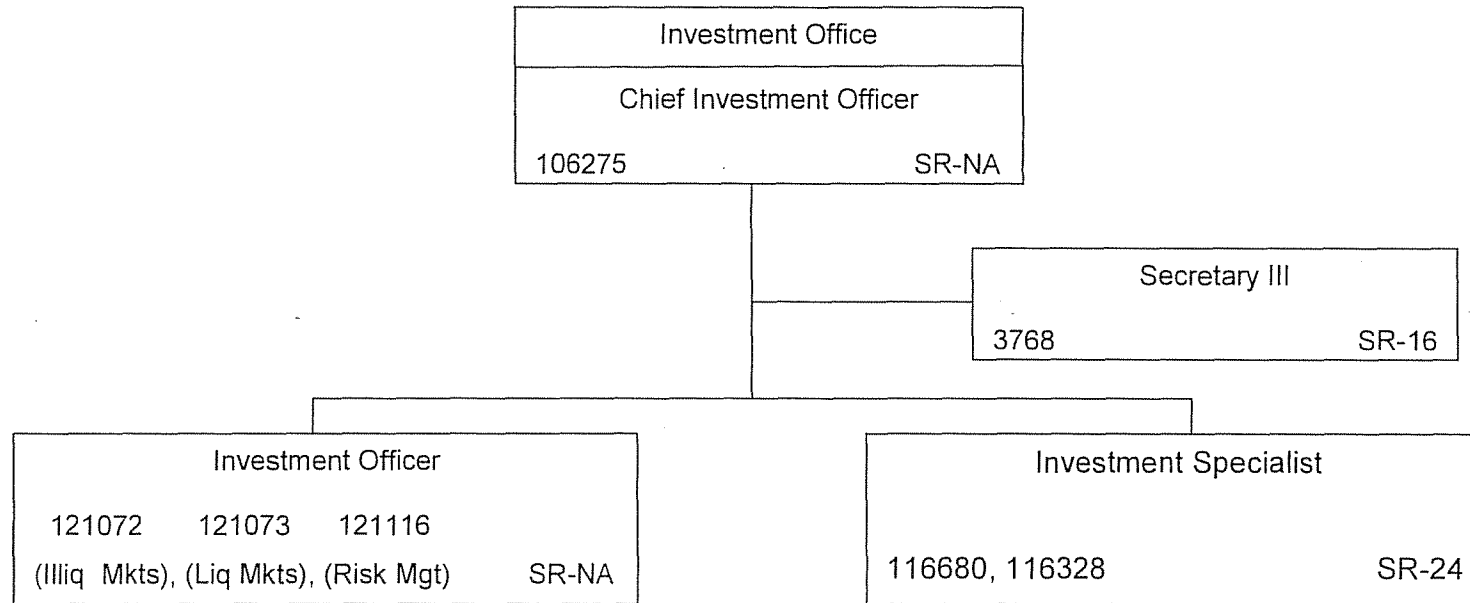
POSITION ORGANIZATION CHART





STATE OF HAWAII  
DEPARTMENT OF BUDGET AND FINANCE  
EMPLOYEES' RETIREMENT SYSTEM  
INVESTMENT OFFICE

POSITION ORGANIZATION CHART

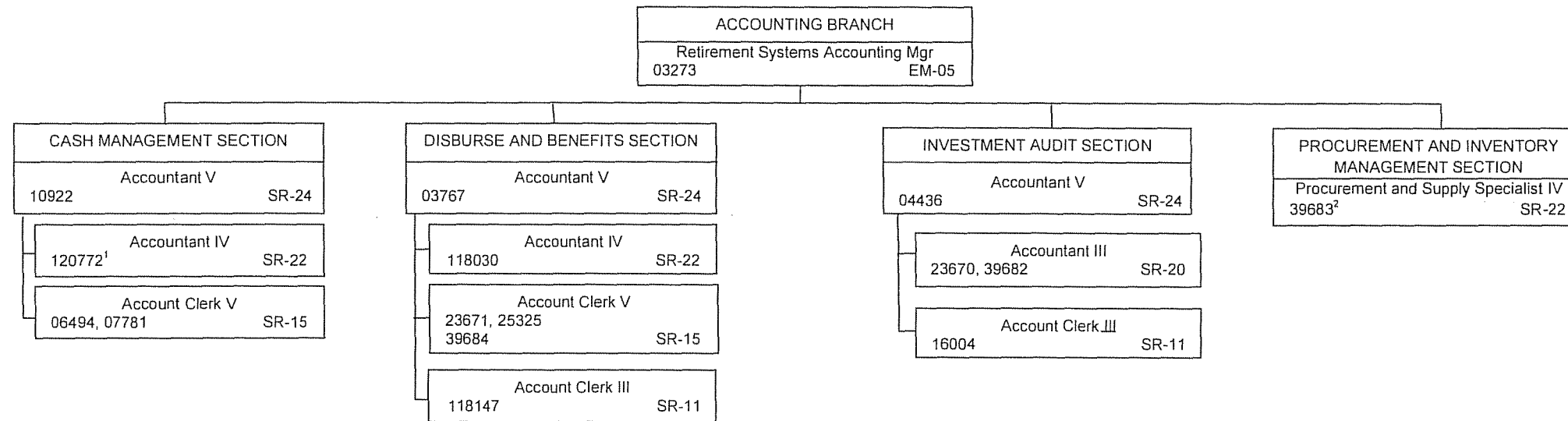


June 30, 2015  
Prepared by ARO Budget and Fiscal  
Date Prepared: August 25, 2015

Chart I

STATE OF HAWAII  
DEPARTMENT OF BUDGET AND FINANCE  
EMPLOYEES' RETIREMENT SYSTEM  
ACCOUNTING BRANCH

POSITION ORGANIZATION CHART

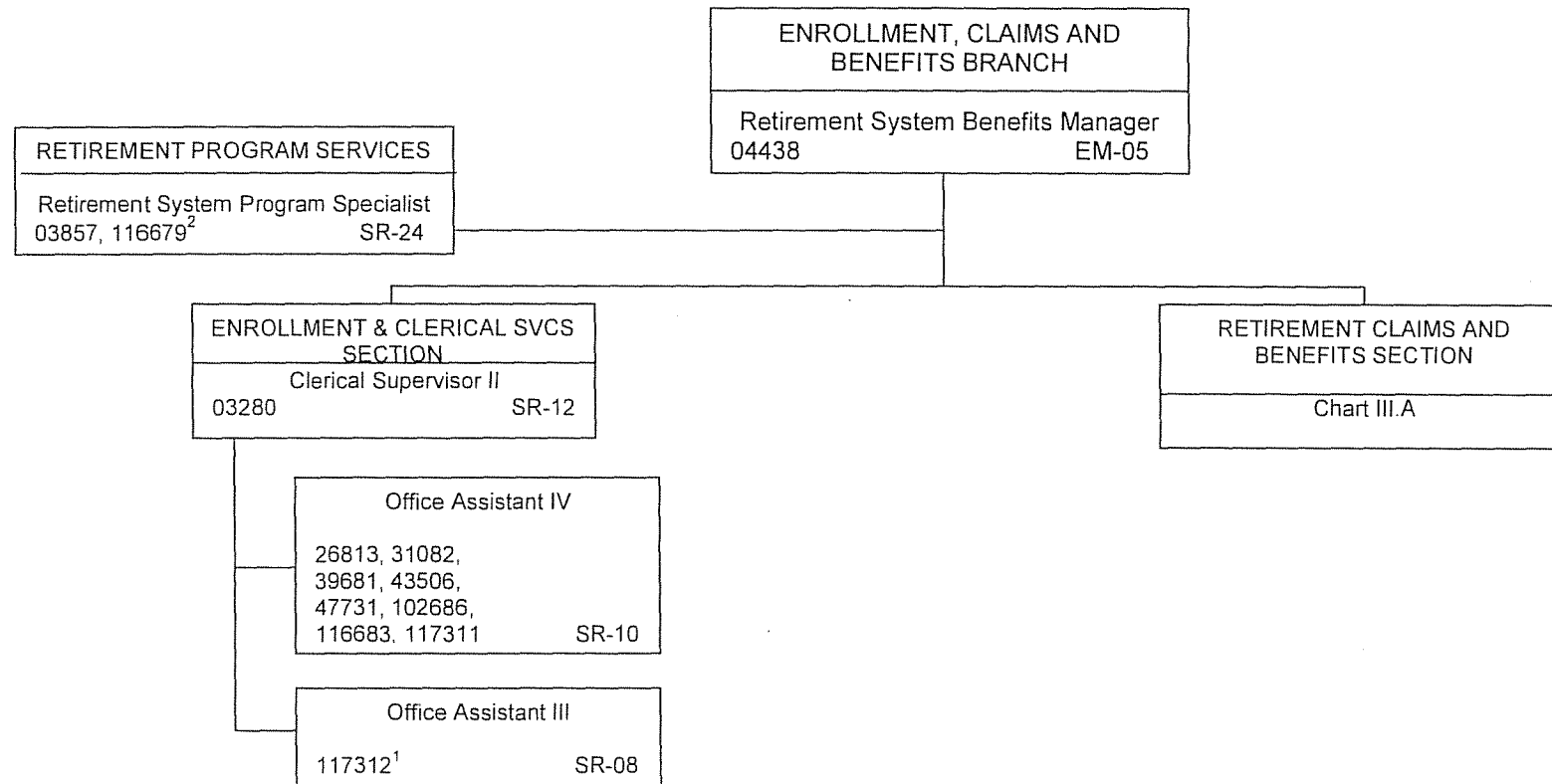


1. PN 120772, Accountant IV, SR-22, depicted mistakenly as Accountant III, SR-20, in FY 14 org charts. Readjusted and corrected back to Accountant IV, SR-22.
2. PN 39683, Office Assistant (OA) IV, transferred from Staff Support Services Branch to the Accounting Branch effective 12/05/14; re-described to Procurement and Supply Specialist IV, SR-22, effective 01/07/15 and temporarily reallocated to PSS II, SR-18, effective 03/20/15, and to PSS III, SR-20, effective 06/08/15..

June 30, 2015  
Prepared by ARO Budget and Fiscal  
Date Prepared: August 25, 2015

STATE OF HAWAII  
 DEPARTMENT OF BUDGET AND FINANCE  
 EMPLOYEES' RETIREMENT SYSTEM  
 ENROLLMENT, CLAIMS AND BENEFITS  
 BRANCH

POSITION ORGANIZATION CHART

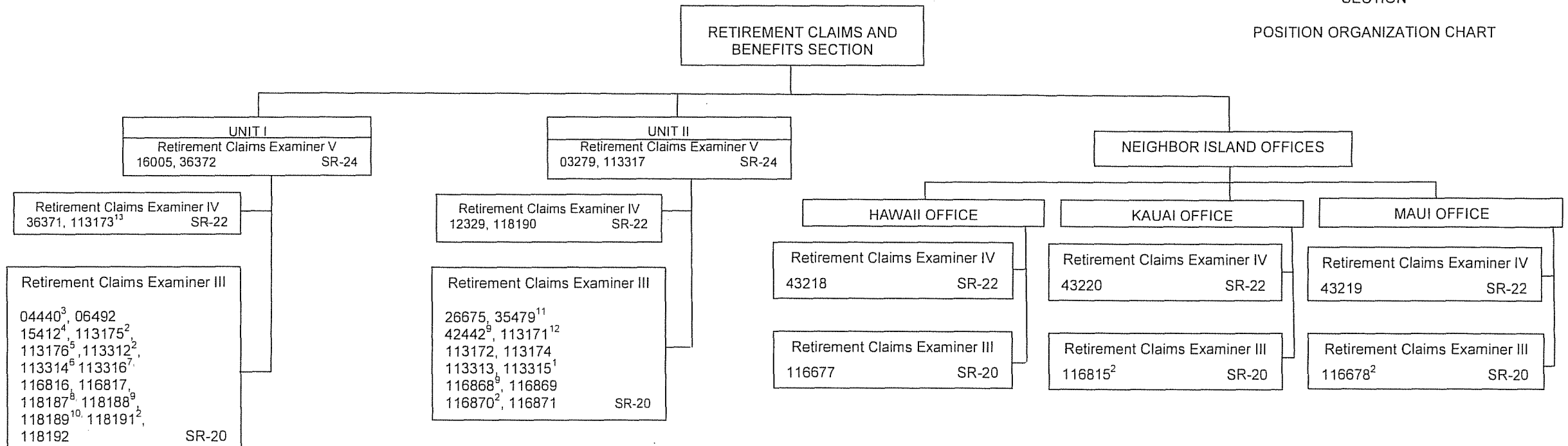


1. PN 117312, Office Assistant III, SR-08, temporary, NTE 06/30/15. Position detailed from EC&B to under the direct supervision of PN 3273 Accounting Mgr. from 11/21/13 to present.
2. PN 116679, Retirement System Program Specialist, SR-24, is detailed from Enrollment, Claims and Benefits Branch to under the direct supervision of PN 107820 Retirement System Exec Director from December 2011 to present.

June 30, 2015  
 Prepared by ARO Budget and Fiscal  
 Date Prepared: August 25, 2015

STATE OF HAWAII  
DEPARTMENT OF BUDGET AND FINANCE  
EMPLOYEES' RETIREMENT SYSTEM  
ENROLLMENT, CLAIMS AND BENEFITS  
BRANCH  
RETIREMENT CLAIMS AND BENEFITS  
SECTION

POSITION ORGANIZATION CHART



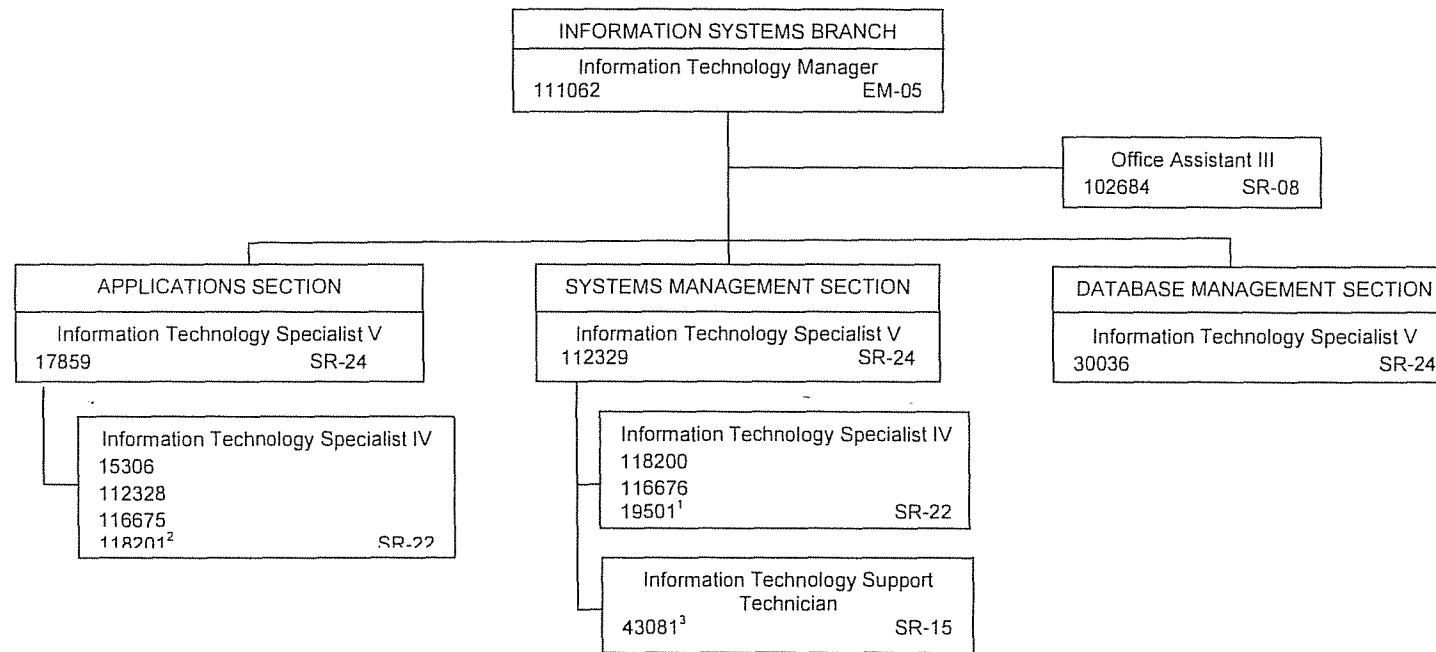
1. PN 113315, RCE III, SR-20, was temporarily reallocated to RCE II, SR-18, effective 09/01/14.
2. PN's 116678, 113312, 118191, 116815, 116870, and 113175 RCE III, SR-20, was temporarily reallocated to RCE I, SR-16, effective 04/01/13.
3. PN 04440, RCE III, SR-20, was temporarily reallocated to RCE II, SR-18, effective 06/27/13, and reallocated to RCE III, SR-20, effective 05/01/15.
4. PN 15412, RCE III, SR-20, was temporarily reallocated to RCE I, SR-16, effective 05/28/13.
5. PN 113176, RCE III, SR-20, was temporarily reallocated to RCE I, SR-16, effective 02/28/14.
6. PN 113314, RCE III, SR-20, was temporarily reallocated to RCE II, SR-18, effective 09/16/14.
7. PN 113316, RCE III, SR-20, was temporarily reallocated to RCE I, SR-16, effective 10/23/13.
8. PN 118187, RCE III, SR-20, was temporarily reallocated to RCE II, SR-18, effective 09/01/14.
9. PN's 42442, 116868 and 118188, RCE III, SR-20, were temporarily reallocated to RCE I, SR-16, effective 10/01/12. They were reallocated to the authorized level of RCE III, SR-20, effective 05/01/15.
10. PN 118189, RCE III, SR-20, was temporarily reallocated to RCE I, SR-16, effective 10/09/14.
11. PN 35479, RCE III, SR-20 was temporarily reallocated to RCE I, SR-16, effective 05/29/13.
12. PN 113171, RCE III, SR-20, was temporarily reallocated to RCE I, SR-16, effective 02/28/14.
13. PN 113173 redescribed from RCE III, SR-20, to RCE IV, SR-22, eff. 12/31/14.

June 30, 2015  
Prepared by ARO Budget and Fiscal  
Date Prepared: August 25, 2015

Chart III. A

STATE OF HAWAII  
 DEPARTMENT OF BUDGET AND FINANCE  
 EMPLOYEES' RETIREMENT SYSTEM  
 INFORMATION SYSTEMS BRANCH

POSITION ORGANIZATION CHART

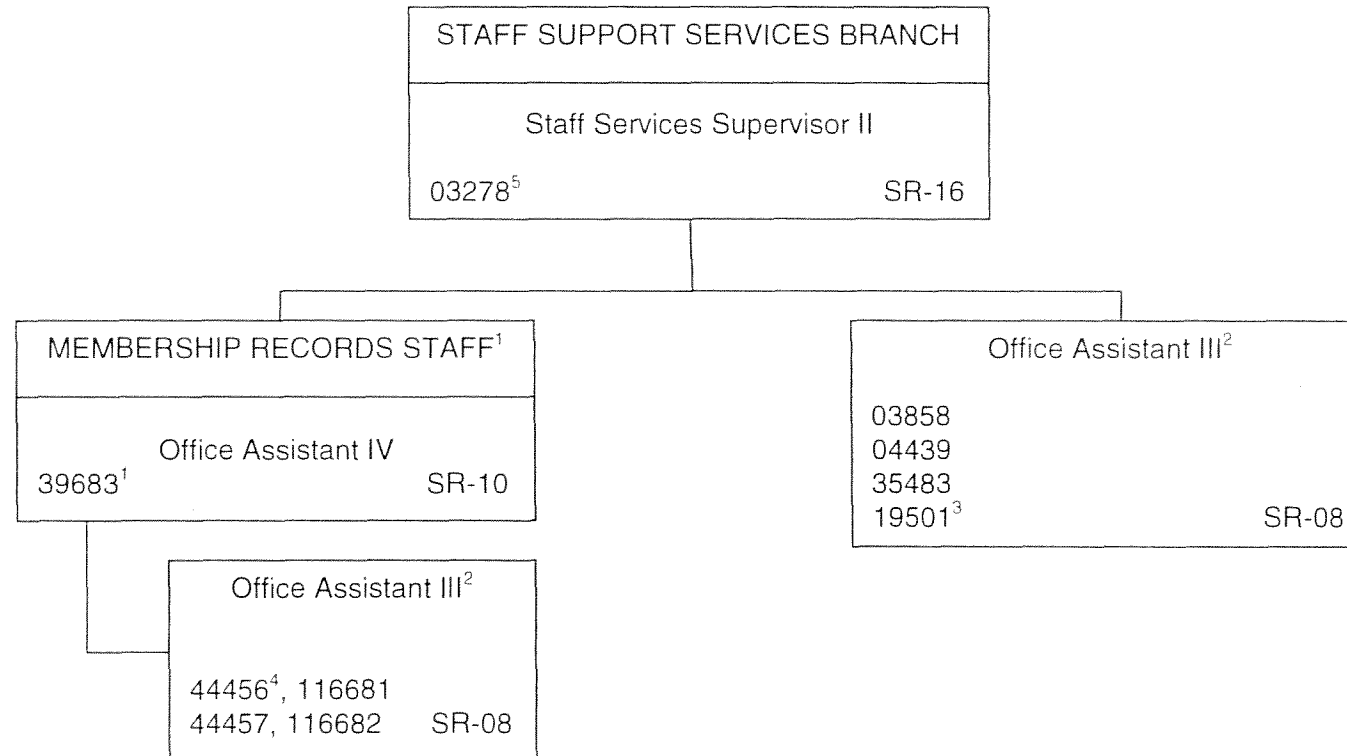


1. PN 19501 to be transferred from Staff Support Services Branch to System Management Section of Info System Branch, and re-described from Office Assistant III to ITS IV. effective 7/14/14.
2. PN 118201 Information Technology Specialist IV, SR-22, temporarily reallocated to Information Technology Specialist II, SR-18, effective 01/20/15.
3. PN 43081 re-described from Computer Operator II, SR-15, to Information Technology Support Technician, SR-15, eff. 5/16/15.

June 30, 2015  
 Prepared by ARO Budget and Fiscal  
 Date Prepared: August 25, 2015

STATE OF HAWAII  
 DEPARTMENT OF BUDGET AND FINANCE  
 EMPLOYEES' RETIREMENT SYSTEM  
 STAFF SUPPORT SERVICES BRANCH

POSITION ORGANIZATION CHART  
 STAFF SUPPORT SERVICES BRANCH



1. Abolished Membership Records Staff. PN 39683, Office Assistant (OA) IV, transferred from Membership Records Staff to the Accounting Branch, and re-describe to Procurement and Support Specialist IV, SR-22, effective 01/07/15.
2. PN's 44456, 44457, 116681, and 116682, Office Assistant III, SR-08, merged with the Office Assistant III's of the Staff Support Services Branch, effective 12/05/14.
3. PN 19501 from Staff Support Services Branch to System Management Section of Info System Branch, and re-described from Office Assistant III to ITS IV eff 7/14/14.
4. PN 44456, Office Assistant III, SR-08, temporarily reallocated from Office Assistant IV, SR-10, effective 02/01/15. NTE 09/15/15.
5. PN 3278 reclassified from Staff Support Supervisor III, SR-18, to Staff Support Supervisor II, SR-16, eff 5/27/15.

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 Date Prepared: August 25, 2015

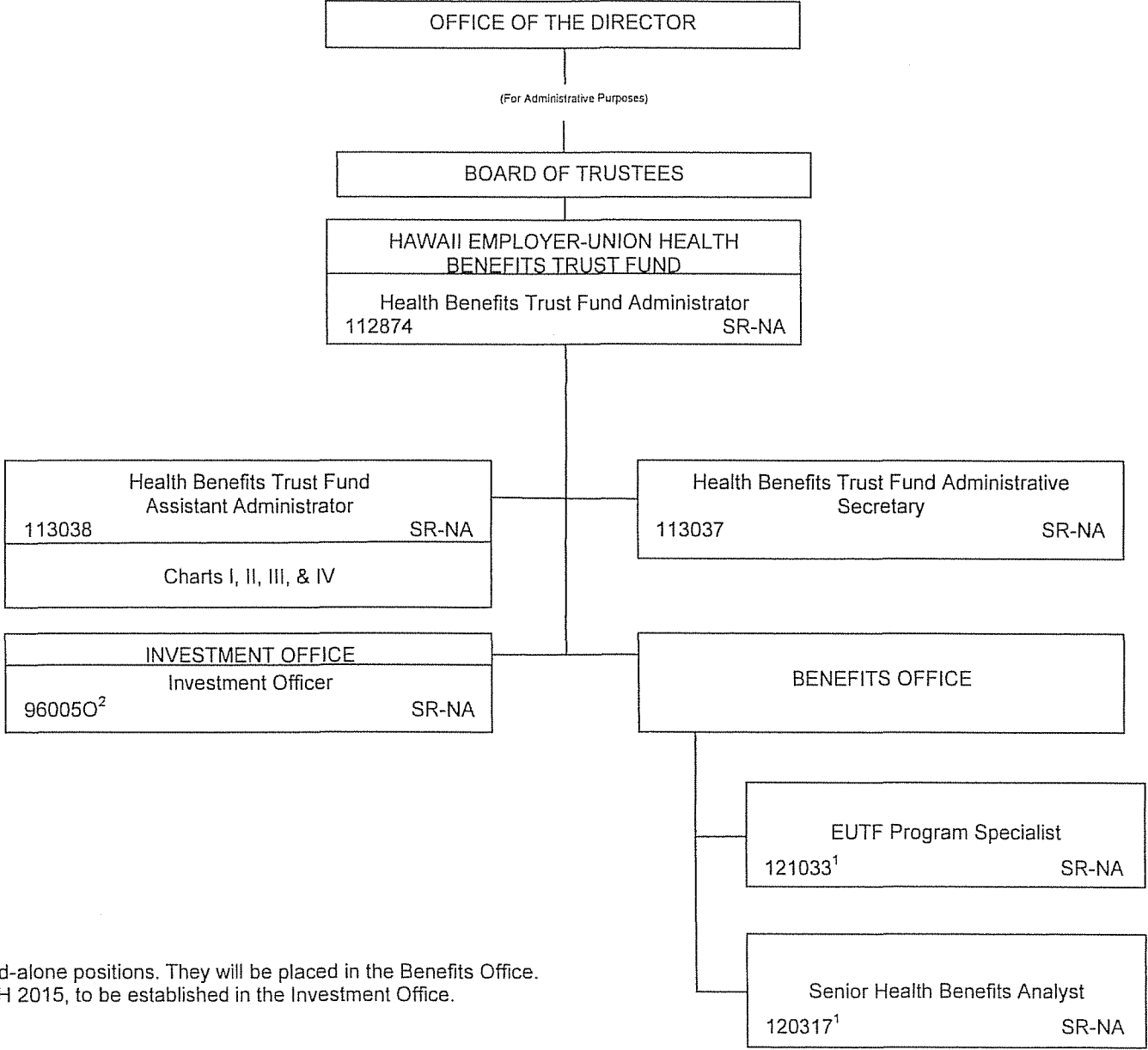
POSITION ORGANIZATION CHART

PROPOSED

ACKNOWLEDGED:



NOV 12 2015  
 Date



1. PN 120317 and 121033 are existing stand-alone positions. They will be placed in the Benefits Office.  
 2. Pseudo No. 960050 auth by Act 119, SLH 2015, to be established in the Investment Office.

POSITION ORGANIZATION CHART

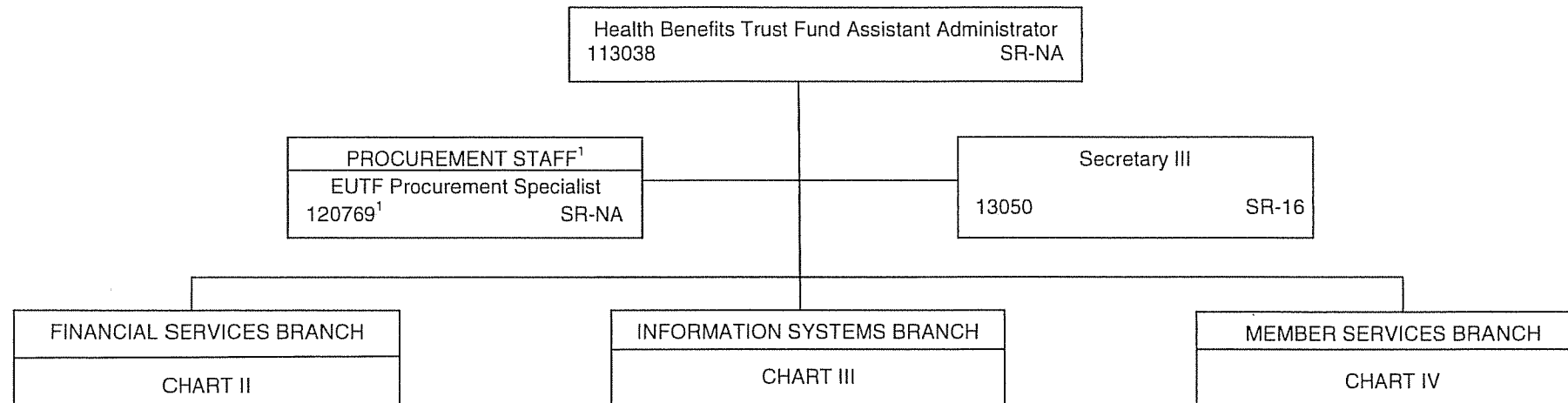
PROPOSED

ACKNOWLEDGED:

Director of Finance

NOV 12 2015

Date

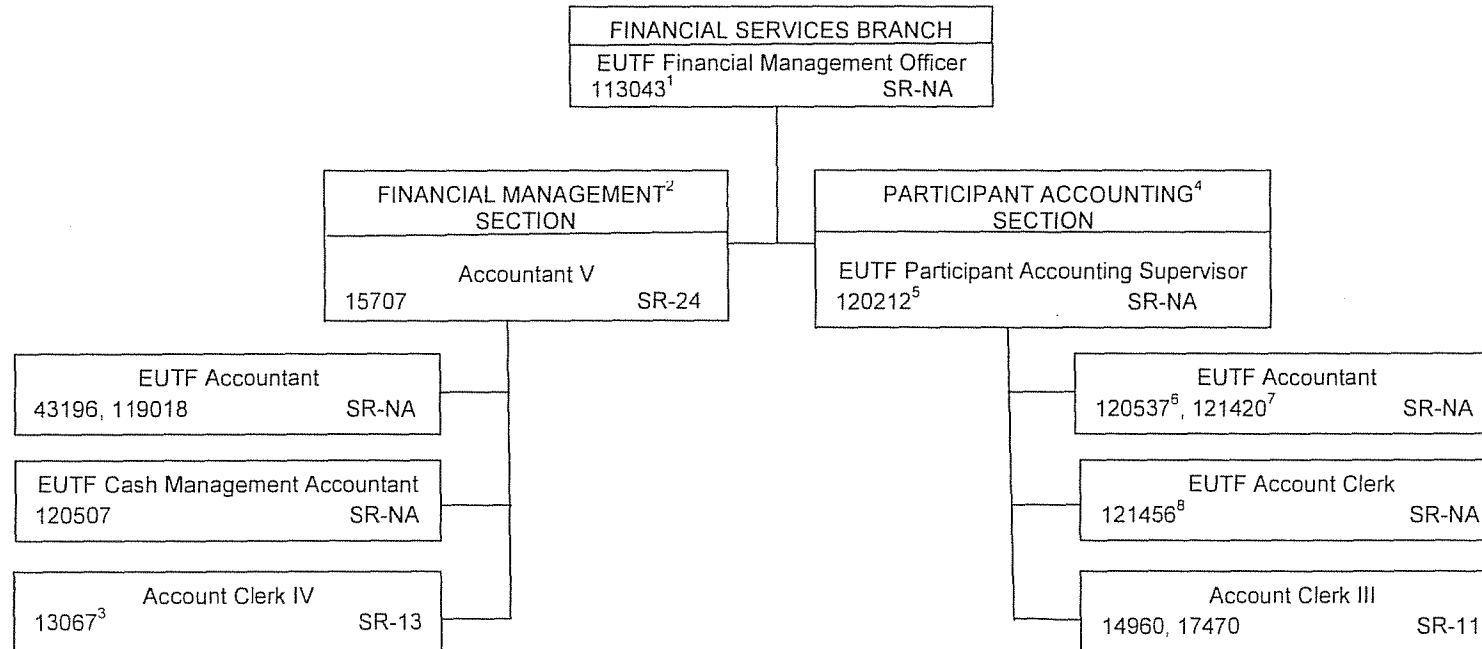


1. Establish Procurement Staff and place existing PN 120769 here.



STATE OF HAWAII  
 DEPARTMENT OF BUDGET AND FINANCE  
 HAWAII EMPLOYER-UNION HEALTH BENEFITS TRUST FUND  
 FINANCIAL SERVICES BRANCH

POSITION ORGANIZATION CHART

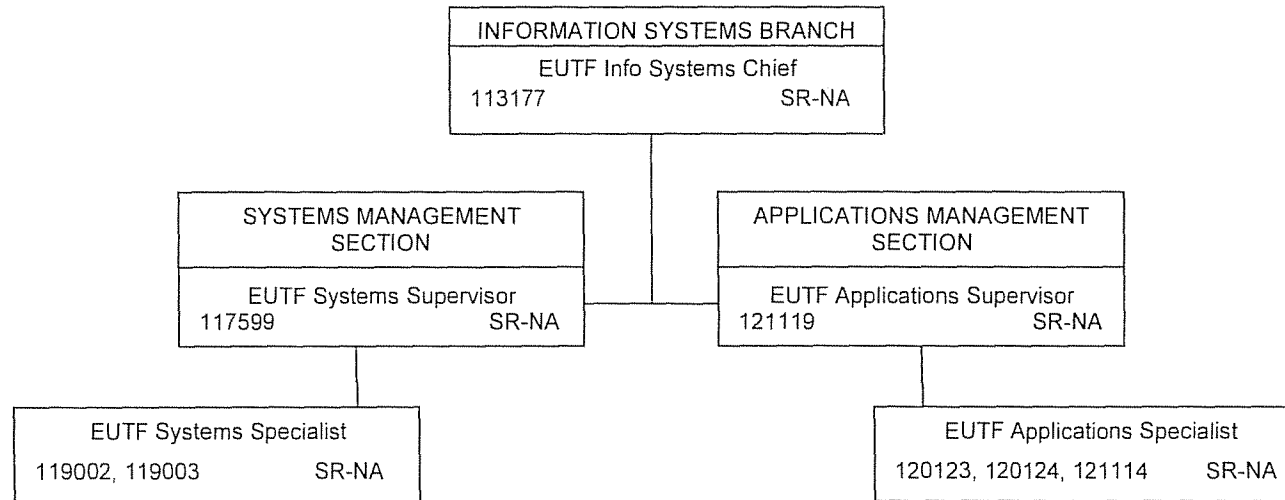


1. PN 113043, re-described from Financial Management Officer, SR-NA, to EUTF Financial Management Officer in 10/2014 with a retroactive effective date of 02/01/13.
2. Financial Management Section established effective 9/22/14.
3. PN 13067, Account Clerk IV, SR-13, moved from under direct supervision of PN 113043, Financial Management Officer, SR-NA, to the Financial Management Section and under the direct supervision of PN 15707, Accountant V, SR-24, effective 9/22/14.
4. Participant Accounting Section established effective 9/22/14.
5. PN 120212, re-described from EUTF Systems Accountant, SR-NA, to EUTF Participant Accounting Supervisor, SR-NA in 7/2015 with a retroactive effective date of 4/16/14.
6. PN 120537, EUTF Accountant, SR-NA, moved from under direct supervision of PN 11304, Financial Management Officer, SR-NA, to the Participant Accounting Section and under the direct supervision of PN 120212, EUTF Participant Accounting Supervisor, SR-NA, effective 9/22/14.
7. PN 121420 (Pseudo No. 950110), EUTF Accountant, SR-NA, established effective 8/28/14.
8. PN 121456 (Pseudo No. 950090), EUTF Account Clerk, SR-NA, established effective 9/25/14.

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STATE OF HAWAII  
DEPARTMENT OF BUDGET AND FINANCE  
HAWAII EMPLOYER-UNION HEALTH BENEFITS TRUST FUND  
INFORMATION SYSTEMS BRANCH

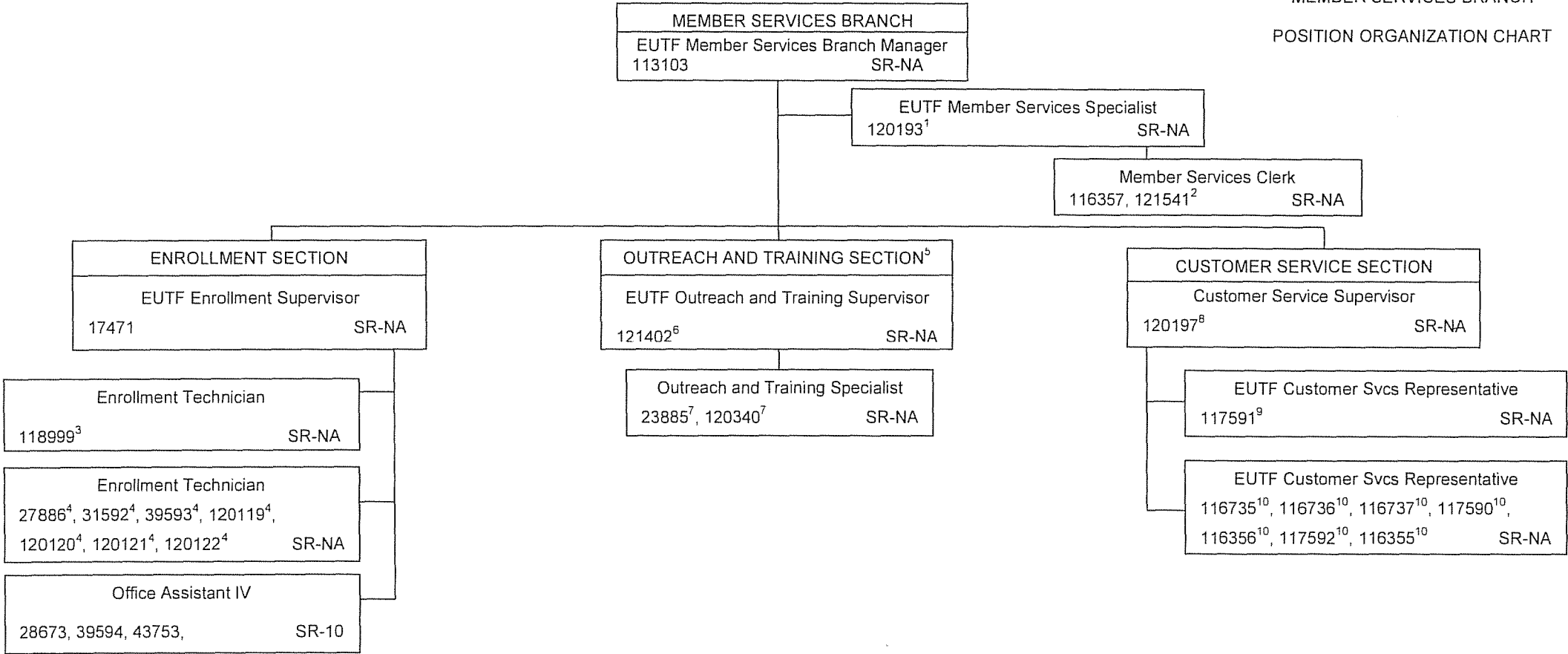
POSITION ORGANIZATION CHART



June 30, 2015  
Prepared by ARO Budget and Fiscal  
Date Prepared: August 25, 2015

STATE OF HAWAII  
DEPARTMENT OF BUDGET AND FINANCE  
HAWAII EMPLOYER-UNION HEALTH BENEFITS TRUST FUND  
MEMBER SERVICES BRANCH

POSITION ORGANIZATION CHART



1. PN 120193, Member Services Specialist, SR-NA, re-described to EUTF Member Services Specialist, SR-NA, effective 3/12/15.
2. PN 121541 (Pseudo No. 950060), Member Services Clerk, SR-NA, established effective 12/1/14.
3. PN 118999 to be varied from Enrollment Technician, SR-NA, to EUTF Enrollment Technician II, SR-NA.
4. Positions to be retitled from Enrollment Technician, SR-NA, to EUTF Enrollment Technician I, SR-NA.
5. Outreach and Training Section established effective 10/30/14.
6. PN 120402 (Pseudo No. 920050), varied from Member Services Specialist, SR-NA, to EUTF Outreach and Training Supervisor, SR-NA, in 11/14 and established effective 12/01/14.
7. Positions to be retitled from Outreach and Training Specialist, SR-NA, to EUTF Outreach and Training Specialist, SR-NA.
8. PN 120197 to be retitled from Customer Service Supervisor, SR-NA, to EUTF Customer Service Supervisor, SR-NA.
9. PN 117591 to be varied from EUTF Customer Service Representative, SR-NA, to EUTF Customer Service Representative II.
10. Positions to be retitled from EUTF Customer Service Representative to EUTF Customer Service Representative I.

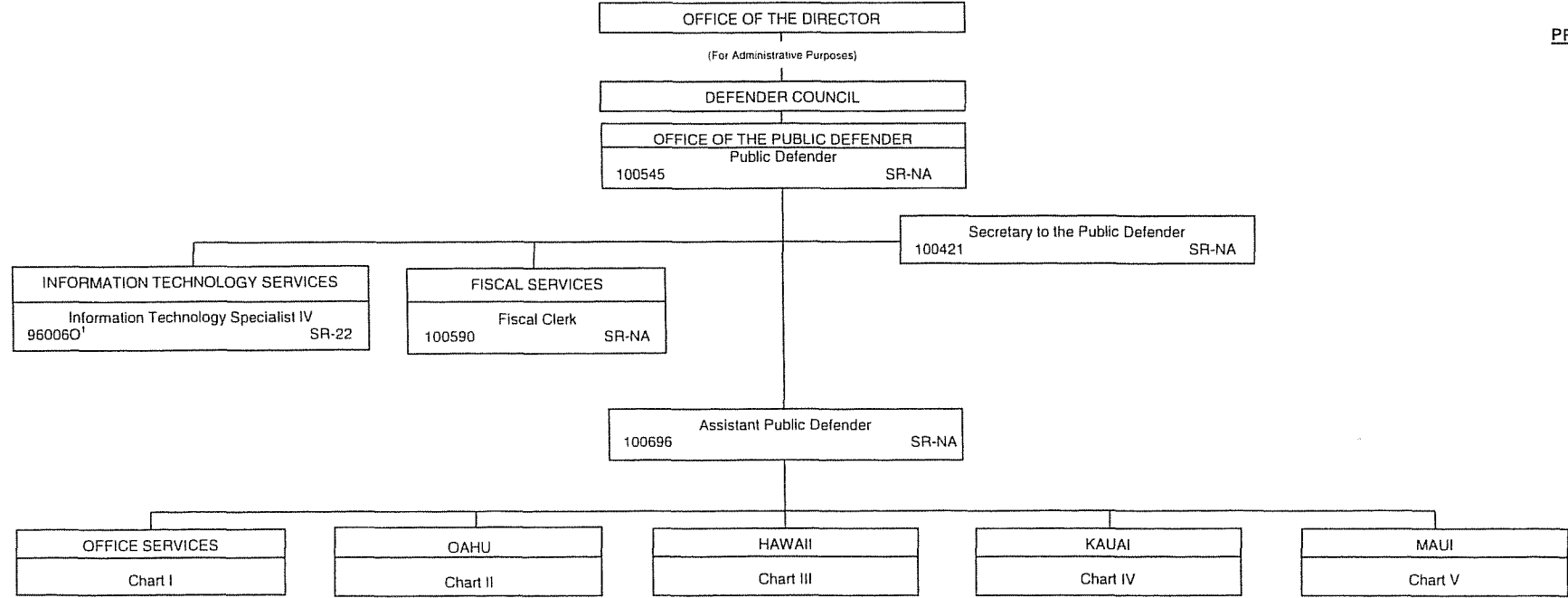
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10/5/15  
Date

STATE OF HAWAII  
DEPARTMENT OF BUDGET AND FINANCE  
OFFICE OF THE PUBLIC DEFENDER  
POSITION ORGANIZATION CHART

PROPOSED

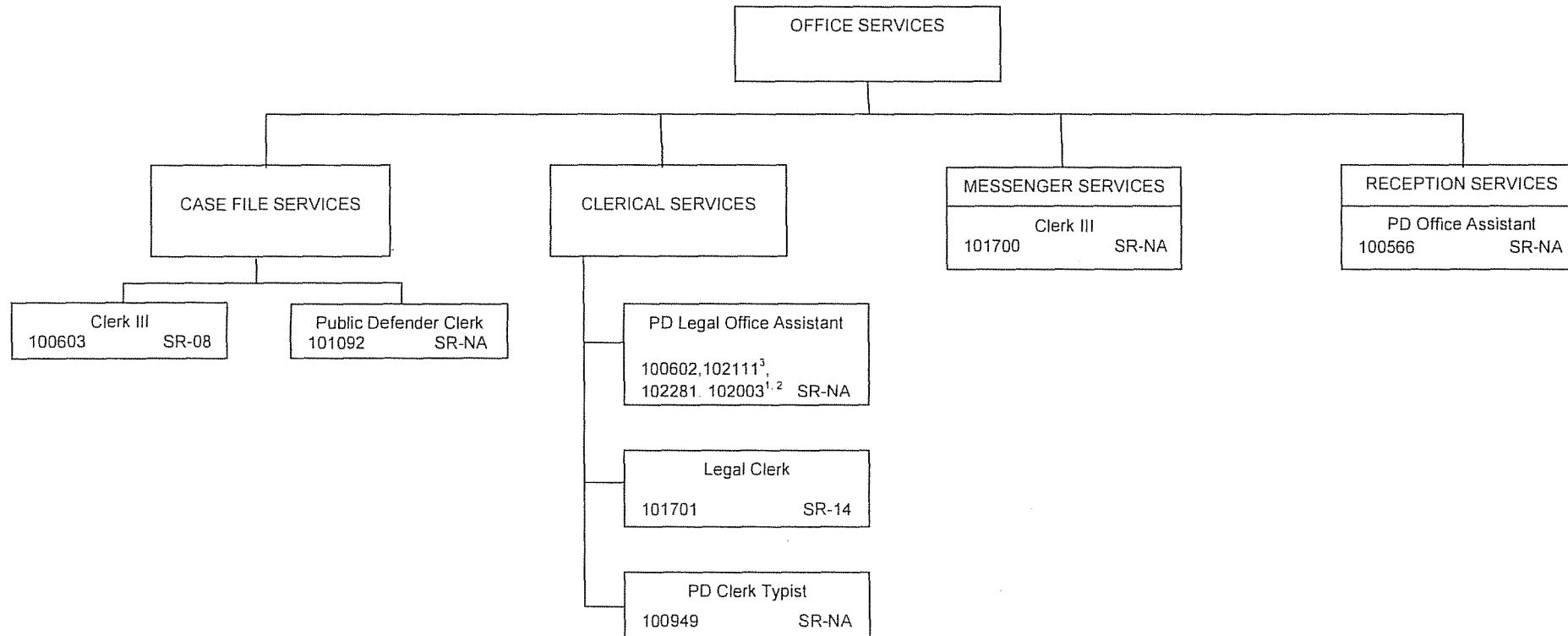


1. Act 119, SLH 2015 authorized the transfer of funds from the Department of Accounting and General Services to fund this new position in order to provide information technology support at the Office of Public Defender.

Date Prepared: September 1, 2015

STATE OF HAWAII  
 DEPARTMENT OF BUDGET AND FINANCE  
 OFFICE OF THE PUBLIC DEFENDER  
 OFFICE SERVICES

POSITION ORGANIZATION CHART



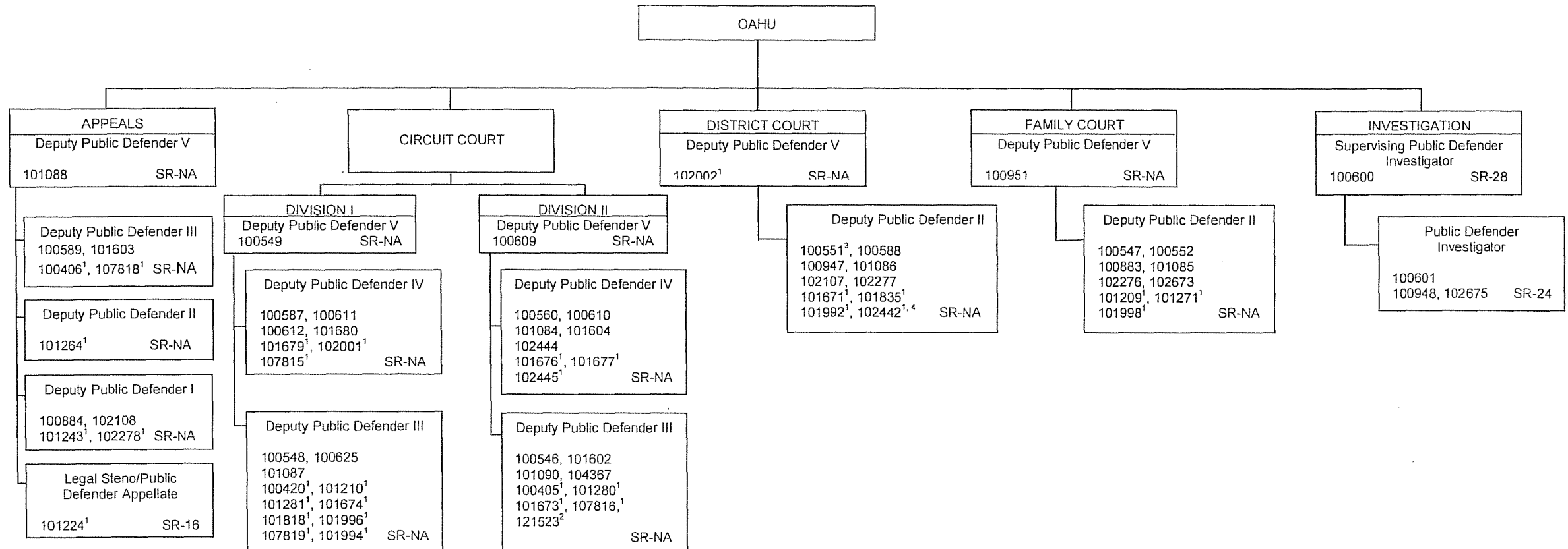
1. Temporary position, NTE 06/30/16.
2. PN 102003, re-described from Legal Clerk, SR-14, to PD Legal Office Assistant, SR-NA, effective 10/10/14.
3. PN 102111, PD Legal Office Assistant to remain SR-14 until the update of the position description at which time it will be changed to SR-NA to be consistent with other positions that are exempt from civil service.

June 30, 2015  
 Prepared by ARO Budget and Fiscal  
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Chart I

STATE OF HAWAII  
DEPARTMENT OF BUDGET AND FINANCE  
OFFICE OF THE PUBLIC DEFENDER  
ISLAND OF OAHU

POSITION ORGANIZATION CHART



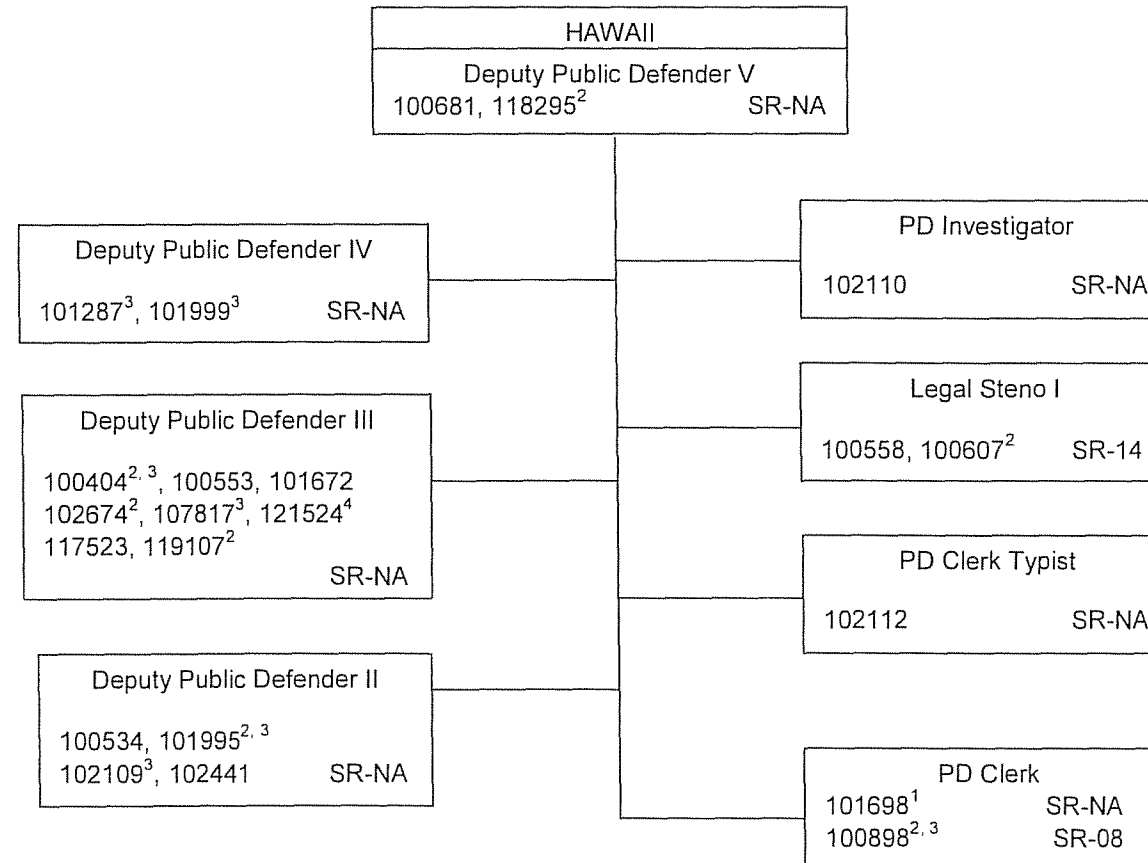
1. Temporary Positions, NTE 6/30/16.
2. PN 121523, Deputy Public Defender III, SR-NA, established effective 11/19/14.
3. PN 100551, Deputy Public Defender III, temporarily assigned to Maui effective 09/12/12.
4. PN 102442, Deputy Public Defender II, SR-NA, temporarily reassigned to Kauai effective 2/27/12.

June 30, 2015  
Prepared by ARO Budget and Fiscal  
Date Prepared: August 25, 2015

Chart II

STATE OF HAWAII  
 DEPARTMENT OF BUDGET AND FINANCE  
 OFFICE OF THE PUBLIC DEFENDER  
 ISLAND OF HAWAII

POSITION ORGANIZATION CHART

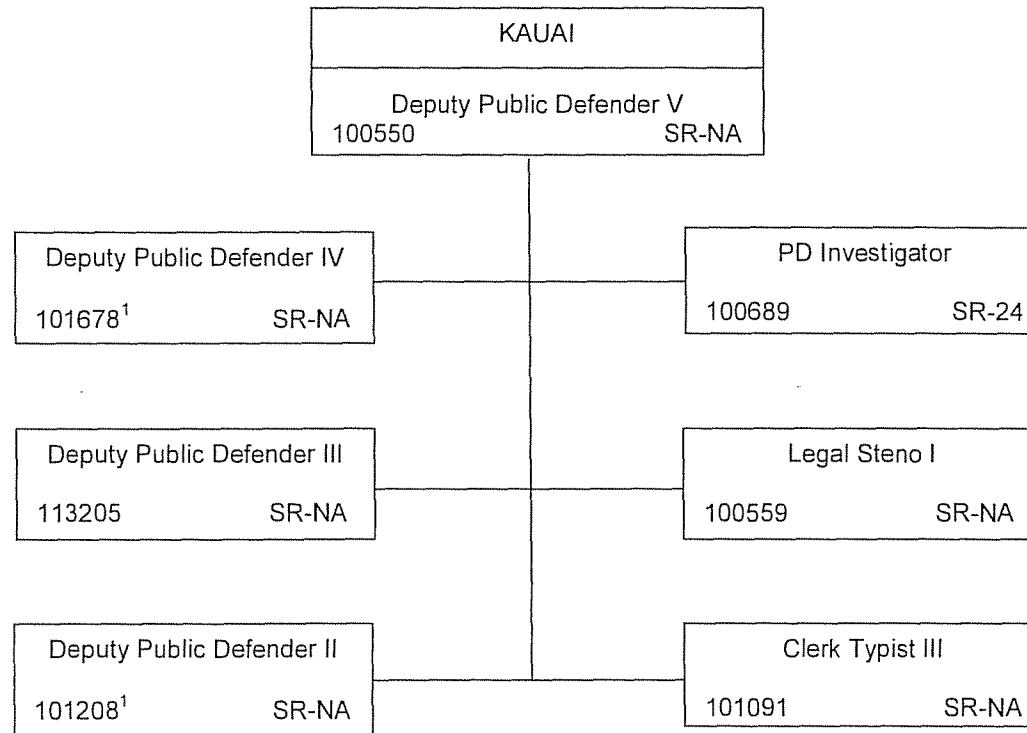


1. Positions are 0.5 FTE.
2. Positions located in Kona, Hawaii.
3. Temporary Positions, NTE 06/30/16.
4. PN 121524, Deputy Public Defender III, SR-NA, established effective 11/19/2014.

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 Prepared by ARO Budget and Fiscal  
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STATE OF HAWAII  
 DEPARTMENT OF BUDGET AND FINANCE  
 OFFICE OF THE PUBLIC DEFENDER  
 ISLAND OF KAUAI

POSITION ORGANIZATION CHART



1. Temporary Positions, NTE June 30, 2016.

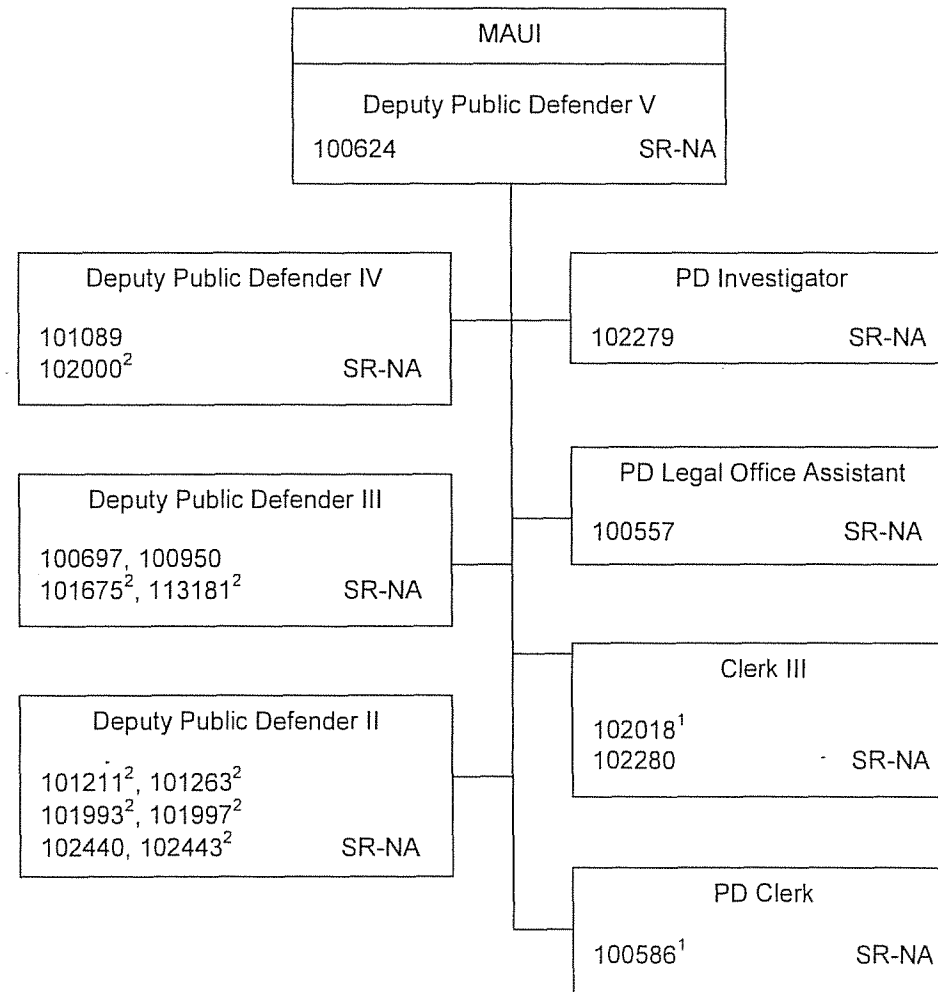
June 30, 2015  
 Prepared by ARO Budget and Fiscal  
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Chart IV



STATE OF HAWAII  
 DEPARTMENT OF BUDGET AND FINANCE  
 OFFICE OF THE PUBLIC DEFENDER  
 ISLAND OF MAUI

POSITION ORGANIZATION CHART

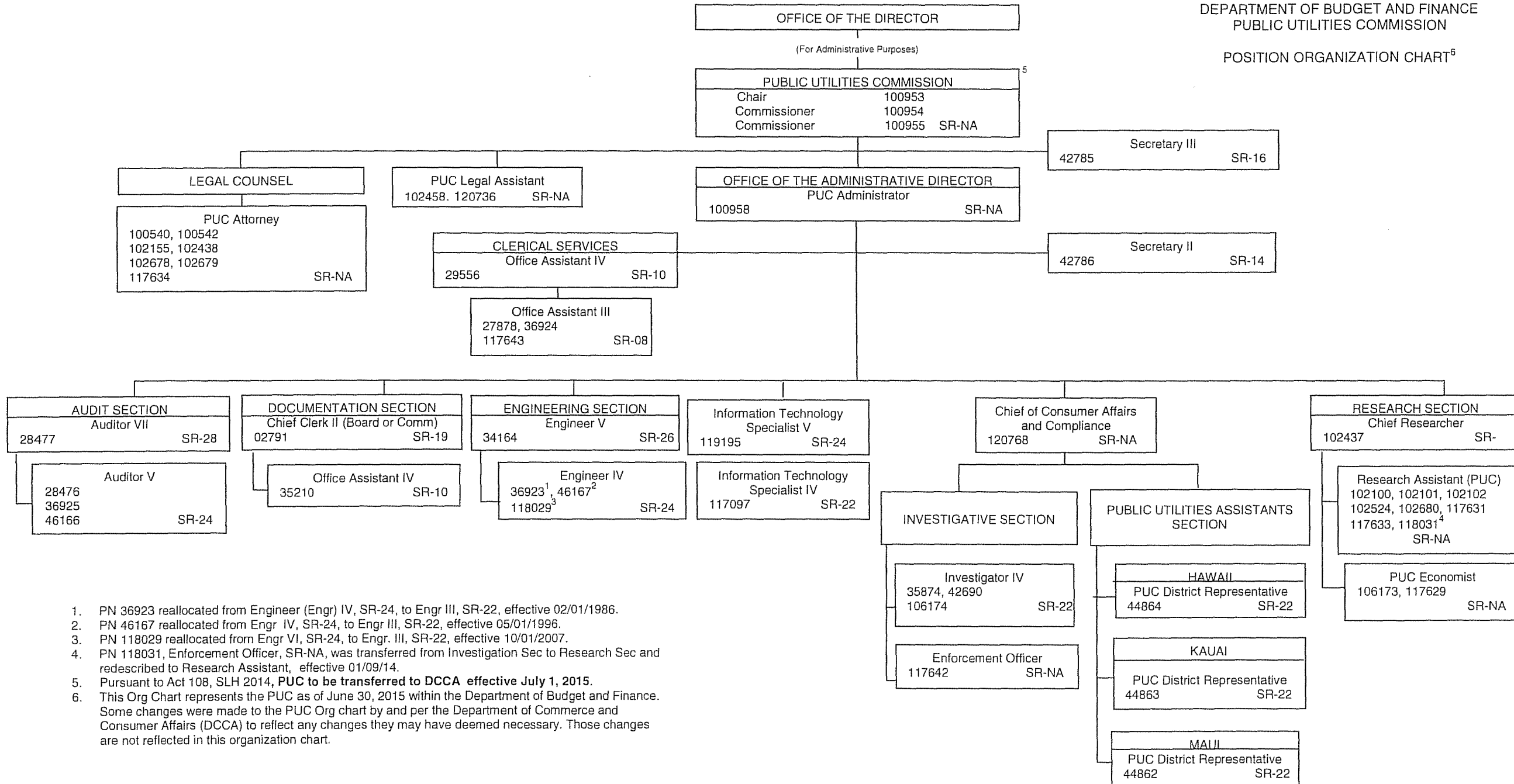


1. Positions are 0.5 FTE.
2. Temporary Positions, NTE 06/30/16.

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Chart V

STATE OF HAWAII  
DEPARTMENT OF BUDGET AND FINANCE  
PUBLIC UTILITIES COMMISSION  
POSITION ORGANIZATION CHART<sup>6</sup>



1. PN 36923 reallocated from Engineer (Engr) IV, SR-24, to Engr III, SR-22, effective 02/01/1986.
2. PN 46167 reallocated from Engr IV, SR-24, to Engr III, SR-22, effective 05/01/1996.
3. PN 118029 reallocated from Engr VI, SR-24, to Engr. III, SR-22, effective 10/01/2007.
4. PN 118031, Enforcement Officer, SR-NA, was transferred from Investigation Sec to Research Sec and redescribed to Research Assistant, effective 01/09/14.
5. Pursuant to Act 108, SLH 2014, **PUC to be transferred to DCCA effective July 1, 2015.**
6. This Org Chart represents the PUC as of June 30, 2015 within the Department of Budget and Finance. Some changes were made to the PUC Org chart by and per the Department of Commerce and Consumer Affairs (DCCA) to reflect any changes they may have deemed necessary. Those changes are not reflected in this organization chart.